

March 17, 2021

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Secretary  
400 North Street, Keystone Building  
Harrisburg, PA 17120

Re: Applications of Clear Point Energy, Inc. for a Natural Gas Supplier License (Broker) and an Electric Generation Supplier License (Broker)

Dear Secretary Chiavetta:

Please find enclosed original, verified applications of Clear Point Energy, Inc. (“Clear Point Energy”) for a natural gas supplier license (as a broker) and an electric generation supplier license (as a broker) in the Commonwealth of Pennsylvania.

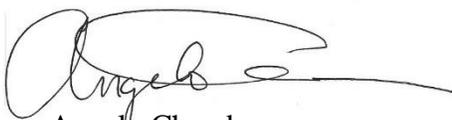
Additionally, certain portions of this application are deemed confidential by Clear Point Energy, and as such are submitted have been submitted separately per the instructions of the Public Utility Commission.

Please note that Clear Point Energy exercised the option of filing joint public notices in the required newspapers of both applications, and as such the original affidavits of publication refer to both applications.

Finally, non-confidential copies of the appropriate application(s) have been served on the statutory agencies and utilities as directed in the application forms and listed under “Carbon Copy” below.

Please do not hesitate to let me know if you have any questions or concerns about this application ([achambrone@clearpointenergy.com](mailto:achambrone@clearpointenergy.com), (888) 311-1041).

Sincerely,

A handwritten signature in black ink, appearing to read "Angelo Chambrone", with a long horizontal flourish extending to the right.

Angelo Chambrone  
Clear Point Energy

CC: *Statutory Agencies (Both Applications)*  
Bureau of Investigation & Enforcement, Pennsylvania Public Utility Commission  
Office of Consumer Advocate  
Office of Small Business Advocate  
Bureau of Consumer Protection, Office of the Attorney General  
Bureau of Compliance, Department of Revenue

*Natural Gas Utilities (NGS Application)*  
Columbia Gas of PA, Inc.  
Peoples Natural Gas Company LLC – Equitable Division  
Peoples Natural Gas Company LLC  
Peoples Gas Company LLC  
National Fuel Gas Distribution Corp.  
PECO  
Philadelphia Gas Works

*Electric Utilities (EGS Application)*  
West Penn Power  
Duquesne Light  
First Energy  
Citizens' Electric Company  
Corning Natural Gas Holding Corporation  
PECO Energy Company  
UGI Utilities, Inc.  
Wellsboro Electric Company

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Clear Point Energy, Inc., d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Clear Point Energy, Inc. 120 E. Washington St. Suite 943, Syracuse, NY 13202  
www.clearpointenergy.com, Phone: 1-888-311-1041

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

United States Corporation Agents, Inc., 1729 W. Tilghman St. Suite 2, Allentown, PA 18104  
Phone: 1-(800) 773-0888, Fax:

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Angelo Chambrone, President, 120 E. Washington St. Suite 943, Syracuse, NY, 13202, Phone: 888-311-1041, Fax: 866-628-5384

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

The applicant is not using an attorney.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Primary Person: Angelo Chambrone, President, 120 E. Washington St. Suite 943, Syracuse, NY, 13202, Phone: 888-311-1041, Fax: 866-628-5384, achambrone@clearpointenergy.com

Alternate Person: Susan Noonan, Manager, E. Washington St. Suite 943, Syracuse, NY, 13202, Phone: 888-311-1041, Fax: 866-628-5384, noonan.susan@yahoo.com

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as (“d/b/a”)

Provide a copy of the Applicant’s filing with Pennsylvania’s Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**or**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant’s charter documentation.
- \* If a corporate partner in the Applicant’s domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant’s Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. See Exhibit A "Corporate Information"
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. See Exhibit A "Corporate Information"
- Give name and address of officers. See Exhibit A "Corporate Information"

### 3. **AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The Applicant does not have any affiliates.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant does not have any predecessors.

## 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
  - electric cooperative
  - local gas distribution company
  - provider of electric generation, transmission or distribution services
  - broker/marketer engaged in the business of supplying electricity services
  - Other; Identify the nature of service being rendered.

**or**

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Company Profile: Clear Point Energy, Inc. ("Clear Point") provides energy procurement services to customers in deregulated energy markets. We will serve business and institutional customers including small business, large commercial and industrial. We help clients buy energy more effectively with an easy and transparent process. Clear Point provides competitive supply options and expert analysis to help clients manage their energy cost. Clear Point specializes in electricity, natural gas, and renewable energy.

Clear Point is led by Angelo Chambrone who has over 30 years of experience in the energy industry.

- d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY **(CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

The Applicant proposes to actively market in the commonwealth upon receipt of license approval from PA PUC.

