

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Excelsior Gas and Electric LLC, d/b/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

Excelsior Gas and Electric LLC
30 N Gould St Ste R
Sheridan, WY, 82801
excelsiorgasandelectric.com
(832) 786-1496

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Alexis Annechino
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 786-1496

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Christopher O'Brien
Chief Operating Officer
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 779-3040
chris@excelsiorgasandelectric.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

The applicant, Excelsior Gas and Electric LLC, is not using an attorney.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Alexis Annechino
Chief Executive Officer
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 786-1496
alexis@excelsiorgasandelectric.com

Alternate:
Christopher O'Brien
Chief Operating Officer
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Pittsburgh, PA, 15201
(832) 779-3040
chris@excelsiorgasandelectric.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as (“d/b/a”)

Provide a copy of the Applicant’s filing with Pennsylvania’s Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant’s charter documentation.
- * If a corporate partner in the Applicant’s domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant’s Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

See Attachment 1

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

Wyoming - See Attachment 2

- Give name and address of officers.

Alexis Annechino
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3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Excelsior Gas and Electric LLC does not have any affiliates doing business nor any currently applying to do business.

- b. PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Excelsior Gas and Electric LLC does not have any predecessors that have done business.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple):*

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Excelsior Gas and Electric LLC will serve as an energy broker and help our customers choose the best energy rates and terms to meet their needs. We will do this by offering: relationships with multiple top-tier suppliers; expert understanding of products and services provided by those suppliers; expert understanding of those suppliers' contracts; expert understanding of utility tariffs and price-to-compare; expert knowledge of electricity futures markets, weather forecasts and other factors that impact electricity contracts; ability to consult on the best product to meet customer needs; ability to negotiate with suppliers in order to get the best possible rates and terms for the chosen product.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY **(CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

April 1st, 2021

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Excelsior Gas and Electric LLC has no proceedings to list.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

Excelsior Gas and Electric LLC has no actions or complaints to list.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

Certificate of Service is Attachment 3

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

See attachment 4

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

See Attachment 5

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Excelsior Gas and Electric LLC will collect fees from suppliers in return for bringing customers to them. We will let each supplier set the method for which payment will be sent. We will not collect any fees from our clients directly.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Christopher O'Brien
Chief Operating Officer
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 779-3040
chris@excelsiorgasandelectric.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

See attachment 6

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

See attachment 7

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Excelsior Gas and Electric LLC will use primarily internal marketing- where the Co-Owners directly create all promotions to meet a high ethical standard. We may also employ affiliate marketing with trusted and established organizations. All affiliate marketing will be reviewed and approved by the co-owners to ensure it meets our ethical standards.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Excelsior Gas and Electric LLC's chief officers are:

Alexis Annechino	Christopher O'Brien
Chief Executive Officer	Chief Operating Officer

See Attachment 8 for officer resumes.

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See Attachment 9

- b. OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

See Attachment 10

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

For Notarized Proofs of Publication see attachment 11

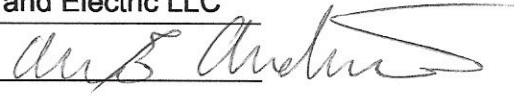
	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices K and L)

13. SIGNATURE

Applicant: Excelsior Gas and Electric LLC

By: Alexis Annechino



Title: Chief Executive Officer

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.


Applicant: Excelsior Gas and Electric LLC

✓	Signature	
✓	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
✓	Application Affidavit	
✓	Operations Affidavit	
✓	Proof of Publication	
✓	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
✓	Tax Certification Statement	
✓	Commonwealth Department of State Verification	
✓	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

**PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

<p>Document will be returned to the name and address entered below.</p> <p>Alexis Annechino</p> <hr/> <p>Name 159 1/2 38TH ST,</p> <hr/> <p>Address Pittsburgh PA 15201</p> <hr/> <p>City State Zip Code</p>	<p>Foreign Registration Statement DSCB: 15-412 (rev. 2/2017)</p>  <p>412</p>
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Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$250.00

I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- Business Corporation Limited Partnership Business Trust
 Nonprofit Corporation Limited Liability (General) Partnership Professional Association
 Limited Liability Company Limited Liability Limited Partnership

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Excelsior Gas and Electric LLC

