



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
400 NORTH STREET, HARRISBURG, PA 17120

IN REPLY PLEASE
REFER TO OUR FILE

March 16, 2022

Docket No. A-2019-3014675
Utility Code 9922807

WILLIAM FRANKLIN ROTHMAN
553 BRIDGEVIEW ROAD
LEMOYNE PA 17043
BROTHMAN@RSRREALTORS.COM

RE: Application of William Franklin Rothman to Renew Registration as a Utility Valuation
Expert

Dear Mr. Rothman:

On December 21, 2021, William Franklin Rothman filed the above-captioned document with the Pennsylvania Public Utility Commission. For the Commission to complete its analysis of the filing, please respond with the information requested in the attached document.

Please forward the information to the Secretary of the Commission at the address listed below **within ten (10) business days** from the date of this letter. All documents requiring notary stamps must have original signatures. The Commission strongly encourages submission through efilings with the Secretary of the Commission by opening an efilings account through the Commission website and accepting eservice at <https://efiling.puc.pa.gov>. The Commission is accepting all public documents through our efilings system at this time.

If your filing contains confidential material, you are required to either file by overnight delivery or submit to the Secretary's Share Point File system to ensure the timely filing of your submission. Filers should contact the Secretary's Bureau in advance to gain access to the Share Point File system. Make sure to reference the Docket Number listed above when filing your response. The overnight address for hard-copy or confidential responses is:

Rosemary Chiavetta, Secretary
400 North Street
Harrisburg, PA 17120

Please note your answers must be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

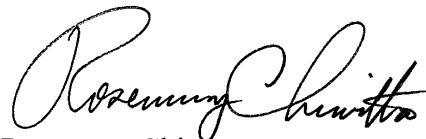
I, [print name of appropriate company representative], hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Signature _____
Title _____
Date _____

Please contact the below staff person if any problems should arise that prevent a full response within ten business days or if any clarification of these data requests is needed. Please mark the materials “CONFIDENTIAL” in bold or highlighted manner if any of the requested information is deemed to be of a confidential nature.

In addition, to expedite completion of the application, please send a copy of the information to Paul Zander via e-mail at pzander@pa.gov. Questions may be directed to Paul Zander in the Bureau of Technical Utility Services, Water/Wastewater Division at telephone number (717) 783-1372. Thank you in advance for your cooperation.

Sincerely,



Rosemary Chiavetta
Secretary

Enclosure: TUS Data Request Set 1

cc: Christine Hoover, Office of Consumer Advocate (w/enclosure), choover@paoca.org
Teresa Wagner, Office of Small Business Advocate (w/enclosure), tereswagne@pa.gov
Richard Kanaskie, Bureau of Investigation & Enforcement (w/enclosure), rkanaskie@pa.gov

TUS Data Request Set 1
Application of William Franklin Rothman to Renew Registration as a Utility Valuation
Expert at Docket No. A-2019-3014675

Note: Please restate the data request prior to providing a response. In addition, provide the name and title of the person(s) providing the response and/or information for each data request.

- A-1. The Renewal Application's response to Question No. 7 does not provide a reference to a specific attachment (i.e., see attached Exhibit A). However, it appears that the information referenced in Question No. 7 may be included in the Renewal Application's Exhibit A – Organizational Chart. Please revise the Renewal Application to include a complete response to Question No. 7, including references to specific attachments where information is provided.
- A-2. The Renewal Application does not include a response to Question No. 11, such as a reference to a specific attachment where responses can be found (i.e., see attached Exhibit C). Please revise the Renewal Application to include a response to Question No 11, such as a reference to a specific attachment can be found.
- A-3. The Renewal Application's Appendix A - Affidavit was filed without being notarized. Also, a document was filed on December 21, 2021 at Docket No. A-2019-3014675 indicating that a corrected, notarized Renewal Application was filed with the Commission, but Commission records at Docket No. A-2019-3014675 do not reflect that a corrected, notarized Renewal Application was filed with the Commission. Please revise the Renewal Application to include notarized copy of Application's Appendix A – Affidavit.