

Stevens & Lee

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Harrisburg, PA 17101
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Direct Dial: (717) 255-7365
Email: michael.gruin@stevenslee.com
Direct Fax: (610) 988-0852

July 28, 2021

VIA ELECTRONIC FILING

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor
Harrisburg, PA 17120

RE: Electric Generation Supplier License Application of Catalyst Power & Gas LLC
Docket No. A-2021-3026905

Dear Secretary Chiavetta:

Enclosed the verified responses of Catalyst Power & Gas LLC to the Data Requests issued in the above-captioned matter. Please note that one of the enclosures to the responses includes confidential information and has been marked as such and is being submitted separately for filing under seal.

Should you have any questions, please feel free to contact me.

Best Regards,

STEVENS & LEE



Michael A. Gruin

Enclosure

**Docket No. A-2021-306905
Catalyst Power & Gas LLC
Responses to Data Requests – EGS Application**

1. Reference Application, Section 1.a, Identity of Applicant – Applicant stated throughout the application that the name of the applicant is Catalyst Power & Gas, LLC. This is not consistent with the Pennsylvania Department of State filing which shows the company’s name as Catalyst Power & Gas LLC (containing no comma). Please either provide a corrected application page or submit a letter stating that the application contains numerous typographical errors and stating the correct name of the applicant consistent with the Pennsylvania Department of State.

RESPONSE: See attached letter confirming that in all places in the Application and the accompanying Exhibits, whenever the name “Catalyst Power & Gas, LLC” appears, it should be considered to read “Catalyst Power & Gas LLC”. See also revised Application page No. 1, attached hereto.

2. Reference Application, Section 1.a, Identity of Applicant – Applicant failed to provide the applicant’s web address. Please provide an updated application page with the appropriate corrections.

RESPONSE: See revised Application page No. 1, attached hereto.

3. Reference Application, Section 3.a, Affiliates – Applicant failed to list all of its affiliates. Applicant should list all entities from its organization chart in this section, including its parent company Catalyst Power Holdings, LLC. Please provide an updated application page with the appropriate corrections.

RESPONSE: See revised Application page No. 3, attached hereto.

4. Reference Application, Section 7.a, Financial Fitness – Applicant provided a financial security instrument in which it lists its name as ‘Catalyst Power & Gas, LLC’ rather than ‘Catalyst Power & Gas LLC’ (no comma). Please provide an updated financial security instrument displaying applicant’s name as ‘Catalyst Power & Gas LLC’.

RESPONSE: See updated bond, enclosed herewith. The original bond has been submitted directly to the Commission Secretary.

5. Reference Application, Section 7.b, Financial Fitness – Please provide a notarized letter of financial responsibility in which Catalyst Power Holdings, LLC assumes financial responsibility for applicant.

RESPONSE: See attached Financial Responsibility Letter

6. Reference Application, Section 7.c, Supplier Funding Method – Please provide the full credit agreement for funding sources dedicated by BP Capital Energy Advisors, LLC (BP). Please also include financial statements for BP and a notarized letter in which BP states that its financial position has not changed substantially since their November 5, 2020 commitment letter.

RESPONSE: See BP Capital Energy Advisors, LLC FORM ADV and other publicly available on the Securities and Exchange Commission website at: <https://adviserinfo.sec.gov/firm/summary/167087> which contains the publicly available financial information in Application Items 5.D. and 5.F. financial information regarding. See also updated Confidential financial position letter attached hereto.

7. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide a license for each state in which it operates in the electric or natural gas industries. Please provide the missing documentation.

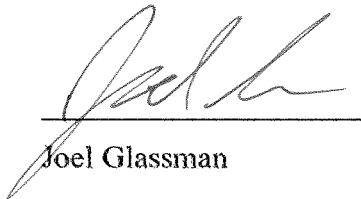
RESPONSE: Applicant has recently received licenses to serve as a Competitive Electricity Supplier and as a Gas Supplier from the Commonwealth of Massachusetts Department of Public Utilities, and has received a license from the Connecticut Public Utilities Regulatory Authority (PURA) to serve as an Electricity Supplier and has registered as a Natural Gas Seller with the PURA in Connecticut. See copies of the referenced licensed, attached hereto.

