

**APPLICATION FOR RENEWAL OF CSP REGISTRATION
CHANGES INCURRED TO APPLICATION OF RECORD**

Applicant (Company) Name and Docket No.:

National Energy Foundation, Inc. A-2012-2333758

Contact Information (name, address, phone number and email of person filing application):

Gary Swan

5505 South 900 East, Suite 300, Salt Lake City, UT 84117

801-327-9500; gary@nefl.org

On behalf of the Applicant I am filing with the Commission this Application for Renewal of CSP Registration. There are one or more CHANGES to Applicant's CSP Application of record on file at the Commission at the Docket Number as indicated herein.

☒ I have reviewed the Applicant's CSP Application of record and the information contained therein has changed. Enclosed are the following items:

- a. Completed CSP Application;
- b. Renewal application fee of \$25;
- c. Affidavit, attesting to the truth and knowledge of these facts; and
- d. Proof of current liability insurance coverage.

Wayne Bonner, CFO, Treasurer

Name and Title of person authorized by Applicant to file this Application



Signature

09/29/2022

Date

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application for Renewal of Conservation Service Provider Registration, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

**CONSERVATION SERVICE PROVIDER (“CSP”) APPLICATION
FOR REGISTRATION IN THE COMMONWEALTH OF PENNSYLVANIA**

Conservation Service Providers (“CSPs”) have a specific role under Act 129, which requires a covered Electric Distribution Company’s Energy Efficiency and Conservation (EE&C) plan to include one or more CSPs to implement at least a portion of the EE&C Plan. 66 Pa.C.S. § 2806.1(b)(1)(i)(E). CSPs provide information and technical assistance to EDCs on *measures* that enable a person to increase energy efficiency or reduce energy consumption. CSPs must have no direct or indirect ownership, partnership or other affiliated interest with an EDC. 66 Pa.C.S. § 2806.1 (m). Only registered CSPs may advise an EDC and/or provide consultation, design, or administration or management services to an EDC related to the implementation of the EDC’s EE&C Plan. As such, registration of business entities as approved CSPs is required before entering into a contractual agreement with an EDC exclusively for the provision of consultation, design, administration, management or advisory services regarding that EDC’s EE&C plan. This registry is not intended as a resource for business, whose sole purpose is the installation of measures, supplying of equipment or other contracting work for use by the general public and EDC customers. If providing such services, registration as a CSP is not required for entering into an agreement with an EDC.

Any CSP subcontractor with an annual contract cost that equals or exceeds 10% of the CSP annual contract cost and is directly performing services pursuant to a contract with a CSP which has contracted with an EDC after Commission approval should also register as a CSP. This does not include third party contractors which participate in or support an EE&C Plan but are not directly contracted with a CSP which has a direct contractual relationship with the EDC subject to Act 129.

To qualify an applicant must have at least two years of experience in providing program consultation, design, administration, management or advisory services related to energy efficiency and conservation services. CSP registration is not required of entities that limit their services to the installation of energy efficiency measures or the provision of equipment or materials to EDC customers or the public in general.

1. IDENTITY OF THE APPLICANT

- a. Legal Name of Applicant: National Energy Foundation, inc.

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.¹

¹ A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant’s Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant’s Pa. Dept. of State registration is “active.” Certified copies of Pa. Dept. of State documents are not required.

- b. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a)—List all that apply.

☐ The Applicant will be using a fictitious name or doing business as (“d/b/a”). Identify names below. If more space is needed, list names on the back of this page or append list to completed application.

☒ The Applicant will not be using a fictitious name.

c. Applicant Address: 5505 South 900 East
Suite 300
Salt Lake City, UT 84117

d. Applicant Telephone No:
801-327-9500

e. Applicant Email Address:
gary@nefl.org

- f. Contact Information for Applicant. PLEASE NOTE: Upon approval of this application, this Contact Information will be listed on the Commission’s CSP Registry.

- Name Gary Swan
- Mailing Address 5505 South 900 East, Suite 300, Salt Lake City, UT 84117
- Telephone 801-327-9500
- Email Address gary@nefl.org

- g. Predecessor(s) & Other Names used by Applicant for past five (5) years of date of this application. Provide Name(s), Address(es) and Telephone No.(s).

