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April 6, 2021

***VIA ELECTRONIC FILING***

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street – Second Floor North  
Harrisburg, PA 17120

RE: Audubon Water Company; Docket No. R-2020-3020919; **AUDUBON WATER COMPANY'S COMPLIANCE TARIFF**

Dear Secretary Chiavetta:

Enclosed for filing with the Pennsylvania Public Utility Commission is Audubon Water Company's compliance tariff, Audubon Supplement No. 5 to Tariff Water Pa. PUC No. 4. The rates contained in Supplement No. 5 were agreed to by the parties to the above-captioned proceedings, set forth in a Joint Petition for Full Settlement of Rate Proceeding (Joint Petition) and approved by the Commission by Order entered on March 11, 2021.

The Phase One rates contained in the attached Tariff Supplement are permitted by the Joint Petition and the Commission's Order to become effective for service provided on or after April 19, 2021. Phase Two rates may go into effect no earlier than April 19, 2022.

Thank you for your attention to this matter. If you have any questions, please feel free to contact me at (717) 236-1300.

Very truly yours,

*/s/ Bryce R. Beard*

Thomas J. Sniscak  
Whitney E. Snyder  
Bryce R. Beard

*Counsel for Audubon Water Company*

BRB/das

Enclosures

cc: Per Certificate of Service (Letter and Certificate of Service only)

**CERTIFICATE OF SERVICE**

I hereby certify that I have this day served a true copy of the forgoing document upon the parties, listed below, in accordance with the requirements of § 1.54 (relating to service by a party).

**VIA ELECTRONIC MAIL ONLY**

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/s/ Bryce R. Beard  
Thomas J. Sniscak, Esq.  
Whitney E. Snyder, Esq.  
Bryce R. Beard, Esq.

Dated: April 6, 2021

**AUDUBON WATER COMPANY  
AUDUBON, PENNSYLVANIA**

**RATES, RULES AND REGULATIONS  
GOVERNING THE DISTRIBUTION OF WATER  
IN  
PORTIONS OF LOWER PROVIDENCE TOWNSHIP  
AND ADJACENT AREAS  
MONTGOMERY COUNTY, PENNSYLVANIA**

By: Martha M. Russell, President  
Audubon Water Company  
Valley Forge Corporate Center  
2650 Eisenhower Avenue  
P.O. Box 7337  
Audubon, PA 19407

**NOTICE**

Filed in compliance with the Order of Pennsylvania Public Utility Commission, entered on March 11, 2021 at Docket No. R-2020-3020919. This tariff makes increases and changes in existing rates, rules, and regulations.

**LIST OF CHANGES MADE BY THIS SUPPLEMENT**

**Increases:**

(C)

Supplement No. 5 to Tariff Water – Pa. PUC No. 4 increases rates for all water customers under a settlement agreement authorized and approved by the Commission. The increase in rates and resultant change in annual operating revenue is intended to produce an additional \$703,000 per year under a two-step approach: step-1 a \$503,000 annual revenue increase effective on April 19, 2021; and, step-2 a \$200,000 annual revenue increase effective on April 19, 2022.

**Changes:**

The following changes have been incorporated into Supplement No. 5 to Tariff Water – Pa. PUC No. 4 for consistency with the Commission’s June 1, 2019 changes to 52 Pa. Code Chapter 56:

- Third Revised Page 10: Updated definition of Applicant
- Third Revised Page 11: Updated definition of Customer
- Third Revised Page 21: Updated payment “arrangement” terminology
- Third Revised Page 23: Updated Medical Certification requirements
- Second Revised Page 23A: Updated Restoration of Service provision
- Third Revised Page 29: Updated Residential Customer Deposition Rules
  - Updated payment “arrangement” terminology
  - Updated definition of Customer Deposit Refunds and Interest

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**TABLE OF CONTENTS**

TITLE PAGE .....Supplement No. 5 (C)

LIST OF CHANGES ..... Third Revised Page No. 2 (C)  
Second Revised Page No. 2A (C)

TABLE OF CONTENTS..... Third Revised Page No. 3 (C)  
Third Revised Page No. 4 (C)  
Original Page No. 4A

**PART 1 - SCHEDULE OF RATES AND CHARGES**

Section A - Rates for Metered Service ..... Third Revised Page No. 5 (C)  
Second Revised Page No. 5A (C)

Section B - Temporary Rates/Water for Building Purposes..... Third Revised Page No. 6 (C)

Section C - Public Fire Protection Rates ..... Third Revised Page No. 6 (C)

Section D - Private Fire Protection Rates ..... Second Revised Page No. 6A (C)

Section E – Metered Fire Protection Service for  
Residential Structures... .....First Revised Page No. 7

Section F - State Tax Surcharge Applicable to All Rates.....First Revised Page No. 8

