

 **AMBITENERGY**  
6555 Sierra Drive  
Irving, TX 75039

RECEIVED

MAR 5 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

February 26, 2021

**Sent Via FedEx**

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Commonwealth Keystone Building, 2<sup>nd</sup> Floor  
Harrisburg, PA 17120

**Re: Compliance Filing, 5% Financial Security Renewal for Ambit Northeast, LLC  
Docket No. A-2010-2190276**

Dear Secretary Chiavetta,

Pursuant to the Pennsylvania Public Utility Commission's Order entered on September 2018, in Docket No. P-2017-2608078, The Public Utility Commission's new process for a 5% Financial Security Reduction Renewal for Electric Generation Suppliers. Ambit Northeast, LLC hereby respectfully requests that its bonding level of 5% be renewed.

Ambit Northeast, LLC respectfully requests that the Commission grant Confidential Treatment to the attached documents. It is Ambit Northeast, LLC's position that the information contained in these documents is of a proprietary and/or confidential nature. Ambit Northeast, LLC respectfully requests that the Commission treat these documents as confidential, place them under a seal, and prevent public disclosure as stated in Pennsylvania Code Title 52 §5.365.

If you have any questions or concerns in regard to this submission, please do not hesitate to contact me at (214) 812-2134 or at [Dorian.Moore@Vistracorp.com](mailto:Dorian.Moore@Vistracorp.com) and [RCF@vistracorp.com](mailto:RCF@vistracorp.com).

Respectfully,



Dorian Moore  
Manager, Retail Compliance  
Vistra Corp.

Enclosures

Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)

REDACTED



Wednesday, January 06, 2021

Attention: Dorian Moore

RECEIVED

MAR 5 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RE:

Ambit Northeast, LLC  
6555 Sierra Drive  
Irving, TX 75039

Federal EIN: [REDACTED]  
Social Security Number:  
Business Partner Number: [REDACTED]

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of Ambit Northeast, LLC are current. All returns have been filed with payment as of Wednesday, January 06, 2021. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.', written in a cursive style.

Ernard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division

• Payment Successfully Submitted.

REDACTED

# REV-423 Specialty Tax Estimated Payment

Business Name			Revenue ID	Federal Employer Identification Number
AMBIT NORTHEAST LLC			[REDACTED]	[REDACTED]
Period Start Date	Period End Date	Period Due Date	Effective Date	Time Filed
1/1/2020	12/31/2020	3/16/2020	03/16/2020	[REDACTED]

Tax Type	Payment Amount
Gross Receipts - Electric, Hydro-Electric, & Water Power	[REDACTED]

Filed By	Transaction ID	Tax Return Status
[REDACTED]	[REDACTED]	[REDACTED]

Payment Method: ACH Debit (EFT) Payment Through e-TIDES

[Return](#)



REDACTED

Financial Security- Gross Receipts Calculations  
Ambit Northeast, LLC

Ambit Northeast, LLC					
5% Gross Receipt Calculations					
Q1 (2020)	Q2 (2020)	Q3 (2020)	Q4 (2020)	Total	*5% of Total
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Bond Amount      \$      [REDACTED]

2/11/2021

Docket No. A-2010-2190276



# PENNSYLVANIA ALTERNATIVE ENERGY CREDIT PROGRAM

*Via Email to: Ambit Northeast, LLC (dorian.moore@vistraenergy.com,  
Dorian.Moore@vistracorp.com)*

10 September, 2020

Dear Pennsylvania Electric Supplier:

This letter is to inform you that Ambit Northeast, LLC has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2020.

If you have any questions regarding this letter please contact InClime, the Pennsylvania AEPS Administrator, at [customerservice@pennaeps.com](mailto:customerservice@pennaeps.com) or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team

**From:** [PC.PUCAssessments](#)  
**To:** RCF; [PC.PUCAssessments](#)  
**Cc:** [Moore, Dorian](#)  
**Subject:** RE: [External] Petition for Financial Security Reduction-Assistance Required  
**Date:** Wednesday, March 3, 2021 10:32:05 AM

---

**EXTERNAL EMAIL**

---

Good morning,

We've reviewed the PUC #1112504 AMBIT NORTHEAST LLC account and there are no delinquent balances.

