



**NRG Curtailment Solutions, Inc.**  
4433 Genesee St., Suite 401  
Buffalo, NY 14225

November 2, 2021

Commonwealth of Pennsylvania  
Pennsylvania Public Utility Commission  
c/o Rosemary Chiavetta  
P.O. Box 3265  
Harrisburg, PA 17105-3265

DATE OF DEPOSIT

NOV - 1 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

NRG Curtailment Solutions, Inc.  
4433 Genesee Street, Suite 401  
Buffalo, New York 14225

Re: Notice to Renew Registration as a Conservation Service Provider (A-2017-2630375)

To Whom it May Concern:

Attached please find an application to renew the Conservation Service Provider registration for NRG Curtailment Solutions, Inc. We have included the \$25 re-registration application fee along with the application, Check No.7032512.

**APPLICATION FOR RENEWAL OF CSP REGISTRATION  
CHANGES INCURRED TO APPLICATION OF RECORD**

***Applicant (Company) Name and Docket No.:***

NRG Curtailment Solutions, Inc.; Docket No: A-2017-2630375

***Contact Information (name, address, phone number and email of person filing application):***

**Name & Phone Number:** Ryan Harwell (281) 800-6157

**Address:** 910 Louisiana Street, Houston, Texas 77002

**Email:** ryan.harwell@nrg.com

*On behalf of the Applicant I am filing with the Commission this Application for Renewal of CSP Registration. There are one or more CHANGES to Applicant's CSP Application of record on file at the Commission at the Docket Number as indicated herein.*

I have reviewed the Applicant's CSP Application of record and the information contained therein has changed. Enclosed are the following items:

- a. Completed CSP Application;
- b. Renewal application fee of \$25;
- c. Affidavit, attesting to the truth and knowledge of these facts; and
- d. Proof of current liability insurance coverage.

SCOTT HART, President NRG Curtailment Solutions, Inc.

**Name and Title of person authorized by Applicant to file this Application**

  
**Signature**

11/1/2021  
**Date**

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application for Renewal of Conservation Service Provider Registration, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

**APPLICATION TO UPDATE CSP REGISTRATION**

***Applicant (Company) Name and Docket No.:***

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***Contact Information (name, address, phone number and email of person filing application):***

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*On behalf of the Applicant I am filing with the Commission this Application to Update CSP Registration information. The Applicant acknowledges that there is no fee associated with the update of CSP registration information contained in its Application on file at the Docket Number as indicated herein.*

I have reviewed the Applicant's CSP Application of record and the information contained therein has changed. Enclosed are the following items:

- a. Completed CSP Application; and
- b. Affidavit, attesting to the truth and knowledge of these facts.

I have indicated "None" or "Changes" in each category as follows, relating to the Applicant's CSP Application of record.

- 1. Identity of the Applicant:
- 2. Registered Agent:
- 3. Applicant's Operations:
- 4. Compliance:

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***Name and Title of person authorized by Applicant to file this Application***

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***Signature***

***Date***

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application to Update Conservation Service Provider Registration, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

**AFFIDAVIT**

**DATE OF DEPOSIT**

NOV - 1 2021

[Commonwealth/State] of New York :

: **SS.**

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

County of Erie :

Scott Hart, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of NRG Curtailment Solutions, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

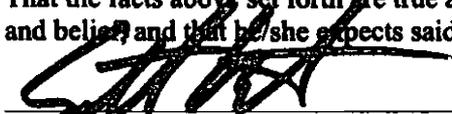
That the Applicant herein NRG Curtailment Solutions, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be registered as a conservation service provider pursuant to Act 129 of 2008.

That the Applicant herein NRG Curtailment Solutions, Inc. acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

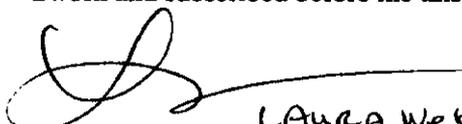
That the Applicant herein NRG Curtailment Solutions, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein NRG Curtailment Solutions, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at hearing.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 1<sup>st</sup> day of November, 2021.

