

APPLICATION CHECKLIST

Motor Common Carrier of Persons in Group and Party Service Vehicles Seating 11 to 15 Passengers, including the Driver

Use this checklist to make sure you have enclosed all required items or your application will not be processed. You cannot operate in Pennsylvania until you receive a Certificate of Public Convenience from the Commission.

- The original Application with original signatures (unless eFiled with the Commission's online eFiling system at www.puc.pa.gov)
- Verified Statement of Applicant.
- A certified check, money order, or check from your attorney for \$350 made payable to "Commonwealth of Pennsylvania."
- The Application must clearly state whether you are applying as an individual or sole proprietor, partnership or corporate entity
- IF the application is being filed by a general partnership, you must provide a list of the names and addresses of ALL partners.
- IF the application is being filed by a limited partnership, you must provide a list of names and addresses of ALL partners, and your PA Corporation Bureau Entity ID Number.
- IF the application is being filed by a limited liability partnership, you must provide a list of names and addresses of ALL partners, and your PA Corporation Bureau Entity ID Number.
- IF the application is being filed by a limited liability company, you must provide a list of the names and addresses of ALL members and the Title of each member, and your PA Corporation Bureau Entity ID Number.
- IF the application is being filed by a for-profit corporation, you must provide a list of ALL corporate officers and titles, the name of each shareholder, distribution of shares, and your PA Corporation Bureau Entity ID Number.
- IF the application is being filed by a non-profit corporation, you must provide a list of ALL corporate officers and titles and those serving on the Board of Directors, and your PA Corporation Bureau Entity ID Number.

If you do not e-File your application, mail it and all attachments to:

SECRETARY PA PUBLIC UTILITY COMMISSION
400 NORTH STREET 2ND FLOOR
HARRISBURG PA 17120

Please note: The Commission has limited access to mailed-in documents during the COVID 19 crisis. E-file is the preferred method of submission of documents.

Corporate entities (corporations, LPs, LLPs, and LLCs) and fictitious trade names must be registered with the PA Department of State. Companies incorporated in other states must register as a foreign business corporation. Individuals acting as sole proprietors and partnerships do not have to register.

If you are not registered with the PA Department of State, you can apply at its website at www.dos.state.pa.us/corps on how to do business in Pennsylvania as:

PA Corporations (Profit and Non-Profit) – apply for Articles of Incorporation

Foreign Corporations – apply for a Certificate of Authority

PA Limited Partnerships (LPs), Limited Liability Partnerships (LLPs), and Limited Liability Companies (LLCs) – apply for an Application of Registration

Fictitious Name Registration – File ONLY IF Trade Name will be different than the business name you register with the PA Department of State.

General Information for Preparing and Filing the Application for Motor Common Carrier of Persons in Group and Party Service in Vehicles Seating 11 to 15, Including the Driver.

1. This application is required to request a Certificate of Public Convenience to operate as a commercial carrier of people, when providing transportation to groups and/or parties in vehicles seating 11 to 15 people, including the driver. **Important note: Service originating or terminating in Philadelphia is under jurisdiction of the Philadelphia Parking Authority, and an application must be filed with that agency to operate there.**
2. Upon approval of the application, you will be notified that prior to providing service in Pennsylvania you must submit evidence of insurance to the Public Utility Commission. **Your permanent evidence of insurance will be a Form E for bodily injury and property damage insurance.** This form is mailed to the Commission directly from the home office of your insurance carrier. The name and address on your Form E must **exactly** match the name and address you have provided on your application. If your insurance company subscribes to NOR (National Online Registries, Inc. at www.mcinfo.org), you can request the insurance company to file the required insurance forms electronically through NOR. The electronically filed insurance forms will reach the Commission more quickly than mailed forms. The minimum limits of insurance are as follows:

Minimum limit dependent upon manufactured rated seating capacity of the vehicle. Carriers operating any vehicle of

- | | | |
|------------------------|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 15 passengers or less: | (a) | \$35,000 to cover liability for bodily injury, death or property damage incurred in an accident (BIPD). |
| | (b) | \$25,000 first party medical benefits, \$10,000 first party wage loss benefits, and conforming to 75 PA C.S. §§1701 - 1798 (relating to Motor Vehicle Financial Responsibility Law). |
| | (c) | First party coverage of the driver of certificated vehicles shall meet the requirements of 75 PA C.S. §1711 (relating to required benefits). |

Application for Motor Common Carrier of Persons Group and Party Service in Vehicles Seating 11 to 15, Including the Driver

THIS APPLICATION IS TO BE USED FOR CHARTER SERVICE FOR GROUPS, OR ON A NONEXCLUSIVE BASIS FOR TOUR, SIGHTSEEING, OR EXCURSION SERVICE LIMITED TO VEHICLES SEATING 11 TO 15 PEOPLE, INCLUDING THE DRIVER.

