

Sarah C. Stoner
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sstoner@eckertseamans.com

March 12, 2021

Via FedEx Standard Overnight

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor, Room-N201
Harrisburg, PA 17120

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

RE: Annual Compliance Filing of Direct Energy Business, LLC for Continuation of 5% Reduction in Security Requirements; Docket No. A-110025; Utility Code 110025

Dear Secretary Chiavetta:

Direct Energy Business, LLC ("Direct Energy") received authority to reduce its security with the Pennsylvania Public Utility Commission from 10% to 5% of Direct Energy's most recent twelve months of revenue, pursuant to the Secretarial Letter dated October 5, 2015. The Commission granted Direct Energy continuations of that 5% reduction in security requirements in 2016, 2017, 2018, 2019 and 2020. Direct Energy's current financial security reduction is effective until June 17, 2021. Direct Energy files this Annual Compliance Filing to renew its security at the reduced level of 5% based on its most recent twelve months of revenue.

Direct Energy provides the following information and documentation in support of its Annual Compliance Filing:

- 1) A spreadsheet of Direct Energy's gross receipts in Pennsylvania for the most-recent 12 months (February 2020 through January 2021) attached hereto as Appendix A (**CONFIDENTIAL**);
- 2) A copy of Direct Energy's confirmation from the Pennsylvania Department of Revenue that it has prepaid gross receipts, attached as Appendix B (**CONFIDENTIAL**);
- 3) A letter from the AEPS Administrator confirming Direct Energy's compliance with AEPS requirements attached hereto as Appendix C (**CONFIDENTIAL**); and
- 4) Direct Energy is compliant with the Commission's annual and supplemental fee requirements.

To satisfy the financial security requirements, Direct Energy will submit an original bond to the Commission.

Direct Energy requested a Tax Status Letter from the Pennsylvania Department of Revenue to evidence that it is current with its tax liabilities to the Commonwealth. Upon receipt of the Tax Status Letter, Direct Energy will supplement this Annual Compliance Filing.

The Direct Energy contact person for this Annual Compliance Filing is as follows:

Andy Beauchamp
Regulatory Licensing and Reporting
12 Greenway Plaza, Suite 250
Houston, TX 77046
Tel. 713.877.3925
Email: Andy.Beauchamp@directenergy.com

Enclosed please find a verification attesting to the truthfulness of facts set forth in Direct Energy's request. Copies of this Annual Compliance Filing to be served in accordance with the attached Certificate of Service.

Please be advised that the confidential attachments to this filing are being provided to the Commission under seal and it is requested that they be maintained in a non-public folder.

Should you have any questions or concerns regarding this Annual Compliance Filing, please feel free to contact me.

Sincerely,

Sarah C. Stoner

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Enclosures

cc: Stephen Jakab, Bureau of Technical Utility Services (with appendices)
Certificate of Service (without appendices)

**Appendix A
Confidential**

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**Appendix B
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**Appendix C
Confidential**

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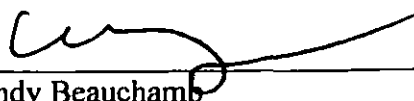
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VERIFICATION

I, Andy Beauchamp, state that I am a Regulatory Licensing and Reporting Analyst for Direct Energy. I hereby verify that I am authorized to and do make this Verification for Direct Energy Business, LLC, and that the facts set forth in the foregoing **Annual Compliance Filing** are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at any hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Dated: 3/12/21



Andy Beauchamp
Regulatory Licensing & Reporting Analyst
Direct Energy

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CERTIFICATE OF SERVICE

I hereby certify that this day I served a copy of Direct Energy Business, LLC's Annual Compliance Filing upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54.

Via First Class Mail

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17101-1923

Office of Attorney General
Bureau of Consumer Protection
14th Floor, Strawberry Square
Harrisburg, PA 17120

Office of Small Business Advocate
Forum Place
555 Walnut Street, 1st Floor
Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Legal Department
Attn: Kimberly A. Klock
PPL
Two North Ninth Street
Allentown, PA 18108-1179

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, PA 19612-2677

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Pike County Light & Power Company
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Dated: March 12, 2021

Sarah C. Stoner

Sarah C. Stoner, Esq.

ORIGIN ID:MDTA (717) 237-6000
SARAH STONER ESQ.
ECKERT SEAMANS CHERIN & MELLOTT
213 MARKET ST
8TH FLOOR
HARRISBURG, PA 17101
UNITED STATES US

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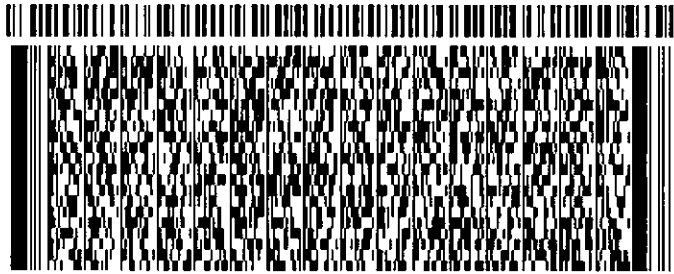
TO ROSEMARY CHIAVETTA, SECRETARY
PUC HARRISBURG
2 FILE ROOM 400 NORTH ST
ROOM 201N
HARRISBURG PA 17120

(717) 772-7777

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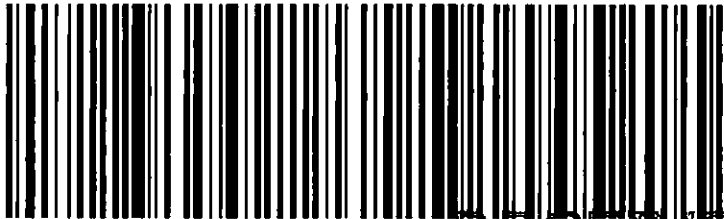
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