

1/28/2021

8901 Quality Rd. Suite 100 Bonita Springs, FL 34134 1 (844) 397-2692 www.PalmEnergyLLC.com



FEB 1 0 2021

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Pennsylvania Public Utility Commission Secretary 400 North Street, Keystone Building Harrisburg, PA 17120

Subject: Natural Gas Supplier (NGS) License Application (Broker/Marketer)

Dear Recipient:

Enclosed please find out completed NGS Application to act as a Broker/Marketer. This package includes the following:

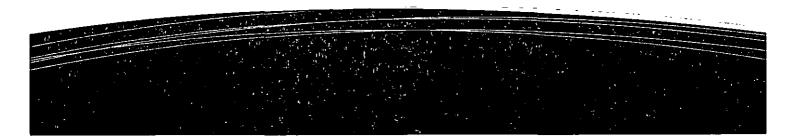
- 1. Completed and Signed Application
- 2. State of PA Entity Filing Application
- 3. State of PA Letter of Good Standing
- 4. Palm Energy LLC Florida Charter Documents
- 5. Appendix C Proof of Service
- 6. 2 Year of Financials (CONFIDENTIAL)
- 7. 3 Bank Statements (CONFIDENTIAL)
- 8. Letter of Responsibility (CONFIDENTIAL)
- 9. Appendix I Tax Certification Statement
- 10. Supplemental Document for Technical Fitness (CONFIDENTIAL)
- 11. Steven Moffitt Resume in Support of Technical Fitness (CONFIDENTIAL)
- 12. Check for Application Fee \$350
- 13. Appendix A
- 14. Appendix B
- 15. Notarized Affidavits for Proof of Publication

Upon review, if there are any questions or additional information required, please contact us. Thank you for your time and review.

Sincerely,

Steven Moffitt

Steven Moffitt President Palm Energy LLC C: (713) 205-3174 E: Steve.Moffitt@PalmEnergyLLC.com



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of _____Palm Energy LLC_____, d/b/a ____NA____, for approval to offer, render, furnish, or supply natural gas supply services as a(n) <u>Broker/Marketer (no title to commodity)</u> to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

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1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Palm Energy LLC 8901 Quality Rd Bonita Springs, FL 34135

FEB 1 0 2021

RECEIVED

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

www.palmenergyllc.com

(239) 319-1193

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

CAPITOL CORPORATE SERVICES, INC. 125 LOCUST STREET HARRISBURG PA 17101 Phone: 800-345-4647 Fax: 800-432-3622

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Steven Moffitt President Palm Energy LLC regulatory@palmenergylic.com (239) 319-1193

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

N/A – An attorney was not used to prepare this application

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, fax number, and e-mail <u>OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)</u> responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Steven Moffitt President Palm Energy LLC <u>CustomerService@palmenergyllc.com</u> (239) 319-1193

Georgina Hill Assistant to the President 8901 Quality Rd Bonita Springs, FL 34135 (239) 319-1097 Georgina.Hill@palmenergyllc.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

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domestic general partnership (*)

domestic limited partnership (15 Pa. C.S. §8511)

- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

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domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) U Other (Describe):

 Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Approved state entity filing, and good standing document included with application.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

Palm Energy LLC was formed in Florida. Attached is a copy of our charter documentation.

Give name and address of officers.

Steven Moffitt – President and Manager James Richmond - Manager Jeanne Richmond - Manager

All located at:

8901 Quality Rd Bonita Springs, FL 34135

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Palm Energy LLC is a subsidiary of E2Comply, LLC. Neither company is a utility.

Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Not Applicable

4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

Definitions

- Supplier -- an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of natural gas_ but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

or

The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
 - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- **Other (Describe)**:

- c. PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.
 - 1. Resiliency Services Palm Energy will provide distributed energy systems with the intention of solving customers power resiliency needs during outages from weather disturbances or other factors. Palm Energy will provide this solution through a Resiliency Agreement, which may involve brokering the customers power and/or gas supply. Palm Energy plans to provide this solution across North America in both regulated and dergulated energy markets.
 - 2. Power and Gas Commodity Brokerage and Risk Management Palm Energy's primary focus will be to provide Reliability Services with power and gas commodity brokerage included within the scope of the Resiliency Agreement; however, to the extent customers are seeking discrete power and gas brokerage services from Palm, Palm may provide. Palm's approach to providing discrete power and gas brokerage services is to do so with tranparent fee structures whether that is through a consulting payment or an agreed-upon and documented usage based fee embedded in the power or gas rate.
 - 3. Utility Bill Management Services Palm Energy will provide utility bill management services including data and analytics.
 - 4. Demand Response Services Palm Energy will provide demand response and ancillary services to customers across North America. Accordingly, Palm has filed for the appropriate licenses in the pertinent jurisdications.
- d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

| Columbia | Peoples Gas Company |
|-------------------------------------|--------------------------------|
| National Fuel Gas | Philadelphia Gas Works |
| PECO | 🔲 UĢI Utilities – Gas Division |
| Peoples Natural Gas Company | 🖸 🖌 alley Energy |
| Peoples Natural Gas – Equitable Div | |
| | All of the above |

e. CUSTOMERS: Applicant proposes to provide services to:

| Residential Customers Small Commercial Customers - (Less than 6,000 Mcf annually) Residential and Small Commercial as Mixed Meter <u>ONLY</u> (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) Large Commercial Customers - (6,000 Mcf or more annually) Industrial Customers Governmental Customers All of above (Except Mixed Meter) |
|---|
| Governmental Customers All of above (Except Mixed Meter) |
| Other (Describe): |

f. **START DATE:** Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth. **2/1/2021**

5. <u>COMPLIANCE</u>

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant has no proceedings to list.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. <u>Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.</u> If the Applicant has no actions or complaints to list, explicitly state such.

