Stevens & Lee

17 N. Second Street, 16th Floor Harrisburg, PA 17101 (717) 234-1090 www.stevenslee.com

> Direct Dial: (717) 255-7365 Email: michael.gruin@stevenslee.com

Direct Fax: (610) 988-0852

August 4, 2021

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2nd Floor Harrisburg, PA 17120

RE: Natural Gas Supplier License Application of Catalyst Power & Gas LLC
Docket No. A-2021-3026900

Dear Secretary Chiavetta:

Enclosed please find the verified responses of Catalyst Power & Gas LLC to the Data Requests issued in the above-captioned matter. Please note that one of the enclosures to the responses includes confidential information and has been marked as such and is being submitted separately for filing under seal.

Should you have any questions, please feel free to contact me.

Best Regards,

STEVENS & LEE

Michael A. Gruin

Enclosure

Docket No. A-2021-3026900 Catalyst Power & Gas LLC Responses to Data Requests - NGS Application

1. Reference Application, Section 1.a, Identity of Applicant – Applicant stated throughout the Application that the name of the applicant is Catalyst Power & Gas, LLC. This is not consistent with the Pennsylvania Department of State filing which shows the company's name as Catalyst Power & Gas LLC (containing no comma). Please either provide a corrected application page or submit a letter stating that the application contains numerous typographical errors and stating the correct name of the applicant consistent with the Pennsylvania Department of State.

RESPONSE: See attached letter confirming that in all places in the Application and the accompanying Exhibits, whenever the name "Catalyst Power & Gas, LLC" appears, it should considered to read "Catalyst Power & Gas LLC". See also revised Application page No. 1, attached hereto.

2. Reference Application, Section 1.a, Identity of Applicant – Applicant failed to provide the applicant's web address. Please provide an updated application page with the appropriate corrections.

RESPONSE: See revised Application page No. 1, attached hereto.

3. Reference Application, Section 3.a, Affiliates – Applicant failed to list all of its affiliates. Applicant should list all entities from its organization chart in this section, including its parent company Catalyst Power Holdings, LLC. Please provide an updated application page with the appropriate corrections.

RESPONSE: See revised Application page Nos. 3 and 4 attached hereto.

4. Reference Application, Section 6.b, Certificate of Service – Applicant provided a Certificate of Service showing proof of service to the electric distribution companies rather than the natural gas distribution companies (NGDCs). Please provide an updated Certificate of Service showing proof of service to the NGDCs.

RESPONSE: See copy of Certificate of Service attached hereto

5. Reference Application, Section 7.a, Financial Fitness – Applicant failed to provide a natural gas distribution company bonding letter for each utility in whose service territory it wishes to operate. Please provide the missing documentation.

RESPONSE: See NGDC bonding letters attached hereto

6. Reference Application, Section 7.b, Financial Fitness – Please provide a notarized letter of financial responsibility in which Catalyst Power Holdings, LLC assumes financial responsibility for applicant.

RESPONSE: See attached Financial Responsibility Letter

7. Reference Application, Section 7.c, Supplier Funding Method – Please provide the full credit agreement for funding sources dedicated by BP Capital Energy Advisors, LLC (BP). Please also include financial statements for BP and a notarized letter in which BP states that its financial position has not changed substantially since their November 5, 2020 commitment letter.

RESPONSE: See BP Capital Energy Advisors, LLC FORM ADV and other publicly available on the Securities and Exchange Commission website at: https://adviserinfo.sec.gov/firm/summary/167087 which contains the publicly available financial information in Application Items 5.D. and 5.F.financial information regarding. See also updated Confidential financial position letter attached hereto.

8. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide a license for each state in which it operates in the electric or natural gas industries. Please provide the missing documentation.

RESPONSE: Applicant has recently received licenses to serve as a Competitive Electricity Supplier and as a Gas Supplier from the Commonwealth of Massachusetts Department of Public Utilities, and has received a license from the Connecticut Public Utilities Regulatory Authority (PURA) to serve as an Electricity Supplier and has registered as a Natural Gas Seller with the PURA in Connecticut. See copies of the referenced licensed, attached hereto.

9. Reference Application, Section 11.a, Application Affidavit – Applicant failed to submit an Application Affidavit. Please submit a complete Application Affidavit.

RESPONSE: See attached Application Affidavit

10. Reference Application, Section 11.b, Operations Affidavit – Applicant submitted an Operations Affidavit in which it lists the applicant as 'Catalyst Power & Gas, LLC' rather than 'Catalyst Power & Gas LLC' (note the comma). Please resubmit the Operations Affidavit.

RESPONSE: See attached corrected Operations Affidavit.

