

 **DYNEGY**
6555 Sierra Drive
Irving, TX 75039

January 14, 2021

Sent Via FedEx

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Commonwealth Keystone Building, 2nd Floor
Harrisburg, PA 17120

RECEIVED

JAN 14 2021

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**Re: Compliance Filing, Financial Security Reduction Petition Data Requests
Dynergy Energy Services (East), LLC - Docket No. A-2010-2199236, Utility Number 1112699**

Dear Secretary Chiavetta,

Pursuant to the Data Requests regarding the Pennsylvania Public Utility Commission's Order entered on July 2014, in Docket No. M-2013-2393141, the Public Utility Commission's new process for a Financial Security Reduction from 10% to 5% for Electric Generation Suppliers. Dynergy Energy Services (East), LLC hereby respectfully submits its responses to the Financial Security Reduction Data Requests.

Dynergy Energy Services (East), LLC respectfully requests that the Commission grant Confidential Treatment to the attached documents. It is Dynergy Energy Services (East), LLC's position that the information contained in these documents is of a proprietary and/or confidential nature. Dynergy Energy Services (East), LLC respectfully requests that the Commission treat these documents as confidential, place them under a seal, and prevent public disclosure as stated in Pennsylvania Code Title 52 §5.365.

If you have any questions or concerns in regard to this submission, please do not hesitate to contact me at (214) 812-2134 or at Dorian.Moore@Vistracorp.com and RCF@vistracorp.com.

Respectfully,



Dorian Moore
Manager, Retail Compliance
Vistra Corp.

Enclosures

Dynegy Energy Services (East) LLC, Docket No. A-2010-2199236
RE: Financial Security Reduction Data Requests Response

1. Reference Financial Security Reduction Petition - Applicant must provide proof of compliance with its required Commission owed annual fees and assessments. Please contact Cassandra Pomeroy at capomeroy@pa.gov or at (717) 787-8612. Please provide proof of paid Commission owed annual fees and assessments.

Response

Dynegy has provided a copy of an email from Cassandra Pomeroy, with the Public Utilities Commission of Pennsylvania stating that Dynegy has paid all annual and supplemental fees and its account is current at this time.

RECEIVED

JAN 14 2021

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

From: [Pomeroy, Cassi](#)
To: [Moore, Dorian](#)
Cc: [Jakab, Stephen](#); RCF
Subject: RE: [External] RE: Dynegy Energy Services (East), LLC- Fee & Assessment Compliance
Date: Thursday, January 14, 2021 9:48:41 AM

EXTERNAL EMAIL

Good morning Dorian,

All annual and supplemental fees have been paid and the account is current at this time.

Thank you,
Cassi Pomeroy
Pennsylvania Public Utility Commission
Bureau of Administrative Services
Keystone Building
717-787-8612
capomeroy@pa.gov
www.puc.pa.gov

From: Moore, Dorian <Dorian.Moore@vistracorp.com>
Sent: Thursday, January 14, 2021 10:22 AM
To: Pomeroy, Cassi <capomeroy@pa.gov>
Cc: Jakab, Stephen <sjakab@pa.gov>; RCF <rcf@vistracorp.com>
Subject: [External] RE: Dynegy Energy Services (East), LLC- Fee & Assessment Compliance

ATTENTION: *This email message is from an external sender. Do not open links or attachments from unknown sources. To report suspicious email, forward the message as an attachment to CWOPA_SPAM@pa.gov.*

Ms. Pomeroy, I'm following up on the request below.

Thank you,
Dorian

From: RCF <rcf@vistracorp.com>
Sent: Monday, January 4, 2021 11:33 AM
To: capomeroy@pa.gov
Cc: Moore, Dorian <Dorian.Moore@vistracorp.com>
Subject: FW: Dynegy Energy Services (East), LLC- Fee & Assessment Compliance

Good Morning Ms. Pomeroy,

This is a friendly reminder to please address the following issue **no later than 1/8/2021**.

