

April 15, 2021

A-2017-2626376

Delivery via email Hon. Rosemary Chiavetta Secretary Pennsylvania Public Utility Commission ("PUC") PO Box 3265 Harrisburg, PA 17105

RE: 2020 Load Serving Entity Compliance Requirement Form; New Wave Energy Corporation

Dear Secretary Chiavetta:

In accordance with Pennsylvania PUC requirements, New Wave Energy is submitting the required Load Serving Entity Compliance Requirement Form for 2020



APR **2 9** 2021

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Sincerely,

James Schuster Director of Operations New Wave Energy Corporation Ph. 716-560-4993 Fx. 866-316-9366 jschuster@nwaveenergy.com www.nwaveenergy.com



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LOAD SERVING ENTITY COMPLIANCE REQUIREMENT FORM

On an annuals basis, EGSs providing retail electric supply service (i.e. take title to electricity) must file with the Commission this Load Serving Entity (LSE) Compliance Requirement Form. EGSs are directed to attach to this form documentation which provides the following:

- Proof of registration as a PJM LSE, or
- Proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

Examples of sufficient documentation to satisfy this compliance requirement include, but may not be limited to:

- A screen print showing that the EGS is listed as a Party to an effective version of the PJM Reliability Assurance Agreement (RAA), located at Schedule 17 of the RAA.
- Correspondence, such as an e-mail screen print or regular mail scan, from PJM verifying membership as an LSE.
- Documentation of an effective contract between the EGS and another party that serves as the LSE on behalf of the EGS. In such a case, the documentation must also include proof that the party fulfilling the LSE role is indeed registered with the PJM as an LSE

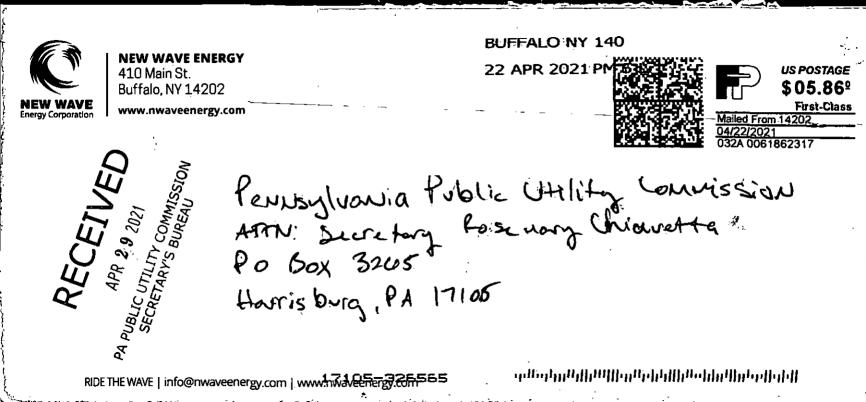
EGSs may mark all or portions of their filing confidential.

EGSs which do not provide retail electric supply service, such as brokers, are not required to file an LSE Compliance Requirement Form.

The EGS provides retail electric supply service and has attached compliance with the LSE requirement hereto.

Confirmation of Member Status - New Wave Energy Corp. Part Latter A. «Activers A. «Activers Partitipping.com» Set Status Continue Status Set Status Statu

Description of attachment (provide a brief description of the attachment below):



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