Applicant has no actions or complaints to list.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

Applicant has no actions or complaints to list.

6. **PROOF OF SERVICE**

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Department of Revenue Bureau of Compliance PO Box 281230 Harrisburg, PA 17128-1230

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building **b.)** NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

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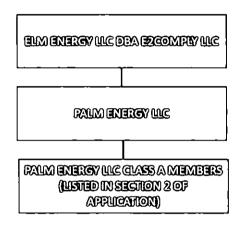
| Columbia Con a GDA lan | · |
|---|---|
| Columbia Gas of PA, Inc. | |
| Michele Caddell | |
| 290 W. Nationwide Blvd. | |
| Columbus, OH 43215 | |
| PH: 614.460.6841 | |
| FAX: 614.460.8447 | |
| e-mail: mcaddell@nisource.com | |
| Peoples Natural Gas Company LLC – Equitable | National Fuel Gas Distribution Corp. |
| Division | Joanne E. Maciok |
| Carol Scanlon | 6363 Main Street |
| 375 North Shore Drive | Williamsville, NY 14221 |
| Pittsburgh, PA 15212 | PH: 716.857.7670 |
| PH: 412.208.6931 | FAX: 716.857.7479 |
| FAX: 412.208.6577 | e-mail: maciokj@natfuel.com |
| e-mail: Carol.Scanlon@peoples-gas.com | |
| Peoples Natural Gas Company LLC | PECO |
| Carol Scanlon | Carlos Thillet, Manager, Gas Supply and |
| 375 North Shore Drive | Transportation |
| Pittsburgh, PA 15212 | 2301 Market Street, S9-2 |
| PH: 412.208.6931 | Philadelphia, PA 19103 |
| FAX: 412.208.6577 | PH: 215.841.6452 |
| e-mail: Carol.Scanlon@peoples-gas.com | Email: carlos.thillet@exeloncorp.com |
| Peoples Gas Company LLC | Philadelphia Gas Works |
| Carol Scanlon | Ryan Reeves, Director Supply |
| 375 North Shore Drive | Transportation & Control |
| Pittsburgh, PA 15212 | 800 West Montgomery Avenue |
| PH: 412.208.6931 | Philadelphia, PA 19122 |
| FAX: 412.208.6577 | PH: 215.787.5103 |
| e-mail: Carol.Scanlon@peoples-gas.com | email: pgwchoicesupply@pgworks.com |
| | |
| Valley Energy Inc. | UGI Utilities, Inc. – Gas Division |
| Ed Rogers | Sherry Epler |
| 523 South Keystone Avenue | 1 UGI Drive |
| Sayre, PA 18840-0340 | Denver, PA 17517 |
| PH: 570.888-9664 | PH: 610.796.3447 |
| FAX: 570.888.6199 | Email: <u>sepler@ugi.com</u> |
| email: erogers@ctenterprises.org | |

7. FINANCIAL FITNESS

a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.

Applicant will be acting as a broker/marketer which will not take title to any commodity

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.



TO SATISFY THE BELOW INFORMATION REQUESTS, PALM HAS FURNISHED THE FOLLOWING, PER THE RECOMMENDATION OF COMMISSION STAFF:

- 1. 2 YEAR(S) FINANCIALS FOR PARENT COMPANY
- 2. 3 RECENT BANK STATEMENTS
- 3. LETTER OF RESPONSIBILITY
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.

- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

NOT APPLICABLE AS APPLICANT WILL BE OPERATING AS A BROKER/MARKETER ONLY

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Palm Energy LLC will be compensated in one of two ways:

- 1. A discrete \$/DTh broker fee embedded within retail gas rate
- 2. A discrete consulting fee which Palm will invoice Customer for, and Customer will pay directly to Palm.
- e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Eric Clark Controller Eric.Clark@e2comply.com 309-678-0200 2116 W Townline Rd Peoria, IL 61615

f. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. <u>TECHNICAL FITNESS</u>

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

FOR THIS SECTION PLEASE REFER TO SUPPLEMENTAL DOCUMENT ENTITLED "SUPPLEMENTAL INFORMATION"

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.
- b. PROPOSED MARKETING METHOD (check all that apply)
 - Internal Applicant will use its own internal resources/employees for marketing
 External NGS Applicant will contract with a PUC LICENSED NGS
 Affiliate Applicant will use a NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant
 External Third-Party Applicant will contract with a NON-NGS third party nontraditional marketer and/or non-selling marketer
 - Other (Describe):
- c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

| | Yes |
|--------|-----|
| \Box | No |

If yes, will the Applicant be using verification procedures?

Yes No

If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Prospecting and Sales are led by Senior Utility Leaders. Sales collateral and tools are prepared by the operational team in PDF form so they cannot be manipulated. Broker margin is reviewed and approved by non-sales leaders, and margin levels above certain thresholds are escalated for approval by the President of the business. New marketing material is approved by the President of the business. Operative documents including Risk Management policies are adhered to by all staff. Our interest is to grow a business using the strength of our integrity and network and our reputation is very important.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Steven Moffitt President Palm Energy LLC

Resume included with application

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.



- **b. REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission:
 - Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.



c. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.



d. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



ACKNOWLEDGED

e. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.



f. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.



h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



i. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of / Pennsylvania. <u>The Commission does not accept corporate or personal checks for filing fees.</u>

PAYMENT ENCLOSED

11. AFFIDAVITS

(All affidavits must be notarized before filing.)