  
Laura Webb  
\_\_\_\_\_  
Signature of official administering oath



My commission expires: 07/20/2025

**APPLICATIONS FOR RENEWAL OR UPDATE  
OF  
CONSERVATION SERVICE PROVIDER (CSP) REGISTRATION**

*Instructions: One of the following applications may be used by a registered Conservation Service Provider (CSP) to renew or update CSP Registration as follows:*

*I am renewing my CSP Registration according to the normal two year renewal cycle and have no changes to my prior application – Use the Application for Renewal of CSP Registration – No Changes Incurred to Application of Record. This application should be used when there are NO CHANGES to the CSP Application of record. The filing fee for renewal is \$25.*

*I am renewing my CSP Registration according to the normal two year renewal cycle and have changes to my prior application – Use the Application for Renewal of CSP Registration – Changes Incurred to Application of Record. This application should be used when there are one or more CHANGES to the CSP Application of record. The Applicant is also required to submit a newly completed CSP Application. The filing fee for renewal is \$25.*

*I am updating my CSP Registration information prior to my next required renewal – Use the Application to Update CSP Registration. This application should be used by a currently approved, registered CSP in order to update one or more items of the CSP Application of record. The Applicant is also required to submit a newly completed CSP Application. There is no filing fee to update CSP Registration information of record.*

*In all three cases listed above, the registered CSP is required to conduct a thorough review of the individual items, parts and subparts of the Applicant's CSP Application of record, which may be obtained by searching the Pennsylvania Public Utility Commission (PUC) website at [www.puc.pa.gov](http://www.puc.pa.gov).*

- *Double click "Search Documents" located on the upper right-hand corner of the PUC website.*
- *Type the last seven (7) digits of your PUC Docket No. for "Docket No."*
- *Click button labeled "Search."*

*An entity that uses one of the three applications to renew CSP Registration or to update CSP Registration information, shall be held accountable for identifying each and every item that has changed or contains information that has changed relating to the Commission-approved Application currently on file at the Commission.*

*File a signed and verified original and one copy of the completed application along with any attachments in person or by first class mail, with your check (if applicable) to the following address:*

**Filing in person:**  
Secretary  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120

**Filing by first-class Mail:**  
Secretary  
Pennsylvania Public Utility Commission  
P. O. Box 3265  
Harrisburg, PA 17105-3265

**APPLICATION FOR RENEWAL OF CSP REGISTRATION  
NO CHANGES INCURRED TO APPLICATION OF RECORD**

***Applicant (Company) Name and Docket No.:***

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***Contact Information (name, address, phone number and email of person filing application):***

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***On behalf of the Applicant I am filing with the Commission this Application for Renewal of CSP Registration. There are NO CHANGES to the Applicant's CSP Application of record on file at the Commission at the Docket Number as indicated herein.***

I have reviewed the Applicant's CSP Application of record and no information contained therein has changed. Furthermore, no compliance issues have occurred relating to the Applicant's CSP Application of record regarding responses to Questions 4.a – 4.d. Enclosed are the following items:

- a. Attachment providing all information relating to "Identity of the Applicant," pursuant to Question Nos. 1(a)-1(j) of the CSP Application;
- b. Renewal application fee of \$25;
- c. Affidavit, attesting to the truth and knowledge of these facts; and
- d. Proof of current liability insurance coverage.

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***Name and Title of person authorized by Applicant to file this Application***

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***Signature***

***Date***

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application for Renewal of Conservation Service Provider Registration, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

**CONSERVATION SERVICE PROVIDER ("CSP") APPLICATION  
FOR REGISTRATION IN THE COMMONWEALTH OF PENNSYLVANIA**

Conservation Service Providers ("CSPs") have a specific role under Act 129, which requires a covered Electric Distribution Company's Energy Efficiency and Conservation (EE&C) plan to include one or more CSPs to implement at least a portion of the EE&C Plan. 66 Pa.C.S. § 2806.1(b)(1)(i)(E). CSPs provide information and technical assistance to EDCs on *measures* that enable a person to increase energy efficiency or reduce energy consumption. CSPs must have no direct or indirect ownership, partnership or other affiliated interest with an EDC. 66 Pa.C.S. § 2806.1 (m). Only registered CSPs may advise an EDC and/or provide consultation, design, or administration or management services to an EDC related to the implementation of the EDC's EE&C Plan. As such, registration of business entities as approved CSPs is required before entering into a contractual agreement with an EDC exclusively for the provision of consultation, design, administration, management or advisory services regarding that EDC's EE&C plan. This registry is not intended as a resource for business, whose sole purpose is the installation of measures, supplying of equipment or other contracting work for use by the general public and EDC customers. If providing such services, registration as a CSP is not required for entering into an agreement with an EDC.

