



**AMBITENERGY**

6555 Sierra Drive  
Irving, TX 75039

February 17, 2022

Sent Via FedEx:

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Commonwealth Keystone Building, 2<sup>nd</sup> Floor  
Harrisburg, PA 17120

DATE OF DEPOSIT

FEB 4 2022

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Re: Notice of Compliance Filing, 2022 5% Financial Security Reduction Renewal  
Ambit Northeast, LLC , Docket No. A-2010-2190276**

Dear Secretary Chiavetta,

Ambit Northeast, LLC ("The Company") was granted its 5% bonding level by the Commission and its expiration/anniversary date of its current financial security falls on May 22, 2022. In accordance with the Commission Order deemed final on October 16, 2018 at Docket No. P-2017-2608078, Ambit Northeast, LLC is submitting its annual compliance filing and requests to maintain its 5% financial security reduction of its most recent 12 month (or 4 quarters) of gross receipts.

Ambit Northeast, LLC respectfully requests that the Commission grant Confidential Treatment to the attached documents. It is Ambit Northeast, LLC's position that the information contained in these documents is of a proprietary and/or confidential nature. Ambit Northeast, LLC respectfully requests that the Commission treat these documents as confidential, place them under a seal, and prevent public disclosure as stated in Pennsylvania Code Title 52 §5.365.

If you have any questions or concerns in regard to this submission, please do not hesitate to contact me at (214) 812-2134 or at [Dorian.Moore@Vistracorp.com](mailto:Dorian.Moore@Vistracorp.com) and [RCF@vistracorp.com](mailto:RCF@vistracorp.com).

Respectfully,

Dorian Moore  
Regulatory Reporting Manager

Enclosures

Please find enclosed for the Electric Generation Supplier (EGS) the following documents for its financial security annual compliance filing.

EGS's most recent 12 months (or 4 quarters) of its Pennsylvania gross receipts.

- Confidential and Redacted

EGS's 5% gross receipt calculation using its most recent 12 months (or 4 quarters) of gross receipts.

- Confidential and Redacted

EGS's Tax Status Letter of Good Standing from the Pennsylvania Department of Revenue.

- Confidential and Redacted

EGS's most recent REV-423 Specialty Tax Estimated Payment

- Confidential and Redacted

Copy of EGS's original continuous bond (original on file with Commission) and an original Surety Rider amending the continuous bond to an amount equal to 5% of the EGS's most recent 12 months (or 4 quarters) of gross receipts.

- Confidential and Redacted

EGS's letter from the Pennsylvania Alternative Energy Portfolio Standard ("AEPS") administrator stating it is current with its AEPS obligations.

EGS's letter stating it is compliant with the Commission's required annual fees and supplemental annual fees.

EGS's signed Certificate of Service to the Commission as proof of service.

EGS's signed verification.

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PA PUBLIC UTILITY COMMISSION  
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**VERIFICATION**

I, Dorian Moore, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Date: February 17, 2022

  
Dorian Moore  
Regulatory Reporting Manager

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

REDACTED

Ambit Northeast, LLC					
5% Gross Receipt Calculations					
Q1 (2021)	Q2 (2021)	Q3 (2021)	Q4 (2021)	Total	*5% of Total
[REDACTED]					

Bond Amount

[REDACTED]

• Payment Successfully Submitted.

REDACTED

## REV-423 Specialty Tax Estimated Payment

Business Name			Revenue ID	Federal Employer Identification Number
AMBIT NORTHEAST LLC			[REDACTED]	[REDACTED]
Period Start Date	Period End Date	Period Due Date	Effective Date	Time Filed
1/1/2021	12/31/2021	3/15/2021	03/15/2021	3/12/2021 5:16:42 PM

Tax Type	Payment Amount
Gross Receipts - Electric, Hydro-Electric, & Water Power	[REDACTED]

Filed By	Transaction ID	Tax Return Status
Dana Fontenot	[REDACTED]	Complete

Payment Method: ACH Debit (EFT) Payment Through e-TIDES

[Return](#)

Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)



Thursday, January 13, 2022

**REDACTED**

Attention: Dorian Moore

RE: AMBIT NORTHEAST LLC  
6555 SIERRA DR  
IRVING, TX 75039-2479

Federal EIN: [REDACTED]

Social Security Number:

Business Partner Number: [REDACTED]

Dear Requester,

I am writing to confirm that the above-referenced tax accounts for AMBIT NORTHEAST LLC are current. All returns have been filed with payment as of Thursday, January 13, 2022. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.', written in a cursive style.

Ernard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division



*Via Email to: Ambit Northeast, LLC (dorian.moore@vistraenergy.com,  
Dorian.Moore@vistracorp.com)*

3 September, 2021

Dear Pennsylvania Electric Supplier:

This letter is to inform you that Ambit Northeast, LLC has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2021.