VERIFICATION

I, Joel Glassman, holding the position of Chief Operating Office with Catalyst Power & Gas LLC verify that the information provided in the foregoing responses to data requests is true and correct to the best of my knowledge, information, and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that false statements herein are made subject to the penalties of 18 Pa. C.S.A. § 4904, relating to unsworn falsifications to authorities.

Joel Glassman

Date: July 21, 2021

Appendix A

APPLICATION AFFIDAVIT

State of Connecticut :	
: ss.	
County of New Haven :	
Joel Glassman, Affiant, being duly [sworn/affirmed] according to law, deposes and says	that:
He is the Chief Operating Officer of Catalyst Power & Gas LLC;	
That he is authorized to and does make this affidavit for said Applicant;	
That the Applicant herein Cotalyst Power library the burden of producing information and su	pporting
documentation demonstrating its technical and financial fitness to be licensed as an natural gas su § 2208 (c)(1).	pplier pursuant to 66 Pa. C.S.
That the Applicant herein Catalyst Power & Gas LLC has answered the questions on the applica completely and provided supporting documentation as required.	tion correctly, truthfully, and
That the Applicant herein Catalyst Power & Gas LLC acknowledges that it is under a duty to in answer to questions on this application and contained in supporting documents.	update information provided
That the Applicant herein Catalyst Power & Gas LLC acknowledges that it is under a dut provided in answer to questions on this application and contained in supporting documents as rec	
That the facts above set forth are true and correct to the best of his/her knowledge, information expects said Applicant to be able to prove the same at hearing. Signature of Affiant	n, and belief, and that he/she
Sworn and subscribed before me this	
My commission expires <u>Oa/ 28/2026</u> . My commission expires <u>Oa/ 28/2026</u> .	

Appendix B

OPERATIONS AFFIDAVIT

State	of Connecticut	•
Otate	Of Confidential	•

: SS.

County of New Haven

Joel Glassman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the Chief Operating Officer of Catalyst Power & Gas LLC;

That he is authorized to and does make this affidavit for said Applicant;

That Catalyst Power & Gas LLC, the Applicant herein, acknowledges that Applicant may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Catalyst Power & Gas LLC, the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Catalyst Power & Gas LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

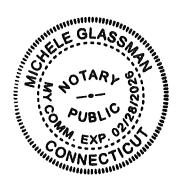
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Catalyst Power & Gas LLC the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

My commission expires OR R AS AOACE



CATALYST POWER & GAS LLC

July 21, 2021

Secretary Rosemary Chiavetta Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17101

Re: Catalyst Power & Gas LLC – EGS and NGS Application Corrections

Dear Secretary Chiavetta:

I am the Chief Operating Officer of Catalyst Power & Gas LLC. It has come to my attention that in several places in the Electric Generation Supplier ("EGS") and Natural Gas Supplier ("NGS") Applications of Catalyst Power & Gas LLC ("Applicant") and the accompanying Exhibits, the name of the Applicant mistakenly included a comma. This letter will confirm that in the EGS and NGS applications and Exhibits, whenever the name "Catalyst Power & Gas, LLC" appears, it should considered to read "Catalyst Power & Gas LLC"

Should you have any additional questions or concerns, please feel free to contact me.

VERIFICATION

I, Joel Glassman, holding the position of Chief Operating Office with Catalyst Power & Gas LLC verify that the information provided in the foregoing responses to data requests is true and correct to the best of my knowledge, information, and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that false statements herein are made subject to the penalties of 18 Pa. C.S.A. § 4904, relating to unsworn falsifications to authorities.

Noel Glassman

Date: July 21, 2021

CATALYST POWER HOLDINGS LLC

July 21, 2021

Secretary Rosemary Chiavetta Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17101

Re: Financial Responsibility for Catalyst Power & Gas LLC

Dear Secretary Chiavetta:

I am the Chief Operating Officer of Catalyst Power Holdings LLC. This letter will confirm that Catalyst Power Holdings LLC will assume full financial responsibility for its subsidiary, Catalyst Power & Gas LLC, for Catalyst Power & Gas LLC's operations as an Electric Generation Supplier ("EGS") and a Natural Gas Supplier ("NGS") within the Commonwealth of Pennsylvania.

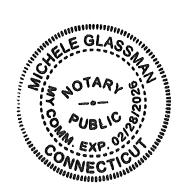
Should you have any additional questions or concerns, please feel free to contact me.

Joel Glassman

Sworn and subscribed before me this 21 st day of UV4

Signature of official administering oath M. & C.