Section G - Returned Check Charge.....First Revised Page No. 8

Section H - Service Termination or Resumption Rates .....First Revised Page No. 8

Section I - Meter Test Rates .....First Revised Page No. 9

Section J - New Service Application Charge .....First Revised Page No. 9

Section K - Water Certification Letter Charge .....First Revised Page No. 9

Section J - Fire Flow Testing Charge .....First Revised Page No. 9

**TABLE OF CONTENTS** (Continued)

PART II - DEFINITIONS .....	Third Revised Page No. 10	(C)
	Third Revised Page No. 11	(C)
	First Revised Page No. 12	
	First Revised Page No. 13	
PART III - RULES AND REGULATIONS		
Section A - Applications for Service .....	First Revised Page No. 14	
	First Revised Page No. 15	
Section B - Construction and Maintenance of Facilities .....	First Revised Page No. 15	
	First Revised Page No. 16	
	First Revised Page No. 17	
	First Revised Page No. 18	
	First Revised Page No. 19	
Section C - Discontinuance, Termination and Restoration		
Restoration of Service.....	First Revised Page No. 20	
	Third Revised Page No. 21	(C)
	First Revised Page No. 22	
	Third Revised Page No. 23	(C)
	Second Revised Page No. 23A	(C)
Section D - Meters .....	First Revised Page No. 24	
	First Revised Page No. 25	
	First Revised Page No. 26	
Section E - Billing and Collection .....	First Revised Page No. 27	
	First Revised Page No. 28	
Section F - Deposits.....	Third Revised Page No. 29	(C)
	First Revised Page No. 30	
Section G - Line Extensions .....	First Revised Page No. 31	
	First Revised Page No. 32	
	First Revised Page No. 33	
	First Revised Page No. 34	

**PART I: SCHEDULE OF RATES AND CHARGES**

Section A: Rates for Metered Service

1. Application: To all metered customers.
2. Consumption Charges: All water used in any billing period will be charged at the following rates:

<u>All Residential Volumes</u>	<u>Effective</u> <u>April 19, 2021</u>	<u>Effective</u> <u>April 19, 2022</u>	
Rate Per 1,000 Gallons	\$8.0323	\$8.6197	(I)
<u>All Remaining Customers – Gallons Per Month</u>	<u>Effective</u> <u>April 19, 2021</u>	<u>Effective</u> <u>April 19, 2022</u>	
First 100,000 Gallons/Month	\$8.0323	\$8.6197	(I)
Over 100,000 Gallons/Month	\$5.3281	\$5.7229	(I)

(I) Indicates Increase



3. Customer Charge: In addition to the consumption charge, each customer will be assessed a customer service charge based upon the size of the customer's meter as follows:

<u>Meter Size</u>	<u>Effective April 19, 2021</u>		<u>Effective April 19, 2022</u>		
	<u>Per Month</u>	<u>Per Quarter</u>	<u>Per Month</u>	<u>Per Quarter</u>	
5/8 inch	\$ 15.60	\$ 46.80	\$ 16.80	\$ 50.40	(I)
3/4 inch	\$ 15.60	\$ 46.80	\$ 16.80	\$ 50.40	(I)
1 inch	\$ 39.80	\$ 119.40	\$ 42.80	\$ 128.40	(I)
1 1/2 inch	\$ 67.80		\$ 72.90		(I)
2 inch	\$ 108.40		\$ 116.40		(I)
3 inch	\$ 203.60		\$ 218.60		(I)
4 inch	\$ 338.80		\$ 363.90		(I)
6 inch	\$ 677.60		\$ 727.80		(I)
8 inch	\$1,084.20		\$1,164.60		(I)
10 inch	\$1,313.30		\$1,410.60		(I)
12 inch	\$1,800.00		\$1,935.00		(I)

(I) Indicates Increase

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Section B: Temporary Rates/Water for Building Purposes

The following rates are for the use of water for building purposes and construction in connection therewith, and the water shall not be used for any other purpose.

	<u>Effective</u> <u>April 19, 2021</u>	<u>Effective</u> <u>April 19, 2022</u>	
<u>Single Family Dwelling</u>	\$159.13 per month.	\$170.92 per month.	(I)

All other construction shall receive building water through metered service connection, and payment shall be made in accordance with the schedule of rates for metered service.