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The Applicant has no proceedings to list.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

The Applicant has no actions or complaints to list.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Pike County Light & Power Company:**  
Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

**West Penn:**  
Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**  
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**  
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**  
Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

**Met-Ed, Penelec, and Penn Power:**

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**UGI:**

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
1 UGI Drive  
Denver, PA 17517

**Citizens' Electric Company:**

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**Wellsboro Electric Company:**

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

**7. FINANCIAL FITNESS**

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

**CRITICAL BONDING NOTES:**

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at [sjakab@pa.gov](mailto:sjakab@pa.gov) to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

**b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please see Exhibit D (filed confidentially).

**c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

The Applicant is operating as a Broker/Marketer.

**d. BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

The Applicant collects fees for services either directly from the customer or our fees are included in the price of energy and paid for by EGS Supplier. Our fees are fully disclosed to the customer upfront.

**e. ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Angelo Chambrone, President, 120 E. Washington St. Suite 943, Syracuse, NY, 13202, Phone: 888-311-1041, Fax: 866-628-5384

**f. TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

**a. EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry. Please See Exhibit B "Technical Fitness"
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.  
Please See Exhibit B "Technical Fitness"
- Type of customers and number of customers Applicant currently serves in other jurisdictions.  
Please See Exhibit B "Technical Fitness"
- Staffing structure and numbers as well as employee training commitments.  
Please See Exhibit B "Technical Fitness"
- Business plans for operations within the Commonwealth.  
Please See Exhibit B "Technical Fitness"
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth. The Applicant is operating as a Broker/Marketer.
- Any other information appropriate to ensure the technical capabilities of the Applicant.  
Please See Exhibit B "Technical Fitness"

**b. PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

**c. DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

The Applicant will follow all PA Public Utility Commission rules and regulations for energy brokers / markets. The Applicant will train all sales staff and subcontractors on rules prior to engaging with customers. The Applicant operates at the highest ethical standards. Our program and fees are always fully disclosed and agreed upon upfront with our customers.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Angelo Chambrone, President  
See Exhibit-C "Chief Officers Resumes"

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- Not applicable

## 9. DISCLOSURE STATEMENTS:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

**b. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

**c. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer\\_info/electricity/alternative\\_energy.aspx](http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx). Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

**Must be notarized before filing.**

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

## 12. NEWSPAPER PUBLICATIONS

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

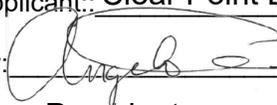
The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

*(Example Publications are provided at Appendices K and L)*

**13. SIGNATURE**

Applicant: Clear Point Energy, Inc.  
 By:   
 Title: President

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

**Applicant:** Clear Point Energy, Inc.

Applicant's Use	<b>Signature</b>	✓
	<b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b>	✓
	<b>Application Affidavit</b>	✓
	<b>Operations Affidavit</b>	✓
	<b>Proof of Publication</b>	✓
	<b>Bond, Letter of Credit, or Parental/Affiliate Guarantee</b>	✓
	<b>Tax Certification Statement</b>	✓
	<b>Commonwealth Department of State Verification</b>	✓
	<b>Certificate of Service</b>	✓

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New York :

: ss.

County of Onondaga :

Angelo Chambrone, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Clear Point Energy, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

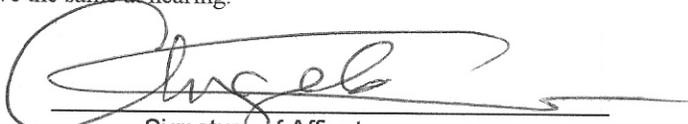
That the Applicant herein Clear Point Energy, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Clear Point Energy, Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Clear Point Energy, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Clear Point Energy, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this 16 day of March, 2021.

  
Signature of official administering oath

My commission expires 11/19/2024.

**ALYSCIA M. STORMS**  
Notary Public-State of New York  
No. 01ST6272549  
Qualified in Onondaga County  
Commission Expires 11/19/2024

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of New York :

ss.

County of Onondaga :

Angelo Chambrone, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Clear Point Energy, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Clear Point Energy, Inc., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Clear Point Energy, Inc., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Clear Point Energy, Inc., the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

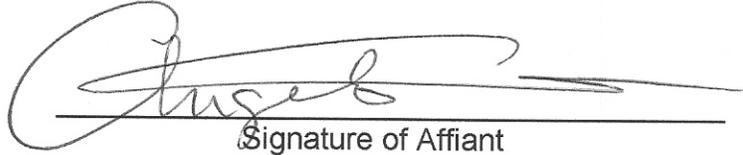
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Clear Point Energy, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
Signature of Affiant

Sworn and subscribed before me this 16 day of March, 2021.

  
Signature of official administering oath

My commission expires 11/19/2024.