8. Reference Application, Section 11, Affidavits – The affidavits submitted by applicant lists its name as ‘Catalyst Power & Gas, LLC’ rather than ‘Catalyst Power & Gas LLC’ (no comma). Please resubmit the Application Affidavit and the Operations Affidavit.

RESPONSE: See attached corrected Application Affidavit and Operations Affidavit.

VERIFICATION

I, Joel Glassman, holding the position of Chief Operating Office with Catalyst Power & Gas LLC verify that the information provided in the foregoing responses to data requests is true and correct to the best of my knowledge, information, and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that false statements herein are made subject to the penalties of 18 Pa. C.S.A. § 4904, relating to unsworn falsifications to authorities.



Joel Glassman

Date: July 21, 2021

CATALYST POWER & GAS LLC

July 21, 2021

Secretary Rosemary Chiavetta
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17101

Re: Catalyst Power & Gas LLC – EGS and NGS Application Corrections


Dear Secretary Chiavetta:

I am the Chief Operating Officer of Catalyst Power & Gas LLC. It has come to my attention that in several places in the Electric Generation Supplier (“EGS”) and Natural Gas Supplier (“NGS”) Applications of Catalyst Power & Gas LLC (“Applicant”) and the accompanying Exhibits, the name of the Applicant mistakenly included a comma. This letter will confirm that in the EGS and NGS applications and Exhibits, whenever the name “Catalyst Power & Gas, LLC” appears, it should be considered to read “Catalyst Power & Gas LLC”

Should you have any additional questions or concerns, please feel free to contact me.

VERIFICATION

I, Joel Glassman, holding the position of Chief Operating Office with Catalyst Power & Gas LLC verify that the information provided in the foregoing responses to data requests is true and correct to the best of my knowledge, information, and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that false statements herein are made subject to the penalties of 18 Pa. C.S.A. § 4904, relating to unsworn falsifications to authorities.



Joel Glassman

Date: July 21, 2021

600 Franklin Ave, #268
Garden City, NY 11530

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Catalyst Power & Gas LLC, d/b/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Supplier to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Catalyst Power & Gas LLC
600 Franklin Ave., # 268
Garden City, NY 11530
516-534-5086
www.catalystpower.com

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Company
2595 Interstate Drive, Suite 103
Harrisburg, PA 17110
1-800-927-9800

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Joel Glassman, COO
600 Franklin Ave., #268
Garden City, NY 11530
516-464-0726
regulatory@catalystpower.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Michael Gruin
Stevens & Lee
17 N. 2nd St., 16th Fl.
Harrisburg, PA 17101
t- 717 -255-7365; f- 610-988-0852
email mag@stevenslee.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Joel Glassman, 600 Franklin Ave., #268, Garden City, NY 11530, t-888-789-7250, f-516-252-1275,
joel@catalystpower.com
Linda Beckinghausen, 600 Franklin Ave., #268, Garden City, NY 11530, t-888- 789-7250, f-516-252-1275,
customercare@catalystpower.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

— If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

— Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

— Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

— Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.

— * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

Or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)

- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

See Department of State filings and Applicant's Delaware Certificate of Formation attached hereto as Exhibit 1.

Officers:

Gabriel Phillips, CEO , Dara Phillips, CFO, Joel Glassman, COO.