Section C: Public Fire Protection Rates

1. Application: To Lower Providence Township.

2. Rate:

	<u>Effective</u> <u>April 19, 2021</u>	<u>Effective</u> <u>April 19, 2022</u>	
Annual charge per hydrant.	\$288.00	\$308.40	(I)
Or a monthly charge per hydrant.	\$ 24.00	\$ 25.70	(I)

(I) Indicates Increase

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Section D: Private Fire Protection Rates

1. Application: To all private fire protection service.
2. Rate: A customer charge for private fire protection service will be assessed as follows:

<u>Size of Connection</u>	<u>Effective April 19, 2021</u>		<u>Effective April 19, 2022</u>		
	<u>Per Month</u>	<u>Per Annum</u>	<u>Per Month</u>	<u>Per Annum</u>	
<u>With Main</u>					
1 inch	\$ 28.75	\$ 345.00	\$ 30.80	\$ 369.60	(I)
2 inch	\$ 45.50	\$ 546.00	\$ 48.80	\$ 585.60	(I)
3 inch	\$ 52.50	\$ 630.00	\$ 56.50	\$ 678.00	(I)
4 inch	\$ 64.50	\$ 774.00	\$ 69.40	\$ 832.80	(I)
6 inch	\$ 95.50	\$ 1,146.00	\$ 102.75	\$ 1,233.00	(I)
8 inch	\$ 155.50	\$ 1,866.00	\$ 167.00	\$ 2,004.00	(I)
10 inch	\$ 212.75	\$ 2,553.00	\$ 228.60	\$ 2,743.20	(I)
Hydrants	\$ 95.50	\$ 1,146.00	\$ 102.75	\$ 1,233.00	(I)

In the event of fire or testing, consumption charges shall be billed in accordance with the rates for water consumption.

(I) Indicates Increase

**PART II: DEFINITIONS**

The following words and phrases, when used in this Tariff, shall have the meanings assigned below unless the context clearly indicates otherwise:

1. Annual Line Extension Costs (For Line Extension Purposes): The sum of the Company's additional annual operating and maintenance costs, debt costs and depreciation charges associated with the construction, operation and maintenance of the line extension.
2. Annual Revenue (For Line Extension Purposes): The Company's expected additional annual revenue from the line extension based on the Company's currently effective tariff rates and on the average annual usage of customers similar in nature and size to the bona fide service applicant.
3. Applicant: A person or entity who applies to become a customer of the Company in accordance with the provisions of this Tariff. The applicant must be the owner of the premises to which water service is requested. The term does not include a person who within 30 days after service termination or discontinuance of service, seeks to have service reconnected at the same location or transferred to another location within the service territory of the public utility. (C)  
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(C)
4. Bona Fide Service Applicant (For Line Extension Purposes): A person or entity applying for water service to an existing or proposed structure within the Company's certificated service territory for which a valid occupancy or building permit has been issued if the structure is either a primary residence of the applicant or a place of business. An applicant shall not be deemed a bona fide service applicant if:
  - (a) The applicant is requesting water service to a building lot, subdivision or a secondary residence;
  - (b) The request for service is part of a plan for the development of a residential dwelling or subdivision; or
  - (c) The applicant is requesting special utility service.
5. Commission: The Pennsylvania Public Utility Commission.
6. Company: Audubon Water Company, Valley Forge Corporate Center, 2650 Eisenhower Avenue, P.O. Box 7337, Audubon, PA 19407.

(C) Indicates Change

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7. Company Service Line: The water line from the distribution facilities of the Company which connects to the customer service line at the hypothetical or actual property line, including the control valve and valve box. The control valve and valve box determine the terminal point for the Company's responsibility for the street service connection.

8. Cross-Connection: Any pipe, valve, hose or other arrangement or device connecting the pipelines or facilities of the Company, to and with other pipes or fixtures by which any contamination might be admitted or drawn into the distribution system of the Company from lines other than the Company's. All business customers shall install backflow protection devices in accordance with the Company's Backflow Prevention Program set forth in Part VI of this Tariff. All new customers or customers whose residential structure(s) are undergoing construction or reconstruction shall include a backflow protection device that is in compliance with the Backflow Prevention Program. The Company may require existing residential structures to comply with the Backflow Prevention Program to prevent any leakage into the Company's distribution system if, in the Company's sole discretion, such protection is required for protection of the Company's distribution system.

9. Customer: A person or entity who is the owner of the premises to which water service is requested. The term includes a person who, within 30 days after service termination or discontinuance of service, seeks to have service reconnected at the same location or transferred to another location within the service territory of the public utility. (C)  
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(C)

10. Customer Service Line: The water line extending from the curb, property line or utility connection to a point of consumption.

11. Debt Costs (For Line Extension Purposes): The Company's additional annual cost of debt associated with financing the line extension investment based on the current debt ratio and weighted long-term debt cost rate for the Company or that of a comparable jurisdictional water utility.