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

SIGNED AND NOTARIZED APPLICATION INCLUDED HEREIN

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

SIGNED AND NOTARIZED APPLICATION INCLUDED HEREIN

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

| | Erie Times- News | Harrisburg Patriot- News | Philadelphia Daily News | Pittsburgh Post- Gazette | Scranton Times- Tribune | Williamsport Sun-Gazette | Johnstown Tribune- Democrat |
|-----------------------------|------------------------|--------------------------------|----------------------------|--------------------------------|-------------------------------|-----------------------------|-----------------------------------|
| Columbia Gas | X | X | | Х | | x | Х |
| National Fuel Gas | X | | | Х | | | |
| PECO | | | x | | | | 1 |
| Peoples - Equitable | X | | | Х | | | |
| Peoples Natural Gas | X | | | X | | | Х |
| Peoples Gas Company | | | | X | | | |
| Philadelphia Gas Works | | | x | | | | |
| UGI Utilities – Gas Div. | x | x | x | x | x | x | x |
| Valley Energy | | | | | x | X | |

| Entire | | | | | | | |
|--------------|---|---|---|---|---|---|---|
| Commonwealth | X | X | Х | Х | X | Х | Х |

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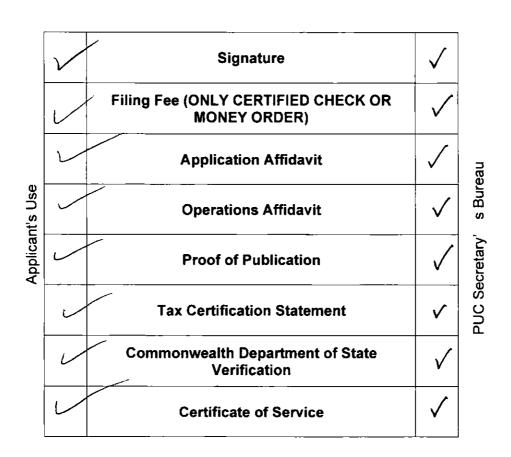
(Example Publications are provided at Appendices F and G)

13. <u>SIGNATURE</u>

| Applicant | t: Palm Energy LLC | |
|-----------|--------------------|--|
| Ву. | Turk Martit | |
| Title: | President_ | |

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.



Applicant: Palm Energy LLC

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COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

11/02/2020

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Palm Energy LLC

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Limited Liability Company so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Secretary of the Commonwealth

Certification Number: TSC201102181867-1

Verify this certificate online at http://www.corporations.pa.gov/orders/verify



Department of State

I certify the attached is a true and correct copy of Articles of Organization of PALM ENERGY LLC, a limited liability company, organized under the laws of the State of Florida, filed on February 13, 2020, effective February 12, 2020, as shown by the records of this office.

The document number of this company is L20000050509.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Sixteenth day of October, 2020

Laurel M. Lee

Secretary of State



CR2E022 (01-11)

Electronic Articles of Organization For Florida Limited Liability Company

L20000050509 FILED 8:00 AM February 13, 2020 Sec. Of State thampton

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Article I

The name of the Limited Liability Company is: PALM ENERGY LLC

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Article II

The street address of the principal office of the Limited Liability Company is: 8901 QUALITY ROAD BONITA SPRINGS, FL. US 34135

The mailing address of the Limited Liability Company is: 8901 QUALITY ROAD BONITA SPRINGS, FL. US 34135

Article III

Other provisions, if any: ANY AND ALL LAWFUL PURPOSES

Article IV

The name and Florida street address of the registered agent is:

CONA LAW PLLC 3785 AIRPORT ROAD SUITE C NAPLES, FL. 34105

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Registered Agent Signature: CHRIS CONA

Article V

The name and address of person(s) authorized to manage LLC:

L20000050509 FILED 8:00 AM February 13, 2020 Sec. Of State thampton

Ţ.

Title: MGR JAMES RICHMOND 8901 QUALITY ROAD BONITA SPRINGS, FL. 34135 US

Title: MGR JEANNE RICHMOND 8901 QUALITY ROAD BONITA SPRINGS, FL. 34135 US

Article VI

The effective date for this Limited Liability Company shall be:

02/12/2020

Signature of member or an authorized representative

Electronic Signature: CHRIS CONA

I am the member or authorized representative submitting these Articles of Organization and affirm that the facts stated herein are true. I am aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. I understand the requirement to file an annual report between January 1st and May 1st in the calendar year following formation of the LLC and every year thereafter to maintain "active" status.

PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

RETURN PER INSTRUCTIONS ON EXPEDITE FORM Foreign Registration Statement DSCB:15-412 (rev. 2/2017)

TCO201102DD0442

Read all instructions prior to completing. This form may be

Fee: \$250

7

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I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

| Business Corporation | Limited Partnership | 🔲 Business Trust |
|---------------------------|---|--------------------------|
| Nonprofit Corporation | Limited Liability (General) Partnership | Professional Association |
| Limited Liability Company | Limited Liability Limited Partnership | |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Palm Energy LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: Florida

4. The street and mailing address of the association's principal office.

| 8901 Quality Rd | Bonita Springs | FL | 34135 |
|-------------------|----------------|-------|-------|
| Number and street | City | State | Zip |

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

| 8901 Quality Rd | Bonita Springs | FL | 34135 |
|-------------------|----------------|-------|-------|
| Number and street | City | State | Zip |

RECEIVED

PA DEPT OF STATE

PA PUBLIC CONTINUESION

NOV 0 2 2020

DSCB:15-412 - 2

.

