



January 12, 2022

DATE OF DEPOSIT

VIA FEDERAL EXPRESS

Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

JAN 12 2022

Attn: Rosemary Chiavetta, Commission Secretary PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU
RE: ENGIE Resources LLC ("ENGIE Resources") - EGS License No. A-110156

Submission of Request for Renewal of 5% Financial Security Reduction

Pursuant to 66 Pa. C.S. §2809(c), and the regulations promulgated thereunder, and 52 Pa Code §54.40, all active EGSs in PA are required to maintain financial security at levels meant to ensure the financial responsibility of the EGS.

ENGIE Resources hereby submits its renewal request of its 5% Financial Security Reduction pursuant to the conclusion and findings of the PA PUC's Tentative Opinion and Order adopted September 20, 2018 (the "Commission's Order"), as follows:

- 1) Name: **ENGIE Resources LLC**
Docket No.: **A-110156**
Utility Code: **1014**
- 2) Contact for Bond Renewal: **Marsha Griffin, Senior Paralegal**
1360 Post Oak Blvd., Suite 400
Houston, TX 77056
marsha.griffin@engie.com
- 3) Company Contact Info: **Customer Service: (866) 693-6443**
Email: care@engieresources.com
- 4) Current Bond Term: **04/19/2021 – 04/19/2022**
- 5) Certificate of Service: **See attached.**
- 6) Tax Status Letter: **See Exhibit 1 attached hereto.**
- 7) PA DOR REV-423: **Please note that ENGIE Resources' specialty estimated tax payment is not due to be paid until March 2022. Once ENGIE Resources' 2022 estimated specialty tax payment has been submitted and paid for 2021, ENGIE Resources will forward confirmation of same to the PA PUC with submission of its Continuation Certificate referenced in #10 below.**



- 8) Gross Receipts: **See CONFIDENTIAL Q1-Q4 2021 Gross Receipts attached hereto as Exhibit 2.**
- 9) 5% Gross Receipt Calculation: **See CONFIDENTIAL Exhibit 3 attached hereto.**
- 10) Bond: **ENGIE Resources' Bond No. 09232821 dated 04/19/2021, together with Continuation Riders are currently on file with the PA PUC. Upon approval by the PA PUC of ENGIE Resources' request for a 5% continued reduction, a further Continuation Certificate with the approved 5% reduction amount will be issued on or before the expiration date of the current Bond on file with the PA PUC.**
- 11) AEPS Compliance: **See Exhibit 4 attached hereto. PA AEPS confirmation of ENGIE Resources' full compliance with the requirements of the AEPS Act. This exhibit shows compliance for the most recent 12-month period, June 1-May 31, taken from the report of the Statewide Administrator of the PA AEPS AEC Program, stating the compliance obligation for the most recent energy year.**
- 12) Fees: **ENGIE Resources certifies that it is compliant with all annual and supplemental fees. See CONFIDENTIAL copies of annual and supplemental payments made attached hereto as Exhibit 5.**

If the PA PUC has any questions or require any additional information in connection with this filing, please contact me via e-mail at marsha.griffin@engie.com as our offices continue to work remotely here in Houston, Texas.

Regards,

Marsha Griffin
Senior Paralegal
1360 Post Oak Blvd., Suite 400
Houston, TX 77056
www.engieresources.com

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

cc: Stephen Jakab (via email: sjakab@pa.gov)
Financial Analyst
PA Public Utility Commission
Bureau of Technical Utility Services



ENGIE RESOURCES LLC
Request for Renewal of 5% Financial Security Reduction

Exhibit 1

Tax Status Letter

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Bureau of Compliance
P.O. Box 280231
Harrisburg, PA 17128-0231
717-787-3911 (Option 5)
www.revenue.pa.gov



Tuesday, January 11, 2022

Attention: Marsha Griffin

RE:
ENGIE Resources LLC
1360 Post Oak Blvd., Suite 400
Houston, TX 77056

DATE OF DEPOSIT
JAN 12 2022
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Federal EIN: 76-0685946
Social Security Number:
Business Partner Number: 1000209309

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of ENGIE Resources LLC are current. All returns have been filed with payment as of Tuesday, January 11, 2022. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.', written in a cursive style.

Ernard S. Robinson, Jr
PA Department of Revenue
Bureau of Compliance
Business License Clearance Division



ENGIE RESOURCES LLC
Request for Renewal of 5% Financial Security Reduction

Exhibit 4

Confirmation of AEPS Obligations Met



**PENNSYLVANIA ALTERNATIVE
ENERGY CREDIT PROGRAM**

*Via Email to: ENGIE Resources LLC (karthik.rammohan@engie.com, marsha.griffin@engie.com ,
marsha.griffin@engie.com)*

3 September, 2021

Dear Pennsylvania Electric Supplier:

This letter is to inform you that ENGIE Resources LLC has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2021.

If you have any questions regarding this letter please contact InClime, the Pennsylvania AEPS Administrator, at customerservice@pennaeps.com or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team



CERTIFICATE OF SERVICE

I hereby certify that when able, I will serve a copy of ENGIE Resources' Renewal Request for a 5% Reduction in Financial Security via first class mail upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54 and the Commission's Order dated September 20, 2018:

Office of Consumer Advocate
555 Walnut Street
5th Floor Forum Place
Harrisburg, PA 17101-1923

Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219
Attn: Regulatory Affairs

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Office of Attorney General
Office of Consumer Protection
14th Floor Strawberry Square
Harrisburg, PA 17120

PPL
Two North Ninth Street
Allentown, PA 18108-1179
Attn: Kimberly Klock, Legal Department

Office of Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

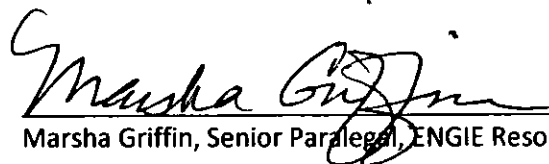
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699
Attn: Manager Energy Acquisition

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
P.O. Box 3265
Harrisburg, PA 17105-3265

Met-Ed, Penelec & Penn Power
First Energy
2800 Pottsville Place
Reading, PA 19612
Attn: Legal Department

Pennsylvania Department of Revenue
Fourth & Walnut Streets
1st Floor, Strawberry Square
Harrisburg, PA 17120
Attn: Bureau of Compliance

West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689
Attn: Legal Department


Marsha Griffin, Senior Paralegal, ENGIE Resources LLC