Thank you

**Rob Eichelberger**  
**Fiscal Management Specialist 1**  
**Public Utility Commission**  
**Bureau of Admin Services- Fiscal Division**  
**717-783-9860**

**From:** RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Sent:** Friday, February 26, 2021 6:28 PM  
**To:** PC,PUCAssessments <[RA-PCPUCASSESSMENTS@pa.gov](mailto:RA-PCPUCASSESSMENTS@pa.gov)>  
**Cc:** Moore, Dorian <[Dorian.Moore@vistracorp.com](mailto:Dorian.Moore@vistracorp.com)>; RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Subject:** [External] Petition for Financial Security Reduction-Assistance Required

***ATTENTION:** This email message is from an external sender. Do not open links or attachments from unknown sources. To report suspicious email, forward the message as an attachment to [CWOPA\\_SPAM@pa.gov](mailto:CWOPA_SPAM@pa.gov).*

Good Evening,

Ambit Northeast, LLC (Docket No.: A-2010-2190276) is a licensed EGS and is petitioning the Pennsylvania Public Utilities Commission ("Commission") to renew its current financial security amount at 5% of its most recent 12 months (or 4 quarters) of gross receipts. We must provide evidence that we are compliant with the Commission's required annual fees and supplemental annual fees and we have been directed to you for support via the attached document.

Can you please provide us with the required information prior to March 3, 2021 as this will allow us enough time to submit our petition at least 90 days prior to our current financial security expiration/anniversary date .

Feel free to reach out with any questions or concerns regarding this request to [RCF@vistracorp.com](mailto:RCF@vistracorp.com) or [Dorian.Moore@vistracorp.com](mailto:Dorian.Moore@vistracorp.com)

Respectfully,

**Taylor Overly**  
Retail Compliance Analyst-Legal  
Vistra Corp.

**CERTIFICATE OF SERVICE**

On this the 24 day of February 2020 I certify that a true and correct copy of the foregoing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a CD-ROM, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17101

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading, PA 19612

  
Dorian Moore, Manager, Retail Compliance  
Ambit Northeast, LLC.

**RECEIVED**

MAR 5 2021

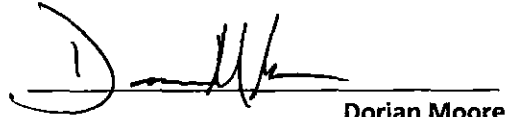
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



**CERTIFICATE OF SERVICE**

I, Dorian Moore, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Date: February 26, 2021



**Dorian Moore**  
**Manager, Retail Compliance**  
**Ambit Northeast, LLC**

RECEIVED

MAR 5 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

ORIGIN ID: DALA (214) 812-2134  
DORIAN MOORE  
VISTRA ENERGY  
6555 SIERRA DRIVE

IRVING, TX 75039  
UNITED STATES US

SHIP DATE: 05MAR21  
ACTWGT: 1.00 LB  
CAD: 101778781/NET4340

BILL SENDER

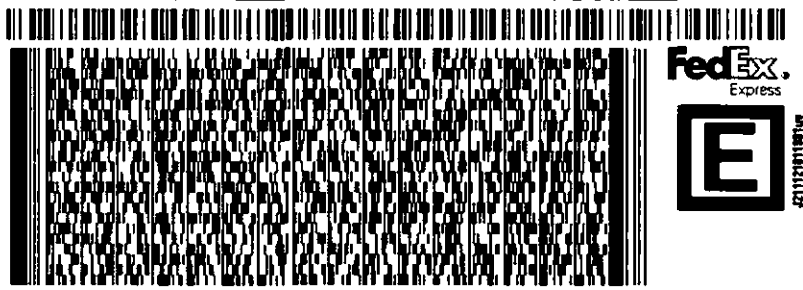
TO ROSEMARY CHIAVETTA, SECRETARY  
PA PUBLIC UTILITIES COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET, 2ND FLR ROOM-N201  
HARRISBURG PA 17105

56DUBJAC39JFE4A

(717) 772-7777  
INV  
PO

REF: S07

DEPT



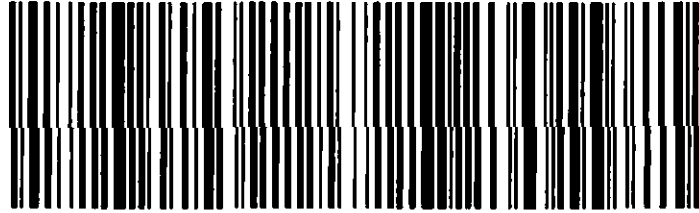
MON - 08 MAR 4:30P

STANDARD OVERNIGHT

TRK# 7730 7862 2297  
0201

SH MDTA

17105  
PA-US MDT



**After printing this label:**

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

**Warning:** Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on [fedex.com](http://fedex.com). FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.