2A. If the name in 2 does not contain a required designator *or* if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation:

WY

4. The street and mailing address of the association's principal office.

30 N Gould St, Ste R Sheridan WY 82801

Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

159 1/2 38th St, Pittsburgh PA 15201

Number and street City State Zip

5. The (a) address of the association's registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

Complete part (a) **OR** (b) – not both:

(a)	159 1/2 38TH ST,	Pittsburgh	PA	15201	Allegheny
	Number and street	City	State	Zip	County

OR

(b) c/o: _____
 Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: _____ at _____
 Date (MM/DD/YYYY) Hour (if any)

8. To be completed by **Limited Liability Companies only**. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
- The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

<input type="checkbox"/> Chiropractic	<input type="checkbox"/> Dentistry	<input type="checkbox"/> Law	<input type="checkbox"/> Medicine and surgery
<input type="checkbox"/> Optometry	<input type="checkbox"/> Osteopathic medicine and surgery	<input type="checkbox"/> Podiatric medicine	<input type="checkbox"/> Public accounting
<input type="checkbox"/> Psychology	<input type="checkbox"/> Veterinary medicine		

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 9th day of March, 2021

 Excelsior Gas and Electric LLC

 Name of Association
 Alexis Annechino

 Signature
 Chief Executive Officer

 Title



Wyoming Secretary of State
Herschler Bldg East, Ste.100 & 101
Cheyenne, WY 82002-0020
Ph. 307-777-7311

For Office Use Only
WY Secretary of State
FILED: Feb 23 2021 2:55PM
Original ID: 2021-000983282

Limited Liability Company
Articles of Organization

- I. The name of the limited liability company is: Excelsior Gas and Electric LLC
II. The name and physical address of the registered agent of the limited liability company is: Registered Agents Inc. 30 N Gould St Ste R Sheridan, WY 82801
III. The mailing address of the limited liability company is: 30 N Gould St Ste R Sheridan, WY 82801
IV. The principal office address of the limited liability company is: 30 N Gould St Ste R Sheridan, WY 82801
V. The organizer of the limited liability company is: Registered Agents Inc. 30 N Gould St Ste R Sheridan, WY 82801

Signature: Riley Park Date: 02/23/2021
Print Name: Riley Park
Title: Authorized Individual
Email: reports@registeredagentsinc.com
Daytime Phone #: (307) 200-2803

STATE OF WYOMING
Office of the Secretary of State

I, EDWARD A. BUCHANAN, Secretary of State of the State of Wyoming, do hereby certify that the filing requirements for the issuance of this certificate have been fulfilled.

CERTIFICATE OF ORGANIZATION

Excelsior Gas and Electric LLC

I have affixed hereto the Great Seal of the State of Wyoming and duly executed this official certificate at Cheyenne, Wyoming on this 23rd day of February, 2021 at 2:55 PM.

Remainder intentionally left blank.



Filed Date: 02/23/2021

A handwritten signature in black ink that reads "Edward A. Buchanan".

Secretary of State

Filed Online By:

Riley Park

on 02/23/2021

CERTIFICATE OF SERVICE

On this the 1ST day of APRIL 2021, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901


Alexis Annechino, CEO Excelsior Gas and Electric LLC

Attachment 4

Bonding:

Excelsior Gas and Electric LLC will not take title to electricity or gas and will not pay electricity or gas bills on behalf of our customers. The original Surety bond in the amount of \$10,000 has been mailed to the Secretary of the Pennsylvania Public Utility Commission.

Attachment 5

Financial Records, Statements and Ratings:

The following documents are confidential and thus have been redacted. Complete versions of these confidential documents have been mailed to the Secretary of the Pennsylvania Public Utility Commission

Credit Score & Analysis

Based on your most recent credit report 03/10/2021

Your TransUnion Credit Score

Based on VantageScore® 3.0 [View FAQ](#)



Access to your scores will expire 04/09/2021

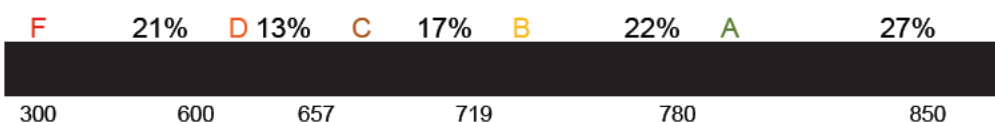
You can view your score here until the expiration date noted above, or until you buy a new score. If you want to keep a copy of this score, you can

Note: The score shown here is from 03/10/2021 Your Score may change daily as lenders provide new information to Transunion about your credit activity.