The address for all officers is
600 Franklin Ave., #268
Garden City, NY 11530

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant has an affiliate, U.S. Energy Partners LLC d/b/a Catalyst Power, that is licensed as an Energy Supplier in the State of New York, and it is not a jurisdictional public utility. Furthermore, as reflected on Exhibit 2, the Applicant also has the following corporate affiliates, none of which are jurisdictional public utilities. BP-Catalyst Power Holdings LLC, GP Energy Ventures LLC, Catalyst Power Parent LLC, Catalyst Power Manager LLC, Catalyst Power E&O LLC, Catalyst Power Holdings, LLC, Catalyst Power REPCo LLC, Catalyst Power LLC, and Catalyst Power AssetCo LLC. Applicant has no affiliates that are currently applying to do business in Pennsylvania. All of the Affiliates listed have an address of 600 Franklin Ave., #268, Garden City, NY 11530

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Not Applicable

CATALYST POWER HOLDINGS LLC

July 21, 2021

Secretary Rosemary Chiavetta
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17101

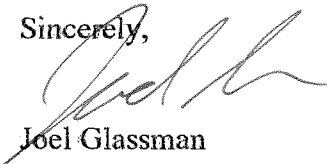
Re: Financial Responsibility for Catalyst Power & Gas LLC

Dear Secretary Chiavetta:

I am the Chief Operating Officer of Catalyst Power Holdings LLC. This letter will confirm that Catalyst Power Holdings LLC will assume full financial responsibility for its subsidiary, Catalyst Power & Gas LLC, for Catalyst Power & Gas LLC's operations as an Electric Generation Supplier ("EGS") and a Natural Gas Supplier ("NGS") within the Commonwealth of Pennsylvania.

Should you have any additional questions or concerns, please feel free to contact me.

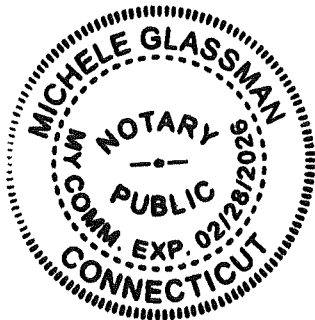
Sincerely,



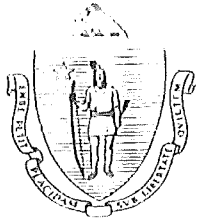
Joel Glassman

Sworn and subscribed before me this 21st day of July, 2021.

Signature of official administering oath M. O'Connell
My commission expires 02/28/2026.



600 Franklin Ave., #268
Garden City, NY 11530



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

KATHLEEN A. THEOHARIDES
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

MATTHEW H. NELSON
CHAIR

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

March 19, 2021

Mr. Jeremy Schupp
Catalyst Power and Gas, LLC
600 Franklin Avenue, #268
Garden City, NY 11530

RE: Competitive Supplier License Application

Dear Mr. Schupp,

The Department of Public Utilities ("Department") has reviewed the application of Catalyst Power and Gas, LLC ("Catalyst" or "Company") for a Competitive Supplier license in the Commonwealth of Massachusetts, and is pleased to inform you that the Department has approved the application. Catalyst's license number is **CS-191**.

Consistent with the information provided in Catalyst's application, the activities the Company is licensed to provide are limited to electricity supply services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity supplier services to residential customers, it must first seek and obtain Department approval when renewing its license.

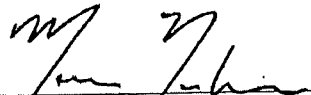
As a condition of maintaining this license, Catalyst must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00 *et seq.* In addition, within 30 days of any material change in the information required by 220 CMR 11.05(2), the Company must file updated information with

Mr. Jeremy Schupp
Catalyst Power & Gas, LLC

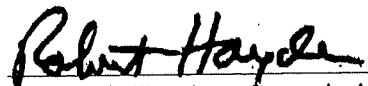
Page 2

the Department. If Catalyst requests a renewal of its license next year, please submit the renewal application no later than **July 1, 2021**.

