



SmartEnergy Holdings LLC  
400 Madison Avenue Suite 9A  
New York, New York 10017  
www.smartenergy.com

October 18th, 2021

Rosemary Chiavetta  
Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

**RE: Annual Compliance Filing of SmartEnergy Holdings, LLC for Continuation of 5% Reduction in Security Requirements; Docket No. A-2014-2416214 | Utility Code: 1116480**

Dear Secretary Chiavetta:

SmartEnergy Holdings, LLC ("SmartEnergy") previously received authority to reduce its security with the Pennsylvania Public Utility Commission from 10% to 5% of SmartEnergy's most recent twelve months of revenue. SmartEnergy requests to maintain its 5% financial security reduction of its most recent 12 months (or 4 quarters) of gross receipts, in accordance with the Commission Order deemed final on October 16, 2018, at Docket No. P-2017-2608078.

SmartEnergy provides the following information and documentation in support of its Annual Compliance Filing:

1. Department of Revenue Tax Status Letter of Good Standing.
2. Department of Revenue REV 423 PA Corporation Specialty Tax Estimated Payment form.
3. SmartEnergy's current financial security has an expiration date of January 17, 2022.
4. SmartEnergy's most recent 12-months of gross receipts is \$26,442,139.48
5. 5% of SmartEnergy's gross receipts for the most recent 12 months is \$1,322,106.97
6. Continuation Certificate for 2021.

Please note this filing contains or reflects commercially or financially sensitive information or trade secrets that are being provided to the Commission on a confidential basis. Accordingly, the filing is marked confidential. The confidential information contains information that is customarily treated as confidential and proprietary, not available to the public, and that SmartEnergy has used its best efforts to maintain as secret. Public dissemination of this Confidential Information would subject SmartEnergy to risk of competitive disadvantage or other business injury. A redacted version of this filing was made via e-filing

If you have any questions or concerns, please do not hesitate to reach out to me.

Regards,

A handwritten signature in blue ink, appearing to read "Jackie Kern".

Jackie Kern  
CMO

212-779-7000  
regulatory@smartenergy.com

**VERIFICATION**

I, Jacqueline Kern, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).



Jacqueline Kern  
Chief Marketing Officer

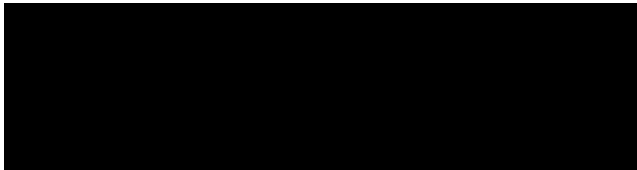
Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)



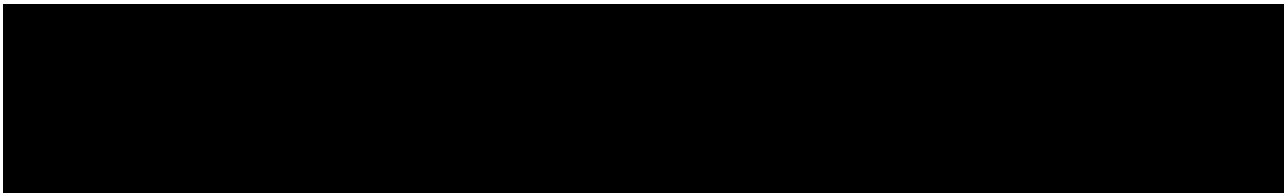
Wednesday, October 20, 2021

,  
Attention: Lloyd Spencer, COO

,  
RE: SmartEnergy Holdings LLC  
400 Madison Avenue  
Suite 9A  
New York NY 10017



Dear Requester,



Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.'.