To see if your score has changed, [request a new credit report](#).

Where You Stand Nationally ⓘ

Your credit ranks higher than  of the nation's population.



Score Factors ⓘ

[Redacted]

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What you need to know:

There are various types of credit scores, and lenders use a variety of different types of credit scores to make lending decisions. Like other credit scores offered to consumers, the credit score you receive on this site may not be the same score used by lenders or other businesses for credit decisions. Only your lender can determine which credit score it may use to determine your credit eligibility.

Price: Credit score price is \$0.99 (plus tax where applicable).

Credit Scores:

If you purchase or select a product that contains a credit score, the score provided to you is the VantageScore® 3.0 Credit Score. Lenders may use credit scores to help determine whether or not you are a good candidate for a loan and what interest rate you will pay. However, each lender has specific underwriting standards, so you should not assume that you will receive the same evaluation from each lender or that the score you receive is the same score used by a lender. The information contained in your report reflects the latest information provided to TransUnion. If the information is not included in the most recent credit report, it will not be reflected in your credit score. Also, disputed items are not incorporated in the assessment of your credit score. TransUnion, LLC is not connected in any way with Fair Isaac and Company, and; the credit scores you receive here is not a FICO® score.

Excelsior Gas and Electric LLC

Balance Sheet as of March 4, 2021

*company formed on February 23, 2021

Assets

Current assets

Cash

Accounts receivable

Inventory

Total current assets

Long-term assets

Machinery

Equipment

Total long-term assets

Total Assets

Liabilities

Current liabilities

Accounts payable

Current portion-long term debt

Total current liabilities

Long-term liabilities

Bank loan

Total long-term liabilities

Total Liabilities

Owner's Equity

Owner's capital

Retained earnings

Total Owner's Equity

Total Liabilities and Owner's Equity

Attachment 6 (Redacted)

COMMONWEALTH OF
PENNSYLVANIA
PUBLIC UTILITY COMMISSION

TAX CERTIFICATION
STATEMENT

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME
Excelsior Gas and Electric LLC

2. BUSINESS PHONE NO. (832) 786-1496
CONTACT PERSON(S) FOR TAX ACCOUNTS:
Christopher O'Brien

3. TRADE/FICTITIOUS NAME (IF ANY)

4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE
(ZIP)
82801 30 N Gould St Ste R Sheridan WY

5. TYPE OF ENTITY SOLE PROPRIETOR PARTNERSHIP CORPORATION LLC
 OTHER (Describe...)

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)

NAME (PRINT)	SOCIAL SECURITY NUMBER - For Sole Proprietor with NO EIN ONLY
Alexis Annechino	_____ - _____ - _____
Christopher O'Brien	

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A,B, & C MUST BE COMPLETED)

Applicant must provide explanation if submitting N/A for any items

Item A - Designated by the Pennsylvania Department of Revenue.

Item B - Designated by the Internal Revenue Service.

Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

<p>A. SALES TAX LICENSE (8 DIGITS)</p> <p>_____ - _____ - _____</p> <p>APPLICATION PENDING <input type="checkbox"/> N/A <input checked="" type="checkbox"/></p>	<p>C. CORPORATE BOX No. (7 DIGITS)</p> <p>_____ - _____ - _____</p> <p>APPLICATION PENDING <input type="checkbox"/></p>
<p>B. EMPLOYER ID (EIN) (9 DIGITS)</p> <p>_____ - _____ - _____</p> <p>APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/></p>	<p>-OR- REVENUE ID NUMBER (10 DIGITS)</p> <p>9 9 9 9 9 9 9 9 9 9</p>

8. Do you have PA employees; resident or non-resident? YES NO

9. Do you own any assets or have an office in PA? YES NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS

Christopher O'Brien PA SALES AND USE TAX PHONE (832) 779-3040	Christopher O'Brien EMPLOYER TAXES PHONE (832) 779-3040	Christopher O'Brien CORPORATE TAXES PHONE (832) 779-3040
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Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 787-1064, TDD# (800) 447-3020 (Hearing Impaired Only)

Attachment 6 Cont.