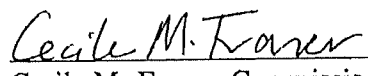
By Order of the Department,



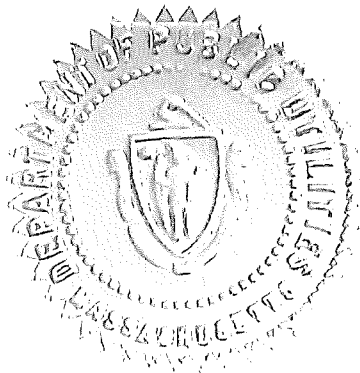
Matthew H. Nelson, Chairman



Robert E. Hayden, Commissioner



Cecile M. Fraser, Commissioner





THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

KATHLEEN A. THEOHARIDES
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

MATTHEW H. NELSON
CHAIR

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

March 22, 2021

Gabriel Phillips
Catalyst Power & Gas, LLC
600 Franklin Ave # 268
Garden City, NY 11530

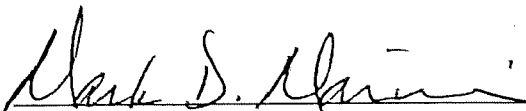
Mr. Phillips,

The Department of Public Utilities has reviewed Catalyst Power & Gas, LLC's ("Catalyst") application for a Gas Supplier license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Catalyst's license number is **GS-073**.

As a condition of maintaining its license, Catalyst must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00. If you decide to request renewal of Catalyst's license next year, please submit a renewal application no later than July 1, 2021.

Sincerely,




Mark D. Marini, Secretary



STATE OF CONNECTICUT

**PUBLIC UTILITIES REGULATORY AUTHORITY
TEN FRANKLIN SQUARE
NEW BRITAIN, CT 06051**

**DOCKET NO. 21-03-02 APPLICATION OF CATALYST POWER & GAS, LLC FOR
A CONNECTICUT ELECTRIC SUPPLIER LICENSE**

June 23, 2021

DECISION

On March 1, 2021, the Public Utilities Regulatory Authority (Authority or PURA) received an application (Application) from Catalyst Power & Gas, LLC (Catalyst or Company) requesting that the Authority grant it an Electric Supplier License to serve business customers in Connecticut.

The record of this docket includes information regarding the Company's intention to engage in other energy related businesses in Connecticut. Specifically, Catalyst will develop distributed generation and connected microgrid projects, some of which may be solar projects. Furthermore, in response to EOE-4, the Company states, in part:

"...currently, Catalyst has no plans to develop any microgrid projects for any entity that is not also a customer or prospective customer of Catalyst's for electricity supply."

Catalyst's intention to offer microgrid development, solar installation and electric supply to the same customer(s) raises concerns about marketing tactics and consumer protections. Therefore the Company will be required, by Docket Order, to notify the Authority prior to initiating any such intermingled energy projects for any Connecticut customer.

The Authority has reviewed all of the information in the record pursuant to § 16-245 of the General Statutes of Connecticut (Conn. Gen. Stat.). Based on that review, the Authority finds that Catalyst has satisfied all of the application requirements and that it possesses the technical, financial, and managerial capability to operate as an Electric Supplier. The Company is granted an Electric Supplier License to serve business customers effective as of the date of this Decision but subject to the Orders below. The Company's website is <https://www.catalystpower.com> and toll free customer service number is 888-789-7250. The Authority may, at a future date, convene a Technical Meeting with Catalyst to review the requirements for Self-Reporting to the EnergizeCT

Rate Board, or to review Connecticut supplier licensing, filing and marketing requirements or other topics.

Catalyst is advised to consult any Decision(s) and/or evidence in the following PURA Dockets before marketing in Connecticut. This information is intended to guide the Company as to the proper conduct of suppliers and other requirements to operate as a supplier in Connecticut. This listing does not reflect a complete list of all requirements and is provided as a reference and in addition to the Statutes and Regulations that govern the operation of Connecticut suppliers.