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

:

•

•

•

Complete part (a) OR (b) - not both:

| (8) | <u>. </u> | _ | | | |
|---|--|-----------------------|--|------------------|--------------------|
| Number and street | City | , OR | State | Zip | County |
| b) c/o: Capitol Corpora | | | | | Dauphin |
| Name of Commercial R | egistered Office Provider | | | | County |
| Chart and the fillent | | | | | |
| Check one of the following the solution of the second s | - | | | | |
| ` | have one or more series. | | | | |
| | nave one of more solies. | | | | |
| . Effective date of registra | tion of foreign association | n (check, and | if appropriate complete | e, one of the | following): |
| | tion Statement shall be eff | • | | | |
| The Foreign Registra | tion Statement shall be eff | fective on: | | al | |
| | | | Date (MM/DD/YYYY) | | Hour (if any) |
| | estricted professional limi ervice(s): (If this box is ch Dentistry Osteopathic medicine a Veterinary medicine | necked, one o | | ow must be Me | |
| IN TESTIMONY WHERE | | ciation has ca day | | stration Stat | ement to be signed |
| | | | Palm E | nergy Ll | .C |
| | | | Name o | of Association | · |
| | | | Luc K | MST | U |
| | | | Si | gnature Ste | even Moffitt |
| | | | Pre | esident | |
| | | | the second s | Title | |

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Appendix A

APPLICATION AFFIDAVIT

| | APPLICATION AFFIDAVIT | RECEIVED |
|-------------------------|---|--|
| [Commonwealth/State] of | Alorida : | FEB 1 0 2021 |
| County of $_$ LEE | : ss. | PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU |
| Steven Moffitt | , Affiant, being duly [sworn/affirmed] accordin | g to law, deposes and says that: |
| [He/she is thePresid | dent (Office of Affiant) of <u>Palm Energ</u> | y LLC (Name of Applicant);] |
| | | |

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein <u>Steven Moffitt</u> has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Steven Moffitt has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Steven Moffitt acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein <u>Steven Moffitt</u> acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

tur K MR nature of Affi

Sworn and subscribed before me this $5^{\pm h}$ day of 3ah vary, 2021.

Signature of official administering oath

My commission expires <u>feb 24</u>, 2023.

gina n. Hell GEORGINA M HILL Notary Public - State of Florida Commission # GG 305287 My Comm, Expires Feb 24, 2023 Bonded through National Notary Assn.

Appendix B

OPERATIONS AFFIDAVIT

RECEIVED

| FEB 1 | 0 | 2021 |
|-------|---|------|
|-------|---|------|

| [Commonwealth/State] of | florida |
|-------------------------|---------|
| | |

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

County of _____EE_____

____<u>Steven Moffitt</u>, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the <u>President</u> (Office of Affiant) of <u>Palm Energy LLC</u> (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That <u>Steven Moffitt</u>, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That <u>Steven Moffitt</u>, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That <u>Steven Moffitt</u>, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That <u>Steven Moffitt</u>, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief,

Sworn and subscribed before me this 5th day of 3anuary, 2021.

beaging m. Hell Signature of official administering oath

My commission expires <u>feb 24, 2023</u>.

eorgina m. Hell GEORGINA M HILL Notary Public - State of Florida Commission # GG 305287 My Comm. Expires Feb 24, 2023 Bonded through National Notary Assn.

| TIMES PUBLISHING COMPANY | | | |
|--|-----------------------|------------------|---------------------|
| TIMES SQUARE | BILLING PERIOD | ADV | ERTISER/CLIENT NAME |
| 205 W. 12th STREET | 1/16/2021 - 1/16/2021 | | Palm Energy LLC |
| ERIE, PENNSYLVANIA 16534-0001 | TOTAL AMOUNT DUE | UNAPPLIED AMOUNT | TERMS OF PAYMENT |
| TEL: (814) 870-1600 FAX: (814) 870-1814 INVOICE | \$0.00 | Ì | DUE UPON RECEIPT |

| PAGE #BILLING DATE INV # | BILLED ACCOUNT NAME AND ADDRESS | REMITTANCE ADDRESS |
|--------------------------|---------------------------------|--------------------------|
| 1 01/16/2021 27230 | Palm Energy LLC | TIMES PUBLISHING COMPANY |
| BILLED ACCT #.] | 8901 Quality Rd | P.O. BOX 6137 |
| 151208 | Bonita Springs, FL 34135 | ERIE, PA 16512-6137 |

Crie Times News | GOERIE ...

| · — — — — | PLEASE DETACH AND RETURN UPPER PORTION WITH YOUR REMITTANCE | | | | | | |
|-----------|---|--------|----------------------------|----------|------------|--------|---------|
| DATE | PO Number | AD# | DESCRIPTION | SIZÈ | RATE | AMOUNT | " NET/ |
| 1/13/2021 | | 423877 | Legal cr card AMEX payment | | | | -409.00 |
| 1/16/2021 | | 423877 | LEGAL / PUC Notice | 2 x 3.00 | 67.00 | 409.00 | 409.00 |
| | - | | | | | _ | |
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AMOUNT ==>

FLB 1 0 2021

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

| INVOICE NUMBER ADVERTISER INFORMATION | | | | | | | |
|---------------------------------------|-----------------------|-----------------------|------------------------|--|--|--|--|
| | BILLING PERIOD | BILLED ACCOUNT NUMBER | ADVERTISER/CLIENT NAME | | | | |
| 27230 . | 1/16/2021 - 1/16/2021 | 151208 | Palm Energy LLC | | | | |