Any CSP subcontractor with an annual contract cost that equals or exceeds 10% of the CSP annual contract cost and is directly performing services pursuant to a contract with a CSP which has contracted with an EDC after Commission approval should also register as a CSP. This does not include third party contractors which participate in or support an EE&C Plan but are not directly contracted with a CSP which has a direct contractual relationship with the EDC subject to Act 129.

To qualify an applicant must have at least two years of experience in providing program consultation, design, administration, management or advisory services related to energy efficiency and conservation services. CSP registration is not required of entities that limit their services to the installation of energy efficiency measures or the provision of equipment or materials to EDC customers or the public in general.

**1. IDENTITY OF THE APPLICANT**

- a. Legal Name of Applicant: **NRG Curtailment Solutions, Inc. (NRGCS)**

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.<sup>1</sup>

**Please see Attachment A**

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<sup>1</sup> A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant's Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant's Pa. Dept. of State registration is "active." Certified copies of Pa. Dept. of State documents are not required.

- b. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a)—List all that apply.
- The Applicant will be using a fictitious name or doing business as (“d/b/a”). Identify names below. If more space is needed, list names on the back of this page or append list to completed application.
  - The Applicant will not be using a fictitious name.
- c. Applicant Address: **4433 Genesee Street, Suite 401**
- **Buffalo, New York 14225**
  - **Applicant Telephone No: (716) 906-5100**
  - **Applicant Email Address: scott.hart@ nrg.com**
- d. Contact Information for Applicant. PLEASE NOTE: Upon approval of this application, this Contact Information will be listed on the Commission’s CSP Registry.
- **Name: Barry Gessner**
  - **Mailing Address: 804 Carnegie Center**
    - **Princeton, New Jersey 08540**
  - **Telephone: (604) 524-4516**
  - **Email Address: barry.gessner@nrg.com**
- e. Predecessor(s) & Other Names used by Applicant for past five (5) years of date of this application. Provide Name(s), Address(es) and Telephone No.(s).
- Check Box if any Predecessor(s) is currently or was previously registered in Pennsylvania as a CSP. If affirmative, please provide Docket No(s). (A-[Year]-[Seven (7) Digits]) and names for all registered CSPs.

**NRG Curtailment Solutions, Inc. (NRGCS) has held a CSP registration in the name Energy Curtailment Specialists, Inc. (ECS) which was NRGCS’s predecessors in name only (i.e., the parent company and ownership structure remains the same, only ECS’s name was changed to NRGCS). The initial license was issued pursuant to the Commission’s order in Docket No. M-2008-2074154.**

**f. Parent & Subsidiary Companies & Affiliates:**

- Parent Name and Contact Information.** Provide name and contact information for parent company. Check Box if any parent company is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

**NRG Energy, Inc.  
804 Carnegie Center  
Princeton, New Jersey  
Phone: 609-524-4500  
Fax: 609-524-4501**

**Direct Energy Business, LLC  
1001 Liberty Avenue, Suite 1200  
Pittsburgh, Pennsylvania 15222**

**Direct Energy Business Marketing, LLC  
194 Wood Avenue South, Suite 200  
Iselin, New Jersey 08830**

- Subsidiaries and Contact Information.** Provide name and contact information for all subsidiary companies. Check Box if any subsidiary is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

**None**

- Affiliate(s) and Contact Information.** Provide name and contact information for all affiliate companies. Check Box if any affiliate is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

**NRG Curtailment Solutions, Inc. has a host of additional affiliates that are part of the NRG Energy, Inc. corporate family and are not listed here. For further information, please see NRG Energy, Inc. SEC form 10-k available at: <https://investors.nrg.com/financial-information/sec-filings>**

**g. Contracts & Business Partnerships:**

- Check Box if Applicant intends to or has operated under contract with or has partnered with an EDC within the past five (5) years. If "None," do not check the box and answer "None" below.**
- Check Box if Applicant intends to or has operated under contract (subcontractor) with or has partnered with a CSP within the past five (5) years. If "None," do not check the box and answer "None" below.**

- i. Attach to this Application a brief biography or single page professional resume for all principal officers and management directly responsible for Applicant's operations.

