

June 1, 2021

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street, Keystone Building Harrisburg, PA 17120

Re: Change Notification for Tenaska Power Management, LLC (TPM) Application for Electric Generation Supplier; Certification A-2016-2565723, Effective 11/9/16

Dear Ms. Chiavetta,

Enclosed please find an updated Attachment III - Officers and Directors; Attachment V(b) - Additional Licenses, and Attachment VI - Technical Fitness.

If you have any questions, or need further documentation, please do not hesitate to contact Teri Brown at (817) 891-4170 or at tnsk.com.

Sincerely,

Christa Hodnett

Director, Contract Administration

(mistaltodnot)

Enclosures

ATTACHMENT III OFFICERS AND DIRECTORS OF TENASKA POWER MANAGEMENT, LLC June 1, 2021

Howard L. Hawks*	Thomas E. Hendricks*	Jerry K. Crouse*	Ronald N. Quinn*	
Director & Chairman	Director & Executive	Director & CEO Director & Executive Vice		
(402) 691-9503	Vice President	(402) 691-9532	President & Secretary	
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Senior Vice President	Vice President	Vice President	Vice President	
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O. Bradley Cox**	Aaron O. Dubberly*	Keith E. Emery**	Mark G. Foreman**	
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ocox @ tiisk.com	addoberry & tenaska.com	kemery winsk.com	moreman@tilsk.com	
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Sr. Vice President,	Senior Vice President	Vice President	Vice President	
General Counsel &	(402) 691-9522	(817) 303-1102	(817) 462-1519	
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(402) 758-6127				
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	niacovo@tnsk.com			
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			tkudron@tenaska.com	
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Vasu S. Pinapati*	Jeremy D. Reinoehl*	Ryan T. Schroer*	Kevin R. Smith**	
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Michelle E. Trenary**	Sheila R. Trueblood*	Gregory A. Van Dyke*		
Vice President	Vice President & Corporate	CFO & Senior Vice		
(682) 551-3044	Controller	President & Treasurer		
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^{*14302} FNB Parkway, Omaha, NE 68154

^{**300} East John Carpenter Freeway, Suite 1100, Irving, TX 75062

ATTACHMENT V(b)

BEFORE THE PUBLIC SERVICE COMMISSION

OF THE STATE OF DELAWARE

IN THE MATTER OF THE APPLICATION OF)	
TENASKA POWER MANAGEMENT, LLC FOR)	
A CERTIFICATE TO PROVIDE ELECTRIC)	
SUPPLY SERVICES WITHIN THE STATE OF)	PSC DOCKET NO: 20-0112
DELAWARE (SUBMITTED FEBRUARY 5, 2020;)	
FILED MAY 8, 2020))	

ORDER NO. <u>9613</u>

AND NOW, this 17th day of June 2020, the Delaware Public Service Commission ("Commission") determines and orders the following:

WHEREAS, on February 5, 2020, Tenaska Power Management, LLC (the "Company" or "TPS") submitted to the Commission an application for an Electric Supplier Certificate ("ESC") to provide and broker electricity in the State of Delaware pursuant 26 *Del. C.* §§ 1001-1020, the Electric Utility Restructuring Act (the "Act"), and 26 *Del. Admin. C.* § 3001, the Commission's Rules for Certification and Regulation of Electric Suppliers (the "Supplier Rules"); and

WHEREAS, the application was deficient in meeting the minimum filing requirements ("MFRs"); therefore, Commission Staff ("Staff") sent the Company two letters and engaged in information gathering over the course of three months; and

WHEREAS, on May 8, 2020, the Company submitted all required documents and thereby filed a complete application ("Application") pursuant to the Act and the Supplier Rules; and

WHEREAS, as more specifically detailed in its Memorandum to the Commission, Staff reports that the Application fulfills all the requirements set forth in the Act and the Supplier Rules for an Electric Supplier Certificate to act as both an electric supplier and an electric broker. In

¹ Unless otherwise noted, capitalized terms shall have the meanings ascribed to them in the Act and the Supplier Rules.

particular, Staff reports that the Company has specifically presented evidence to support its financial, operational, managerial, and technical ability to provide and broker Electric Supply Service within the State of Delaware. Therefore, Staff recommends that the Commission issue an Electric Supplier Certificate to authorize Tenaska Power Management, LLC to provide and broker Electric Supply Services in the State of Delaware;

NOW, THEREFORE, IT IS ORDERED BY THE AFFIRMATIVE VOTE OF NOT FEWER THAN THREE COMMISSIONERS:

