



April 15, 2021

A-2017-2626376

Delivery via email  
Hon. Rosemary Chiavetta  
Secretary  
Pennsylvania Public Utility Commission ("PUC")  
PO Box 3265  
Harrisburg, PA 17105

**RE: 2020 Load Serving Entity Compliance Requirement Form; New Wave Energy Corporation**

Dear Secretary Chiavetta:

In accordance with Pennsylvania PUC requirements, New Wave Energy is submitting the required Load Serving Entity Compliance Requirement Form for 2020

RECEIVED

APR 29 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Sincerely,

A handwritten signature in black ink, appearing to read "James Schuster".

James Schuster  
Director of Operations  
New Wave Energy Corporation  
Ph. 716-560-4993  
Fx. 866-316-9366  
[jschuster@nwaveenergy.com](mailto:jschuster@nwaveenergy.com)  
[www.nwaveenergy.com](http://www.nwaveenergy.com)



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## LOAD SERVING ENTITY COMPLIANCE REQUIREMENT FORM

On an annual basis, EGSs providing retail electric supply service (i.e. take title to electricity) must file with the Commission this Load Serving Entity (LSE) Compliance Requirement Form. EGSs are directed to attach to this form documentation which provides the following:

- Proof of registration as a PJM LSE, or
- Proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

Examples of sufficient documentation to satisfy this compliance requirement include, but may not be limited to:

- A screen print showing that the EGS is listed as a Party to an effective version of the PJM Reliability Assurance Agreement (RAA), located at Schedule 17 of the RAA.
- Correspondence, such as an e-mail screen print or regular mail scan, from PJM verifying membership as an LSE.
- Documentation of an effective contract between the EGS and another party that serves as the LSE on behalf of the EGS. In such a case, the documentation must also include proof that the party fulfilling the LSE role is indeed registered with the PJM as an LSE

EGSs may mark all or portions of their filing confidential.

EGSs which do not provide retail electric supply service, such as brokers, are not required to file an LSE Compliance Requirement Form.

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The EGS provides retail electric supply service and has attached compliance with the LSE requirement hereto.

*Description of attachment (provide a brief description of the attachment below):*

The image shows a screenshot of an email and a large 'RECEIVED' stamp. The email header is from Kathleen A. Kallman, PJM, to Jim Schuster. The subject is 'Confirmation of Member Status - New Wave Energy Corp'. The email body contains a confirmation letter dated April 19, 2021, stating that New Wave Energy Corp is a PJM Member and is current on its billing and settlement obligations. The 'RECEIVED' stamp is dated APR 29 2021 and is from the PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU.

Confirmation of Member Status - New Wave Energy Corp

PJM Kathleen A. Kallman, PJM <Kallman.Pat@pjm.com>  
To: Jim Schuster  
Cc: [redacted]  
From: [redacted]  
Sent: Thursday, April 22, 2021, 2:01 PM  
Subject: Confirmation of Member Status - New Wave Energy Corp

Dear Jim,

As requested, I am writing to confirm that, according to our records, New Wave Energy Corp is a PJM Member as of today's date, April 19, 2021. New Wave Energy Corp is also current on its billing and settlement obligations and has satisfied the minimum credit and/or collateral requirements to participate in the PJM Markets.

Sincerely,

Kathleen PJM  
Sr. Collateral Analyst

(610) 600-4884 | [kallman.pat@pjm.com](mailto:kallman.pat@pjm.com) | [www.pjm.com](http://www.pjm.com)  
PJM Interconnection | 2700 Monroe Blvd. | Audubon, PA 19403

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**NEW WAVE**  
Energy Corporation

**NEW WAVE ENERGY**

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APR 29 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Pennsylvania Public Utility Commission  
ATTN: Secretary Rosemary Chiavetta  
PO Box 3205  
Harrisburg, PA 17105

RIDE THE WAVE | [info@nwaveenergy.com](mailto:info@nwaveenergy.com) | [www.nwaveenergy.com](http://www.nwaveenergy.com)

17105-320565