**Please see Attachment B**

- j. Provide Applicant's Employer Identification No. (EIN): \_\_\_\_\_

**2. REGISTERED AGENT**

- a. If the Applicant does not maintain a principal office in the Commonwealth, the Applicant is required by the Pennsylvania Department of State to designate an approved Registered Agent as its representative in the Commonwealth. Check one of the Boxes below, as applicable:

**YES, the Applicant has registered its business with the Pennsylvania Department of State. Following is the Name and Contact information for the Applicant's Department-Approved Registered Agent.**

- **Registered Agent's Name: CT Corporation**
- **Registered Agent's Mailing Address: 116 Pine Street, Suite 320  
Harrisburg, PA 17101**
- **Registered Agent's Telephone: (717) 234-6004**
- **Registered Agent's Email Address: N/A**

**NO, the Applicant has not registered its business with the Pennsylvania Department of State. STOP—To avoid denial of your application and forfeiture of your application fee, you should contact the Pennsylvania Department of State Bureau of Corporations to register as a business entity within the Commonwealth PRIOR TO completion and filing of this application with the Pennsylvania Public Utility Commission.**

b. Applicant has registered its business with the Pennsylvania Department of State. Please check appropriate registration type for Applicant as designated with the Department.

- Sole proprietor (15 Pa. C.S. §4124)
- Domestic corporation (none)
- Domestic general partnership (15 Pa. C.S. §4124)
- Domestic limited liability company (15 Pa. C.S. §8913)
- Domestic limited liability partnership (15 Pa. C.S. §8201)
- Foreign corporation (15 Pa. C.S. §4124)**
- Foreign general or limited partnership (15 Pa. C.S. §4124)
- Foreign limited liability company (15 Pa. C.S. §8981)
- Foreign limited liability general partnership (15 Pa. C.S. §8211)
- Foreign limited liability limited partnership (15 Pa. C.S. §8211)

c. If Applicant is not domiciled in the Commonwealth of Pennsylvania and is registered as a "foreign" entity as identified in Question 2.b., please identify all other states where applicant is registered and name the appropriate state department(s):

- **California – California Secretary of State**
- **Connecticut – Connecticut Secretary of State**
- **Delaware – Delaware Department of State**
- **District of Columbia – District of Columbia Department of Consumer & Regulatory Affairs**
- **Illinois – Illinois Secretary of State**
- **Indiana – Indiana Secretary of State**
- **Kentucky – Kentucky Secretary of State**
- **Maryland – Maryland State Department of Assessments and Taxation**
- **Maine – (NRG Business) - Maine Secretary of State**
- **Massachusetts – (NRG Business) – Commonwealth of Massachusetts – Secretary of State**
- **New Hampshire – (NRG Business) – New Hampshire Secretary of State**
- **New Jersey – State of New Jersey Division of Revenue and Enterprise Services**
- **New York – New York Department of State**
- **Ohio – Ohio Secretary of State**
- **Rhode Island – (NRG Business) – Rhode Island Department of State**
- **Texas – Texas Secretary of State**
- **Virginia – Virginia State Corporation Commission**
- **West Virginia – West Virginia Secretary of State**

**4. COMPLIANCE**

Respond to each of the following Questions. Provide a statement as to the resolution or present status of any such proceedings, if applicable.

- a. In the past three years has the Applicant, the parent company, an affiliate or predecessor or partner (contractor/subcontractor business relationship) of the Applicant, or any person identified by the Applicant in this Application, been investigated or convicted of a crime involving fraud, theft, larceny, deceit, violation of consumer protection law, violation of deceptive trade law or similar activity, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent?
- No  
 **Yes. Provide explanation:**

**None of the above apply, with the exception of non-criminal administrative proceedings around consumer protection and related statutes, as described in Attachment G.**

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- b. Is the Applicant, the parent company, an affiliate, or a predecessor of either, currently delinquent with any state or federal taxing authority?
- No**  
 **Yes. Provide explanation:**
- 
- 
- 

- c. Identify all bankruptcy or liquidation proceedings for the prior three years. If none, state "None" below.
- None**
- 
- 
- 

- d. Identify all customer complaints filed with a regulatory or prosecutor agency for the prior three years of the date of this application. In none, state "None" below.