- 1. TPS is hereby granted a certificate to do business and operate as an Electric Supplier within the State of Delaware. Under this Electric Supplier Certificate, the Company is authorized to broker Electric Supply Service within the State of Delaware consistent with and in accordance with the Act and the Supplier Rules.
- 2. TPS is hereby notified that a violation of any applicable order, statute, regulation, rule, or other controlling authority may be enforced by sanctions in accordance with §§ 1012(a) and 1019 of the Act and § 3001-10.2 of the Supplier Rules.
- 3. TPS shall file such reports and pay such fees and assessments as may be required or imposed under §§ 114, 115, § 1012(c)(2) of the Act, and §§ 3001-3.9 and 3.10 of the Supplier Rules.
- 4. TPS consents to the jurisdiction of the courts of the State of Delaware for all acts or omissions related to its offering of Electric Supply Services in Delaware.
- 5. TPS is hereby placed on notice that the costs of this proceeding will be charged to it pursuant to 26 *Del. C.* § 114(b)(1).
- 6. The Commission reserves the jurisdiction and authority to enter such further orders in this matter as may be deemed necessary or proper.

BY ORDER OF THE COMMISSION:

7

Dallas Winslow, Chairman

/s/ Joann T. Conaway

Joanne Conaway, Commissioner

/s/ Haroold B. Gray

Harold Gray, Commissioner

/s/ Manubhai C. Karia

Manubhai "Mike" Karia, Commissioner

/s/ K. F. Drexler

K.F. Drexler, Commissioner

ATTEST:

Donna Nickerson, Secretary

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1325 G STREET N.W., SUITE 800 WASHINGTON, D.C. 20005

ORDER

April 5, 2021

FORMAL CASE NO. EA2021-02, IN THE MATTER OF THE APPLICATION OF TENASKA POWER MANAGEMENT, LLC FOR AN ELECTRICITY SUPPLIER LICENSE, Order No. 20723

I. <u>INTRODUCTION</u>

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to Tenaska Power Management, LLC ("TPM" or "Applicant") to function as an electricity supplier in the District of Columbia.¹ Tenaska Power Management, LLC's license is effective upon issuance of this Order.

II. <u>BACKGROUND</u>

2. On March 17, 2021, TPM filed its license application.² Specifically, TPM intends to operate as a broker of electricity pursuant to § 4601 in Chapter 46 (Electricity Suppliers) of Title 15 of the District of Columbia Municipal Regulations.³ The Applicant represents that it intends to serve commercial and industrial customers in the District of Columbia. TPM filed supplemental information on April 1, 2021.⁴

III. <u>DISCUSSION</u>

3. Our review of the information contained in TPM's application and supplemental filing demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia. The Commission also finds that granting TPM's

D.C. Official Code § 34-1501(17) (2001) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

Formal Case No. EA2021-02, In the Matter of the Application of Tenaska Power Management, LLC for an Electricity Supplier License ("Formal Case No. EA2021-02"), Application of Tenaska Power Management, LLC filed March 17, 2021.

³ See 65 DCR 9970-9989 (September 28, 2018).

⁴ Formal Case No. EA2021-02, Tenaska Power Management, LLC filed supplemental information April 1, 2021.

Order No. 20723 Page No. 2

application will serve the public interest by fostering competition in the District's energy market. Accordingly, TPM's application is approved.

THEREFORE, IT IS ORDERED THAT:

4. The application of Tenaska Power Management, LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**. Tenaska Power Management, LLC's license is effective upon issuance of this Order.

Bride Derthart - Selgwill

A TRUE COPY: BY DIRECTION OF THE COMMISSION:

CHIEF CLERK: BRINDA WESTBROOK-SEDGWICK

COMMISSION SECRETARY

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Tenaska Power Management, LLC :

:

Application for Licensure of : 19-1080

Agents, Brokers, and Consultants : under Section 16-115C of the :

Public Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On November 26, 2019, Tenaska Power Management, LLC ("Applicant") filed a verified Application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Since all of the information to grant the requested relief was contained in the Application, the Hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Delaware and authorized to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that no complaints have been filed against it for its provision of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment II to the Application. Attachment II and III to the Application contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment II contains occupational background information on the person or persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment III. Attachment III contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the Application and attachments provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Tenaska Power Management, LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

(1) Tenaska Power Management, LLC, a business organized under the laws of Delaware and authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;

- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof:
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Tenaska Power Management, LLC has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Tenaska Power Management, LLC has complied with Section 16-115C of the Act and Part 454; and
- (6) Tenaska Power Management, LLC should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Tenaska Power Management, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Tenaska Power Management, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that pursuant to Section 10-113(a) of the Public Utilities Act and 83 III. Adm. Code 200.880, any application for rehearing shall be filed within 30 days after service of the Order on the party.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 8th day of January, 2020.

