



DATE OF DEPOSIT

DEC 20 2021

December 17, 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Sent Via FedEx: 7755 5873 6235**

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Commonwealth Keystone Building, 2<sup>nd</sup> Floor  
Harrisburg, PA 17120

**Re: Notice of Compliance Filing, 2022 5% Financial Security Reduction Renewal  
Dynegy Energy Services (East), LLC , Docket No. A-2010-2199236**

Dear Secretary Chiavetta,

Dynegy Energy Services (East), LLC ("The Company") was granted its 5% bonding level by the Commission and its expiration/anniversary date of its current financial security falls on March 24, 2022. In accordance with the Commission Order deemed final on October 16, 2018 at Docket No. P-2017-2608078, Dynegy Energy Services (East), LLC is submitting its annual compliance filing and requests to maintain its 5% financial security reduction of its most recent 12 month (or 4 quarters) of gross receipts.

The Company respectfully requests that the Commission grant Confidential Treatment to the attached documents. It is The Company's position that the information contained in these documents is of a proprietary and/or confidential nature. The Company respectfully requests that the Commission treat these documents as confidential, place them under a seal, and prevent public disclosure as stated in Pennsylvania Code Title 52 §5.365.

If you have any questions or concerns in regard to this submission, please do not hesitate to contact me at (214) 812-2134 or at [Dorian.Moore@Vistracorp.com](mailto:Dorian.Moore@Vistracorp.com) or [RCF@vistracorp.com](mailto:RCF@vistracorp.com).

Respectfully,

Dorian Moore  
Regulatory Reporting Manager  
Vistra Corp.

Enclosures

Please find enclosed for the Electric Generation Supplier (EGS) the following documents for its financial security annual compliance filing.

EGS's most recent 12 months (or 4 quarters) of its Pennsylvania gross receipts.

- Confidential and Redacted

EGS's 5% gross receipt calculation using its most recent 12 months (or 4 quarters) of gross receipts.

- Confidential and Redacted

EGS's Tax Status Letter of Good Standing from the Pennsylvania Department of Revenue.

- Confidential and Redacted

EGS's most a recent REV-423 Specialty Tax Estimated Payment

- Confidential and Redacted

Copy of EGS's original continuous bond (original on file with Commission) and an original Surety Rider amending the continuous bond to an amount equal to 5% of the EGS's most recent 12 months (or 4 quarters) of gross receipts.

- Confidential and Redacted

EGS's letter from the Pennsylvania Alternative Energy Portfolio Standard ("AEPS") administrator stating it is current with its AEPS obligations.

- Please note that the name that appears on the letter matches the EGS's PJM Subaccount long name. The EGS has contacted GATS and they are unable to re-name the GATS account to match the EGS's registered name with the Pennsylvania Public Utilities Commission.

EGS's letter stating it is compliant with the Commission's required annual fees and supplemental annual fees.

EGS's signed Certificate of Service to the Commission as proof of service.

EGS's signed verification.

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REDACTED

Dynergy Energy Services (East), LLC					
5% Gross Receipt Calculations					
Q4 2020	Q1 2021	Q2 2021	Q3 2021	Total	*5% of Total
[REDACTED]					

Bond Amount

[REDACTED]

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SECRETARY'S BUREAU

12/6/2021

Docket No. A-2010-2199236

Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)



Monday, November 29, 2021

**REDACTED**

Attention: Dorian Moore

RE:

Dynegy Energy Services (EAST), LLC  
6555 Sierra Drive  
Irving, TX 75039

Federal EIN: [REDACTED]  
Social Security Number:  
Business Partner Number: [REDACTED]

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of Dynegy Energy Services (EAST), LLC are current. All returns have been filed with payment as of Monday, November 29, 2021. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Emard S. Robinson, Jr.', written in a cursive style.

Emard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division

## REV-423 Specialty Tax Estimated Payment

Business Name			Revenue ID	Federal Employer Identification Number
DYNEGY ENERGY SERVICES (EAST)			[REDACTED]	[REDACTED]
Period Start Date	Period End Date	Period Due Date	Effective Date	Time Filed
1/1/2021	12/31/2021	3/15/2021	03/15/2021	3/11/2021 5:17:56 PM

Tax Type	Payment Amount
Gross Receipts - Electric, Hydro-Electric, & Water Power	[REDACTED]

Filed By	Transaction ID	Tax Return Status
Shannon Carter	[REDACTED]	Processed

Payment Method: ACH Debit (EFT) Payment Through e-TIDES

[Return](#)



**PENNSYLVANIA ALTERNATIVE  
ENERGY CREDIT PROGRAM**

*Via Email to: Dynegy Marketing & Trade, LLC (R-West Penn) (dorian.moore@vistraenergy.com, ziam@txu.com)*

3 September, 2021

Dear Pennsylvania Electric Supplier:

This letter is to inform you that Dynegy Marketing & Trade, LLC (R-West Penn) has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2021.

If you have any questions regarding this letter please contact InClime, the Pennsylvania AEPS Administrator, at [customerservice@pennaeps.com](mailto:customerservice@pennaeps.com) or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team

**From:** [PC,PUCAssessments](#)  
**To:** [RCF](#)  
**Subject:** RE: [External] Dynegy Energy Services (East), LLC Petition for 5% Financial Security Renewal- Assistance Required  
**Date:** Thursday, October 21, 2021 2:02:03 PM  
**Attachments:** [UtilityStatementOfAccount\\_Dynegy\\_Energy\\_Services\\_East\\_LLC.pdf](#)

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**EXTERNAL EMAIL**

Hello Tayler,

Attached is the account statement for Dynegy Energy Services (East), LLC for the years 2015-2021.