1. **Legal Name of Applicant** (Individual, Partnership or Corporation)

BIG JOY TRANSPORTATION INC

- If you are an individual who has not formed any type of corporate entity, you should enter your name **as it will appear on your insurance documents**.
- If you are filing for a partnership, but **not a limited liability partnership**, the names of all partners must be entered on this line. Those names should be entered **as they will appear on your insurance documents**. This includes husbands and wives filing jointly.
- If you are filing for a corporate entity (corporation, limited liability company, or limited liability partnership), **even if you are the sole shareholder member**, you must enter the name **exactly as it appears on the registration papers from the Corporation Bureau of the Pennsylvania Department of State**.

2. **Trade Name** (Attach a copy of fictitious name registration if applicable)

This is any name which you will be operating under which differs from the **LEGAL NAME OF APPLICANT**. A **TRADE NAME** is considered a **FICTITIOUS NAME** if the identity of the applicant cannot be readily determined. *EXAMPLE: John Doe is the applicant and wants to use the name "Johnboy Transport" as his trade name. People cannot readily determine that John Doe is the actual operator; therefore, the name is fictitious and must be registered as such. Trade names such as "John Doe Transport" or "J. Doe Transport" are not considered fictitious and would not have to be registered.*

3. **Do you currently hold PUC Authority?** NO **Previous Authority?** NO

If YES, at PUC No. A- _____

4. **Are you a business entity registered with the PA Dept. of State?** YES

If NO, you must register (see checklist on how to register).

If YES, provide your PA Corporation Bureau Entity ID Number 7161774

(See checklist and indicate type of business entity registered)

5. **If either a corporation or limited liability company, please list members (LLC) or shareholders and officers (corporation).**

XIAO QING LIN

6. **Mailing Address**

211 NORTH 13TH STREET-1st FLOOR
 Street Address
 PHILADELPHIA, PA 19107 PHILADELPHIA COUNTY
 City, State and Zip Code County
 TBD
 Telephone Number E-mail Address

This is the e-mail address to which the Commission will send all official documents issued by the Commission until further notice.

7. **Physical Address** (if different than Mailing Address. Do not use a PO Box.)

211 NORTH 13TH STREET, 1st FLOOR
 Street Address
 PHILADELPHIA, PA 19107 PHILADELPHIA COUNTY
 City, State and Zip Code County
 Telephone Number E-Mail Address

The address entered here should reflect the actual location of the business. This is the address the Commission needs in order to dispatch Enforcement Officers to inspect equipment. If left blank, it will be assumed that the **PHYSICAL ADDRESS** is the same as the **MAILING ADDRESS**

8. **Attorney** (if applicable)

BETTY MON (215)869-5786
 Attorney's Name & Telephone Number for this Filing
 825 LONGWOOD AVENUE, CHERRY HILL , NJ 08002 betty@monassociates.com
 Attorney's Address E-Mail Address

An attorney's name should only be entered if an attorney is filing the application for a client and the application is being sent under the attorney's cover letter.

9. **Does applicant have a USDOT Number?**

No Yes, at No. _____

10. **Describe the service area proposed by this application.**
(Use the space below or attach additional sheet if space provided is not sufficient).

TO TRANSPORT PEOPLE FROM POINTS WITHIN PHILADELPHIA COUNTY FOR ADULT DAYCARE CENTERS

Examples:

- *To transport people from points in Lancaster County to points in PA, and return.*
- *To transport people between points in Allegheny, Washington, and Beaver Counties.*

11. **Certification:**

Applicant certifies that it is not now engaged in unauthorized intrastate transportation for compensation between points in Pennsylvania and will not engage in said transportation unless and until authorization is received from the Pennsylvania Public Utility Commission.