Classifieds Work!

www.goerie.com/classifieds Call: (814) 456-7021 Classified Email: classify@timesnews.com Legals Email: legals@timesnews.com

TO ENSURE PROPER CREDIT TO YOUR ACCOUNT:

1. Allow 3 days for mail payments.

2. Make check or money order payable to TIMES PUBLISHING COMPANY DO NOT MAIL CASH

3. Make sure your name and account number appear on your check or money order.

4. Mail your payment and the stub above in the pre-addressed envelope provided.

| Questions about your bill? | (814) 870-1617 |
|-----------------------------|----------------|
| To place a classifed ad | (814) 456-7021 |
| For home newspaper delivery | (814) 453-4691 |

PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

COMBINATION EDITION

| Palm Energy LLC 8901 Quality Rd Bonita Springs FL REFERENCE: PUC Notice | 34135 151208 | 423877 | |
|---|---|--|---|
| of Publication on be whose principal place 205 W. 12th Street, publishes the Erie T 2, 2000, a daily new and published at Er (3) the subject notice published in the reg newspaper on the d Affiant further depose authorized by the TH Erie Times-News, to under oath, and affia subject matter of the advertisement, and foregoing statement character of publica |) SS: ng duly swo e is a desig ompany (Tf ehalf of the ce of busine Times-News vspaper of g ie, Erie Cou ce or adverti- pular edition late(s) refer ses that he/ PC, owner a o verify the f ant is not in e aforesaid that all alleg t as to time, tion are true | nated agent of the PC) to execute Proofs TPC; (2) the TPC, ess is at sylvania, owns and c, established October general circulation, inty Pennsylvania; isement, was (s) of said red to below. she is duly and publisher of the foregoing statement terested in the notice or gations in the place and | Paim Pennsy to prov a brok to Bu Paim E service Penele and W natura Gas C |
| PUBLISHED ON: 0 ⁻ TOTAL COST: \$409 | | AD SPACE: 0 Lines | Divisio Territo of the Electri Act. |
| FILED ON: 01/16/2 | | | of Pair of Pair this no Harris to Pair Rd., B |
| Sworn to and subscribe | d before me ti | his <u>25th</u> day of <u>Janua</u> | 2021 |

NOTARY:

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Commonwealth of Pennsylvania - Notary Seal Barbara J. Moore, Notary Public Erie County My commission expires March 23, 2024 Commission number 1114860 Member, Pennsylvania Association of Noterles

NOTICE OF APPLICATION FOR EGS AND

Palm Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide both electricity and natural gas supply services as a broker/marketer. Palm Energy will provide these services to Businesses in The Commonwealth of Pennsylvania. Palm Energy LLC proposes to broker electricity and related services in Citizens' Electric, Duquesne Light, Met-Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West Penn EDC's. Palm Energy LLC proposes to sell natural gas in Columbia, National Fuels Gas, PECO, People Natural Gas Territories, Peoples Gas Company, Philadelphia Gas Company, Philadelphia Gas Works, UGI Utilities – Gas Division, and Valley Energy LLC will operate under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation, Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Palm Energy LLC may be filed within 15 days of the date of this notice with the Secretariy of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Palm Energy's address: Palm Energy LLC, 8901 Quality Rd., Bonita Springs, FL 34135 P:(239) 319-1097 PA Media Group 1900 Patriot Dr Mechanicsburg, PA 17050



The Patriot News

PALM ENERGY 8901 QUALITY RD BONITA SPRINGS, FL 34135

AD#: 0009849951

RECEIVED FEB 1 0 2021

PA PUBLIC UTILITY LOMMISSION SECRETARY'S BUREAU

Sales Rep: Marianna Aldridge Account Number:1000930748 AD#: 0009849951

Remit Payment to: PA Media Group Dept 77571 P.O. Box 77000 Detroit, MI 48277-0571

Page 1 of 2

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| Date | Position | Description | P.O. Number | Ad Size | Costs |
|------------|----------------------|---|-------------|---------------------------------|----------|
| 01/14/2021 | Misc Legal Notice PA | NOTICE OF APPLICATION FOR EGS AND NGS BROKER LICENSE IN PA | · <u> </u> | 1 x 41 L | |
| | | | Af | fidavit Notary Fee - 01/14/2021 | \$5.00 |
| | | | | Basic Ad Charge - 01/14/2021 | \$193.87 |
| | | | | Total | \$198.87 |

FOR QUESTIONS CONCERNING THIS AFFIDAVIT, PLEASE CALL 717-255-8119



The Patriot News

LEGAL AFFIDAVIT

AD#: 0009849951

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Sheryl Leggore being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 01/14/2021

Principal Clerk of the Publisher

Sworn to and subscribed before me this 19th day of January 2021

Notary P ublic

NOTICE OF APPLICATION FOR EGS AND NGS BROKER LICENSE IN

Polm Energy LLC will be filing an opplication with the Pennsylvania Public Utility Commission ("PUC") for a license to provide both electricity and natural gas supply services as a broker/marketer. Palm Energy will provide these services to **Businesses in The Commonwealth of** Pennsylvonia, Palm Energy LLC proposes to broker electricity and proposes to broker electricity and related services in Clitzens' Electric. Duquesne Light, Met-Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West Penn EDC's. Polm Energy LLC proposes to EDC's. Palm Energy LLC proposes to sell natural gas in Columbia, National Fueis Gas, PECO, People Natural Gas Territories, Peoples Gas Company, Philadelphia Gas Company, Philadelphia Gas Works, UGI Utilities – Gas Division, and Valley Energy Natural Gas Distribution Service Territories. Palm Energy LLC will operate under the provisions of the new Natural Gos Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Polm Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Horrisburg, PA 17120. You should send copies of any protest to Palm Energy's address: Palm Energy LLC, 8901 Quality Rd., Bonita Springs, FL 34135 P:(239) 319-1097