According to the account statement, Dynegy Energy Services (East), LLC has no outstanding balance and is thus compliant with the Commission's annual and supplemental annual fees.

Please contact us with any further concerns.

Thank you for your time,  
**Samuel Lowe | Clerk Typist II**  
Public Utility Commission | Bureau of Administrative Services  
Keystone Building | Room N 303  
400 North Street | Harrisburg, PA 17120  
Cell: 717-491-4354

**From:** RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Sent:** Thursday, October 21, 2021 1:45 PM  
**To:** PC,PUCAssessments <[RA-PCPUCASSESSMENTS@pa.gov](mailto:RA-PCPUCASSESSMENTS@pa.gov)>  
**Cc:** RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Subject:** [External] Dynegy Energy Services (East), LLC Petition for 5% Financial Security Renewal- Assistance Required

**ATTENTION:** *This email message is from an external sender. Do not open links or attachments from unknown sources. To report suspicious email, forward the message as an attachment to [CIFOPA\\_SPAM@pa.gov](mailto:CIFOPA_SPAM@pa.gov).*

Good Afternoon.

Dynegy Energy Services (East), LLC (Docket No.: A-2010-2199236) is a licensed EGS is petitioning the Pennsylvania Public Utilities Commission ("Commission") to renew its current financial security amount of 5% of its most recent 12 months (or 4 quarters) of gross receipts. We must provide evidence that we are *compliant with the Commission's required annual fees and supplemental annual fees* and we have been directed to you for support via the attached document.

Can you please provide us with the required information **prior to November 11, 2021** as this will allow us enough time to submit our petition at least 90 days prior to our current financial security

expiration/anniversary date .

Feel free to reach out with any questions or concerns regarding this request to [RCF@vistracorp.com](mailto:RCF@vistracorp.com) or [Dorian.Moore@vistracorp.com](mailto:Dorian.Moore@vistracorp.com)

Respectfully,

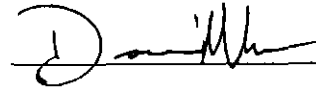
**Taylor Overly**  
Retail Compliance Analyst-Legal  
Vistra Corp.



**VERIFICATION**

I, Dorian Moore, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Date: December 17, 2021



\_\_\_\_\_  
**Dorian Moore**  
**Regulatory Reporting Manager**  
**Dynegy Energy Services (East), LLC**

**DATE OF DEPOSIT**

**DEC 20 2021**

**PA PUBLIC UTILITY COMMISSION**  
**SECRETARY'S BUREAU**

## CERTIFICATE OF SERVICE

On this the 17 day of December 2021 I certify that a true and correct copy of the foregoing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a CD-ROM, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17101

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading, PA 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

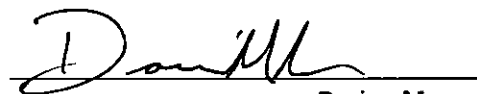
Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

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DEC 9 0 2021

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

  
Dorian Moore  
Regulatory Reporting Manager  
Dynergy Energy Services (East), LLC

ORIGIN ID:DALA (214) 812-2134  
DORIAN MOORE  
VISTRA ENERGY  
6555 SIERRA DRIVE

IRVING, TX 75039  
UNITED STATES US

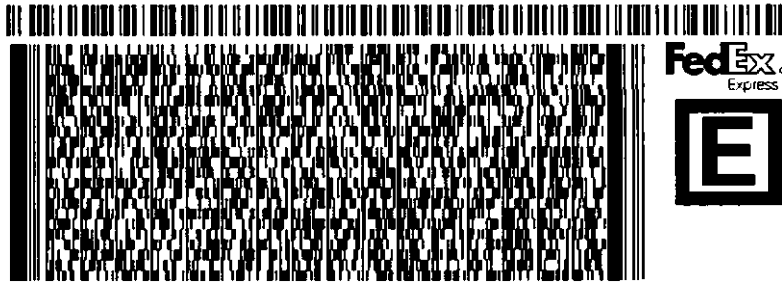
SHIP DATE: 20DEC21  
ACTWGT: 1.00 LB  
CAD: 101778781/NET4400

BILL SENDER

TO **ROSEMARY CHIAVETTA, SECRETARY  
PA PUBLIC UTILITIES COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET, 2ND FLR ROOM-N201  
HARRISBURG PA 17105**

56D.J3E934FE4A

(717) 772-7777 REF. S07  
INV  
PO DEPT

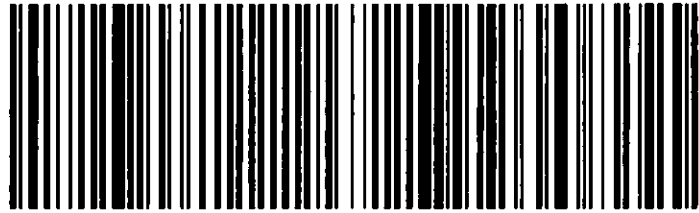


TUE - 21 DEC 4:30P  
STANDARD OVERNIGHT

TRK# 7755 5873 6235  
0201

**XE MDTA**

17105  
PA-US MDT



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