☐ Check Box if any Predecessor(s) is currently or was previously registered in Pennsylvania as a CSP. If affirmative, please provide Docket No(s). (A-[Year]-[Seven (7) Digits]) and names for all registered CSPs.

h. Parent & Subsidiary Companies & Affiliates:

- ☐ Parent Name and Contact Information. Provide name and contact information for parent company. Check Box if any parent company is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

- ☐ Subsidiaries and Contact Information. Provide name and contact information for all subsidiary companies. Check Box if any subsidiary is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

- ☐ Affiliate(s) and Contact Information. Provide name and contact information for all affiliate companies. Check Box if any affiliate is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

i. Contracts & Business Partnerships:

- ☒ Check Box if Applicant intends to or has operated under contract with or has partnered with an EDC within the past five (5) years. If "None," do not check the box and answer "None" below.

- ☒ Check Box if Applicant intends to or has operated under contract (subcontractor) with or has partnered with a CSP within the past five (5) years. If "None," do not check the box and answer "None" below.

If any box above is checked, please provide name(s) of EDC(s) and CSP(s) and contact information for each and briefly describe the nature of business services associated with each contract and/or partnership.

See attached

- j. Identify principal officers (owners, executives, partners and/or directors), as appropriate for Applicant's organizational structure. Provide an organizational chart and the names, titles, business addresses and telephone numbers for each office.

Elissa Richards - President; Janet Hatch - Chief Operations Officer

Gary Swan - Chief Marketing Officer; Wayne Bonner - Chief Financial Officer

See attached for organizational chart and the names, titles, business addresses and telephone number for each officer/executive listed above

- k. Attach to this Application a brief biography or single page professional resume for all principal officers and management directly responsible for Applicant's operations.

- l. Provide Applicant's Employer Identification No. (EIN): 13-2877240

2. **REGISTERED AGENT**

- a. If the Applicant does not maintain a principal office in the Commonwealth, the Applicant is required by the Pennsylvania Department of State to designate an approved Registered Agent as its representative in the Commonwealth. Check one of the Boxes below, as applicable:

- ☐ YES, the Applicant has registered its business with the Pennsylvania Department of State. Following is the Name and Contact information for the Applicant's Department-Approved Registered Agent.

- Registered Agent's Name
- Registered Agent's Mailing Address
- Registered Agent's Telephone
- Registered Agent's Email Address

- ☐ NO, the Applicant has not registered its business with the Pennsylvania Department of State. STOP—To avoid denial of your application and forfeiture of your application fee, you should contact the Pennsylvania Department of State Bureau of Corporations to register as a business entity within the Commonwealth PRIOR TO completion and filing of this application with the Pennsylvania Public Utility Commission.

APPENDIX A - AFFIDAVIT

[Commonwealth/State] of Utah :

: ss.

County of Salt Lake :

Wayne Bonner, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CFO, Treasurer (Office of Affiant) of National Energy Foundation, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein National Energy Foundation, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be registered as a conservation service provider pursuant to Act 129 of 2008.

That the Applicant herein National Energy Foundation, Inc. acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

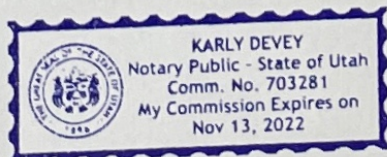
That the Applicant herein National Energy Foundation, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein National Energy Foundation, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]
Signature of Affiant

Sworn and subscribed before me this 29th day of September, 2022.



[Signature]
Signature of official administering oath

My commission expires: Nov. 13 2022.



[Search entity](#) / [Select entity](#) / [Order documents](#)

Order Business Documents

Date: 09/29/2022

Business Name History

Name	Name Type
National Energy Foundation	Current Name

Business Entity Details

Name	National Energy Foundation
Entity Number	3891254
Entity Type	Non-Profit (Non Stock)
Status	Active
Citizenship	Foreign
Entity Creation Date	06/25/2009
Effective Date	06/25/2009
State Of Inc	NY
Address	1301 School House Circle Downingtown PA 19335 Chester