Tax Certification Statement:

Additional Explanation

7A. N/A was entered because we are not required to get a sales tax license. We will earn money through referrals and not through the sale of any property.

7C. Revenue ID number of 9999999999 was entered upon the advice of the PA Department of Revenue. We do not have a Revenue ID number because we are an LLC with wife and husband as the sole owners. We do not have any additional employees and will file taxes through our personal income tax filing.

Experience:

Excelsior Gas and Electric LLC is a brand new company and therefore has no experience providing power broker services to date. However, co-owner and COO Christopher O'Brien has been in the Retail Energy Industry for approximately 7 years. He worked as a pricing analyst for an electricity and natural gas brokerage (EMEX) for about 3 years and spent another 4+ years working as a pricing/supply analyst for an electricity supplier (Entrust Energy). He has worked with customers of all types and sizes from residential to governmental and from under 10 MWh to over 30,000 MWh in annual usage. He has worked in ERCOT, PJM, NYISO and MISO. He is very familiar with the products being offered by suppliers in these markets and how they are priced so that he can assist clients in finding the best offering for their needs. He also has experience reading utility tariffs, calculating a customer's price-to-compare, and reading supplier contracts.

Staffing:

Excelsior Gas and Electric LLC is co-owned by Alexis Annechino and Christopher O'Brien. We do not currently have any other employees and we do not currently have any plans to hire any new employees. We are committed to staying up to date on PA laws and regulations pertaining to our business and if we ever do hire additional employees, we will make sure that they are fully trained on these rules before they have any customer contact.

Our customers will hold their contracts directly with already registered and recognized energy suppliers. We will review these suppliers' business practices before we recommend them to any of our customers. Additionally, we will walk our customers through their contract before they sign and answer any questions they may have. We are committed to treating our customers properly. We take any customer concerns very seriously. Any customer complaint will be addressed by a company officer with the utmost urgency. We will involve our supplier representative immediately if they are needed to address the inquiry/complaint.

Business Operations:

Due to the COVID-19 pandemic we will begin by contacting customers strictly virtually via phone, email and video conferencing. When it is safe to do so we will add in-person. We will generate leads in a variety of ways which may include personal/business connections and networking, partnering with business organizations, cold-calling, and purchasing lead-lists. We will be focusing mostly on small and medium sized commercial customers but will also consult for large commercial and residential customers.

Alexis R Annechino

alexis@excelsiorgasandelectric.com | linkedin.com/in/alexisannechino

EDUCATION

Bachelor of Science, Business Administration, Dec 2013
State University of New York at Geneseo
4-year degree completed in 2.5 years — GPA 3.15

Coursework in Marketing; Accounting; Micro and Macro Economics; Statistics for Economics; Quantitative Methods; Productions of Management; Supply Chain Management; and Financial and Managerial Accounting

WORK EXPERIENCE

Co-Owner/CEO

Excelsior Gas and Electric — Energy Brokerage

February 2021 - Present
Pittsburgh, PA

Key Responsibilities:

- Forecast financial future. • Monitor and allocate company resources for limited risk and maximum impact.
- Establish and maintain supplier and customer relationships. • Manage day-to-day operations.
- Build and maintain WordPress website. • Maintain regulatory schedule to remain in good standing.

Founder/ CEO

Orcaam – Consulting & Freelance LLC

August 2019 - Present
Pittsburgh, PA

Key Responsibilities:

- Work closely with previous employer Black Gold 38 and their clients. • Implement backend organizational systems.
- Communications and public relations support and troubleshooter. • Web design & development.

Real Estate Specialist

Aires – Relocation Management Company

May 2017 – August 2019
Pittsburgh, PA

Key Responsibilities:

- Worked with clients across the U.S. to connect them with real estate agents at both origin and destination.
- Forged new partnerships to expand broker network and maintained strong relationships with existing for retention.
- Generated over \$1.5M in yearly profit with real estate sales.
- Analyzed large data sets in Excel and WEBI to determine likelihood of engagement and usefulness of services.