- Docket No. 98-06-17, DPUC Investigation Into Billing and Metering Protocols and Appropriate Cost Sharing Allocations Among Electric Distribution Companies and Electric Suppliers;
- Docket No. 05-08-05RE02, DPUC Investigation into the Process by Which Customers Can Choose an Electric Supplier When Initiating Electric Service – Amended Referral Program;
- Docket No. 06-10-22, PURA Monitoring the State of Competition in the Electric Industry;
- Docket No. 07-01-21, DPUC Declaratory Ruling RE: Release of Customer Information Pursuant to Conn. Gen. Stat. 16-245o;
- Docket No. 07-05-33, DPUC Administration of Disclosure Label Requirements and Examination of Direct Billing by Electric Suppliers;
- Docket No. 10-06-24, DPUC Review of the Current Status of the Competitive Supplier and Aggregator Market in Connecticut and Marketing Practices and Conduct of Participants in that Market;
- Docket No. 13-07-18, PURA Establishment of Rules for Electric Suppliers and Electric Distribution Companies Concerning Operations and Marketing in the Electric Retail Market;
- Docket No. 14-07-17, PURA Development of Standard Summary Form of Material Contract Terms;
- Docket No. 14-07-19, PURA Investigation Into Redesign of the Residential Billing Format;
- Docket No. 14-07-19RE01, PURA Investigation into Redesign of Residential Electric Billing Format – Billing Format Clarification;
- Docket No. 14-07-19RE05, PURA Investigation into Redesign of Residential Electric Billing Format – Review of Summary Information, Implementation and Display; and,
- Docket No. 15-06-15, PURA Variable Electric Rate Study;

ORDERS FOR COMPANY

To comply with the Orders below, the Company shall file an electronic version of each requested document through the Authority's website at www.ct.gov/pura. Submissions filed in compliance with the Authority's Orders must be identified by all three of the following: Docket Number, Title, and Order number.

1. On or before thirty days from the date of this Decision, and before it begins marketing in Connecticut, Catalyst shall submit to the Authority the website address for Catalyst's Connecticut operating website which shall provide all of the information as required by the Authority's Decision dated November 5, 2014, in Docket No. 13-07-18, PURA Establishment of Rules for Electric Suppliers and Electric Distribution Companies Concerning Operations and Marketing in the Electric Retail Market. (2014 Decision), p. 13. These requirements are:
 - The supplier's official name and trade name(s), if any;
 - All PURA docket numbers and titles pertaining specifically to the supplier to show its regulatory history in Connecticut, including all licensing and relicensing dockets and history of dockets of companies acquired through mergers or license transfers, and all PURA investigation dockets that have been concluded;
 - Customer service contact information, including a phone number at which a live company representative(s) (not an answering service) must be available during normal business hours;
 - PURA contact information; and
 - A list of, and information concerning, all generally available offers, renewable products and information about the source of renewable energy (e.g. RECs), standard contracts, and enrollment forms.

The Authority must approve the website before Catalyst may begin marketing to customers.

2. Catalyst shall comply with relevant statutes, regulations and Authority Decisions, some of which are more specifically identified herein. Catalyst maintains an obligation to remain current as to changes in statutes, regulations, or other applicable administrative law.
3. Catalyst shall submit a complete Third Party Agent Form pursuant to Conn. Gen. Stat. §16-245o(h)(9) as discussed in the 2014 Decision, p. 30. Such form shall be filed as Compliance, identified as "Third Party Agent Form" and submitted in Catalyst's licensing Docket No. 21-03-02 before Catalyst begins marketing in Connecticut and as of October 15th annually thereafter in Docket No. 21-03-02. The Third Party Agent form can be found via the Authority's website at www.ct.gov/pura/forms.
4. Catalyst shall submit a complete Company Contact Information Form as discussed in the 2014 Decision, p. 31. Such form shall be filed as Compliance, identified as "Company Contact Information" and submitted in Catalyst's licensing Docket No. 21-03-02 before Catalyst begins marketing in Connecticut and as of October 15th annually or within ten days of any changes to the information thereafter in Docket No. 21-03-02. The Company Contact Information form can be found via the PURA website at www.ct.gov/pura/forms.