My commission expires 02/98/2026



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Catalyst Power & Gas LLC, d/b/a	, for approval to offer, render,
furnish, or supply natural gas supply services as a(n)_	Supplier to the public in the Commonwealth of
Pennsylvania (Pennsylvania).	•

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Catalyst Power & Gas LLC 600 Franklin Ave., # 268 Garden City, NY 11530 516-534-5086 www.catalystpower.com

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Company 2595 Interstate Drive, Suite 103 Harrisburg, PA 17110 1-800-927-9800

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom guestions about this Application should be addressed.

Joel Glassman, COO 600 Franklin Ave., #268 Garden City, NY 11530 516-464-0726 regulatory@catalystpower.com

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Michael Gruin Stevens & Lee 17 N. 2nd St., 16th Fl. Harrisburg, PA 17101 t- 717 -255-7365; f- 610-988-0852 email mag@stevenslee.com e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs. Joel Glassman, 600 Franklin Ave., #268, Garden City, NY 11530, t-888-789-7250, f-516-252-1275, joel@catalystpower.com Linda Beckinghausen, 600 Franklin Ave., #268, Garden City, NY 11530, t-888-789-7250, f-516-252-1275, customercare@catalystpower.com 2. **BUSINESS ENTITY FILINGS AND REGISTRATION** FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.) a. ■ The Applicant will be using a fictitious name or doing business as ("d/b/a") Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311. Or X The Applicant will not be using a fictitious name. b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.) The Applicant is a sole proprietor. If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements. Or The Applicant is a: domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511)

foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201)

foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)			
 Provide proof of compliance with appropriate Department of State filing requirements as indicated above. 			
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.			
 Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation. 			
 * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa C.S. §4124. 			
or			
The Applicant is a:			
domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913)			
X foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):			
 Provide proof of compliance with appropriate Department of State filing requirements as indicated above. 			
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.			
- Give name and address of officers.			
See Department of State filings and Applicant's Delaware Certificate of Formation attached hereto as Exhibit 1.			
Officers: Gabriel Phillips,CEO , Dara Phillips, CFO, Joel Glassman, COO.			
The address for all officers is 600 Franklin Ave., #268 Garden City, NY 11530			

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business,

explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant has an affiliate, U.S. Energy Partners LLC d/b/a Catalyst Power, that is licensed as an Energy Supplier in the State of New York, and it is not a jurisdictional public utility. Furthermore, as reflected on Exhibit 2, the Applicant also has the following corporate affiliates, none of which are jurisdictional public utilities. BP-Catalyst Power Holdings LLC, GP Energy Ventures LLC, Catalyst Power Parent LLC, Catalyst Power Manager LLC, Catalyst Power E&O LLC, Catalyst Power Holdings, LLC, Catalyst Power REPCo LLC, Catalyst Power LLC, and Catalyst Power AssetCo LLC. Applicant has no affiliates that are currently applying to do business in Pennsylvania. All of the Affiliates listed have an address of 600 Franklin Ave., #268, Garden City, NY 11530

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Not Applicable

4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

Definitions

- Supplier an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a		
natural gas interstate pipeline municipality providing service outside its municipal limits local gas distribution company retail supplier of natural gas services in the Commonwealth a natural gas producer a broker/marketer engaged in the business of supplying natural gas services Other. (Identify the nature of service being rendered)		



UGI Utilities, Inc. 1 UGI Drive Denver, PA 17517

610-796-3400

VIA E-MAIL

July 20, 2021

Catalyst Power & Gas, LLC 17 N. 2nd Street, 16th Floor Harrisburg, PA 17101

ATTENTION:

Michael A. Gruin, Esquire

Michael.gruin@stevenslee.com

RE: UGI Financial Security Requirements

Dear Mr. Gruin,

UGI Utilities, Inc.–Gas Division (hereinafter "UGIU") has reviewed the application of Catalyst Power & Gas, LLC (hereinafter "Catalyst") for approval to operate as a Natural Gas Supplier. Based on this initial review, Catalyst must post security as specified in the UGIU Supplier Coordination Tariff before it serves customers on the UGIU distribution systems. Such security forms and amounts can be found in Section 8 of UGIU's Gas Choice Tariff No. 7S at https://ugi.outsystemsenterprise.com/UGITariff FO/Tariffs. Once such security is posted with UGIU, Catalyst will have demonstrated adequate creditworthiness to UGIU in order to operate as a Natural Gas Supplier on the UGIU distribution system.

This determination may change in the event there is a material deterioration in Catalyst's financial condition, if Catalyst's obligations to UGIU exceed the amount of the financial security provided, if the financial security is withdrawn or is deemed to be null and void or inadequate due to the material financial deterioration of any guarantor, or if Catalyst fails to abide by the terms and conditions of the UGIU Gas Tariff and the UGIU Natural Gas Supplier Coordination Tariff.