(C) Indicates Change

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(c) The Company will notify a customer and terminate service after giving notice as required, for any of the following actions by the customer:

- (i) Non-payment of an undisputed delinquent account;
- (ii) Failure to comply with the material terms of a payment arrangement; (C)
- (iii) Failure to complete payment of a deposit, provide a guarantee of payment or establish credit;
- (iv) Failure to permit access to the property served for purposes of installing, inspecting, reading, maintaining or removing meters or other facilities or equipment owned by the Company that is used to provide water service;
- (v) Willful or negligent waste of water through improper or imperfect pipes or fixtures, or for failure to repair leaks in pipes or fixtures;
- (vi) Installing or maintaining cross-connections or any unauthorized connection;
- (vii) Receipt by the Company of an order or notice from the Department of Environmental Protection, a health agency, local plumbing inspector or other similar authority, to terminate service to the property served on the grounds of violation of any law or ordinance, or upon notice to the Company from any such authority that it has ordered an existing violation on the property to be corrected and that such order has not been complied with;
- (viii) Making an application for service that contains material misrepresentations;
- (ix) Tampering with any service line, valve, curb stop, meter or meter setting or other Company owned facilities or equipment used to provide service;
- (x) Theft of service, which may include taking service without having made a proper application for service as required by this Tariff; or
- (xi) Material violation of any provisions of this Tariff.

(C) Indicates Change

(ii) Upon termination, the Company will make a good faith attempt to provide a post-termination notice to the customer or a responsible person at the affected premises. In the case of a single meter, multi-unit dwelling, the Company will conspicuously post the notice at the dwelling, including in the common areas when possible.

(f) Timing of Termination: The Company may terminate service for the reasons set forth in this Tariff or in the Commission’s regulations from Monday through Thursday. The Company will not terminate service on a Friday, Saturday, Sunday or holiday, unless necessary due to an emergency or to prevent a danger to a person or the integrity of the Company’s facilities.

(g) Medical Certification: The Company will not terminate service to a premises when a licensed physician or nurse practitioner has certified that the customer or a member of the customer’s household is seriously ill or afflicted with a medical condition that which requires the continuation of service to treat the medical condition. The customer must provide the Company with a letter from a licensed physician nurse practitioner or physician assistant verifying the medical condition. The medical certification procedure will be implemented in accordance with Commission regulations. (C)  
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(C)

(h) Dishonorable Tender of Payment After Receiving Termination Notice: After the Company has provided the required written and telephone contact, service may be terminated without additional notice if a customer:

- (i) Tenders payment that is subsequently dishonored; or
- (ii) Tenders payment with an access device that is unauthorized, revoked or canceled.

(C) Indicates Change

4. Payment to Restore Service:

(a) The Company may require a customer whose service was shut off for nonpayment of outstanding bills to pay the full amount owed before water service will be restored to the customer’s premises. The Company also may require the customer to pay a reconnect fee and/or a deposit in addition to the amount of the outstanding charges.

(b) Whenever service is discontinued or terminated pursuant to the provisions of this Tariff, service shall be turned on by the Company only upon the payment by the customer of a turn-on charge and the resolution of the problem that gave rise to the termination of service.

(c) The Company may immediately terminate service if a payment for reconnection of service is subsequently dishonored, revoked, canceled or otherwise not authorized and which has not been cured or otherwise paid in full within 3 business days of the Company providing notice to the customer of the dishonored payment. (C)  
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(C)

(C) Indicates Change



Section F: Deposits1. Residential Customers:

- (a) New Applicants: The Company will provide service without requiring a deposit unless the applicant was terminated for non-payment of an undisputed delinquent account or was terminated for failure to comply with the material terms of a payment arrangement, or has an unpaid balance for prior service from the Company. The amount of the deposit will not be greater than an estimated average bill for one (1) billing period plus the estimated bill for one (1) additional month's service. Such deposit shall be payable during a 90-day period consistent with Commission regulations. The Company is not required to provide service if the application fails to pay the full amount of the cash deposition with the time periods set forth in 52 Pa. Code § 56.38. (C)
- (b) Existing Customers: If a customer has paid late on two (2) consecutive occasions or a total of three (3) times within the prior 12-month period, the Company may send a letter informing the customer that a deposit may be required if another late payment is received within the next twelve (12) months. An existing customer may be required to pay a deposit as a condition to having service restored after termination for non-payment or for failure to comply with a payment arrangement. The amount of the deposit will not be greater than an estimated average bill for one (1) billing period plus the estimated bill for one (1) additional month's service. (C)
- (c) Deposit Refunds and Interest: A deposit will be refunded if service is discontinued and the final bill is paid, or if the customer has paid the bills for the prior 12-month period without having been late on more than two (2) occasions and is not currently delinquent. Deposits from residential customers shall bear simple interest at the simple annual interest rate determined by the Secretary of Revenue for interest on the underpayment of tax under section 806 of The Fiscal Code (72 P.S. § 806), payable annually without deductions for taxes thereon unless otherwise required by law. The applicable interest rate shall become effective on January 1 of each year. (C)

(C) Indicates Change