We received a notice from the Pennsylvania Public Utility Commission regarding Dynegy Energy Services (East), LLC's (Docket No. A-2010-2199236) Fees & Assessments Compliance. Can you

please provide proof that Dynegy is current and has paid Commission owed annual fees and assessments.

Feel free to reach out with any questions or concerns regarding this request.

Respectfully,

Taylor Overly
Retail Compliance Analyst-Legal
Vistra Corp.

From: RCF

Sent: Monday, December 28, 2020 1:36 PM

To: capomeroy@pa.gov

Cc: Moore, Dorian <Dorian.Moore@vistracorp.com>; RCF <rcf@vistracorp.com>

Subject: Dynegy Energy Services (East), LLC- Fee & Assessment Compliance

Good Afternoon Ms. Pomeroy.

We received a notice from the Pennsylvania Public Utility Commission regarding Dynegy Energy Services (East), LLC's (Docket No. A-2010-2199236) Fees & Assessments Compliance. Can you please provide proof that Dynegy is current and has paid Commission owed annual fees and assessments.

Feel free to reach out with any questions or concerns regarding this request. Please confirm you can provide the information **no later than 1/8/2021**.

Respectfully,

Taylor Overly
Retail Compliance Analyst-Legal
Vistra Corp.


Dynergy Energy Services (East) LLC, Docket No. A-2010-2199236
RE: Financial Security Reduction Data Requests Response

2. Reference Financial Security Reduction Petition - If Applicant has prepaid its gross receipt taxes to the Pennsylvania Department of Revenue, please provide a REV-423 Specialty Tax Estimated Payment Form as proof of the prepaid gross receipt taxes for 2020. If not, please indicate that the Applicant has not prepaid.

Response:

Dynergy Energy Services (East), LLC has a large credit on file with the Department of Revenue that is being applied to its gross receipt taxes. Dynergy is providing an updated Statement of Account and a letter from the Pennsylvania Department of Revenue that Dynergy's account is in good standing.

[REDACTED]



STATEMENT OF ACCOUNT

PENNSYLVANIA DEPARTMENT OF REVENUE
 P.O. BOX 28000
 HARRISBURG PA 17105-0000

DYNERGY ENERGY SERVICES (EAST) LLC
 601 TRAVIS ST STE 1400
 HOUSTON TX 77002-3253

[REDACTED]

For each section below, tax periods shown include the seven most recent periods filed for Corporation taxes, the three most recent periods filed for all other taxes, any periods that do not have a zero balance, and any non-filed periods. Payments are not applied to estimated liabilities. Non-filed periods are not included in the Total Balance of Filed Tax Periods. Non-filed periods may not yet be due.


SUMMARY OF TAX PERIODS - Corporation Taxes

[REDACTED]

Dynergy Energy Services (East), LLC

[REDACTED]

[REDACTED]



STATEMENT OF ACCOUNT

PENNSYLVANIA DEPARTMENT OF REVENUE
 P.O. BOX 28000
 HARRISBURG PA 17105-0000

DYNERGY ENERGY SERVICES (EAST) LLC
 801 TRAVIS ST STE 1400
 HOUSTON TX 77002-3253

[REDACTED]

For each section below, tax periods shown include the seven most recent periods filed for Corporation taxes, the three most recent periods filed for all other taxes, any periods that do not have a zero balance, and any non-filed periods. Payments are not applied to estimated liabilities. Non-filed periods are not included in the Total Balance of Filed Tax Periods. Non-filed periods may not yet be due.

[REDACTED]

Bureau of Compliance
P.O. Box 280231
Harrisburg, PA 17128-0231
717-787-3911 (Option 5)
www.revenue.pa.gov

REDACTED



Friday, November 06, 2020

Attention: Dorian Moore

RE: *Dynegy Energy Services (East), LLC.*
6555 Sierra Drive
Irving, TX 75039

Federal EIN: [REDACTED]

Social Security Number: [REDACTED]

Business Partner Number: [REDACTED]

Dear Requester,

I am writing to confirm that the above-referenced tax accounts for Dynegy Energy Services (East), LLC are current. All returns have been filed with payment as of Friday, November 06, 2020. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Emard S. Robinson, Jr.', written in a cursive style.