Please feel free to contact me with any additional questions you may have.

Sincerely,

Sherry Epler

Senior Manager

Shurry Epler

Tariff & Supplier Administration

SE/rks



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

DATE 7/20/21

Michael Gruin, Esq. 17 N. 2nd Street, 16th Floor Harrisburg, PA 17101

Michael.gruin@stevenslee.com

Dear Mr. Gruin:

Re: Security Requirement for Catalyst Power & Gas LLC

Philadelphia Gas Works ("PGW") is aware that Catalyst Power & Gas LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the service territory of Philadelphia Gas Works. You have stated that, in performing these services, Catalyst Power & Gas LLC will take title to any delivered natural gas.

Under its tariff, Philadelphia Gas Works could require Catalyst Power & Gas LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate. Upon final approval of your application to PGW and prior to enrolling customers, security in the first year for firm pools will be required based on your estimated firm pool size. It will be updated annually based on actual firm pool size.

At this time, Catalyst Power & Gas LLC does not need to post a bond or other form of security.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

John Zuk

Sr. Vice President, Gas Management

/dls



July 20, 2021

Joel Glassman, COO Catalyst Power & Gas, LLC 600 Franklin Avenue, #268 Garden City, NY 11530

RE: Catalyst Power & Gas, LLC

Dear Joel,

Pursuant to 66 Pa. C. S. § 2208 (c), an applicant for a natural gas supplier license in the Commonwealth of Pennsylvania must furnish security to each utility where the supplier will do business to ensure the financial responsibility of such natural gas supplier. To this end, National Fuel Gas Distribution Corporation ("National Fuel") will perform a credit review and analysis of Catalyst Power & Gas, LLC ("CPG") and determine at the appropriate time whether CPG must post a security deposit acceptable to National Fuel in order to operate as a supplier on National Fuel's system.

CPG's security requirement to serve Pennsylvania customers is dependent on the type of transportation service utilized by CPG. There is no Natural Gas Supplier (NGS) security requirement for customers that will be enrolled in National Fuel's Purchase of Receivable (POR) program. As such, CPG will not be required to post security for customers enrolled in the POR program. A security deposit will be required for transportation customers not enrolled in the POR program.

Should you have any questions concerning the above, please contact me at 716-857-7541.

Yours truly,

Nicole Barker

Transportation Service Department



August 3, 2021

Catalyst Power & Gas, LLC 600 Franklin Avenue, #268 Garden City, NY 11530

Re: Bonding Requirements

Dear Catalyst Power & Gas, LLC:

PECO is aware that Catalyst Power & Gas, LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application Catalyst Power & Gas, LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Catalyst Power & Gas, LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits.

Therefore, PECO has determined at this time that Catalyst Power & Gas, LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Catalyst Power & Gas, LLC or the creditworthiness requirement for PECO's exposure to Catalyst Power & Gas, LLC changes in the future, PECO reserves the right to require Catalyst Power & Gas, LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at chris.sauerbaum@exeloncorp.com.

Respectfully submitted,

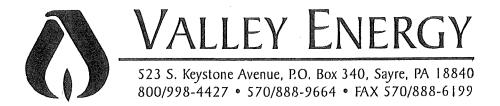
Carlos P. Thillet

Carlos P. Thillet

Manager, Gas Supply and Transportation

2301 Market St S9-1

Philadelphia, Pa 19103



July 28, 2021

Michael A. Gruin STEVENS & LEE A Stevens & Lee/Griffin Company 17 N. 2nd St., 6th FL Harrisburg, PA 17101 mag@stevenslee.com

RE: Catalyst Power & Gas LLC

Dear Mr. Gruin:

We understand that Catalyst Power & Gas LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Catalyst Power & Gas LLC intends to only provide natural gas aggregating, brokering, and consulting services at this time, we have determined that Catalyst Power & Gas LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Catalyst Power & Gas LLC as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664 (Ext. 5232).

Sincerely,

Edward E. Rogers President & CEO

EER/ss

cc: J. Levering, Valley Energy



July 20, 2021

Joel Glassman COO Catalyst Power & Gas LLC 600 Franklin Ave., #268 Garden City, NY 11530

Dear Joel Glassman:

We are pleased that Catalyst Power & Gas LLC has applied for a license to provide Natural Gas Supply Service on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Columbia Gas has performed a creditworthiness evaluation for Catalyst Power & Gas LLC.

Under Paragraph 2.4.1 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas will require the Natural Gas Supplier to provide financial information in order for the Company to establish the Natural Gas Supplier's creditworthiness.