ALYSCIA M. STORMS  
Notary Public-State of New York  
No. 01ST6272549  
Qualified in Onondaga County  
Commission Expires 11/19/2024

## Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

### CERTIFICATE OF SERVICE

On this the 17th day of March 2021, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

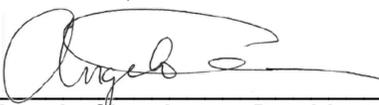
Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
1 UGI Drive  
Denver, PA 17517

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901



**Angelo Chambrone, President  
Clear Point Energy, Inc.**

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

*Applications of **Clear Point Energy, Inc.** For Approval To Offer, Render, or Furnish Services as a **Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

**Clear Point Energy, Inc.** will be filing an application with the Pennsylvania Public Utility Commission (“PUC”) for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Clear Point Energy, Inc.** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Clear Point Energy, Inc.** proposes to sell electricity, natural gas, and related services all eligible natural gas and electric distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Clear Point Energy, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Clear Point Energy, Inc.** at the address listed below.

**Clear Point Energy, Inc.**  
**120 E. Washington St. Suite 943**  
**Syracuse, NY 13202**  
**(315) 472-1864 (phone)**  
**(866) 628-5384 (fax)**

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

Clear Point Energy, Inc.  
120 East Washington St., Suite 943  
Syracuse NY 13202

REFERENCE: 151303 426153  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Lorri Stefanelli, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 02/16/21

TOTAL COST: \$677.00 AD SPACE: 0 Lines

FILED ON: 02/16/21

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of **Clear Point Energy, Inc.** For Approval To Offer, Render, or Furnish Services as a **Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Clear Point Energy, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Clear Point Energy, Inc.** at the address listed below.

**Clear Point Energy, Inc.**  
120 E. Washington St. Suite 943  
Syracuse, NY 13202  
(315) 472-1864 (phone)  
(866) 628-5384 (fax)

EP-426153

Sworn to and subscribed before me this 17th day of February 2021

Affiant: Lorri Stefanelli

NOTARY: Barbara J. Moore

Commonwealth of Pennsylvania - Notary Seal  
Barbara J. Moore, Notary Public  
Erie County  
My commission expires March 23, 2024  
Commission number 1114860  
Member, Pennsylvania Association of Notaries

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
 Applications of **Clear Point Energy, Inc.** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania.  
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 The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Clear Point Energy, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Clear Point Energy, Inc.** at the address listed below.  
**Clear Point Energy, Inc.**  
 120 E. Washington St. Suite 943  
 Syracuse, NY 13202  
 (315) 472-1864 (phone)  
 (866) 628-5384 (fax)

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On this 16th day of February A.D. 2021, before me, the subscriber, a Notary Public in and for said County and State, personally appeared **Christine Marhefka**, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the **Tribune-Democrat, Johnstown, PA**, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the **Johnstown Tribune**, established December 7, 1853; and of the **Johnstown Democrat**, established March 5, 1863, of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on February 13, 2021; and that the Affiant is not signing and that all of the allegations as to time, place and

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

Signed and sworn to before me on 16th day of February, 2021, by **Christine Marhefka** making the statement.

*[Signature]*

0.00 Lines @ \$2.50 per line	0.00
7 Inches @ \$25.00 per inch	175.00
Notary Fee	5.00
Clerical Fee	2.50
<b>Total Cost</b>	<b>182.50</b>

Commonwealth of Pennsylvania - Notary Seal  
 Vivian Ohs, Notary Public  
 Cambria County  
 My commission expires December 6, 2024  
 Commission number 1123017  
 Member, Pennsylvania Association of Notaries

To The **Tribune-Democrat, Johnstown, PA**  
 For publishing the notice or publication attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

VIVIAN OHS for publisher of TRIBUNE-DEMOCRAT  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

TRIBUNE-DEMOCRAT  
 (Name of Newspaper)  
 By *[Signature]*



AD#: 0009887117

Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Sheryl Leggore being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 02/18/2021

*Sheryl M Leggore*  
Principal Clerk of the Publisher

Sworn to and subscribed before me this 23th day of February 2021

*Cyril B Rosente*  
Notary Public

Commonwealth of Pennsylvania - Notary Seal  
Crystal B. Rosensteel, Notary Public  
Dauphin County  
My commission expires June 27, 2024  
Commission number 1299212  
Member, Pennsylvania Association of Notaries

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of **Clear Point Energy, Inc.** For Approval To Offer, Render, or Furnish Services as a **Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.**

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Clear Point Energy, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Clear Point Energy, Inc.** at the address listed below.