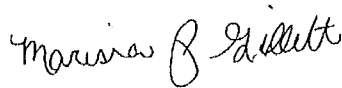
5. Within 20 days of the date of this Decision and by September 15th annually thereafter, Catalyst shall file an updated Disclosure Label as discussed in the Decision dated February 27, 2008, in Docket No. 07-05-33, DPUC Administration of Disclosure Label Requirements and Examination of Direct Billing by Electric Suppliers, Section II.A. Statutory Requirements Regarding Disclosure and Order No. 3. Such information shall be filed as Compliance, identified as "Disclosure Label" and submitted in Catalyst's licensing docket, Docket No. 21-03-02. The Disclosure Label Forms for electric suppliers and Distribution Companies form can be found via the Authority's website at www.ct.gov/pura/forms.
6. Catalyst is under a continuing obligation to notify the Authority of any changes to the information provided in the Application. The Company shall file any updates in its original licensing docket. This includes changes in management personnel or changes in financial conditions.
7. Catalyst shall upload (Self-Report) all generally available business generation rate offers to the EnergizeCT.com website. See correspondence dated August 25, 2017, in PURA Docket No. 13-07-18. See also the 2014 Decision, p. 4. Catalyst must contact the Authority to obtain its Self-Reporting credentials. Further information on Self-Reporting can be found at the PURA/Supplier Login link at <https://www.energizect.com>.
8. On or before January 30th of each year, Catalyst shall file with the Authority the annual report required by Conn. Gen. Stat. §16-245p(a), including all information specified in Conn. Gen. Stat. §16-245p(b). Such information shall be filed as Compliance, identified as "Annual Report" and submitted in Catalyst's licensing docket, Docket No. 21-03-02.
9. On or before October 15th of each year, Catalyst shall file with the Authority the annual report demonstrating compliance with Connecticut's renewable energy portfolio requirements required by Conn. Agencies Regs. §16-245a-1(a) and Conn. Gen. Stat. §16-243q. Such information shall be filed as Compliance, identified as "RPS Information" and submitted in the annual RPS Compliance Docket established by the Authority.
10. Not less than 20 days before Catalyst executes its first contract for the sale of electric generation services to an end-user customer in Connecticut, the Company shall file with the Authority an affidavit concerning its capability to exchange data with the electric distribution companies in accordance with Conn. Agencies Regs. §16-245-3(b). Catalyst is encouraged to participate in the Electronic Business Transaction (EBT) Working Group. Information regarding the EBT Working Group is available via the PURA website, www.ct.gov/pura.
11. Catalyst shall provide the Authority with any changes to its customer service practices, procedures or policies in writing at least 10 business days prior to the effective date of such changes. Such information shall be filed as Compliance,

identified as "Customer Service Practices" and submitted in Catalyst's licensing docket, Docket No. 21-03-02.

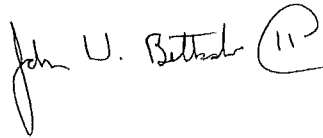
12. Pursuant to the Conn. Agencies Regs. §16-245-2(g)(1), Catalyst shall maintain all records of customer complaints for a minimum of three (3) years from the date of the complaint. The Company shall maintain its customer complaint records to indicate: (1) the date of the complaint; (2) the name and address of the complainant; (3) the address or location of the complaint; (4) a description of the complaint; and (5) a description of the resolution of the complaint. A customer complaint is defined as any inquiry or expression of dissatisfaction from a customer.
13. Catalyst shall not begin marketing activities or enrolling customers in Connecticut until all of its forms and standard contracts have been submitted to and approved by the Authority in writing.
14. Catalyst must inform the Authority, by Correspondence in its licensing Docket No. 21-03-02, of its intention to develop distributed generation or connected microgrid projects for any of its existing electric supply customers; its intention to offer electric supply to any of its existing distributed generation or connected microgrid customers; or its intention to initiate a distributed generation or connected microgrid project which would also include electric supply. The Authority may require Catalyst to provide marketing materials, contracts, and terms and conditions for any of these customer projects.
15. Pursuant to the Conn. Agencies Regs. §16-245-2, Catalyst is required to file an application for a periodic review of its electric supplier license. Catalyst shall submit its five-year license review no later than forty-five days prior to five years after the date of this Decision. The Electric Supplier Review Application is available via the PURA website, <https://portal.ct.gov/PURA/Electric/Information-for-Electric-Suppliers>.