We have determined that Catalyst Power & Gas LLC currently meets Columbia Gas' creditworthiness requirement.

Upon receipt of the required security requirement and the execution of the required agreements Catalyst Power & Gas LLC will satisfy the financial security requirement to provide Natural Gas Supply Service to Columbia Gas customers.

Please feel free to contact me at 614-460-4217 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Kylia Davis

Kylia Davis

Manager of Choice and Transportation Support Services



Carol Scanlon Manager, Rates

Peoples Service Company LLC

Phone: 412-208-6931

Email: Carol.Scanlon@peoples-gas.com

August 4, 2021

Joel Glassman **Chief Operating Officer** Catalyst Power & Gas LLC 600 Franklin Ave, # 268 Garden City, NY 11530

Dear Mr. Glassman:

We are pleased that Catalyst Power & Gas LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, and Peoples Gas Company LLC (formerly Peoples TWP) ("the Companies").

Since Catalyst Power & Gas LLC is not currently serving customers on the Peoples systems, we have determined at this time that Catalyst Power & Gas LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to Catalyst Power & Gas LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at Carol. Scanlon@peoplesgas.com.

Sincerely,

Carol Scanlon Manager, Rates

Peoples Natural Gas Company LLC

Comple Scantal

Cc:

Stephen Kelly Mina Speicher

CERTIFICATE OF SERVICE

On this the 15th day of June 2021, I certify that a true and correct copy of the foregoing filing has been served upon the following by First Class U.S. Mail, postage prepaid:

Office of Consumer Advocate	Office of the Attorney General
5th Floor, Forum Place	Bureau of Consumer Protection
555 Walnut Street	Strawberry Square, 14th Floor
Harrisburg, PA 17120	Harrisburg, PA 17120
Office of Small Business Advocate	Commonwealth of Pennsylvania
Commerce Building, Suite 202	Department of Revenue
300 North Second Street	Bureau of Compliance
Harrisburg, PA 17101	PO Box 281230
	Harrisburg, PA 17128-0946
Columbia Gas of PA, Inc.	National Fuel Gas Distribution Corp.
Transport Support Services	Joanne E. Maciok
290 W. Nationwide Blvd.	6363 Main Street
Columbus, OH 43215	Williamsville, NY 14221
PH: 614.460.4980	PH: 716.857.7670
e-mail: transportevaluations@nisource.com	FAX: 716.857.7479
	e-mail: maciokj@natfuel.com
Peoples Natural Gas Company LLC	PECO
Carol Scanlon	Carlos Thillet, Manager, Gas Supply and
375 North Shore Drive	Transportation
Pittsburgh, PA 15212	2301 Market Street, S9-2
PH: 412.208.6931	Philadelphia, PA 19103
FAX: 412.208.6577	PH: 215.841.6452
e-mail: Carol.Scanlon@peoples-gas.com	Email: carlos.thillet@exeloncorp.com
Peoples Gas Company LLC	Philadelphia Gas Works
Carol Scanlon	Ryan Reeves, Director Supply
375 North Shore Drive	Transportation & Control
Pittsburgh, PA 15212	800 West Montgomery Avenue
PH: 412.208.6931	Philadelphia, PA 19122
FAX: 412.208.6577	PH: 215.787.5103
e-mail: Carol.Scanlon@peoples-gas.com	email: pgwchoicesupply@pgworks.com
Valley Energy Inc.	UGI Utilities, Inc. – Gas Division
Ed Rogers	Sherry Epler
523 South Keystone Avenue	1 UGI Drive
Sayre, PA 18840-0340	Denver, PA 17517
PH: 570.888-9664	PH: 610.796.3447
FAX: 570.888.6199	Email: sepler@ugi.com
email: erogers@ctenterprises.org	

DATE: June 15, 2021

Michael A. Gruin, Esq.

Muchal A Com



THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

KATHLEEN A. THEOHARIDES SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 MATTHEW H. NELSON CHAIR

ROBERT E. HAYDEN COMMISSIONER

CECILE M. FRASER COMMISSIONER

March 19, 2021

Mr. Jeremy Schupp Catalyst Power and Gas, LLC 600 Franklin Avenue, #268 Garden City, NY 11530

RE: Competitive Supplier License Application

Dear Mr. Schupp,

The Department of Public Utilities ("Department") has reviewed the application of Catalyst Power and Gas, LLC ("Catalyst" or "Company") for a Competitive Supplier license in the Commonwealth of Massachusetts, and is pleased to inform you that the Department has approved the application. Catalyst's license number is **CS-191**.

Consistent with the information provided in Catalyst's application, the activities the Company is licensed to provide are limited to electricity supply services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity supplier services to residential customers, it must first seek and obtain Department approval when renewing its license.