Customer Service & Inside Sales

Hoover Container Solutions — Containment Solutions Manufacturer

June 2014 – December 2016
Houston, TX/Pittsburgh, PA

Key Responsibilities:

- Led customers from quote inception through point of delivery to meet their needs and solve problems.
- Acquired over 125 new customers and retained existing customers by building strong working relationships.
- Analyzed sales goals, customer incidents, returns, and revenue to create reports presented to management.
- Worked with our production and accounting teams to monitor order progress and proper payment.
- Used Microsoft Navigation database along with Oracle, Salesforce, and Salesforce Desk.com.

Operations, Sales, and Marketing

Black Gold 38 — Oil and Gas Exploration and Production, Consulting

May - August 2013 & January – May 2014
Houston, TX

Key Responsibilities:

- Created investor proposals garnering \$5M investment. • Launched the import of wellhead equipment from Asia.
- Researched land prospects to determine likelihood of oil discovery using exploration websites, geological maps, historical data, seismic data, and several other sources. • Selected vendors and made purchasing decisions

Warehouse & Inventory Specialist

LiDestri Spirits – Food & Beverage Manufacturer

Summer 2012 & Winter 2013
Rochester, NY

Key Responsibilities:

- Led mid-year and year-end inventory checks for alcoholic goods compliance with NY State law.
 - Compiled extensive inventory reports with analysis of gains & losses, inventory levels, and year over year numbers.
 - Performed regular cycle counts and reconciliation and updated inventory in Oracle-based database software.
 - Filled in as shipping & receiving clerk when needed – ensured paperwork, picking, and packing guidelines were met.
-

COMPUTER SKILLS

Adobe Suite, Facebook, Google Analytics, Google Docs, Instagram, Microsoft Navigation, Microsoft Suite (Expert in Excel, Word, Powerpoint), Minitab 16, Oracle (incl. JD Edwards), Prezi, Salesforce, Salesforce Desk.com, Skype, SPSS, Tumblr, Twitter, WEBI, WordPress, Weebly, Wikis, Zoom and beginner HTML & CSS.

Christopher M. O'Brien

159 ½ 38th St PGH, PA, 15201 · (832) 779-3040

chris@excelsiorgasandelectric.com · [linkedin.com/in/cmo2148](https://www.linkedin.com/in/cmo2148)

A devoted entrepreneur with an analytic brain, I am passionate about solving problems and diligent about exceeding goals.
I am a supportive leader and an empathetic collaborator.

EXPERIENCE

MARCH 2021 – CURRENT

CHIEF OPERATING OFFICER/CO-OWNER, EXCELSIOR GAS AND ELECTRIC

Build systems and processes for pricing, contracting and regulatory compliance of a new energy broker

Manage day-to-day operations of the pricing, sales, customer service, finance and supplier relations

Be a direct contact for clients or potential clients who have questions about their electricity and natural gas bills

Write company blog posts about the changes and trends in the energy industry to help clients stay informed

FEBRUARY 2017 – MARCH 2021

PRICING ANALYST, ENTRUST ENERGY

Lead and train pricing analysts to ensure that they are properly prepared to meet company goals and deadlines.

Collaborate with sales team to ensure pricing and products are competitive and meet customer requirements.

Develop new Excel models to improve the performance of myself and the pricing team.

Periodically reassess process flow to minimize errors and improve pricing efficiency.

JUNE 2014- OCTOBER 2016

ENERGY PRICING ANALYST, ENERGY MARKET EXCHANGE (EMEX)

Analyze pricing based on market trends and predictions to ensure competitiveness.

Use statistics and historical data to predict market movement and future prices.

Learn in a fast-paced work environment to stay ahead of a continuously changing market.

COMPUTER SKILLS

- Microsoft Office suite including an **advanced knowledge of Excel**
- **Salesforce**
- Confident in my ability to quickly and independently learn new programs
- **SQL**
- **SAS**
- **Minitab**

EDUCATION

B.S. APPLIED STATISTICS, ECONOMICS MINOR, ROCHESTER INSTITUTE OF TECHNOLOGY

Relevant Coursework:

Statistical Computing

Accounting

Sampling Techniques

Quality Control

Natural Resource Economics

Financial Management

APPLICATION AFFIDAVIT

[Commonwealth/State] of Pennsylvania :

: **SS.**

County of Allegheny :

Alexis Annechino, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Chief Executive Officer (Office of Affiant) of Excelsior Gas and Electric LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Excelsior Gas and Electric LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Excelsior Gas and Electric LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Excelsior Gas and Electric LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Excelsior Gas and Electric LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.