If you have any questions regarding this letter please contact InClima, the Pennsylvania AEPS Administrator, at [customerservice@pennaeps.com](mailto:customerservice@pennaeps.com) or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team

**From:** [Chen, Yanling](#) on behalf of [PC,PUCAssessments](#)  
**To:** [RCF; PC,PUCAssessments](#)  
**Subject:** RE: [External] Ambit Northeast, LLC (Docket No. A-2010-2190276) Petition for 5% Financial Security Renewal- Assistance Required  
**Date:** Wednesday, January 12, 2022 2:47:45 PM

**EXTERNAL EMAIL**

Good afternoon,

Ambit Northeast, LLC is compliant with the Commission's required annual fees and supplemental annual fees.

Yanling

**From:** RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Sent:** Wednesday, January 12, 2022 11:29 AM  
**To:** PC,PUCAssessments <[RA-PCPUCASSESSMENTS@pa.gov](mailto:RA-PCPUCASSESSMENTS@pa.gov)>  
**Cc:** RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Subject:** [External] Ambit Northeast, LLC (Docket No. A-2010-2190276) Petition for 5% Financial Security Renewal- Assistance Required

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Good Morning.

Ambit Northeast, LLC (Docket No.: A-2010-2190276) is a licensed EGS is petitioning the Pennsylvania Public Utilities Commission ("Commission") to renew its current financial security amount of 5% of its most recent 12 months (or 4 quarters) of gross receipts. We must provide evidence that we are compliant with the Commission's required annual fees and supplemental annual fees and we have been directed to you for support via the attached document.

Please provide us with the required information prior to Monday January 24, 2022 as this will allow us enough time to submit our petition at least 90 days prior to our current financial security expiration/anniversary date.

Feel free to reach out with any questions or concerns regarding this request to Dorian Moore, Regulatory Reporting Manager, at [Dorian.Moore@vistracorp.com](mailto:Dorian.Moore@vistracorp.com) cc: [RCF@vistracorp.com](mailto:RCF@vistracorp.com).

Respectfully,

**Taylor Overly**  
Regulatory Reporting Analyst-Legal  
Vistra Corp.



**CERTIFICATE OF SERVICE**

On this the 17 day of February 2022 I certify that a true and correct copy of the foregoing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a CD-ROM, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Office of General Counsel  
Attn: Kimberly Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

Regulatory Affairs  
Duquesne Light company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

UGI Utilities, Inc.  
Attn: Rates Dept.-Choice Coordinator  
2525 N. 12<sup>th</sup> Street, Suite 360  
P.O Box 12677  
Reading, PA 19612-2677

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading, PA 19612

**DATE OF DEPOSIT**

**FEB 4 2022**

**PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU**

  
**Dorian Moore  
Regulatory Reporting Manager**

ORIGIN ID:DALA (214) 812-2134  
DORIAN MOORE  
VISTRA ENERGY  
6555 SIERRA DRIVE

SHIP DATE: 04FEB22  
ACTWGT: 1.00 LB  
CAD: 101778781/NET4460

IRVING, TX 75039  
UNITED STATES US

BILL SENDER

TO ROSEMARY CHIAVETTA, SECRETARY  
PA PUBLIC UTILITIES COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET, 2ND FLR ROOM-N201  
HARRISBURG PA 17105

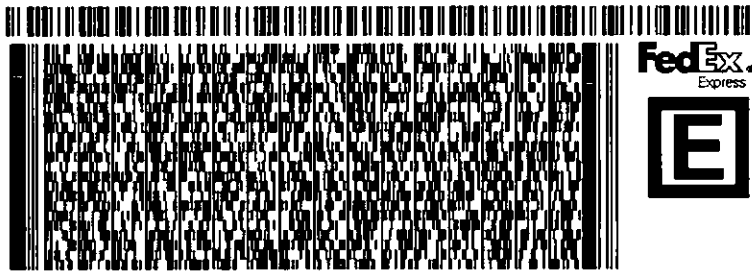
560.020270FE4A

(717) 772-7777

REF: S07

INV  
PO

DEPT



MON - 07 FEB 4:30P

STANDARD OVERNIGHT

TRK#  
0201

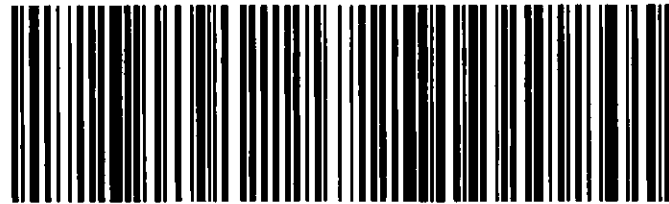
7759 5831 2606

**XE MDTA**

17105

PA-US

MDT



RECEIVED

FEB 18 2022

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

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