As a condition of maintaining this license, Catalyst must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00 et seq. In addition, within 30 days of any material change in the information required by 220 CMR 11.05(2), the Company must file updated information with

FAX: (617) 345-9101 www.mass.gov/dpu

the Department. If Catalyst requests a renewal of its license next year, please submit the renewal application no later than July 1, 2021.

By Order of the Department,

Matthew H. Melson, Chairman

Robert E. Hayden, Commissioner

Cecile M. Fraser, Commissioner



THE COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E, POLITO LIEUTENANT GOVERNOR

KATHLEEN A. THEOHARIDES SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 MATTHEW IL WELSON CHAIR

ROBERT E. HAYDEN COMMISSIONER

CECILE M. FRABER COMMISSIONER

March 22, 2021

Gabriel Phillips Catalyst Power & Gas, LLC 600 Franklin Ave # 268 Garden City, NY 11530

Mr. Phillips,

The Department of Public Utilities has reviewed Catalyst Power & Gas, LLC's ("Catalyst") application for a Gas Supplier license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Catalyst's license number is **GS-073**.

As a condition of maintaining its license, Catalyst must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00. If you decide to request renewal of Catalyst's license next year, please submit a renewal application no later than July 1, 2021.

Sincerely,

Mark D. Marini, Secretary

PUEL STATE

STATE OF CONNECTICUT



PUBLIC UTILITIES REGULATORY AUTHORITY TEN FRANKLIN SQUARE NEW BRITAIN, CT 06051

DOCKET NO. 21-03-02 APPLICATION OF CATALYST POWER & GAS, LLC FOR A CONNECTICUT ELECTRIC SUPPLIER LICENSE

June 23, 2021

DECISION

On March 1, 2021, the Public Utilities Regulatory Authority (Authority or PURA) received an application (Application) from Catalyst Power & Gas, LLC (Catalyst or Company) requesting that the Authority grant it an Electric Supplier License to serve business customers in Connecticut.

The record of this docket includes information regarding the Company's intention to engage in other energy related businesses in Connecticut. Specifically, Catalyst will develop distributed generation and connected microgrid projects, some of which may be solar projects. Furthermore, in response to EOE-4, the Company states, in part:

"...currently, Catalyst has no plans to develop any microgrid projects for any entity that is not also a customer or prospective customer of Catalyst's for electricity supply."

Catalyst's intention to offer microgrid development, solar installation and electric supply to the same customer(s) raises concerns about marketing tactics and consumer protections. Therefore the Company will be required, by Docket Order, to notify the Authority prior to initiating any such intermingled energy projects for any Connecticut customer.

The Authority has reviewed all of the information in the record pursuant to § 16-245 of the General Statutes of Connecticut (Conn. Gen. Stat.). Based on that review, the Authority finds that Catalyst has satisfied all of the application requirements and that it possesses the technical, financial, and managerial capability to operate as an Electric Supplier. The Company is granted an Electric Supplier License to serve business customers effective as of the date of this Decision but subject to the Orders below. The Company's website is https://www.catalystpower.com and toll free customer service number is 888-789-7250. The Authority may, at a future date, convene a Technical Meeting with Catalyst to review the requirements for Self-Reporting to the EnergizeCT

Rate Board, or to review Connecticut supplier licensing, filing and marketing requirements or other topics.

Catalyst is advised to consult any Decision(s) and/or evidence in the following PURA Dockets before marketing in Connecticut. This information is intended to guide the Company as to the proper conduct of suppliers and other requirements to operate as a supplier in Connecticut. This listing does not reflect a complete list of all requirements and is provided as a reference and in addition to the Statutes and Regulations that govern the operation of Connecticut suppliers.

- Docket No. 98-06-17, <u>DPUC Investigation Into Billing and Metering Protocols and Appropriate Cost Sharing Allocations Among Electric Distribution Companies and Electric Suppliers;</u>
- Docket No. 05-08-05RE02, <u>DPUC Investigation into the Process by Which Customers</u>
 <u>Can Choose an Electric Supplier When Initiating Electric Service Amended Referral Program;</u>
- Docket No. 06-10-22, <u>PURA Monitoring the State of Competition in the Electric</u> Industry;
- Docket No. 07-01-21, <u>DPUC Declaratory Ruling RE: Release of Customer Information</u> Pursuant to Conn. <u>Gen. Stat. 16-245o</u>;
- Docket No. 07-05-33, <u>DPUC Administration of Disclosure Label Requirements and Examination of Direct Billing by Electric Suppliers</u>;
- Docket No. 10-06-24, <u>DPUC Review of the Current Status of the Competitive Supplier and Aggregator Market in Connecticut and Marketing Practices and Conduct of Participants in that Market;</u>
- Docket No. 13-07-18, <u>PURA Establishment of Rules for Electric Suppliers and Electric Distribution Companies Concerning Operations and Marketing in the Electric Retail Market;</u>
- Docket No. 14-07-17, <u>PURA Development of Standard Summary Form of Material</u> Contract Terms:
- Docket No. 14-07-19, <u>PURA Investigation Into Redesign of the Residential Billing</u> Format;
- Docket No. 14-07-19RE01, <u>PURA Investigation into Redesign of Residential Electric</u> Billing Format Billing Format Clarification;
- Docket No. 14-07-19RE05, <u>PURA Investigation into Redesign of Residential Electric</u> Billing Format Review of Summary Information, Implementation and Display; and,
- Docket No. 15-06-15, PURA Variable Electric Rate Study;