Applicant further certifies that it understands the requirements of the Pennsylvania Public Utility Commission, especially as they relate to safety and insurance and that it may be subject to civil penalties, suspension or cancellation of the Certificate for failure to comply with Commission requirements.

Applicant further certifies that it understands that it is subject to an annual assessment based upon its reported gross Pennsylvania intrastate revenues; said assessment to help defray expenses incurred in regulating Motor Common Carriers of Persons in Group and Party Service in Vehicles Seating 11 to 15, Including the Driver; and acknowledges that failure to report revenue and pay its annual assessment may result in civil penalties, suspension or cancellation of the certificate.

Verification of Application

I/We hereby state that the statement(s) made in this application is/are true and correct to the best of my/our knowledge and belief.

The undersigned understands that false statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 relating to unsworn falsification to authorities.

XIAO QING LIN

(Print Name)

(Signature)

(Date)

The verification of the application must be completed by the applicant appearing on Line 1 of the application by the named individual, all partners if a partnership, a member (if a limited liability company), or by the President or Secretary (if a corporation).

BUSINESS PLAN/VERIFIED STATEMENT OF APPLICANT

THE FOLLOWING INFORMATION IS REQUIRED BY THE COMMISSION TO DETERMINE THE APPLICANT'S FITNESS TO OPERATE. STATEMENTS SHOULD BE TYPED OR PRINTED. ILLEGIBLE STATEMENTS WILL DELAY YOUR APPLICATION.

BIG JOY TRANSPORTATION INC			
Legal Name of Applicant			
Trade Name, if any			
211 NORTH 13th STREET, 1st FLOOR	PHILADELPHIA	PA	19107
Street Address (principal place of business)	City or Municipality	State	Zip Code

The Verified Statement of the Applicant factual details about your proposed transportation service. Your Verified Statement must answer all of the items listed below and on the following pages. Provide as much information as possible to prevent delay in processing your application. If you need more space to provide your answer, please attach additional pages identifying the appropriate item number.

1. Identify the person making the Verified Statement on behalf of the applicant. If an employee/officer of applicant is making the statement, give name, title, business address and telephone number.

XIAO QING LIN IS MAKING THIS VERIFIED STATEMENT

2. List the applicant's affiliation (owner, manager, controls) with any other carrier, with the description of affiliation.

XIAO QING LIN IS APPLICANT AND HAS NO AFFILIATION WITH ANY OTHER CARRIERS

3. Describe your facilities, record maintenance plan and your communication network. Please include a description of your physical location, to including office machines that will be utilized, and the facility to house vehicles. As a carrier of household goods in use, applicant should include a description of storage facilities, if applicable. Please include an explanation of your plan to maintain records required by the PUC, as well as normal business records. In regard to your communication network, please explain how you will receive customer requests for transportation, how you will dispatch the vehicles to fulfill the request, and how you will maintain continuous communication with your drivers.

THE FACILITY IS SHARED WITH HOMERICA ADULT DAYCARE CENTER INC ("HOMERICA"), AN ADULT DAYCARE CENTER LOCATED AT SAME LOCATION. REQUIRED RECORDS OF THE FACILITY WILL BE STORED AT THE OFFICE, INCLUDING PUC AND OTHER BUSINESS RECORDS. REQUEST FOR SERVICES WILL COME FROM THE HOMERICA AND/OR OTHER ENTITIES. FACILITY VEHICLES WILL BE STORED AT A LOCAL PARKING LOT NEAR THE FACILITY WHEN NOT IN USE. THE COMMUNICATION NETWORK IS SIMPLE UTILIZING CELLULAR PHONES OF EMPLOYEES TO COORDINATE PICKUP/DROPOFFS AND EMERGENCIES.

4. Please state the number of drivers you intend to use or hire in your business and explain why that number of drivers is appropriate for the size of the territory you will be serving. In addition, please explain:
- Your hiring standards for drivers.
 - Your system for conducting criminal background checks.
 - Your driver training program.
 - Your system for conducting driver license checks.
 - Your policies regarding alcohol and drug use by your drivers.

INTENDED NUMBER OF DRIVERS: 3-4. THIS IS APPROPRIATE DUE TO THE SIZE OF THE CLIENTELE TO BE SERVED FOR THE ADULT DAYCARE CENTER. THE TIME OF SERVICES IS LIMITED TO PICKUP AND DROPOFF WITHIN THE PHILADELPHIA COUNTY AREA.