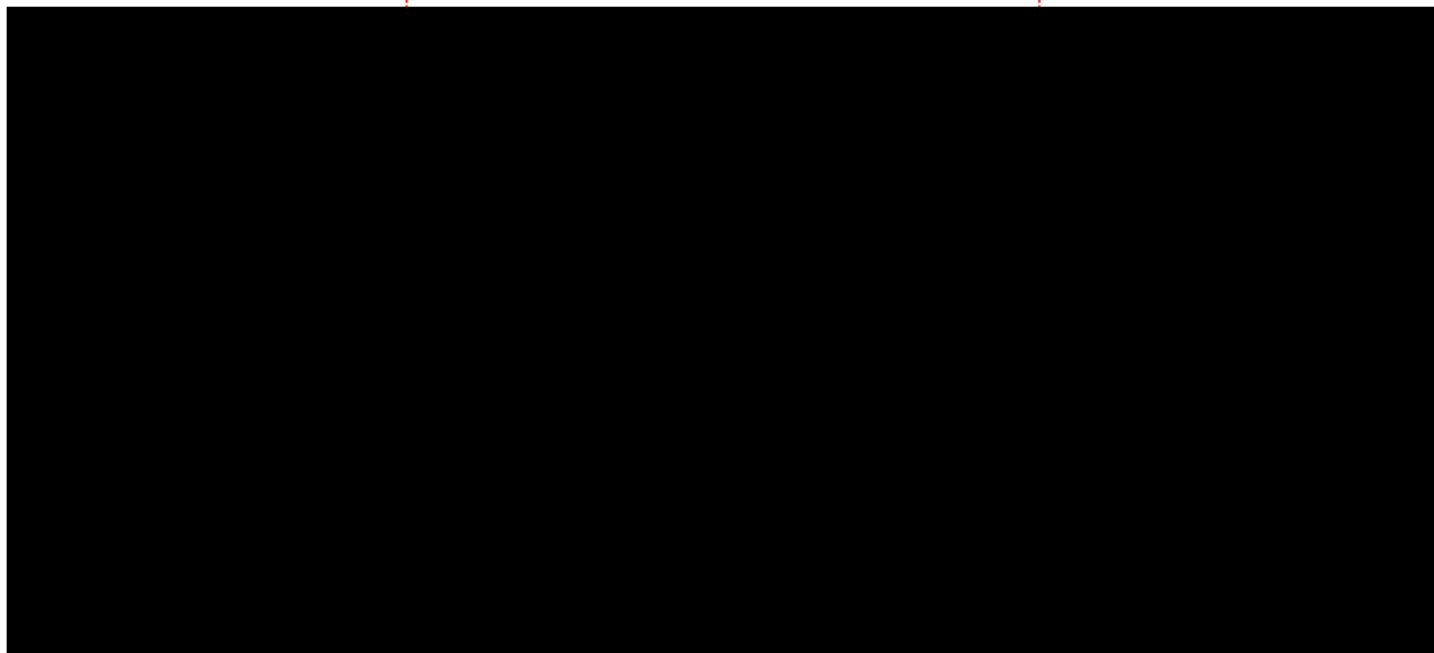
Ernard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division

- Payment Successfully Submitted.

## REV-423 Specialty Tax Estimated Payment

Return

- Payment Successfully Submitted.



Return

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[REDACTED]

[REDACTED]

[REDACTED]