Commonwealth of Pennsylvania - Notary Seal Crystal B. Rosensteel, Notary Public Dauphin County My commission expires June 27, 2024 Commission number 1299212 Member, Pennsylvania Association of Notaries

RECEIVED HEB 102021 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

January 14, 2021

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Sworn to and subscribed before me this 14th day of January, 2021.

Helene Seree

V. Harlun Notoe Public

My Commission Expires:

Commonwealth of Penasylvania - Notary Scel KATHERINE V. HARLEY, Notary Public Philadelphia County My Commission Expires May 25, 2821 Commission Number 1312829 RECEIVED FEB 1 0 2021 FEB 1 0 2021 PA PUBLIC UILLIN COMMISSION PUBLIC UILLIN COMMISSION PUBLIC UILLIN COMMISSION SECRETARY'S BUREAU SECRETARY'S BUREAU EGS AND NGS BROKER LICENSE IN PA Paim Enorgy LLC will be filling an application with the Pennsylvania Public Ullilly Commission ("PUC") tra a loanes to provide obtat electricity and natresse in grue Drovide theas services to Businesse in grue Drovide theas estrices to Busipennse in the provide theas estrices to Busiprover, Pike, PPL UGI theore alectricity and related the proposes to Broker alectricity est Penn EDC's. Pelm United Whiles - Compose the finatural gas in Columbia Whiles - Composes to service Territories. Pelm UGI ullillies - Composes to service Territories. Pelm Benergy LLC will operate under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical of financial fitness of Pelm Energy LLC may be field within 15 days of the date of this notice with the Secretary of the PUC, 400 North Stroel, Harrisburg, PA 17120, You should send copies to any protest to Paim Energy LLC may be field within 15 days of the date of the top thendice with the Secretary of the PUC, 400 North Stroel, Harrisburg, PA 17120, You Should send copies to any Protest to Paim Energy address: Paim Energy LLC 801 Quality Rd. Benita Springs, FL 34135 Pricably 319-1097

Copy of Notice of Publication

No.

Term.

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss , being duly sworn, deposes and says that the D. Rullo Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the editions and issues of the said Pittsburgh Post-Gazette a regular newspaper of general circulation on the following dates, viz:

15 of January, 2021

Office

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company Sworn to and subscribed before me this day of RESSIENCED January 15, 2021 alecte PA PUBLIC UTILITY CUMMISSION SECRETARY'S BUREAU Commonwealth of Pennsylvania - Notary Seal Karen Flaherty, Notary Public Allegheny County My commission expires November 16, 2024 Commission number 1386128 Member, Pennsylvania Association of Notaries STATEMENT OF ADVERTISING COSTS Palm Energy LLC 8901 Quality Rd., Attn: Steven M Bonita Springs Florida 34135 To PG Publishing Company Total -----Pittsburgh Post-Gazette. **Publisher's Receipt** PG PUBLISHING COMPANY, publisher Legal Advertising Department 412-263-1440 | legaladvertising@post-gazette.com 2201 Sweeney Drive, Clinton, PA 15026 of general circulation, hereby acknowledge publication costs and certifies that the sam Thank you for advertising with the PG Publishir Pittsburgh Post-Gazette. 2201 Sweeney Drive Pittsburgh Pc Attached is your Affidavit/Proof of CLINTON, PA 15026 Publication for your legal advertisement. By Phone 412-263-1338 Please do not process payment at this time. I hereby certify that the foregoing is the original Proof he subject matter of said notice. An invoice will be mailed separately to your

Please see reverse for deadline information.

organization from our billing department.

COPY OF NOTICE **OR PUBLICATION**

NOTICE OF APPLICATION FOR EGS AND NGS **BROKER LICENSE IN PA** Paim Energy LLC will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide both electricity and natural gas supply services as a broker/marketer. Paim Energy will provide these services to Businesses The n. Commonwealth őĺ Pennsylvania, Palm, Energy LLC proposes to broker electricity and related services in Citizens Electric, Ducuesne Light, Met-Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West Penn EDCs. Palm Energy LLC proposes to sell natural gas in Columbia, National Fuels Gas, PECO, People Natural Gas Territories, Peoples 'Gas Company, Philadelphia Gas Company, Philadelphia Gas Works, UGI Utilities - Gas Division, and Valley Energy Distribution Natura 686 Territories. Patri Service Energy LLC will operate under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Choice and Customér Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Palm Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Palm Energys address: Palm Energy LLC, 8901 Quality Rd, Bonita Springs, FL 34135 P:(239) 319-1097

The Scranton Times (Under act P.L. 877 No 160, July 9, 1976)

Commonwealth of Pennsylvania, County of Lackawanna

PALM ENERGY LLC STEVEN MOFFITT 8901 QUALITY RD BONITA SPRINGS FL 34135

RECEIVED

FEB 1 0 2021

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Account # 640130 Order # 82507508 Ad Price: 214,75

NOTICE OF APPLICATION FOR

Sharon Venturi

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

01/13/2021

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 13th day of January A.D., 2021