DRIVERS WILL BE HIRED WITH REQUIRED DRIVER LICENSE, MAINTAIN GOOD DRIVING RECORDS, AND FOLLOW SPECIFIC STANDARDS SET FORTH IN THE COMPANY'S EMPLOYEE HANDBOOK, INCLUDING ALCOHOL AND DRUG USE . EACH QUALIFIED APPLICANT'S CRIMINAL BACKGROUND WILL BE CHECKED, DRIVING RECORD CHECKED. ADDITIONALLY, DRIVERS WILL UNDERGO FACILITY TRAINING FOR CPR, FIRST AID, USING HANDICAP ACCESSIBILITY EQUIPMENT, AND TRANSFERING SENIORS AND PERSONS WITH DISABILITIES AS WELL AS OTHER TOPICS.

5. Please state the number of vehicles you plan to use in your business and why that number is appropriate to provide reasonable and efficient service to the territory you will be serving. If you have already obtained vehicles for your business, please list them in the chart below. **INITIALLY 2 VEHICLES WILL BE LEASED**

<u>YEAR</u>	<u>MAKE</u>	<u>MODEL</u>	<u>SEATING CAPACITY*</u>	<u>VEHICLE ID #</u>	<u>MILEAGE</u>
			10-14	TBD	
			10-14	TBD	

6. Describe your vehicle safety program. Please include the following in your explanation:
- Your periodic vehicle maintenance plan
 - Your system for ensuring your vehicles will continuously comply with applicable Pennsylvania vehicle equipment standards (67 Pa. Code, Chapter 175).

INTENDED VEHICLE MAINTENANCE PLAN WILL INCLUDE PERIODIC, AT LEAST ANNUALLY OR IF ISSUE ARISE SOONER. THIS PERIODIC MAINTENANCE IS THE GENERAL MAINTENANCE EXCLUDING ITEMS LIKE OIL CHANGES, TIRES, ETC. INTENDED MAINTENANCE SHALL BE SUPERSEDED BY THE SUGGESTED VEHICLE MANUFACTURER MAINTENANCE CRITERIA AND THE PA STATUTE 67 Pa Code, Ch 175.

7. Please explain what steps you have taken to determine if you can obtain insurance and pay the required insurance premiums.

FACILITY HAS INSURANCE AGENT AVAILABLE TO UNDERTAKE OBTAINING INSURANCE FOR THE VEHICLE AND PAY THE NECESSARY INSURANCE PREMIUMS

8. State whether the applicant has been convicted of a misdemeanor or felony. If applicant is partnership, limited liability partnership, corporation, or limited liability company this question applies to all members, officers, and/or shareholders. If “YES”, explain.

_____ YES x NO

9. Financial Data. Complete the “Statement of Financial Position”, which follows this page. Please feel free to also provide additional information explaining why you believe you have sufficient funds to ensure your transportation business can provide reliable service to the public in a safe manner.

Verification of Statement

The undersigned deposes and says that he/she is authorized to and does make this verification and that the facts set forth therein are true and correct to the best of his/her knowledge, information, and belief. The undersigned understands that false statements herein are made subject to penalties of 18 Pa. C. S. § 4904 relating to unsworn falsification to authorities.

(Signature)
XIAO QING LIN

(Date)

(Name and Title, printed or typed)

Statement of Financial Position (Balance Sheet)
As of (date) 09/10/2022

ASSETS

Current Assets			
Cash		\$150,000.00 (INITIAL INVESTMENT)	
Other Current Assets (specify)		_____	
Total Current Assets		_____	_____
Tangible Assets			
Motor Vehicle Equipment		2 VEHICLES TO BE LEASED	
Property (buildings, land, etc.)		_____	_____
Office Equipment		_____	_____
TOTAL ASSETS			_____

LIABILITIES

Current Liabilities (Due within one year of date)			
Loans		(LEASE FROM VEHICLES)	
Credit cards/revolving credit		_____	
Other Liabilities (Attach schedule)		_____	
Total Current Liabilities			_____
Long Term Liabilities (Due after one year of date)			
Mortgage		_____	
Long term commercial loan		_____	
Other Liabilities (Attach Schedule)		_____	
Total Long-Term Liabilities			_____
TOTAL LIABILITIES			_____