**Clear Point Energy, Inc.**  
120 E. Washington St. Suite 943  
Syracuse, NY 13202  
(315) 472-1864 (phone)  
(866) 628-5384 (fax)

**Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929**

*STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA*

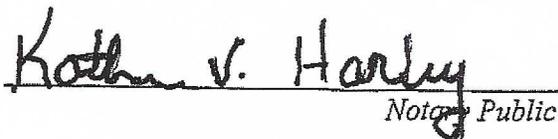
Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

February 19, 2021

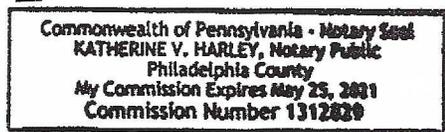
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Sworn to and subscribed before me this 19th day of  
February, 2021.



  
Notary Public

My Commission Expires:



**Copy of Notice of Publication**

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Applications of Clear Point Energy, Inc. For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. Clear Point Energy, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Clear Point Energy, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Clear Point Energy, Inc. proposes to sell electricity, natural gas, and related services all eligible natural gas and electric distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Clear Point Energy, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Clear Point Energy, Inc. at the address listed below.  
Clear Point Energy, Inc.  
120 E. Washington St. Suite 943  
Syracuse, NY 13202  
(315) 472-1864 (phone)  
(866) 628-5384 (fax)

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss **D. Rullo**, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the Pittsburgh Post-Gazette edition and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**18 of February, 2021**

Affidavit further deposes the he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the aforesaid notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

#### COPY OF NOTICE OR PUBLICATION

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**

Applications of Clear Point Energy, Inc. For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Clear Point Energy, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Clear Point Energy, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Clear Point Energy, Inc. proposes to sell electricity, natural gas, and related services all eligible natural gas and electric distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Clear Point Energy, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Clear Point Energy, Inc. at the address listed below.

**Clear Point Energy, Inc.**  
120 E. Washington St.  
Suite 943  
Syracuse, NY 13202  
(315) 472-1864 (phone)  
(866) 628-5384 (fax)



PG Publishing Company  
Sworn to and subscribed before me this day of:  
February 25, 2021



Commonwealth of Pennsylvania - Notary Seal  
Karen Flaherty, Notary Public  
Allegheny County  
My commission expires November 16, 2024  
Commission number 1386128  
Member, Pennsylvania Association of Notaries

#### STATEMENT OF ADVERTISING COSTS

P.R. QUINLAN  
7982 Tyson Oaks Circle  
ATTN: Frank Caliva III  
Vienna, Virginia 22182

To PG Publishing Company

Total \$ 461.50

**Client:**

#### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
Clinton, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of the Pittsburgh Post-Gazette, a Newspaper of General Circulation

By \_\_\_\_\_

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attorney For

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

P.R. QUINLAN  
117 BROAD STREET  
CUMBERLAND RI 02864

Account # 640486  
Order # 82516487  
Ad Price: 291.65

NOTICE PENNSYLVANIA PUBLI  
Sharon Venturi

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

02/17/2021

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Sharon Venturi

Sworn and subscribed to before me  
this 24th day of February A.D., 2021

  
(Notary) Public

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Kathleen Weaver, Notary Public  
City of Scranton, Lackawanna County  
My Commission Expires June 14, 2021

**NOTICE  
PENNSYLVANIA  
PUBLIC UTILITY COMMISSION**

Applications of **Clear Point Energy, Inc.** For Approval To Offer, Render, or Furnish Services as a **Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Clear Point Energy, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Clear Point Energy, Inc.** at the address listed below.

**Clear Point Energy, Inc.**  
120 E. Washington St. Suite 943  
Syracuse, NY 13202  
(315) 472-1864 (phone)  
(866) 628-5384 (fax)

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

February 15, 2021

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Applications of Clear Point Energy, Inc. For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.  
Clear Point Energy, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker / marketer engaged in the business of providing natural gas services. Clear Point Energy, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Clear Point Energy, Inc. proposes to sell electricity, natural gas, and related services all eligible natural gas and electric distribu-

tion company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Clear Point Energy, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Clear Point Energy, Inc. at the address listed below.

Clear Point Energy, Inc.  
120 E. Washington St.  
Suite 943  
Syracuse, NY 13202  
(315) 472-1864 (phone)  
(866) 628-5384 (fax)

[Signature]  
SUN-GAZETTE LLC

Sworn to and subscribed before me

The 18<sup>th</sup> day of February 20 21

[Signature]

Notary Public

Commonwealth of Pennsylvania - Notary Seal  
BETH A MILLER - Notary Public  
Lycoming County  
My Commission Expires Jun 4, 2024  
Commission Number 1297751

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 274.72

Probated same.....\$

Total.....\$ 274.72

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

Clear Point Energy, Inc.  
Exhibit-A "Corporate Information"

1. Proof of Compliance with PA Department of State – Please see attached.
  
2. Name and Address of Officers:
  - Angelo Chambrone, President  
120 E. Washington St., Suite 943, Syracuse, NY 13202
  
  - Susan Noonan, Treasurer  
120 E. Washington St., Suite 943, Syracuse, NY 13202
  
3. State of Incorporation – New York (See attached Certificate of Incorporation)
  
4. Clear Point Energy is a privately held corporation which is owned 100% by Angelo Chambrone.

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS  
401 NORTH STREET, ROOM 206  
P.O.BOX 8722  
HARRISBURG, PA 17105-8722  
[WWW.CORPORATIONS.PA.GOV](http://WWW.CORPORATIONS.PA.GOV)

Angelo Chambrone  
120 E Washington St Ste 943  
Syracuse NY 13202-4003

Clear Point Energy, Inc.