112ec

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Kathleen Weaver, Notary Public City of Scranton, Lackawanna County My Commission Expires June 14, 2021

NOTICE OF APPLICATION FOR EGS AND NGS BROKER LICENSE IN PA

LICENSE IN PA Paim Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide both electric-ity and natural gas supply services as a broker/marketer. Paim Energy will provide these services to Busiwill provide these services to Busi-nesses in The Commonwealth of Pennsylvania. Palm Energy LLC pro-poses to broker electricity and relat-ed services in Citizens Electric, Duquesne Light, Met-Ed, PECO, Pen-elec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West Penn EDC's. Palm Energy LLC proposes to sell natural gas in Columbia, Nation-al Fuels Gas, PECO, People Natural Gas Territories, Peoples Gas Com-pany, Philadelphia Gas Company, Philadelphia Gas Works, UGI Utili-ties - Gas Division, and Valley Ener-gy Natural Gas Distribution Service Territories. Palm Energy LLC will op-erate under the provisions of the erate under the provisions of the new Natural Gas Choice and Comnew Natural Gas Choice and Com-petition Act and the Electricity Gen-eration Customer Choice and Com-petition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Palm Energy LLC may be filed within 15 days of the date of this notice with the Secre-tary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Palm Energy's address: Palm Energy LLC, 8901 Quality Rd., Bonita Springs, FL 34135 Phone: (239) 319-1097

Advertising Receipt

The Tribune-Democrat PO Box 340

Johnstown, PA 15907-0340

Phone: 814-532-5038 Fax: 814-532-5104

PALM ENERGY LLC STEVEN MOFFITT 8901 QUALITY RD BONITA SPRINGS, FL 34135

Acct #: 11133380 Ad #: 00302801 Phone: (239)319-1097 Date: 01/07/1921 Ad taker: vo Salesperson:

Sort Line: NOTICE OF APPLICATION FOR EGS

Ad Notes: Approval Code: 108350

Classification 147

| Description | Start | Stop | Ins. | Cost/Day | Total |
|---------------------------|------------|------------|------|----------|--------|
| 01 Tribune-Democrat | 01/13/1921 | 01/13/1921 | 1 | 137.50 | 137.50 |
| CF Clerical Fee | | | | | 2.50 |
| Oath Oath form for Legals | | | | | 5.00 |

| Ad Text: | Payment Reference: | |
|---|--------------------|-----|
| NOTICE OF APPLICATION FOR | | |
| EGS AND NGS BROKER LICENSE IN PA | | |
| Palm Energy LLC will be filing an application with the Pennsylvania | | |
| Public Utility Commission ("PUC") for a license to provide both electricity | | |
| and natural gas supply services as a broker/marketer. Palm Energy will | | |
| provide these services to Businesses in The Commonwealth of | | ~~ |
| Pennsylvania. Palm Energy LLC proposes to broker electricity and | Total: 145. | 00 |
| related services in Citizens' Electric, Duquesne Light, Met-Ed, PECO, | Tax: 0. | .00 |
| Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West | Net: 145. | 00 |
| Penn EDC's. Palm Energy LLC proposes to sell natural gas in Columbia, | Prepaid: -145. | 00 |
| National Fuels Gas, PECO, People Natural Gas Territories, Peoples Gas Company, Philadelphia Gas Company, Philadelphia Gas Works, UGI | Total Due 0. | .00 |

COMMONWEALTH OF PENNSYLVANIA

County of Cambria

NOTICE OF APPLICATION FOR EGS AND NGS BROKER LICENSE IN PA Palm Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a Pennsylvania Public Utility Commission ("PUC") for a license to provide both electricity and natural gas supply services as a broker/marketer. Palm Energy will provide these services to Businesses in The Commonwealth of Pennsylvania. Palm Energy LLC proposes to broker electricity and related services in Citizens' Electric. Duquesne Light, Met-Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West Penn EDC's. Palm Energy LLC proposes to sell natural gas in Columbia, National Fuels Gas, PECO, People Natural Gas Territories, Peoples Gas Company, Philadelphia Gas Company, Philadelphia Gas Works, UGI Utilities – Gas Division, and Valley Energy Natural Gas Distribution Service Territories. Palm Energy LLC will operate under Gas Division, and Valley Energy Natural Gas Distribution Service Territories. Paim Energy LLC will operate under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial finess of Palm Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Palm Energy's address: Palm Energy LLC, 8901 Quality Rd., published

RECEIVED

FEB 1 0 2021

SS PA PUBLIC UTILITY COMMISSION

On this 20th day of January A.D. 2021, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

ity of Cambria, and Commonwealth of Pennsylvania and that the annexea is a true copy of a nonce in the above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on January 13, 2021; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on 20th day of January, 2021. by Christine Marhefka making the statement.

unan

Commonwealth of Pennsylvania - Notary Seat Vivian Ohs, Notary Public **Cambria County** My commission expires December 6, 2024 Commission number 1123017 Member, Pennsylvania Association of Notaries

| 0.00 Lines @ \$2.50 per line | 0.00 |
|-------------------------------|--------|
| 5.5 Inches @ \$25.00 per inch | 137.50 |
| Notary Fee | 5,00 |
| Clerical Fee | 2.50 |
| Total Cost | 145.00 |

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS - NEMOCRA TR. BLINE MVIAN ChS for publisher of a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid. INE-DEMOCILH (Name of Newspaper