Officers

Board of Directors

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graph TD; A[Board of Directors] --> B[Elissa Richards  
President]; B --> C[Wayne Bonner  
Chief Financial Officer, Treasurer  
5505 S 900 E, Ste 300, Salt Lake City, UT 84117  
801-327-9500]; B --> D[Janet Hatch  
Chief Operations Officer  
5505 S 900 E, Ste 300, Salt Lake City, UT 84117  
801-327-9500]; B --> E[Gary Swan  
Chief Marketing Officer, Secretary  
5505 S 900 E, Ste 300, Salt Lake City, UT 84117  
801-327-9500];
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Elissa Richards
President

Wayne Bonner

Chief Financial Officer, Treasurer

5505 S 900 E, Ste 300, Salt Lake City, UT 84117
801-327-9500

Janet Hatch

Chief Operations Officer

5505 S 900 E, Ste 300, Salt Lake City, UT 84117
801-327-9500

Gary Swan

Chief Marketing Officer, Secretary

5505 S 900 E, Ste 300, Salt Lake City, UT 84117
801-327-9500



Elissa Richards

President & CEO

Roles:

- Responsible for guiding NEF's strategic planning, organizational leadership, operations and fundraising activities
- Provides financial oversight, as well as organizational development, to meet the diverse needs of NEF programs
- Oversees development in the Safety, Government, Natural Resource and Corporate sectors
- Directs the business partnership between Johnson Controls and NEF, managing the Academy of Energy Education and also the Igniting Creative Energy Challenge
- NEF's Adult Education Specialist, leads development and implementation of NEF's adult energy efficiency education programs

Previous Experience:

- Classroom teacher, district teacher leader, school administrator
- LEED Green Associate Certification
- Adjunct Professor, Utah State University

Education:

- Masters in Educational Leadership and Policy, University of Utah
- Bachelor of Science, Elementary Education, University of Utah



Gary Swan

Chief Marketing Officer

Roles:

- Leads NEF development of energy education partnerships in the electric and natural gas utility sectors
- Helps guide NEF pilot program design and development
- Oversees NEF's in-house evaluation, measurement and verification (EM&V) activities for demand-side management programs and serves as NEF's key liaison to third party EM&V contractors evaluating NEF programs in the field
- Serves as Secretary to NEF's Board of Directors
- Serves on Board of Directors of the National Energy and Utility Affordability Coalition (NEUAC)
- Former Chairman of Public Outreach Committee, Interstate Oil and Gas Compact Commission

Education:

- Bachelor of Arts - Chinese, Brigham Young University
- Bachelor of Arts - Asian Studies, Brigham Young University
- MBA - Marketing Emphasis, Brigham Young University



Janet Hatch

Chief Operations Officer

Roles:

- Oversees all operations of NEF, including the supervision of operation personnel.
- Responsible for the hiring and training of the national NEF energy educator team.
- Responsible for implementation NEF's diverse educational offerings including Take Action, rEV, Bright Kids, Innovation, Community in Action, Out of the Rock, teacher workshops and student competitions.
- Oversees the NEF curriculum department in the development and revision of online and printed energy education materials.
- Key to the development of programs for electric, natural gas and water utility partners, federal and state government, associations, school districts and private industry.

Previous Experience:

- NEF Senior Program Director, Curriculum Director, Program Coordinator and Energy Educator
- School District Curriculum Developer, Gifted and Talented Coordinator and Testing Administrator
- Educator with 10 years of classroom teaching experience

Education:

- Bachelor of Science – Education, University of Utah
- Post Graduate - Gifted and Talented Certification, Utah State University



Wayne Bonner

Chief Financial Officer

Roles:

- Reviews and implements NEF's financial processes to meet organizational goals and objectives
- Provides analysis of budgets, financial reports and financial trends in order to assist the CEO/President, the Board and other senior executives in performing their responsibilities
- Oversees the Foundation's technical vision and leads all aspects of its technological development
- Establishes and implements HR efforts that effectively communicate and support NEF's mission and strategic vision
- Serves as treasurer on NEF's Board of Directors

Education:

- Bachelor of Science – Accounting, University of Utah
- MBA – University of Phoenix

1. i. Contracts & Business Partnerships:

Mary Ann Merenda
PPL Electric Utilities
827 Hausman Road
LEHSC-2
Allentown, PA 18104-9392
484-634-3034
makelly-merenda@pplweb.com

NEF provided energy efficiency education services in elementary schools, middle schools, and high schools throughout PPL's territory. NEF's services were a part of PPL's Act 129 residential portfolio.