(SIGNED) CARRIE ZALEWSKI

Chairman



THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

KATHLEEN A. THEOHARIDES SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 MATTHEW H. NELSON CHAIR

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER COMMISSIONER

March 5, 2021

James Lunday, Controller Tenaska Power Management, LLC 300 E. John Carpenter Freeway, Suite 1100 Irving, TX 75062

RE: Electricity Broker License Application

Dear Mr. Lunday,

The Department of Public Utilities ("Department") has reviewed the application of Tenaska Power Management, LLC ("Tenaska Power Management" or "Company") for an Electricity Broker license in the Commonwealth of Massachusetts, and is pleased to inform you that the Department has approved the application. Tenaska Power Management's license number is **EB-527**.

Consistent with the information provided in Tenaska Power Management's application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval when renewing its license.

As a condition of maintaining this license, Tenaska Power Management must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00 et seq. In addition, within 30 days of any material change in the information required by 220 CMR 11.05(2), the Company must file updated

FAX: (617) 345-9101 www.mass.gov/dpu

Mr. James Lunday, Controller Tenaska Power Management, LLC Page 2

information with the Department. If the Company requests a renewal of its license next year, please submit the renewal application no later than July 1, 2021.

By Order of the Department,

Matthew H. Nelson, Chairman

Robert E. Hayden, Commissioner

Cecile M. Fraser, Commissioner



Philip D. Murphy **Governor**

Sheila Y. Oliver Lt. Governor

STATE OF NEW JERSEY

Board of Public Utilities
44 South Clinton Avenue, 9th Floor
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

September 23, 2020

James Lunday Controller Tenaska Power Management, LLC 303 E. John Carpenter Freeway, Suite 1100 Irving, TX 75062

Re: Energy Agent Initial Registration

Docket No. EE20070499L

Dear Mr. Lunday:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its September 23, 2020 Agenda meeting, the New Jersey Board of Public Utilities voted to issue the **REGISTRATION** as an Energy Agent to Tenaska Power Management, LLC. The company's registration number is EA-0653.

This registration is effective September 23, 2020 and will expire on September 22, 2021. This registration and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Darren Erbe at (609) 292-1406.

Sincerely,

Aida Camacho-Welch Secretary of the Board

ACW/dee

Joseph L. Fiordaliso President

Mary-Anna Holden Commissioner

Dianne Solomon Commissioner

Upendra Chivukula **Commissioner**

Bob Gordon Commissioner 2/24/2020 Broker Report



Public Utility Commission of Texas

Broker Report

TENASKA POWER MANAGEMENT LLC BR200055

Type: Limited Liability Company, L.L.C

Date Registered: 2/21/2020

DBA Names

No DBA Records

Company Information

Customer Types: Commercial Industrial Municipal

Customer Details:

Contact Information

Company / Physical (Mailing Address)
TENASKA POWER MANAGEMENT LLC
NORMA R. IACOVO
ASSOCIATE GENERAL COUNSEL
300 E JOHN CARPENTER FREEWAY, SUITE
1100
IRVING,TX 75062

Email: niacovo@tnsk.com Phone: 817-462-1607

Docket/Project/Control Numbers

49779-1354 **Type:** PROJECT

Open Date: 2/21/2020

Close Date: Filings:

Note: AIS New broker

End Report

ATTACHMENT VI TECHINCAL FITNESS June 1, 2021

Applicant has contracted with an affiliate, Tenaska, Inc. (Tenaska), as an advisor and consultant, for general administrative services on an as needed basis. These services include, but are not limited to, Accounting, Contract Administration, Communications, Management Services, and Computer Support Services. Tenaska provides similar services to Tenaska Power Services Co. (TPS).

Applicant has also contracted with TPS for technical services related to accessing the wholesale power markets and managing information and data flows. TPS is a leading nationwide power marketing firm, engaged in the physical and financial trading of wholesale power throughout the United States and in Canada. It is certified to provide scheduling, settlement and market interface services in ERCOT, MISO, PJM, NYISO, SPP, CAISO and ISO-NE. TPS assists independent power producers, municipal and cooperative utilities, large industrial customers and competitive retailers in meeting load obligations and optimizing resource value by performing a broad range of power marketing services, including trading congestion revenue rights, Renewable Energy Certificates and emission allowances. TPS coordinates purchase and sale of electric capacity, energy and ancillary services, as well as energy risk management and financial and physical hedging, for its third-party clients, both renewable (wind, solar and landfill gas) and fossil fueled. TPS also offers scheduling, bidding and market interface and operations, including customized accounting, settlement, invoicing and reporting services and round-the-clock trading operations. TPS will provide Applicant with a round the clock market interface, and assist Applicant with acquisition of energy supplies, scheduling, hedging, meeting renewable portfolio requirements, and customer settlements.