DOCKET NO. 21-03-02 APPLICATION OF CATALYST POWER & GAS, LLC FOR
A CONNECTICUT ELECTRIC SUPPLIER LICENSE

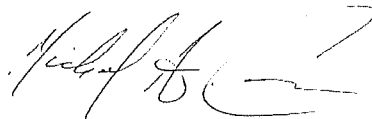
This Decision is adopted by the following Commissioners:



Marissa P. Gillett



John W. Betkoski, III



Michael A. Caron

CERTIFICATE OF SERVICE

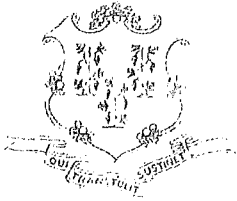
The foregoing is a true and correct copy of the Decision issued by the Public Utilities Regulatory Authority, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.



Jeffrey R. Gaudiosi, Esq.
Executive Secretary
Public Utilities Regulatory Authority

June 23, 2021

Date



STATE OF CONNECTICUT
PUBLIC UTILITIES REGULATORY AUTHORITY
OFFICE OF EDUCATION, OUTREACH & ENFORCEMENT

March 17, 2021
In reply, refer to: EOE: DMD

Ms. Dara Phillips
Catalyst Power & Gas, LLC
600 Franklin Avenue #268
Garden City, NY 11530

Re: Natural Gas Seller Registration Number 21-02

Dear Ms. Phillips:

On March 1, 2021, the Public Utilities Regulatory Authority (Authority) received from Catalyst Power & Gas, LLC (Catalyst or Company) a natural gas seller registration application, a \$500 application fee and a copy of a Surety Bond number 404236311 in the amount of \$100,000.

The Authority finds that Catalyst satisfied the registration requirements and hereby issues the Company a natural gas registration for the period through September 30, 2021. The registration number for Catalyst is 21-02. Please note that in order to continue registration beyond September 30, 2021, the Company must submit to the Authority a renewal application and a \$500 application fee prior to July 15, 2021.

Sincerely,

PUBLIC UTILITIES REGULATORY AUTHORITY
Office of Education, Outreach and Enforcement

Appendix A

APPLICATION AFFIDAVIT

State of Connecticut :
: ss.
County of New Haven :

Joel Glassman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

he is the Chief Operating Officer of Catalyst Power & Gas LLC; that he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Catalyst Power & Gas LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Catalyst Power & Gas LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Catalyst Power & Gas LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Catalyst Power & Gas LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

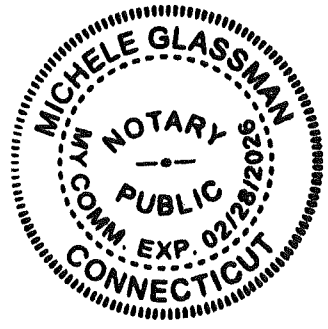
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Handwritten Signature]
Signature of Affiant

Sworn and subscribed before me this 21st day of July, 2021.

[Handwritten Signature]
Signature of official administering oath

My commission expires 02/28/2026



Appendix B

OPERATIONS AFFIDAVIT

State of Connecticut :
:
County of New Haven :

Joel Glassman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the Chief Operations Officer of Catalyst Power & Gas LLC;

That he is authorized to and does make this affidavit for said Applicant;

That Catalyst Power & Gas LLC, the Applicant herein, acknowledges that Catalyst Power & Gas LLC may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Catalyst Power & Gas LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Catalyst Power & Gas LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Catalyst Power & Gas LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 21st day of July, 2021.



Signature of official administering oath

My commission expires 02/28/2024.