Russell Bedell
Columbia Gas of Pennsylvania
1600 Colony Rd.
York, PA 17402
888-460-4332
rbedell@nisource.com

NEF provides energy safety education services in schools throughout Columbia Gas of Pennsylvania's territory.

Joseph Swope
UGI Utilities
2525 North 12th Street, Suite 360
Reading, PA 19605
610-796-3463
jswope@ugi.com

NEF provides energy efficiency and safety education services in elementary and middle schools throughout UGI's electric territory. Additionally, NEF provides natural gas safety education services in elementary and middle schools throughout UGI's natural gas territory.

Steve Cooke
CLEAResult
4301 Wesbank Dr
Building A – Suite 300
Austin, TX 78746
888-812-6146
Steve.cooke@clearesult.com

In Phase III of PPL's Act 129 programs, NEF is a subcontractor to CLEAResult, providing energy efficiency education services to elementary schools, middle schools, and high schools throughout PPL's territory.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

9/29/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Insurance Network 5005 S. 900 E. STE 200 SALT LAKE CITY UT 84117	CONTACT NAME: Madison George PHONE (A/C, No, Ext): 801-441-1240 E-MAIL: madisong@insurancenetworklc.com ADDRESS: madisong@insurancenetworklc.com																					
INSURED National Energy Foundation 5505 South 900 East, Suite 300 Salt Lake City UT 84117	<table border="1"><thead><tr><th colspan="2">INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr></thead><tbody><tr><td>INSURER A :</td><td>CINCINNATI CAS CO</td><td>28665</td></tr><tr><td>INSURER B :</td><td>THE CINCINNATI INDEMNITY CO</td><td>23280</td></tr><tr><td>INSURER C :</td><td></td><td></td></tr><tr><td>INSURER D :</td><td></td><td></td></tr><tr><td>INSURER E :</td><td></td><td></td></tr><tr><td>INSURER F :</td><td></td><td></td></tr></tbody></table>	INSURER(S) AFFORDING COVERAGE		NAIC #	INSURER A :	CINCINNATI CAS CO	28665	INSURER B :	THE CINCINNATI INDEMNITY CO	23280	INSURER C :			INSURER D :			INSURER E :			INSURER F :		
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INSURER D :																						
INSURER E :																						
INSURER F :																						

COVERAGES**CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	☒ COMMERCIAL GENERAL LIABILITY			EPP 0483414	03/07/2022	03/07/2023	EACH OCCURRENCE	\$ 1,000,000
	☐ CLAIMS-MADE ☒ OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 100,000
	☒ SPC						MED EXP (Any one person)	\$ 5,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PERSONAL & ADV INJURY	\$ 1,000,000
	☐ POLICY ☐ PRO-JECT ☐ LOC						GENERAL AGGREGATE	\$ 2,000,000
	☒ OTHER: Professional Liability						PRODUCTS - COMP/OP AGG	\$ 2,000,000
A	AUTOMOBILE LIABILITY			EPP 0483414	03/07/2022	03/07/2023	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
	☐ ANY AUTO						BODILY INJURY (Per person)	\$
	☐ OWNED AUTOS ONLY	☐ SCHEDULED AUTOS					BODILY INJURY (Per accident)	\$
	☒ HIRED AUTOS ONLY	☒ NON-OWNED AUTOS ONLY					PROPERTY DAMAGE (Per accident)	\$
A	☒ UMBRELLA LIAB			EPP 0483414	03/07/2022	03/07/2023	EACH OCCURRENCE	\$ 10,000,000
	☒ EXCESS LIAB	☐ CLAIMS-MADE					AGGREGATE	\$ 10,000,000
	DED	RETENTION \$						\$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			EWC 0483413	03/07/2022	03/07/2023	☒ PER STATUTE ☐ OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y / N	N / A				E.L. EACH ACCIDENT	\$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
								E.L. DISEASE - POLICY LIMIT
A	Property Section			EPP 0483414	03/07/2022	03/07/2023	Valuable Papers	\$150,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Certificate is for verification of coverage.

CERTIFICATE HOLDER**CANCELLATION**

National Energy Foundation

5505 South 900 East, Suite 300

Salt Lake City UT 84117

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Madison George CISR

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