



April 15, 2022

Delivery via email  
Hon. Rosemary Chiavetta  
Secretary  
Pennsylvania Public Utility Commission ("PUC")  
PO Box 3265  
Harrisburg, PA 17105

AI-2017-2624376

**RE: 2021 Load Serving Entity Compliance Requirement Form; New Wave Energy Corporation**

Dear Secretary Chiavetta:

In accordance with Pennsylvania PUC requirements, New Wave Energy is submitting the required Load Serving Entity Compliance Requirement Form for 2021

Sincerely,

A handwritten signature in black ink, appearing to read "James Schuster".

James Schuster  
Director of Operations  
New Wave Energy Corporation  
Ph. 716-560-4993  
Fx. 866-316-9366  
[jschuster@nwaveenergy.com](mailto:jschuster@nwaveenergy.com)  
[www.nwaveenergy.com](http://www.nwaveenergy.com)

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2022 APR 18 AM 10:43  
PA P.U.C.  
SECRETARY'S BUREAU



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BUFFALO, NY 14202



716.887.9700



SUPPORT@NWAVEENERGY.COM  
NEWWAVEENERGY.COM

## LOAD SERVING ENTITY COMPLIANCE REQUIREMENT FORM

On an annual basis, EGSs providing retail electric supply service (i.e. take title to electricity) must file with the Commission this Load Serving Entity (LSE) Compliance Requirement Form. EGSs are directed to attach to this form documentation which provides the following:

- Proof of registration as a PJM LSE, or
- Proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

Examples of sufficient documentation to satisfy this compliance requirement include, but may not be limited to:

- A screen print showing that the EGS is listed as a Party to an effective version of the PJM Reliability Assurance Agreement (RAA), located at Schedule 17 of the RAA.
- Correspondence, such as an e-mail screen print or regular mail scan, from PJM verifying membership as an LSE.
- Documentation of an effective contract between the EGS and another party that serves as the LSE on behalf of the EGS. In such a case, the documentation must also include proof that the party fulfilling the LSE role is indeed registered with the PJM as an LSE

EGSs may mark all or portions of their filing confidential.

EGSs which do not provide retail electric supply service, such as brokers, are not required to file an LSE Compliance Requirement Form.

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The EGS provides retail electric supply service and has attached compliance with the LSE requirement hereto.

*Description of attachment (provide a brief description of the attachment below):*

**Confirmation of Member Status - New Wave Energy Corp**

PK Patt, Kathleen A. <Kathleen.Patt@pjm.com> Reply Reply All Forward Print More

To: Jim Schuster  
Cc: Credit Wed 4/13/2022 2:27 PM

Start your reply all with: I confirm. Thank you for your confirmation. Yes, this is correct. Feedback

Dear Jim,

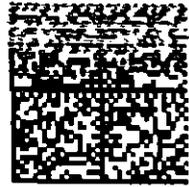
As requested, I am writing to confirm that, according to our records, New Wave Energy Corp is a PJM Member as of today's date, April 13, 2022. New Wave Energy Corp is also current on its billing and settlement obligations and has satisfied the minimum credit and/or collateral requirements to participate in the PJM Markets.

Sincerely,

Kate Patt  
Sr. Collateral Analyst, Credit Risk & Collateral Management  
610) 666-4664 (office) | (267) 245-4060 (cell) | [Kathleen.Patt@pjm.com](mailto:Kathleen.Patt@pjm.com) | [Credit\\_hotline@pjm.com](mailto:Credit_hotline@pjm.com)  
PJM Interconnection, LLC | 2750 Monroe Blvd., Audubon, PA 19403

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