Signature of Affiant

Sworn and subscribed before me this 15 day of MARCH, 2021.


Signature of official administering oath

My commission expires May 6, 2023.

Commonwealth of Pennsylvania - Notary Seal
Jennifer D. Bachowski, Notary Public
Allegheny County
My commission expires May 6, 2023
Commission number 1351495
Member, Pennsylvania Association of Notaries

OPERATIONS AFFIDAVIT (Continued)

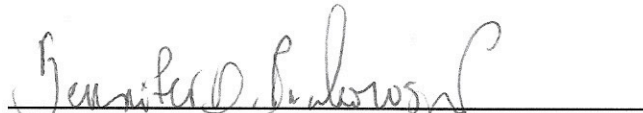
That Excelsior Gas and Electric LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.


Signature of Affiant

Sworn and subscribed before me this 15TH day of MARCH, 2021.


Signature of official administering oath

My commission expires May 6, 2023

Commonwealth of Pennsylvania - Notary Seal
Jennifer D. Bachowski, Notary Public
Allegheny County
My commission expires May 6, 2023
Commission number 1351495
Member, Pennsylvania Association of Notaries

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Excelsior Gas and Electric LLC
159 1/2 38th Street
Pittsburgh PA 15201

REFERENCE: 151422 428738
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Lorri Stefanelli, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 03/18/21

TOTAL COST: \$543.00 AD SPACE: 0 Lines

FILED ON: 03/18/21

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Excelsior Gas and Electric LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Excelsior Gas and Electric LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Excelsior Gas and Electric LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Excelsior Gas and Electric LLC proposes to sell electricity, natural gas, and related services in the entire commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Excelsior Gas and Electric LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Excelsior Gas and Electric LLC at the address listed below.

Excelsior Gas and Electric LLC
159 1/2 38th St.
Pittsburgh, PA, 15201
(832) 786-1496

EP-428738

Sworn to and subscribed before me this 19th day of March 2021

Affiant: Lorri Stefanelli

NOTARY: Barbara J Moore

Commonwealth of Pennsylvania - Notary Seal
Barbara J. Moore, Notary Public
Erie County
My commission expires March 23, 2024
Commission number 1114860
Member, Pennsylvania Association of Notaries

COMMONWEALTH OF PENNSYLVANIA } SS
County of Cambria

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION NOTICE**
*Applications of Excelsior Gas and Electric LLC For
Approval To Offer, Render, or Furnish Services as a
Marketer/Broker Engaged In The Business Of Supplying
Natural Gas Supply Services and Electricity Supply or
Electric Generation Services, To The Public In The
Commonwealth Of Pennsylvania.*

Excelsior Gas and Electric LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Excelsior Gas and Electric LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Excelsior Gas and Electric LLC proposes to sell electricity, natural gas, and related services in the entire commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Excelsior Gas and Electric LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Excelsior Gas and Electric LLC at the address listed below.

Excelsior Gas and Electric LLC
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 786-1496

*published
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of said pi*

*On this 23rd day of March A.D.
2021, before me, the subscriber, a
Notary Public in and for said
County and State, personally
appeared Christine Marhefka, who
being duly sworn according to law,
deposes and says as Sales Manager /
Major Accounts of the Tribune-
Democrat, Johnstown, PA, a
newspaper of general circulation as
defined by the "Newspaper
Advertising Act", a merger
September 8, 1952, of the Johnstown
Tribune, established
December 7, 1853; and of the
Johnstown Democrat, established
March 5, 1863,*

*of Cambria, and Commonwealth of Pennsylvania and
matter published in said publication in the regular issues
on March 17, 2021; and that the Affiant is not interested
that all of the allegations as to time, place and character*

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
23rd day of March, 2021,
by Christine Marhefka making the statement.