**With respect to Applicant, NRG Curtailment Solutions, Inc., none.**

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**5. FALSIFICATION**

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

Signature of Principal Official \_\_\_\_\_



Official's Name & Title  
(Please Print)

: Scott Hart, President NRG Curtailment Solutions, Inc.

Date 11/01/2021

: November, 2021

DATE OF DEPOSIT

NOV -1 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**APPENDIX A - AFFIDAVIT**

[Commonwealth/State] of New York \_\_\_\_\_ :

: ss.

County of Erie :

**Scott Hart**, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the **President** (Office of Affiant) of **NRG Curtailment Solutions, Inc.** (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein **NRG Curtailment Solutions, Inc.** has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be registered as a conservation service provider pursuant to Act 129 of 2008.

That the Applicant herein **NRG Curtailment Solutions, Inc.** acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That the Applicant herein **NRG Curtailment Solutions, Inc.** acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein **NRG Curtailment Solutions, Inc.** acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

*[Handwritten Signature]*

Signature of Affiant

Sworn and subscribed before me this 1<sup>st</sup> day of November 2021.



*[Handwritten Signature]*  
LAURA WEBB

Signature of official administering oath

My commission expires: 07/20/2025

## **Attachments**

**Attachment A** – Commonwealth of Pennsylvania proof of compliance

**Attachment B** - Management Professional Biographies

**Attachment C** - Proof of Current Liability Insurance Coverage

**Attachment G** – Explanation of Non-Criminal Administrative Proceedings (Consumer Protection and Related Statutes)

DATE OF DEPOSIT

NOV -1 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Entity# : 3888119  
Date Filed : 09/15/2015  
Pedro A. Cortés  
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

cls-ctharrisburgfulfillment @wolterskluwer.com 9695413 SOPA 4	Amendment of Foreign Registration TCO150923DB0367
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Read all instructions prior to completing. This form may be sub

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 413 (relating to amendment of foreign registration statement), the undersigned registered foreign association hereby states that:

1. The name of the association under which it is registered to do business in this Commonwealth is:

Energy Curtailment Specialists, Inc.

2. The type of association is (check only one):

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership                     | <input type="checkbox"/> Business Trust           |
| <input type="checkbox"/> Nonprofit Corporation           | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input type="checkbox"/> Limited Liability Company       | <input type="checkbox"/> Limited Liability Limited Partnership   |   |

3. The (a) address of the association's registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) -- not both:

(a) \_\_\_\_\_  
Number and street City OR State Zip County

(b) or: CT Corporation System Dauphin  
Name of Commercial Registered Office Provider County

4. Effective date of amendment of foreign registration (check, and if appropriate complete, one of the following):

- The Amendment of Foreign Registration shall be effective upon filing in the Department of State.  
 The Amendment of Foreign Registration shall be effective on: \_\_\_\_\_ at \_\_\_\_\_  
Date (MM/DD/YYYY) Hour (if any)

2015 SEP 15 PM 1:38

DSCB:15-413-2

5. Check, and if appropriate complete, one of the following:

The association desires that its registration be amended to change or correct the following information:

The name of the corporation has been changed to: NRG Curtailment Solutions, Inc.

The amendment adopted by the association is set forth in full in Exhibit A attached hereto and made a part hereof.

If the amendment reflects a change in name for the association which does not comply with 15 Pa.C.S. § 414 and §§ 201-209, the foreign association must adopt an alternate name that complies with 15 Pa.C.S. §§ 201-209 for use in Pennsylvania and a resolution from the association's governors adopting the name must be attached.

IN TESTIMONY WHEREOF, the undersigned association has caused this Amendment of Foreign Registration Statement to be signed by a duly authorized representative thereof this 14th day of September 2015.

NRG Curtailment Solutions, Inc.

Name of Association

Deborah R. Fry

Signature

Deborah R. Fry, Assistant Secretary

Title

**Attachment B - Management Professional Biographies**

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



**Robert J. Gaudette,  
Senior Vice President, NRG Business**

Robert Gaudette is Senior Vice President of NRG Business, where he manages the largest B2B retail gas and power platform and the top three largest provider of demand response in North America – overseeing market leading products and services, including renewable energy acquisition strategy and private load management programs. Leading an organization of energy professionals, Gaudette orchestrates business and corporate marketing, product development, origination, structuring, negotiation, and implementation of energy solutions that are designed to meet the growing needs of business customers of any size.

