



SmartEnergy Holdings LLC  
400 Madison Avenue Suite 9A  
New York, New York 10017  
[www.smartenergy.com](http://www.smartenergy.com)

April 07, 2021

Secretary Chiavetta  
Pennsylvania Public Utility Commission Commonwealth  
Keystone Building  
400 North Street  
Harrisburg, PA 17120

Re: Docket No. A-2014-2416214: PA Load Serving Entity Compliance Report for  
SmartEnergy Holdings, LLC.

Dear Secretary Chiavetta,

Please find enclosed SmartEnergy Holdings, LLC's ("SmartEnergy") PA Load Serving Entity  
Compliance Report.

If you have any questions or concerns, please do not hesitate to contact us.

Sincerely,

A handwritten signature in blue ink, appearing to read "AMT".

Ann Marie Toss  
Chief Compliance Officer  
SmartEnergy Holdings LLC  
400 Madison Avenue Suite 9A  
New York, New York 10017  
[regulatory@smartenergy.com](mailto:regulatory@smartenergy.com)

## LOAD SERVING ENTITY COMPLIANCE REQUIREMENT FORM

On an annual basis, EGSs providing retail electric supply service (i.e. take title to electricity) must file with the Commission this Load Serving Entity (LSE) Compliance Requirement Form. EGSs are directed to attach to this form documentation which provides the following:

- Proof of registration as a PJM LSE, or
- Proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

Examples of sufficient documentation to satisfy this compliance requirement include, but may not be limited to:

- A screen print showing that the EGS is listed as a Party to an effective version of the PJM Reliability Assurance Agreement (RAA), located at Schedule 17 of the RAA.
- Correspondence, such as an e-mail screen print or regular mail scan, from PJM verifying membership as an LSE.
- Documentation of an effective contract between the EGS and another party that serves as the LSE on behalf of the EGS. In such a case, the documentation must also include proof that the party fulfilling the LSE role is indeed registered with the PJM as an LSE

EGSs may mark all or portions of their filing confidential.

EGSs which do not provide retail electric supply service, such as brokers, are not required to file an LSE Compliance Requirement Form.

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The EGS provides retail electric supply service and has attached compliance with the LSE requirement hereto.

*Description of attachment (provide a brief description of the attachment below):*

The documents enclosed confirm that SmartEnergy Holdings, LLC is a PJM member and has been since March 6, 2013.



PJM Interconnection  
Valley Forge Corporate Center  
955 Jefferson Avenue  
Norristown, PA 19403-2497

Audrey D. Williams  
Paralegal, Contract Administrator  
610.666.4651 | fax 610.666.8211  
willia@pjm.com

July 22, 2013

VIA EMAIL

Mr. Daniel Kern  
SmartEnergy Holdings, LLC  
575 Lexington Avenue  
New York, NY 10022

Dear Mr. Kern:

SmartEnergy Holdings, LLC became a PJM Member on March 6, 2013 and is known on the PJM system by its short name of "SMARTE", Org. ID 27, 018.

SmartEnergy Holdings, LLC signing the PJM Reliability Assurance Agreement on July 19, 2013.

Should you require anything further, please let us know.

Sincerely,

A handwritten signature in blue ink that reads 'Audrey D. Williams'. The signature is fluid and cursive, with a large loop at the end.

Audrey D. Williams  
Paralegal / Contract Administrator

- Who We Are
- Member Services
  - Membership Enrollment
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## Member List

PJM Membership as of April 19, 2021

1022 PJM Members  
 14 Ex Officio  
 Total = 1036

Reset

Current Members		Pending Members	
Company	Parent Company	Sector	Member Type
SmartEnergy Holdings, LL	<input type="text" value="Search"/>	All	All
SmartEnergy Holdings, LLC	Not applicable	Other Supplier	Voting Member

Total: 1