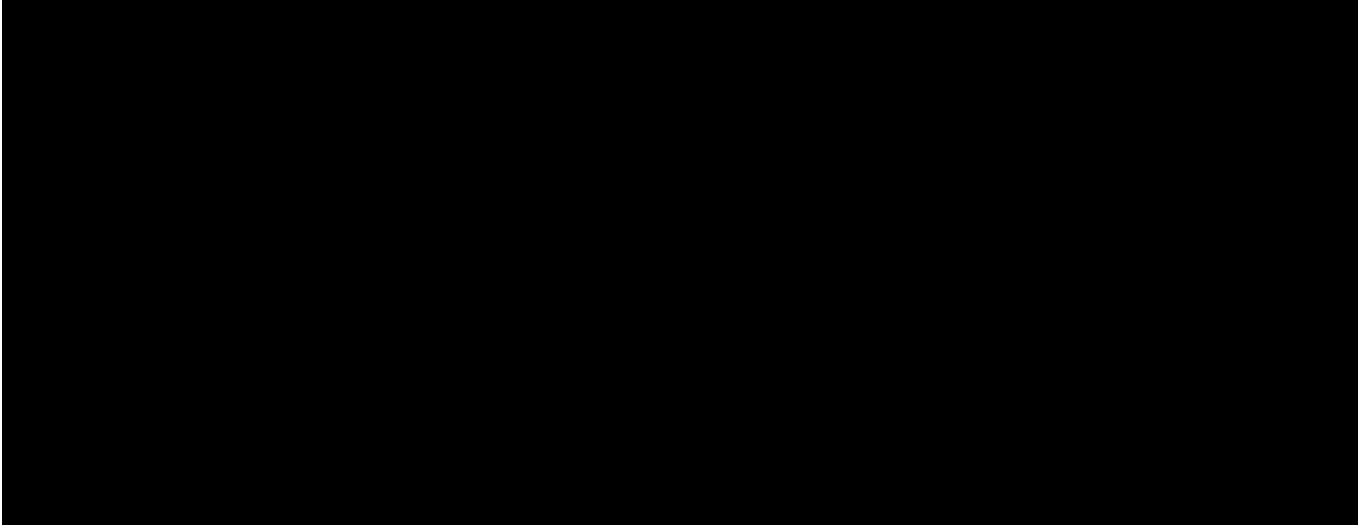


**PHILADELPHIA**  
**INSURANCE COMPANIES**

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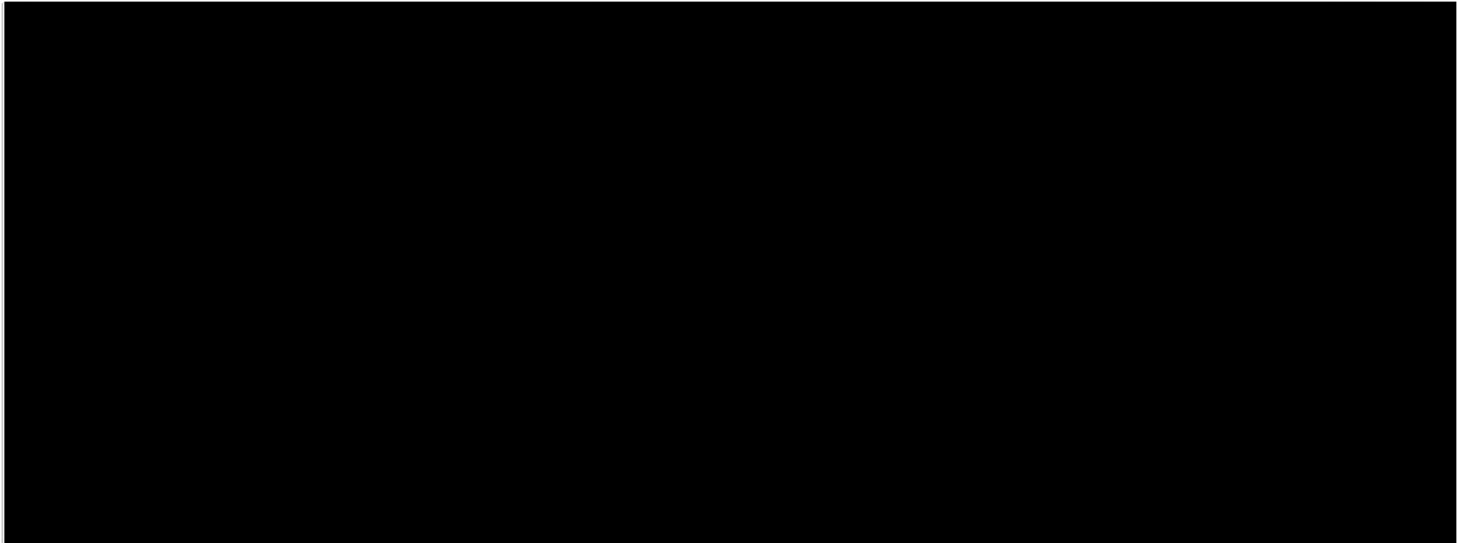
A Member of the Tokio Marine Group

CONTINUATION CERTIFICATE



**Surety Acknowledgment**

State of New York





One Bala Plaza, Suite 100  
Bala Cynwyd, PA 19004-0950

**Power of Attorney**