The following key personnel will provide services in their designated areas of responsibility, will supervise personnel reporting to them in their respective areas to ensure provision of services, and will be responsible for managing the contract relationship with TPM and with TPS for their respective functions to coordinate the provision of services.

Curry Aldridge

Curry Aldridge is the Sr. Vice President of Origination and Commercial Operations for TPM, who is tasked with supervising TPM's day-to-day operations, and leading its entrance into the retail electric market space. He will be responsible for managing TPM's service contracts with Tenaska and TPS, and for managing the team of Tenaska and TPS professionals assigned to supporting TPM.

Curry joined Tenaska in February 2000. During his time at Tenaska, Curry worked on the 24-hour Real-time Trading/Scheduling desk for three years, where he was responsible for trading and scheduling activities in multiple markets. In February 2003, Curry began working in the Origination group focusing on the ERCOT market where he was responsible for signing long-term marketing and energy management structured transactions with both wholesale and retail third parties. During this time, Curry held the proxy vote for TPS on the Retail Market Subcommittee in 2003-2004 and served as the Independent Power Marketer segment member in 2005. He was also a NERC-certified System Operator from 2003-2008.

In 2007, Curry shifted his origination efforts to the PJM market where he started TPS's retail business in that market, as well as expanding the existing wholesale energy management business. In August 2008, he transitioned over and began managing TPS's energy management business. Responsibilities in this role involved the day-to-day management of scheduling, management and optimization activities for TPS's energy management customers in the ERCOT, MISO, PJM, SERC and SPP power markets. These

duties included directing the incorporation of new energy management customers into TPS's energy management programs and coordinating internally between Tenaska's Operations, Trading, Origination, Settlements, Accounting, Risk Management, and Information Technology groups. From 2010-2011, Curry served as TPS's representative on the ERCOT Wholesale Market Subcommittee as the Independent Power Marketer segment member. During this time in energy management, Curry also was responsible for managing TPS's ERCOT Nodal implementation efforts as well as managing TPS's EMS/SCADA operations.

In February, 2013, Curry became responsible for managing the day-to-day operations of the business. These duties include managing the settlements, accounting, credit, controls, process integrity, contracts, and strategic technology departments.

Curry holds a Bachelor of Business Administration degree in Marketing from Abilene Christian University in Abilene, Texas.

Eli Worley

As Director of Settlements and Customer Support for TPM since January 2019, Ms. Worley, based in Texas, is responsible for the day-to-day settlement activities in multiple power markets.

Ms. Worley joined Tenaska in March 2007 as a Senior Settlements Analyst, being promoted to Supervisor of Settlements in January 2010 and Manager of Settlements in January 2013. Eli has over 15 years of energy industry experience.

Prior to joining Tenaska, Ms. Worley was Manager of Information Technology for First Choice Power, a Texas Retail Provider, from January 2004 to March 2007. Eli was responsible for development, implementation and maintenance of electronic utility billing, and established the process and tools to reconcile Retail Data Extract Variances. Ms. Worley is active in Settlement User market stakeholder meetings, and she has participated in multiple working groups and various committees, as well as served in a project manager role.

James Lunday

James Lunday serves as the Controller for TPM. James is responsible for all accounting functions, including customer billing, accounts receivable and payable, and financial reporting. He has extensive experience in accounting for transactions and services in the electric energy industry.

James joined Tenaska in 2011, and prior to that was employed by TXU Energy where he was responsible for financial reporting for the retail electricity business. James previously held multiple other accounting positions in non-energy industries during his career.

James is a Certified Public Accountant and a Certified Global Management Accountant with active CPA licenses in the States of Texas and Oklahoma. He is a member of the American Institute of Certified Public Accountants and the Oklahoma and Texas state-level CPA organizations. He earned a Bachelor of Science in Business Administration, majoring in accounting, from Missouri Southern State University and an MBA at Texas Christian University.

John Swope, CFA

John Swope serves as Director of Origination for the Northeastern Region of the US for TPM, where he is responsible for the Origination/Marketing function involving generators, municipals, utilities, marketers and load serving entities in these markets.

Prior to joining Tenaska in January 2015, John worked at Pennsylvania Power and Light Co. for 7 years. As a Senior Originator there, he managed a multi-thousand MW Load Portfolio across 3 ISOs. Prior to that, John held commercial positions in energy, banking and risk management in several major Fortune 500 companies.

John served as a U.S. Navy Nuclear Submarine Officer from 1990 to 1995, and is certified as a Nuclear Engineer by the Department of Energy. John earned a Bachelor of Science degree in Physics from Georgetown University in 1990, and an MBA from the MIT Sloan School of Management in 1999. John was awarded the Chartered Financial Analyst charter in 2006.