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT

SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

<u>Robert O. Rolley, Jr.,</u> Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

anuary 15, 2021

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

NOTICE OF APPLICATION FOR EGS AND NGS BROKER LICENSE IN PA Palm Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide both electricity and natural gas supply services as a broker/marketer. Palm Energy will provide these services to Businesses in The Commonwealth of Pennsylvania. Palm Enregy LLC proposes to broker electricity and related services in Citizens Electric, Duquesne Light, Met-Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West Penn EDC's.

and West Penn EDC's. Palm Energy LLC proposes to sell natural gas in Columbia, National Fuels Gas, PECO, People Natural Gas Territories, Peoples Gas Company, Philadelphia Gas Company, Philadelphia Gas Works, UGI Utilities – Gas Division and Valley Energy

sion, and Valley Energy Natural Gas Distribution Service Territories. Palm

Energy LLC will operate

under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Palm Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Palm Energy's address: Palm Energy's A

| e foregoing statement | as to time, place and character of publication are true. |
|-----------------------|---|
| , | SUN-GAZETTE/LLC |
| ; | Sworn to and subscribed before me The 15 th day of January 20 21 |
| S | Commonwealth of Pennsylvania - Notary Seal BETH A MILLER - Notary Public Lycoming County My Commission Expires Jun 4, 2024 |
| | Commission Number 1297751 . STATEMENT OF ADVERTISING COSTS To the Sun-Gazette LLC. Dr.: |
| | For publishing the notice attached |
| | Hereto on the above state dates\$ 231.04 |
| | |

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid



SUN-GAZETTE LLC

ED BY <u>Robert O. Rolley. Jr.</u>

FEB 1 0 2021

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE TEMPLATE

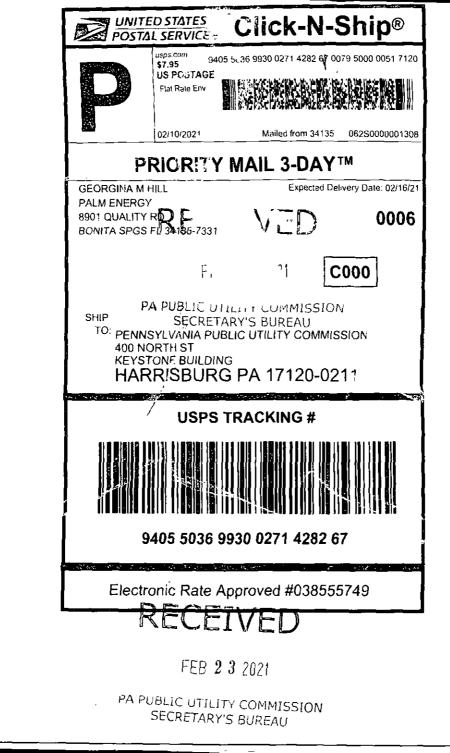
On this the <u>28th</u>_day of <u>January</u>___2021_, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all <u>NON-CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

| Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120 | Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120 |
|---|---|
| Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101 | Department of Revenue Bureau of Compliance PO Box 281230 Harrisburg, PA 17128-1230 |
| Columbia Gas of PA, Inc.RECEIVEMichele Caddell290 W. Nationwide Blvd.FEB 1 0 2021Columbus, OH 43215FEB 1 0 2021PH: 614.460.6841PA PUBLIC UTILITY COMMFAX: 614.460.8447SECRETARY'S BUREe-mail: mcaddell@nisource.comFEB 1 0 2021 | Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West ISSION Harrisburg, PA 17120 |
| Peoples Natural Gas Company LLC – Equitable | National Fuel Gas Distribution Corp. |
| Division | Joanne E. Maciok |
| Carol Scanlon | 6363 Main Street |
| 375 North Shore Drive | Williamsville, NY 14221 |
| Pittsburgh, PA 15212 | PH: 716.857.7670 |
| PH: 412.208.6931 | FAX: 716.857.7479 |
| FAX: 412.208.6577 | e-mail: <u>maciokj@natfuel.com</u> |
| e-mail: <u>Carol.Scanlon@peoples-gas.com</u> | PECO |
| Peoples Natural Gas Company LLC Carol Scanlon | Carlos Thillet, Manager, Gas Supply and |
| 375 North Shore Drive | Transportation |
| Pittsburgh, PA 15212 | 2301 Market Street, S9-2 |
| PH: 412.208.6931 | Philadelphia, PA 19103 |
| FAX: 412.208.6577 | PH: 215.841.6452 |
| e-mail: <u>Carol.Scanlon@peoples-gas.com</u> | Email: <u>carlos.thillet@exeloncorp.com</u> |
| Peoples Gas Company LLC | Philadelphia Gas Works |
| Carol Scanlon | Ryan Reeves, Director Supply Transportation |
| 375 North Shore Drive | & Control |
| Pittsburgh, PA 15212 | 800 West Montgomery Avenue |
| PH: 412.208.6931 | Philadelphia, PA 19122 |
| FAX: 412.208.6577 | PH: 215.787.5103 |
| e-mail: Carol.Scanlon@peoples-gas.com | email: pgwchoicesupply@pgworks.com |

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| Valley Energy Inc. | UGI Utilities, Inc. – Gas Division |
|----------------------------------|------------------------------------|
| Ed Rogers | Sherry Epler |
| 523 South Keystone Avenue | 1 UGI Drive |
| Sayre, PA 18840-0340 | Denver, PA 17517 |
| PH: 570.888-9664 | PH: 610.796.3447 |
| FAX: 570.888.6199 | Email: sepler@ugi.com |
| email: erogers@ctenterprises.org | |

-DocuSigned by: Steven: Moffitt, President – Palm Energy LLC



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