ORDERS FOR COMPANY

To comply with the Orders below, the Company shall file an electronic version of each requested document through the Authority's website at www.ct.gov/pura. Submissions filed in compliance with the Authority's Orders must be identified by all three of the following: Docket Number, Title, and Order number.

- 1. On or before thirty days from the date of this Decision, and before it begins marketing in Connecticut, Catalyst shall submit to the Authority the website address for Catalyst's Connecticut operating website which shall provide all of the information as required by the Authority's Decision dated November 5, 2014, in Docket No. 13-07-18, PURA Establishment of Rules for Electric Suppliers and Electric Distribution Companies Concerning Operations and Marketing in the Electric Retail Market. (2014 Decision), p. 13. These requirements are:
 - The supplier's official name and trade name(s), if any;
 - All PURA docket numbers and titles pertaining specifically to the supplier to show its regulatory history in Connecticut, including all licensing and relicensing dockets and history of dockets of companies acquired through mergers or license transfers, and all PURA investigation dockets that have been concluded;
 - Customer service contact information, including a phone number at which a live company representative(s) (not an answering service) must be available during normal business hours;
 - PURA contact information; and
 - A list of, and information concerning, all generally available offers, renewable products and information about the source of renewable energy (e.g. RECs), standard contracts, and enrollment forms.

The Authority must approve the website <u>before</u> Catalyst may begin marketing to customers.

- 2. Catalyst shall comply with relevant statutes, regulations and Authority Decisions, some of which are more specifically identified herein. Catalyst maintains an obligation to remain current as to changes in statutes, regulations, or other applicable administrative law.
- 3. Catalyst shall submit a complete Third Party Agent Form pursuant to Conn. Gen. Stat. §16-245o(h)(9) as discussed in the 2014 Decision, p. 30. Such form shall be filed as Compliance, identified as "Third Party Agent Form" and submitted in Catalyst's licensing Docket No. 21-03-02 before Catalyst begins marketing in Connecticut and as of October 15th annually thereafter in Docket No. 21-03-02. The Third Party Agent form can be found via the Authority's website at www.ct.gov/pura/forms.
- 4. Catalyst shall submit a complete Company Contact Information Form as discussed in the 2014 Decision, p. 31. Such form shall be filed as Compliance, identified as "Company Contact Information" and submitted in Catalyst's licensing Docket No. 21-03-02 before Catalyst begins marketing in Connecticut and as of October 15th annually or within ten days of any changes to the information thereafter in Docket No. 21-03-02. The Company Contact Information form can be found via the PURA website at www.ct.gov/pura/forms.

