|  |  |  |
| --- | --- | --- |
| PUC logo | COMMONWEALTH OF PENNSYLVANIA  PENNSYLVANIA PUBLIC UTILITY COMMISSION  400 NORTH STREET, HARRISBURG, PA 17120 | **IN REPLY PLEASE REFER TO OUR FILE** |

August 5, 2021

Docket No. A-2021-3026759

Utility Code: 1117940

**EMAIL**

DAN CROSBY MANAGING DIRECTOR

LEGEND ENERGY ADVISORS LLC

1 PENNSYLVANIA PLAZA STE 618

NEW YORK NY 10119

RE: Electric Generation Supplier License Application

Dear Mr. Crosby:

On June 24, 2021, the Public Utility Commission accepted Legend Energy Advisors, LLC’s application for an Electric Generation Supplier license. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please be advised that you are directed to forward the requested information to the Commission within **30** days from the date of this letter. Failure to respond may result in the application being denied. As well, if Legend Energy Advisors, LLC has decided to withdraw its application, please reply notifying the Commission of such a decision.

Please forward the information to the Secretary of the Commission at the address listed below. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

|  |
| --- |
| Rosemary Chiavetta, Secretary |
| Pennsylvania Public Utility Commission |
| 400 North Street |
| Harrisburg, PA 17120 |

**Your answers should be verified per 52 Pa Code § 1.36.** Accordingly, you must provide the following statement with your responses:

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter.  I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Jeremy Haring at [jharing@pa.gov](mailto:jharing@pa.gov). Please direct any questions to Jeremy Haring, Bureau of Technical Utility Services, at [jharing@pa.gov](mailto:jharing@pa.gov) (preferred) or (717) 783-6175.

**** Sincerely,

Rosemary Chiavetta

Secretary

Enclosure

Docket No. A-2021-3026759

Legend Energy Advisors, LLC

Data Request-Set 3

1. Reference Application, Section 5.c, Customer/Regulatory/Prosecutory Actions – Applicant failed to list the cancellation of its prior EGS License (Docket No. A-2015-2497630) for financial security EGS regulation non-compliance. Please file an updated Application page with the requested information.