Gaudette and his team provide market expertise and help regional and national customers manage their energy strategy and manage customer relationships from acquisition through execution and retention. Partnering with customers, Gaudette and his team increase efficiencies, reduce costs and manage risks—creating a more sustainable, resilient, affordable and empowering energy ecosystem for NRG customers.

Prior to joining NRG, Gaudette served as Chief Commercial Officer at GenOn Energy. Previous to GenOn, he was Vice President of Mirant's Mid-Atlantic business unit in Washington, D.C. During his career at Mirant, Gaudette worked in various other capacities including Director of West Power, Director of NYMEX Trading, Assistant to the Chief Operating Officer and NYMEX trader. He began his career at Mirant in 2001 as a Trading Analyst.

Earlier, Gaudette served four years as an army combat engineer officer in the U.S. Cavalry, including a one-year deployment to Bosnia. Before leaving military service for business school in 1999, he served as the executive officer of a combat engineer company in the Second Armored Cavalry Regiment.

Gaudette earned a Bachelor of Science degree in chemistry from The College of William and Mary and a Master's of Business Administration from Rice University.

He is a member of the Business Council for Sustainable Energy. Gaudette is serving as a member of the Council of Overseers at the Jones Graduate School of Business at Rice University. He is also a board member at St. Agnes Academy and the Children's Museum of Houston. In addition, Gaudette serves on the regional economic development committee of the Greater Houston Partnership.



**Scott Hart**  
**Vice President / General Manager, NRG Business - Texas**

Scott Hart is Vice President / General Manager of NRG Business – Texas and leads an integrated sales organization comprised of commercial, industrial, and wholesale origination sales teams. Scott is leading the team to become a competitive participant in many retail markets to offer customized solutions and services to customers in the complex energy industry.

Scott has more than 30 years of utility and competitive retail executive experience and prior to leading the NRG Supply team, Scott was the President of Commercial Services for Green Mountain Energy before it was acquired by NRG in 2010. Scott has a Bachelor of Science degree from Tarleton State University and MBA from Baylor University.

DATE OF DEPOSIT

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
03/30/2021

**THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.**

**IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).**

<b>PRODUCER</b> McGriff Insurance Services, Inc. P.O. Box 10265 Birmingham, AL 35202	<b>CONTACT NAME:</b> _____	
	<b>PHONE (A/C, No, Ext):</b> 800-476-2211	<b>FAX (A/C, No):</b> _____
<b>E-MAIL ADDRESS:</b> _____		
<b>INSURER(S) AFFORDING COVERAGE</b>		<b>NAIC #</b>
<b>INSURER A:</b> Associated Electric & Gas Insurance Services Limited		_____
<b>INSURER B:</b> ACE American Insurance Company		22667
<b>INSURER C:</b> _____		_____
<b>INSURER D:</b> _____		_____
<b>INSURER E:</b> _____		_____
<b>INSURER F:</b> _____		_____

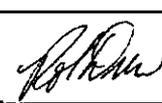
**INSURED**  
NRG Energy, Inc., including NRG Curtailment Solutions, Inc.  
804 Carnegie Center Drive  
Princeton, NJ 08540

**COVERAGES**      **CERTIFICATE NUMBER:** 7FGU65WQ      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL ISUBR INSD   WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
B	<input checked="" type="checkbox"/> <b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER: _____		HDO G72486547	04/01/2021	04/01/2022	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 _____ \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY <input type="checkbox"/> AUTOS ONLY					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ _____ \$
A	<input type="checkbox"/> <b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <input checked="" type="checkbox"/> <b>EXCESS LIAB</b> <input checked="" type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTIONS		XL5045010P Aggregates as Applicable	04/01/2021	04/01/2022	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 _____ \$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below	N/A				PER STATUTE    OTH-ER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$ _____ \$ _____ \$ _____ \$ _____ \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
Evidence Only

<b>CERTIFICATE HOLDER</b>  Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street Harrisburg, PA 17120	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 
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**Attachment G – Explanation of Non-Criminal Administrative  
Proceedings (Consumer Protection and Related Statutes)**

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PA. PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

SHIP

TO: COMMISSION SECRETARY  
C/O PENNSYLVANIA PUBLIC UTILITY COMMISSION  
PO BOX 3265  
HARRISBURG PA 17105-3265

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