Emard S. Robinson, Jr
PA Department of Revenue
Bureau of Compliance
Business License Clearance Division

Dynegy Energy Services (East) LLC, Docket No. A-2010-2199236
RE: Financial Security Reduction Data Requests Response

3. Reference Financial Security Reduction Petition - Applicant failed to provide its AEPS compliance data from the most recent 12 months for Dynegy Energy Services (East). LLC. Please file proof from the AEPS coordinator stating compliance.

Response

Dynegy has provided a copy of an email from Scott Gebhardt, with the Public Utilities Commission of Pennsylvania stating that Dynegy is met its 2020 AEPS obligation.

RECEIVED
JAN 14 2021
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



From: Sedentia, Scott
To: Mike Brown, SCS
Cc: Mike Sedentia
Subject: [External] RE: Omega Energy Storage Services (EaaS), LLC - A2S Compliance Prod
Date: Wednesday, December 10, 2020 7:52 AM
Attachments: [redacted]

Redacted

Dynegy Energy Services (East), LLC

Docket No. A-2010-2199236

Utility Code: 1112699

RECEIVED

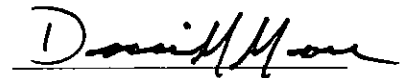
JAN 14 2021

Affirmation

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

I, Dorian Moore, hereby state that the applicant's responses are verified per 52 Pa Code § 1.36.

I, Dorian Moore, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).



Dorian Moore

Manager, Retail Compliance

Dynegy Energy Services (East), LLC

ORIGIN ID: DALA (214) 812-2134
DORIAN MOORE
VISTAR ENERGY
6555 SIERRA DRIVE

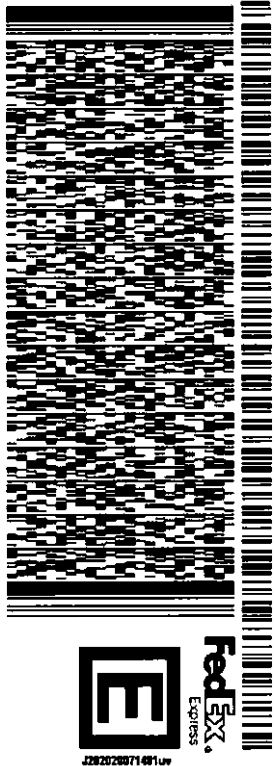
SHIP DATE: 14JAN21
ACTWTG: 1.00 LB
CAD: 101778781/NET/4280

IRVING, TX 75039
UNITED STATES US

BILL SENDER

TO ROSEMARY CHIAVETTA, SECRETARY
PA PUBLIC UTILITIES COMMISSION
COMMONWEALTH KEYSTONE BUILDING
400 NORTH STREET, 2ND FLR ROOM-N201
HARRISBURG PA 17120
(717) 772-7777
REF: 307
INV
PO DEPT

56BJ1/1136/8766



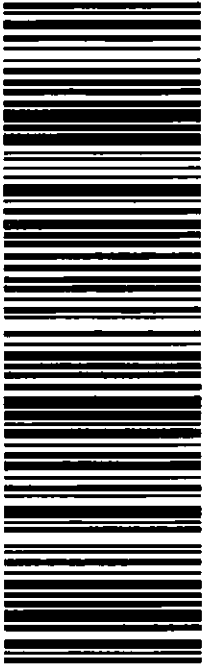
TRK# 7726 2679 7820
0201

MON - 18 JAN 4:30P

** 2DAY **

SK MDTA

PA-US 17120
MDT



After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits, see current FedEx Service Guide.