



May 18, 2021

VIA ELECTRONIC FILING

Pennsylvania Public Utilities Commission
Secretary
400 North Street, Keystone Building
Harrisburg, Pennsylvania 17120

RE: TFS Energy Solutions, LLC d/b/a Tradition Energy Electric Generation Supplier License Application Docket No. A-2021-3025899

To Whom It May Concern:

Enclosed please find the additional data requested to complete the Electric Generation Supplier (EGS) License Application ("Application") for TFS Energy Solutions, LLC d/b/a Tradition Energy ("Applicant.")

The materials enclosed and Application references are as follows:

1. Page 4 of the Application with a revised date showing 10/20/20;
2. Section 6.a and 6.b: Applicant's Certificate of Service.
3. Section 8.e, Technical Fitness: Alan Kurzer's professional resume.
4. Section 11, Affidavits – Resubmission of Application Affidavit and the Operations Affidavit with the appropriate corrections.
5. Section 7.b: Financial Fitness: Additional confidential materials are being overnighted to Pennsylvania Public Utility Commission tonight.

I, Lauren Zinman, hereby state that the facts and documents set forth above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Sincerely,

A handwritten signature in blue ink, appearing to read "Lauren Zinman".

Lauren Bauer Zinman, Esq.
General Counsel
TFS Energy Solutions, LLC d/b/a Tradition Energy

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of TFS Energy Solutions, LLC, d/b/a Tradition Energy, for approval to offer, render, furnish, or supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

TFS Energy Solutions, LLC d/b/a Tradition Energy
9 West Broad Street, 9th Floor Stamford, CT 06902
www.traditionenergy.com
(877) 517-6937

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

CoGency Global, Inc.
600 North 2nd Street, PA 17101
Toll Free: 800.221.0102 Ext. 1141
Fax: 800.944.6607

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Brian McDermott, Executive Director and Head of Operations
9 West Broad Street, 9th Floor, Stamford, CT 06902
(Office) 203-205-9650 (Fax) 203-967-0426
Brian.Mcdermott@traditionenergy.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Lauren Zinman, Esq.
General Counsel, Tradition Energy
9 West Broad Street, 9th Floor, Stamford, CT 06902
(Office) 203-391-2253 (Fax) 203-967-0426
Lauren.Zinman@tradition.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Brian McDermott, Executive Director and Head of Operations
9 West Broad Street, 9th Floor, Stamford, CT 06902
(Office) 203-205-9650 (Fax) 203-967-0426
Email: Brian.mcdermott@traditionenergy.com

Mario Perez, VP Client Services
9 West Broad Street, 9th Floor, Stamford, CT 06902
(Office) 203-391-2251 (Fax) 203-967-0426
Email: Mario.Perez@traditionenergy.com

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 18th day of May, 2021, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all NON-CONFIDENTIAL attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

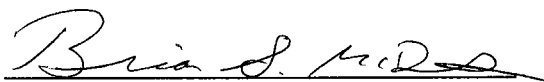
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901


Brian McDermott,
Executive Director of TFS Energy
Solutions, LLC d/b/a Tradition Energy

ALAN M. KURZER

17 Smith Farm Road
Bedford, NY 10506
(203) 351-1590

EXPERIENCE

TFS Energy Solutions, LLC, d/b/a/Tradition Energy

CEO, Founder

Stamford, CT

2006 – Present

- Founder of the nation's largest independent energy risk management and procurement consultant.

TFS Energy, LLC & TFS Energy Futures, LLC

President, Co-Founder

Stamford, CT

2000 –Present

- Co-Founder of Institutional NFA registered broker of energy physical and derivative products and environmental markets.

SDI Energy Group, Sakura-Dellsher, Inc.

SVP, Managing Director

Greenwich, CT

1998-2000

- Futures and OTC broker of energy products.

Gerald Commodities, Inc.

President & CEO

New York, NY

1996 – 1998

- NFA registered Futures Commission Merchant. Institutional broker of metals, softs and energy commodities. Managed second largest floor operation on the NYMEX.

Gerald Energy

Managing Director

Stamford, CT

1986 – 1996

- Managed one of the industry's largest brokerages of energy physical, futures and derivative products.

Paine Webber, Inc.

Vice President

Houston, TX

1986

- Broker of energy commodities.

Caltex Petroleum Corp.

Held various supply analyst and trading positions.

NY, Singapore, Dallas

1981-1986

EDUCATION

Cornell University - Johnson School of Business, *MBA, 1981*

Binghamton University - Harpur College, *BA, 1979*

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Connecticut :

: SS.

County of Fairfield :

Brian McDermott, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Executive Director (Office of Affiant) of TFS Energy Solutions, LLC d/b/a Tradition

Energy;] [That he is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein TFS Energy Solutions, LLC d/b/a Tradition Energy has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein TFS Energy Solutions, LLC d/b/a Tradition Energy has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein TFS Energy Solutions, LLC d/b/a Tradition Energy acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein TFS Energy Solutions, LLC d/b/a Tradition Energy acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Brian McDermott
Signature of Affiant

Sworn and subscribed before me this 18th day of MAY, 2021.

Susan Detorres
Signature of official administering oath

My commission expires October 31, 2023.

Susan Detorres
Notary Public-Connecticut
My Commission Expires
October 31, 2023

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Connecticut :

ss.

County of Fairfield :

Brian McDermott, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Executive Director (Office of Affiant) of TFS Energy Solutions, LLC d/b/a Tradition Energy;]

[That he is authorized to and does make this affidavit for said Applicant;]

That TFS Energy Solutions, LLC d/b/a Tradition Energy, the Applicant herein, acknowledges that [TFS Energy Solutions, LLC d/b/a Tradition Energy may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That TFS Energy Solutions, LLC d/b/a Tradition Energy, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That TFS Energy Solutions, LLC d/b/a Tradition Energy, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

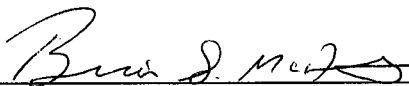
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That TFS Energy Solutions, LLC d/b/a Tradition Energy, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 18th day of May, 2021.



Signature of official administering oath

My commission expires October 31, 2023.

Susan Detorres
Notary Public-Connecticut
My Commission Expires
October 31, 2023