

## **COMMONWEALTH OF PENNSYLVANIA**

PENNSYLVANIA PUBLIC UTILITY COMMISSION COMMONWEALTH KEYSTONE BUILDING 400 NORTH STREET HARRISBURG, PENNSYLVANIA 17120 October 21, 2022

> Docket No. A-2022-3035970 Utility Code: 1225619

## **CERTIFIED**

MICHAEL A GRUIN ESQ STEVENS & LEE PC 17 N 2<sup>ND</sup> ST 16<sup>TH</sup> FL HARRISBURG PA 17101

RE: Natural Gas Supplier License Application

Dear Mr. Gruin:

On October 7, 2022, the Public Utility Commission (Commission) accepted Via Energy Solutions, LLC's application for a Natural Gas Supplier license. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please use the Commission's efiling system or an overnight delivery service to submit the requested information to the Secretary of the Commission within 30 days from the date of this letter. The Commission accepts all public documents through our efiling system and strongly recommends companies open an efiling account through the Commission's website at <a href="https://efiling.puc.pa.gov">https://efiling.puc.pa.gov</a>. Use of the efiling system will ensure that submissions by the company are received timely and receipt can be verified. Failure to respond timely may result in the application being denied.

If your filing contains confidential material, you are required to either file by overnight delivery or submit to the Secretary's Share Point File system to ensure the timely filing of your submission. Filers should contact the Secretary's Bureau in advance to gain access to the Share Point File system. Make sure to reference the Docket Number listed above and mark the materials "CONFIDENTIAL" in bold or highlighted manner if any of the requested information is deemed to be of a confidential nature.

The overnight address for hard-copy or confidential responses is:

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17120 Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

I, [print name of appropriate company representative], hereby state that the facts above set Forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).	
	Signature
	Title
	Date

Please note that all documents requiring notary stamps must have original signatures.

If Via Energy Solutions, LLC has decided to withdraw its application, please reply notifying the Commission of such a decision.

Finally, in order to expedite the application review process, please send a copy of your response to Jeff McCracken at <a href="mailto:jmccracken@pa.gov">jmccracken@pa.gov</a>. If any problems arise that prevent a full timely response or if any clarification of these data requests is needed, please contact Jeff McCracken of the Bureau of Technical Utility Services via e-mail at <a href="mailto:jmccracken@pa.gov">jmccracken@pa.gov</a> (preferred) or (717) 783-6163.

Sincerelv.

Rosemary Chiavetta

Secretary

Enclosure

## Docket No. A-2022-3035970 Via Energy Solutions, LLC Data Requests

- 1. Reference Application, Section 1.a, Identity of Applicant Applicant listed its name in this section as "VIA ENERGY SOLUTIONS, LLC" rather than "Via Energy Solutions, LLC" (please note the capitalization differences). Applicant also failed to provide its web address. Please provide an updated application page with the appropriate corrections.
- 2. Reference Application, Section 1.b, Registered Agent Applicant failed to provide a telephone number for its registered agent. Please provide an updated application page with the appropriate corrections.
- 3. Reference Application, Section 1.c, Regulatory Contact Applicant failed to provide a title for its regulatory contact. Please provide an updated application page with the appropriate corrections.
- 4. Reference Application, Section 1.d, Attorney Contact Applicant failed to provide an email address and telephone number for its attorney contact. Please provide an updated application page with the appropriate corrections.
- 5. Reference Application, Section 1.e, Customer Complaints Contact Info Applicant failed to list the titles for its primary and alternate contact for complaints and customer service. Please provide an updated application page with the appropriate corrections.
- 6. Reference Application, Section 5.c and 5.d, Customer/Regulatory/Prosecutory Actions In its application, the applicant included a list containing regulatory actions from various state agencies taken against the applicant's affiliates (affiliates). The actions from the various state agencies taken against the affiliates were related to violations of the regulations, including slamming, marketing violations, deceptive practices, and other non-compliance issues. Furthermore, the instant application contains the same officers as those other affiliates. Please explain what steps the applicant has taken to ensure that these marketing practices will not be repeated within the Commonwealth of Pennsylvania.
- 7. Reference Application, Section 6.a, Proof of Service Applicant failed to list the Commission's Bureau of Investigation & Enforcement in the Certificate of Service. Please provide updated documentation.

- 8. Reference Application, Section 7.a, Financial Fitness Applicant failed to provide a natural gas distribution company bonding letter for each utility in whose service territory it wishes to operate. Please provide the missing documentation.
- 9. Reference Application, Section 7.b, Financial Fitness Applicant failed to provide a letter in which Via Renewables, Inc. assumes financial responsibility for applicant's electric operations within the Commonwealth. Please ensure that an officer from each entity signs this letter.
- 10. Reference Application, Section 7.f, Taxation Applicant failed to list the owners on its Tax Certification Statement. Please resubmit a complete Tax Certification Statement.
- 11. Reference Application, Section 8.a, Technical Fitness Applicant failed to provide a license for each state in which it operates in the electric and natural gas industries. Please provide the missing documentation.
- 12. Reference Application, Section 12, Notarized Proofs Applicant failed to provide notarized proofs of publication listing any natural gas distribution companies other than PECO Energy Company or UGI Utilities, Inc. Please provide additional notarized proofs of publication for the appropriate newspapers containing the other natural gas utilities.