The Bureau of Corporations and Charitable Organizations is happy to send your filed document. The Bureau is here to serve you and we would like to thank you for doing business in Pennsylvania.

Thank you for registering with the Department of State to do business in Pennsylvania. Like many other businesses, you may have employees, sell taxable products, or provide a taxable service to consumers in Pennsylvania. Please visit [www.pa100.state.pa.us](http://www.pa100.state.pa.us) to register for Business Taxes with the PA Department of Revenue & Labor and Industry or visit [www.Business.pa.gov](http://www.Business.pa.gov) to find answers to most common registration questions.

If you have any questions pertaining to the Bureau, please visit our website at [www.dos.pa.gov/BusinessCharities](http://www.dos.pa.gov/BusinessCharities) Or you may contact us by telephone at (717)787-1057. Information regarding business and UCC filings can be found on our searchable database at [www.corporations.pa.gov/Search/CorpSearch](http://www.corporations.pa.gov/Search/CorpSearch) .

Entity number : 7203186

**PENNSYLVANIA DEPARTMENT OF STATE  
 BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

<p><b>Document will be returned to the name and address entered below.</b>                  Angelo Chambrone</p> <hr/> <p>Name                  120 E Washington St Ste 943,</p> <hr/> <p>Address                  Syracuse NY 13202-4003</p> <hr/> <p>City State Zip Code</p>	<p><b>Foreign Registration Statement</b>                  DSCB: 15-412                  (rev. 2/2017)</p>  <p><b>412</b></p>
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Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$250.00  I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership                     | <input type="checkbox"/> Business Trust           |
| <input type="checkbox"/> Nonprofit Corporation           | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input type="checkbox"/> Limited Liability Company       | <input type="checkbox"/> Limited Liability Limited Partnership   |   |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Clear Point Energy, Inc.

2A. If the name in 2 does not contain a required designator **or** if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation: NY

4. The street and mailing address of the association's principal office.

1729 W. Tilgham St., Suite 2	Allentown	PA	18104
Number and street	City	State	Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

1729 W. Tilgham St., Suite 2	Allentown	PA	18104
Number and street	City	State	Zip



FILING RECEIPT

ENTITY NAME: CLEAR POINT ENERGY, INC.

DOCUMENT TYPE: INCORPORATION (DOM. BUSINESS)

COUNTY: ONON

FILED:11/17/2010 DURATION:PERPETUAL CASH#:101117000491 FILM #:101117000437

FILER:

EXIST DATE

DOUGLAS J GORMAN, ESQ.  
HANCOCK & ESTABROOK, LLP  
1500 AXA TOWER I, 100 MADISON ST  
SYRACUSE, NY 13202

11/17/2010

ADDRESS FOR PROCESS:

C/O HANCOCK & ESTABROOK, LLP  
1500 AXQA TOWER 100 MADISON AV  
SYRACUSE, NY 13202

DOUGLAS J. GORMAN, ESQ.

REGISTERED AGENT:

STOCK: 200 NPV



SERVICE COMPANY: HANCOCK & ESTABROOK LLP

SERVICE CODE: 8Q \*

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CASH 0.00  
CHECK 0.00  
CHARGE 0.00  
DRAWDOWN 195.00  
OPAL 0.00  
REFUND 0.00

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DOS-1025 (04/2007)

**STATE OF NEW YORK**

**DEPARTMENT OF STATE**

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on November 18, 2010.

A handwritten signature in black ink, appearing to read "Daniel E. Shapiro".

Daniel E. Shapiro  
First Deputy Secretary of State

101117000 437

CERTIFICATE OF INCORPORATION

OF

CLEAR POINT ENERGY, INC.

Under Section 402 of the Business Corporation Law

The undersigned, for the purpose of forming a corporation pursuant to Section 402 of the Business Corporation Law, does hereby make and sign this Certificate, stating as follows:

FIRST: The name of the Corporation is Clear Point Energy, Inc.

SECOND: The purpose of the Corporation is to engage in any lawful act or activities for which corporations may be organized under the Business Corporation Law of the State of New York. This Corporation is not formed to engage in any act or activity requiring the consent or approval of any State Official, Department, Board, Agency, or other body without such consent or approval first being obtained.