- 5. Within 20 days of the date of this Decision and by September 15th annually thereafter, Catalyst shall file an updated Disclosure Label as discussed in the Decision dated February 27, 2008, in Docket No. 07-05-33, <u>DPUC Administration of Disclosure Label Requirements and Examination of Direct Billing by Electric Suppliers</u>, Section II.A. <u>Statutory Requirements Regarding Disclosure</u> and Order No. 3. Such information shall be filed as Compliance, identified as "Disclosure Label" and submitted in Catalyst's licensing docket, Docket No. 21-03-02. The Disclosure Label Forms for electric suppliers and Distribution Companies form can be found via the Authority's website at www.ct.gov/pura/forms.
- 6. Catalyst is under a continuing obligation to notify the Authority of any changes to the information provided in the Application. The Company shall file any updates in its original licensing docket. This includes changes in management personnel or changes in financial conditions.
- 7. Catalyst shall upload (Self-Report) all generally available business generation rate offers to the EnergizeCT.com website. See correspondence dated August 25, 2017, in PURA Docket No. 13-07-18. See also the 2014 Decision, p. 4. Catalyst must contact the Authority to obtain its Self-Reporting credentials. Further information on Self-Reporting can be found at the PURA/Supplier Login link at https://www.energizect.com.
- 8. On or before January 30th of each year, Catalyst shall file with the Authority the annual report required by Conn. Gen. Stat. §16-245p(a), including all information specified in Conn. Gen. Stat. §16-245p(b). Such information shall be filed as Compliance, identified as "Annual Report" and submitted in Catalyst's licensing docket, Docket No. 21-03-02.
- 9. On or before October 15th of each year, Catalyst shall file with the Authority the annual report demonstrating compliance with Connecticut's renewable energy portfolio requirements required by Conn. Agencies Regs. §16-245a-1(a) and Conn. Gen. Stat. §16-243q. Such information shall be filed as Compliance, identified as "RPS Information" and submitted in the annual RPS Compliance Docket established by the Authority.
- 10. Not less than 20 days before Catalyst executes its first contract for the sale of electric generation services to an end-user customer in Connecticut, the Company shall file with the Authority an affidavit concerning its capability to exchange data with the electric distribution companies in accordance with Conn. Agencies Regs. §16-245-3(b). Catalyst is encouraged to participate in the Electronic Business Transaction (EBT) Working Group. Information regarding the EBT Working Group is available via the PURA website, www.ct.gov/pura.
- 11. Catalyst shall provide the Authority with any changes to its customer service practices, procedures or policies in writing at least 10 business days prior to the effective date of such changes. Such information shall be filed as Compliance,

- identified as "Customer Service Practices" and submitted in Catalyst's licensing docket, Docket No. 21-03-02.
- 12. Pursuant to the Conn. Agencies Regs. §16-245-2(g)(1), Catalyst shall maintain all records of customer complaints for a minimum of three (3) years from the date of the complaint. The Company shall maintain its customer complaint records to indicate: (1) the date of the complaint; (2) the name and address of the complainant; (3) the address or location of the complaint; (4) a description of the complaint; and (5) a description of the resolution of the complaint. A customer complaint is defined as any inquiry or expression of dissatisfaction from a customer.
- 13. Catalyst shall not begin marketing activities or enrolling customers in Connecticut until all of its forms and standard contracts have been submitted to and approved by the Authority in writing.
- 14. Catalyst must inform the Authority, by Correspondence in its licensing Docket No. 21-03-02, of its intention to develop distributed generation or connected microgrid projects for any of its existing electric supply customers; its intention to offer electric supply to any of its existing distributed generation or connected microgrid customers; or its intention to initiate a distributed generation or connected microgrid project which would also include electric supply. The Authority may require Catalyst to provide marketing materials, contracts, and terms and conditions for any of these customer projects.
- 15. Pursuant to the Conn. Agencies Regs. §16-245-2, Catalyst is required to file an application for a periodic review of its electric supplier license. Catalyst shall submit it five-year license review no later than forty-five days prior to five years after the date of this Decision. The Electric Supplier Review Application is available via the PURA website, https://portal.ct.gov/PURA/Electric/Information-for-Electric-Suppliers.

DOCKET NO. 21-03-02 APPLICATION OF CATALYST POWER & GAS, LLC FOR A CONNECTICUT ELECTRIC SUPPLIER LICENSE

This Decision is adopted by the following Commissioners:

Marissa P. Gillett

John W. Betkoski, III

Michael A. Caron

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Public Utilities Regulatory Authority, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

Jeffrey R. Gaudiosi, Esq.

Executive Secretary

Public Utilities Regulatory Authority

June 23, 2021

Date

STATE OF CONNECTICUT

PUBLIC UTILITIES REGULATORY AUTHORITY OFFICE OF EDUCATION, OUTREACH & ENFORCEMENT

March 17, 2021 In reply, refer to: EOE: DMD

Ms. Dara Phillips Catalyst Power & Gas, LLC 600 Franklin Avenue #268 Garden City, NY 11530

Re: Natural Gas Seller Registration Number 21-02

Dear Ms. Phillips:

On March 1, 2021, the Public Utilities Regulatory Authority (Authority) received from Catalyst Power & Gas, LLC (Catalyst or Company) a natural gas seller registration application, a \$500 application fee and a copy of a Surety Bond number 404236311 in the amount of \$100,000.

The Authority finds that Catalyst satisfied the registration requirements and hereby issues the Company a natural gas registration for the period through September 30, 2021. The registration number for Catalyst is 21-02. Please note that in order to continue registration beyond September 30, 2021, the Company must submit to the Authority a renewal application and a \$500 application fee prior to July 15, 2021.

Sincerely,

PUBLIC UTILITIES REGULATORY AUTHORITY Office of Education, Outreach and Enforcement