



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
COMMONWEALTH KEYSTONE BUILDING
400 NORTH STREET
HARRISBURG, PENNSYLVANIA 17120
October 20, 2022

Docket No. A-2022-3035964
Utility Code: 1125618

CERTIFIED

MICHAEL A GRUIN ESQ
STEVENS & LEE PC
17 N 2ND ST 16TH FL
HARRISBURG PA 17101

RE: Electric Generation Supplier License Application

Dear Mr. Gruin:

On October 7, 2022, the Public Utility Commission (Commission) accepted Via Energy Solutions, LLC's application for an Electric Generation Supplier license. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please use the Commission's efilings system or an overnight delivery service to submit the requested information to the Secretary of the Commission **within 30 days** from the date of this letter. The Commission accepts all public documents through our efilings system and strongly recommends companies open an efilings account through the Commission's website at <https://efiling.puc.pa.gov>. Use of the efilings system will ensure that submissions by the company are received timely and receipt can be verified. Failure to respond timely may result in the application being denied.

If your filing contains confidential material, you are required to either file by overnight delivery or submit to the Secretary's Share Point File system to ensure the timely filing of your submission. Filers should contact the Secretary's Bureau in advance to gain access to the Share Point File system. Make sure to reference the Docket Number listed above and mark the materials "CONFIDENTIAL" in bold or highlighted manner if any of the requested information is deemed to be of a confidential nature.

The overnight address for hard-copy or confidential responses is:

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

I, [print name of appropriate company representative], hereby state that the facts above set Forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

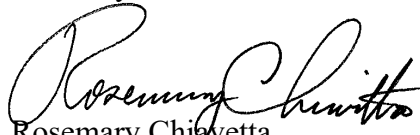
Signature _____
Title _____
Date _____

Please note that all documents requiring notary stamps must have original signatures.

If Via Energy Solutions, LLC has decided to withdraw its application, please reply notifying the Commission of such a decision.

Finally, in order to expedite the application review process, please send a copy of your response to Jeff McCracken at jmccracken@pa.gov. If any problems arise that prevent a full timely response or if any clarification of these data requests is needed, please contact Jeff McCracken of the Bureau of Technical Utility Services via e-mail at jmccracken@pa.gov (preferred) or (717) 783-6163.

Sincerely,


Rosemary Chivetta
Secretary

Enclosure

Docket No. A-2022-3035964
Via Energy Solutions, LLC
Data Requests

1. Reference Application, Section 1.a, Identity of Applicant – Applicant listed its name in this section (and the heading above the section) as “VIA ENERGY SOLUTIONS, LLC” rather than “Via Energy Solutions, LLC” (please note the capitalization differences). Applicant also failed to provide its web address. Please provide an updated application page with the appropriate corrections.
2. Reference Application, Section 1.b, Registered Agent – Applicant failed to provide a telephone number for its registered agent. Please provide an updated application page with the appropriate corrections.
3. Reference Application, Section 1.c, Regulatory Contact – Applicant failed to provide a title for its regulatory contact. Please provide an updated application page with the appropriate corrections.
4. Reference Application, Section 1.d, Attorney Contact – Applicant failed to provide an email address and telephone number for its attorney contact. Please provide an updated application page with the appropriate corrections.
5. Reference Application, Section 1.e, Customer Complaints Contact Info – Applicant failed to list the titles for its primary and alternate contact for complaints and customer service. Please provide an updated application page with the appropriate corrections.
6. Reference Application, Section 5.c and 5.d, Customer/Regulatory/Prosecutory Actions – In its application, the applicant included a list containing regulatory actions from various state agencies taken against the applicant’s affiliates (affiliates). The actions from the various state agencies taken against the affiliates were related to violations of the regulations, including slamming, marketing violations, deceptive practices, and other non-compliance issues. Furthermore, the instant application contains the same officers as those other affiliates. Please explain what steps the applicant has taken to ensure that these marketing practices will not be repeated within the Commonwealth of Pennsylvania.

7. Reference Application, Section 7.b, Financial Fitness – Applicant failed to provide a letter in which Via Renewables, Inc. assumes financial responsibility for applicant’s electric operations within the Commonwealth. Please ensure that an officer from each entity signs this letter.
8. Reference Application, Section 7.f, Taxation – Applicant failed to list the owners on its Tax Certification Statement. Please resubmit a complete Tax Certification Statement.
9. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide a license for each state in which it operates in the electric and natural gas industries. Please provide the missing documentation.