THIRD: The office of the Corporation in the State of New York is located in the County of Onondaga.

FOURTH: The aggregate number of shares which the Corporation shall have the authority to issue is 200 shares each without par value.

FIFTH: The Secretary of State of the State of New York is designated as the agent of the Corporation upon whom process in any action or proceeding against it may be served and the address within the State to which the Secretary of State shall mail a copy of process in any

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DRAWDOWN  
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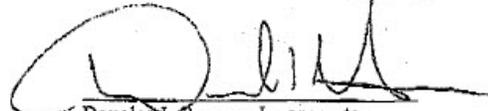
action or proceeding against the Corporation which may be served upon him is c/o Hancock & Estabrook, LLP, 1500 AXA Tower I, 100 Madison Street, Syracuse, New York 13202 Attn: Douglas J. Gorman, Esq.

SIXTH: No director shall be personally liable to the Corporation or its shareholders for damages resulting from the breach of any duty such director owes to the Corporation and/or its shareholders, as a result of his position as such, unless a judgment or other final adjudication adverse to such director establishes that the director's acts or omissions were in bad faith or involved intentional misconduct or a knowing violation of law or that such director personally gained in fact a financial profit to which he was not legally entitled or that the director's acts violated Section 719 of the New York Business Corporation Law.

SEVENTH: The name and address of the incorporator is:

Douglas J. Gorman, Esq.  
Hancock & Estabrook, LLP  
1500 AXA Tower I, 100 Madison Street  
Syracuse, New York 13202

IN WITNESS WHEREOF, this Certificate has been subscribed this 19<sup>th</sup> day of  
November, 2010.

  
Douglas J. Gorman, Incorporator

CERTIFICATE OF RESERVATION

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ENTITY NAME: CLEAR POINT ENERGY, INC.  
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DOCUMENT TYPE: RESERVATION (DOM. BUSINESS)

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FILED: 11/16/2010 DURATION: 01/10/2011 CASH#: 101110000529 FILM #: 101110000489  
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FILER:

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DOUGLAS GORMAN  
HANCOCK & ESTABROOK  
PO BOX 4976  
SYRACUSE, NY 13221

ADDRESS FOR PROCESS:  
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REGISTERED AGENT:  
-----



\*\* SUBMIT RECEIPT WHEN FILING CERTIFICATE \*\*  
APPLICANT NAME : DOUGLAS GORMAN

-----  
SERVICE COMPANY: LIBERTY CORPORATE SERVICES, INC. - AL SERVICE CODE: AL  
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FEES	45.00
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FILING	20.00
TAX	0.00
CERT	0.00
COPIES	0.00
HANDLING	25.00

PAYMENTS	45.00
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CASH	0.00
CHECK	0.00
CHARGE	0.00
DRAWDOWN	45.00
OPAL	0.00
REFUND	0.00

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33547  
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CERTIFICATE OF INCORPORATION

OF

CLEAR POINT ENERGY, INC.

Under Section 402 of the Business Corporation Law

RECEIVED

2010 NOV 17 AM 10:19

12  
STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED NOV 17 2010

TAX \$ 10

BY: *[Signature]*  
*[Signature]*

Douglas J. Gorman, Esq.  
Hancock & Estabrook, LLP  
1500 AXA Tower I, 100 Madison Street  
Syracuse, New York 13202  
Cust. Ref. No.: 99999-3

FILED  
2010 NOV 17 PM 12:00

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Clear Point Energy, Inc.

Exhibit-B “Technical Fitness”

Previous experience in the electric industry / staff:

Clear Point Energy, Inc. (“Clear Point”) has extensive experience in the electric energy industry. Clear Point’s President, Angelo Chambrone consults on a national basis and has participated in nearly every deregulated market in the United States. He has held senior positions with both utilities and energy marketing firms. His expertise spans operations, wholesale supply, risk management, pricing, sales, and regulatory affairs. He has structured both simple and complex supply solutions for retail customers. He has participated in numerous regulatory proceedings on industry restructuring of deregulated markets. Clear Point staff has a combined experience of over 40 years in the energy space. Our staff has experience in pricing, utility billing, cash management, and forward energy market forecasting. Clear Point currently has (3) three employees. Employees are trained on all aspects of each deregulated market in which we operate. Training includes review of utility commission rules and regulations, utility tariffs, pricing dynamics, supplier programs, and other appropriate information.

Other Broker Licenses / States of Operation:

New York – Clear Point offers electricity and natural gas procurement services to commercial and industrial customers in New York. New York does not have a licensing requirement for brokers.