[Signature]

0.00 Lines @ \$2.50 per line	0.00
6.75 Inches @ \$25.00 per inch	168.75
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	176.25

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2024
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

The Philadelphia Inquirer

801 MARKET STREET, SUITE 300, PHILADELPHIA, PA 19107

Affidavit of Publication

On Behalf of:

EXCELSIOR GAS & ELECTRIC

159 1/2 38TH ST

PITTSBURGH, PA 15201

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA:

Before the undersigned authority personally appeared the undersigned who, on oath represented a and say: that I am an employee of The Philadelphia Inquirer, LLC, and am authorized to make this affidavit of publication, and being duly sworn, I depose and say:

1. The Philadelphia Inquirer, LLC is the publisher of the Philadelphia Daily News, with its headquarters at 801 Market Street, Suite 300, Philadelphia, Pennsylvania 19107.
2. The Philadelphia Daily News is an edition of The Philadelphia Inquirer. The Philadelphia Daily News is continuously published and distributed Sunday-Friday in the City of Philadelphia, count and state aforesaid.
3. The printed notice or publication attached hereto set forth on attached hereto was published in all regular print editions of the Philadelphia Daily News on

Legal Notices

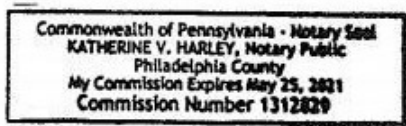
as published in Daily News Legals in the issue(s) of:

3/17/2021

4. Under oath, I state that the following is true and correct, and that neither I nor The Philadelphia Inquirer, LLC have any interest in the subject matter of the aforesaid notice or advertisement.

Notary Public

My Commission Expires:



COPY OF ADVERTISEMENT

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of **Excelsior Gas and Electric LLC** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Excelsior Gas and Electric LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Excelsior Gas and Electric LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Excelsior Gas and Electric LLC** proposes to sell electricity, natural gas, and related services in the entire commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Excelsior Gas and Electric LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Excelsior Gas and Electric LLC** at the address listed below.

Excelsior Gas and Electric LLC
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 786-1496

Ad No: 60421

Customer No: 109136

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss D. Rullo, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:


18 of March, 2021

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:
March 18, 2021



Commonwealth of Pennsylvania - Notary Seal
Patricia A. Haluka, Notary Public
Allegheny County
My commission expires December 4, 2024
Commission number 1387348
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

Christopher O'Brien
159 1/2 38TH ST
Pittsburgh Pennsylvania 15201

To PG Publishing Company

Total ----- \$377.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By _____

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

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Excelsior Gas and Electric LLC
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 786-1496

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

EXCELSIOR GAS & ELECTRIC
CHRISTOPHER O'BRIEN
159 1/2 38TH ST PITTSBURGH PA 15201

Account # 640769
Order # 82523818
Ad Price: 251.15

LEGAL NOTICE PENNSYLVANIA

Sharon Venturi

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

03/16/2021

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Sharon Venturi

Sworn and subscribed to before me
this 15th day of March A.D., 2021

Kathleen Weaver
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Kathleen Weaver, Notary Public
Lackawanna County
My commission expires June 14, 2025
Commission number 1314506
Member, Pennsylvania Association of Notaries

LEGAL NOTICE
PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
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Excelsior Gas and Electric LLC
159 1/2 38th St.
Pittsburgh, PA 15201
(832) 786-1496



AD#: 0009913341

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Sheryl Leggore being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 03/16/2021

Sheryl M Leggore
Principal Clerk of the Publisher

Sworn to and subscribed before me this 17th day of March 2021

Commonwealth of Pennsylvania - Notary Seal
Crystal B. Rosensteei, Notary Public
Dauphin County
My commission expires June 27, 2024
Commission number 1299212
Member, Pennsylvania Association of Notaries

Crystal B Rosensteei
Notary Public

**PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE**

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Excelsior Gas and Electric LLC
159 1/2 38th st
Pittsburgh, PA, 15201
(832) 786-1496

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT

SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

March 19, 2021

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

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Excelsior Gas and Electric LLC 159 1/2 38th St Pittsburgh, PA, 15201 (832) 786-1496

[Signature] SUN-GAZETTE LLC

Sworn to and subscribed before me The 22nd day of March 20 21

[Signature] Notary Public

Commonwealth of Pennsylvania - Notary Seal BETH A MILLER - Notary Public Lycoming County My Commission Expires Jun 4, 2024 Commission Number 1297751

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.: For publishing the notice attached Hereto on the above state dates.....\$ 267.08 Probated same.....\$ Total.....\$ 267.08

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC BY Robert O. Rolley, Jr.