Massachusetts – Natural Gas License Number: RA-214, MA Electric License Number: EB-458

New Jersey – Electric/Natural Gas License Number: EA-0600

Maine – Clear Point is a registered natural gas broker in Maine. Please see [https://www.maine.gov/mpuc/natural\\_gas/gas\\_licensees.shtml](https://www.maine.gov/mpuc/natural_gas/gas_licensees.shtml) .

Ohio – Electric broker license number: 16-1116E, Natural Gas license number: 16-526G

Clear Point Energy Company Profile:

Company Profile: Clear Point Energy, Inc. (“Clear Point”) provides energy procurement services to customers in deregulated energy markets. We serve all levels of customers from small commercial to large commercial and industrial. We help clients buy energy more effectively with an easy and transparent process. Clear Point provides competitive supply options and cost management tools to help clients manage their energy cost. Clear Point was launched in 2010 and our services include energy commodity brokerage for electricity, natural gas, and renewable energy. Clear Point is led by Angelo Chambrone who has over 30 years of experience in the energy industry. [www.clearpointenergy.com](http://www.clearpointenergy.com) .

Customers Accounts: We currently serve clients with annual natural gas usage of over 500,000 Dekatherms of natural gas and 15,000 MWhs of electricity in NY, NJ and Ohio.

Marketing Plans: We plan to market our services to commercial, industrial, and institutional customers via direct marketing, digital marketing, and networking via linked-in and other platforms. No door-to-door sales will be conducted at this time.

Clear Point Energy, Inc.

Exhibit-C "Chief Officer Resumes"

Clear Point Energy, Inc. has one chief officer, Angelo Chambrone. Please see resume below.

Angelo Chambrone

3934 Pawnee Drive ● Liverpool ● New York 13090 ● 315-657-1666 ● [achambrone@yahoo.com](mailto:achambrone@yahoo.com)

---

**Objective**

Assist clients with reaching their full potential by reducing cost, achieving goals, and improving their overall profitability.

**Profile Summary**

Business Planning, Structured Finance, Pricing, Forecasting, Risk Management, Sales, Business Development, Marketing, Operations, Project Management, Contracts, Information Technology and P&L Responsibility.

**Energy Sector Summary**

Operations, Sales, Credit Facilities, Wholesale Supply, Settlement Analysis, Risk Management, Billing, Back Office Systems, Market Evaluation, Tariff Analysis, Demand Response, Regulatory Affairs, Licensing, and Renewable Energy.

**Work Experience**

**President**

11/2010 – Present, Clear Point Energy, Inc., Syracuse, NY

Direct and manage all aspects of the company's consulting services and brokerage businesses. Acquire and close consulting services engagements with "C" level decision makers. Assist start-up retail supply clients with business model and launch strategy. Negotiate credit facilities and preferred wholesale supply arrangements. Provide pricing, risk, and wholesale supply services. Develop pricing models and forward curves. Prepare market evaluation studies. Manage client compliance with state renewable portfolio standards. Monitor risk exposure and recommend actions for clients. Create hedging strategies for national chains and hospital clients. Lead book acquisition and asset sales. Develop financial models and procedure documents. Evaluate back office software solutions and recommend providers.

**Vice President Sales / Operations**

11/2004 – 11/2010, Blue Rock Energy, Inc., Syracuse, NY

Direct the sales, marketing, and wholesale supply operations for the company's energy commodity business. These businesses include a startup retail energy supplier serving mass market customers and a brokerage business targeting large commercial and industrial segments. Prepare business plans and P&L forecasts. Manage forecasting, and pricing of energy portfolio. Direct the wholesale supply function for both electricity and natural gas. Prospect and close large volume retail sales. Develop alternate sales channels. Prepare board presentations. Develop market entry and sales strategy. Manage the development of retail back office systems. Complete and file retail license applications with regulatory bodies. Launch green energy program.

- Grew supply business sales from start-up to over \$30.0 million in less than three years.
- Formulated wholesale supply and credit facility agreements.

**Manager Market Planning & Analysis**

7/1998 – 11/2004, Select Energy (formerly Niagara Mohawk Energy Marketing, Inc.), Syracuse, NY

Assist the VP of Retail Marketing with overall management and strategic planning of a \$200 Million sales organization. The target market was large commercial and industrial customers across the Northeast. Manage the launch into new markets. Prepare sales forecast and operating plans. Develop pricing models and marketing plans. Prepare pleadings and briefs before regulators. Coach sales staff on deal formation and market dynamics. Manage inside sales staff and demand response program. Create standard sales agreements. Develop alternative sales channels. Prepare license applications and compliance filings with State Utility Commissions. Lead implementation of retail back-office systems.

- Produced over \$500k in annual gross margin from inside sales. Grew sales from \$11M to \$150M+ in four years.
- Built the Market Planning group from start-up. This group was a key contributor and an industry expert.

**Gas Supply Planner**

1/1997 – 7/1998, Niagara Mohawk Power Corporation, Syracuse, NY

Prepare forecasts of gas sales volumes. Present reconcile, and defend such forecasts to management and regulatory bodies. Develop short-term demand forecasts for capacity release. Develop regression models and forecasting databases. Coordinate the procurement of gas supply with purchasing agent. Negotiate peak sales agreements with cogeneration and industrial customers. Prepare forecasts of fixed and variable gas supply cost in excess of \$280 million.

- Revised 1998-2003 sales forecast to correct for errors in migration of sales customer to transportation service.

### **Sr. Marketing Analyst**

1/1995 - 1/1997, Niagara Mohawk Power Corporation, Syracuse, NY

Negotiate special contract agreements with large industrial customers to avoid by-pass of the utility distribution system. Conduct market research and calculate market potential of new franchise territories. Develop financial models. Lead cross-functional teams in performing strategic evaluations of new franchise candidates. Coordinate the submission franchise petitions with Public Utility Commission. Administer contracts for gas transportation and peak shaving. Assist with economic development initiatives and engineering feasibility studies.

- Successfully developed new natural gas franchises with annual operating margins of \$500,000.
- Negotiated new gas transportation agreements in excess of \$1.4 million in annual margin.

### **Business Manager / Assistant Controller**

1/1993 - 1/1995, Niagara Mohawk Power Corporation, Syracuse, NY

Perform day to day management of a local natural gas distribution company. The company had 5,000 customers, with operating revenues of \$14.0 million, and assets of \$17.0 million. Manage all financial activities, produce financial statements, billings, collections, public utility commission reporting, GAC filings, and customer service. Prepare financial reports and presentations for board of directors. Assist with the implementation gas transportation billing system. Supervise billing of large industrial gas accounts. Perform database administration and system maintenance of the AS400 computer system.

- Successfully implemented a new utility billing and financial reporting system.
- Consistently met budget goals for earnings, operating expense, and capital investments.

### **Financial Analyst**

1/1991 - 1/1993, Niagara Mohawk Power Corporation, Syracuse, NY

Assist the Vice President of Gas Customer Service Operations in assessing financial impact and performance, evaluating market opportunities, developing operating and capital budgets, and business plans for five regional operating units. These units had 1,200 employees with annual budgets of \$50 million in operating expense and \$60 million in capital expenditures. Develop incentive compensation goals and evaluate results. Establish active based unit cost for capital budgeting.

- Developed and implemented a rate of return financial model to determine franchise expansion profitability.

### **Associate Project Control Analyst**

7/1989 - 1/1991, Niagara Mohawk Power Corporation, Syracuse, NY

Associate Project Control Analyst (Fossil / Hydro) - Perform cost and schedule analysis and report performance to the project manager and department management for FERC hydro re-licensing and fossil generators. Prepare capital budgets, cash flow projections, CPM schedules, contract negotiations, and variance reports.

- Successfully awarded a \$15 million A&E contract to support a \$160 million fossil generator construction program.

### **Business Analyst**

1/1988 - 7/1989, General Electric Co., Aerospace Electronics, Utica, NY

Analyst / Information Systems - Maintain, design, and program PC/Mainframe based Executive Information System. Provide system training for division and section level management. Act as liaison with the finance department for maintenance of existing forecasting systems, and the creation of new financial models.

- Created Generic logic to reduce system development time and enhance portability.

### **Financial Analyst**

1/1986 - 1/1988, General Electric Co., Aerospace Electronics, Utica, NY

Lead Project Role in the design, programming and implementation of a Project Management Information System, to meet Federal Government (C/SCS) criteria. Provide the Program Manager and functional staff with financial data pertinent to the control of operations, investment, and overhead. Prepare operating plan forecasts of sales, income, and investment. Performed cost and schedule analysis and issued performance reports.

- Successfully implemented a Reporting System for a \$40 million dollar project in the Strategic Defense Initiative program.

### **Financial Analyst**

1/1985 - 1/1986, General Electric Co., Aerospace Electronics, Utica, NY

Subcontractor bid proposal analysis and negotiations. Audited and reviewed purchase orders over \$50k for compliance of company policy and Federal Government procurement regulations. Forecasted and monitored the material budget of \$115 million dollars.

### **Specialist Materials Distribution**

1/1984 - 1/1985, General Electric Co., Aerospace Electronics, Utica, NY

Specialist Materials Distribution - Performed work flow and operations review of information gathering procedures.

### **Education**

LeMoyne College, Syracuse, NY, Master of Business Administration

State University of NY, College of Technology, Utica, NY, Bachelor of Science Accounting

The following documents have been filed confidentially under separate cover:

Appendix E - Bond

Appendix I – Tax Certification Statement

Exhibit D – Financial Information