

# Stevens & Lee

17 N. Second Street, 16<sup>th</sup> Floor  
Harrisburg, PA 17101  
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Direct Dial: (717) 255-7365  
Email: michael.gruin@stevenslee.com  
Direct Fax: (610) 988-0852

November 2, 2022

**VIA ELECTRONIC FILING**

Secretary Rosemary Chiavetta  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120

**Re: Application of Via Energy Solutions, LLC for Approval to Offer, Render, Furnish  
or Supply Electric Generation Supply Services as a Broker/Marketer  
Docket No. A-2022-3035964**

Dear Secretary Chiavetta:

Enclosed for filing please find the Responses of Via Energy Solutions, LLC to the TUS  
Data Request No. 9 issued in this matter.

Thank you, and please feel free to contact me with any questions or concerns.

Sincerely,  
STEVENS & LEE



Michael A. Gruin, Esq.

Enclosures

cc: Jeff McCracken, TUS

Responses of Via Energy Solutions, LLC  
To  
TUS Data Requests  
Docket No. A-2022-3035964

9. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide a license for each state in which it operates in the electric and natural gas industries. Please provide the missing documentation.

**RESPONSE:** Please see copies of license approvals

**PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA  
1325 G STREET N.W., SUITE 800  
WASHINGTON, D.C. 20005**

**ORDER**

**May 6, 2022**

**FORMAL CASE NO. GA2022-02, IN THE MATTER OF THE APPLICATION OF VIA ENERGY SOLUTIONS, LLC FOR A NATURAL GAS SUPPLIER LICENSE;**  
**and**

**FORMAL CASE NO. EA2022-03, IN THE MATTER OF THE APPLICATION OF VIA ENERGY SOLUTIONS, LLC FOR AN ELECTRICITY SUPPLIER LICENSE,**  
**Order No. 21148**

**I. INTRODUCTION**

1. By this Order, the Public Service Commission of the District of Columbia (“Commission”) grants licenses to Via Energy Solutions, LLC (“Via Energy Solutions” or “Applicant”) to function as an electricity and natural gas supplier in the District of Columbia.<sup>1</sup> The licenses for Via Energy Solutions, LLC are effective upon issuance of this Order.

**II. BACKGROUND**

2. On February 16, 2022, Via Energy Solutions filed its license applications.<sup>2</sup> Specifically, Via Energy Solutions indicates that it intends to operate as a broker of electricity pursuant to § 4601 in Chapter 46 (Electricity Suppliers)<sup>3</sup> and as a broker of natural gas pursuant to § 4701 in Chapter 47 (Natural Gas Suppliers) of Title 15 of the District of Columbia Municipal Regulations.<sup>4</sup> In both filings, the Applicant represents that it intends to serve residential, commercial and industrial customers in the District of

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<sup>1</sup> D.C. Code § 34-1501(17) (2001) defines, in part, an electricity supplier as “a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers.” D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as “a person including an aggregator, broker, or marketer, who sell natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers.”

<sup>2</sup> *Formal Case No. EA2022-03, In the Matter of the Application of Via Energy Solutions, LLC for an Electricity Supplier License (“Formal Case No. EA2022-03”)*, Application of Via Energy Solutions, LLC filed February 16, 2022 and *Formal Case No. GA2022-02, In the Matter of the Application of Via Energy Solutions, LLC for a Natural Gas Supplier License (“Formal Case No. GA2022-02”)*, Application of Via Energy Solutions, LLC filed February 16, 2022.

<sup>3</sup> See 65 DCR 9970-9989 (September 28, 2018).

<sup>4</sup> See 65 DCR 9948-9967 (September 28, 2018).

Columbia. Via Energy Solutions filed supplemental information on March 11, and 28, 2022.<sup>5</sup>

### **III. DISCUSSION**

3. Our review of the information contained in Via Energy Solutions' applications and supplemental filings, demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity and natural gas customers in the District of Columbia. The Commission also finds that granting Via Energy Solutions' applications will serve the public interest by fostering competition in the District's energy market. Accordingly, Via Energy Solutions' applications are approved.

#### **THEREFORE, IT IS ORDERED THAT:**

4. The applications of Via Energy Solutions, LLC for licenses to conduct business in the District of Columbia as an electricity and natural gas supplier are hereby **GRANTED**. The licenses for Via Energy Solutions, LLC are effective upon issuance of this Order.

**A TRUE COPY:**

**BY DIRECTION OF THE COMMISSION:**



**CHIEF CLERK:**

**BRINDA WESTBROOK-SEDGWICK  
COMMISSION SECRETARY**

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<sup>5</sup> *Formal Case No. EA2022-03 and GA2022-02, Via Energy Solutions, LLC filed supplemental information on March 11 and 28, 2022.*

JASON M. STANEK  
CHAIRMAN

MICHAEL T. RICHARD  
ANTHONY J. O'DONNELL  
ODOGWU OBI LINTON  
PATRICE M. BUBAR



## PUBLIC SERVICE COMMISSION

**#2, 6/8/22 AM; ML#s 239022 and 240593**  
**License Reference No.: IR-5006**

June 8, 2022

Johnathan Martin  
Via Energy Solutions, LLC  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079  
[j.martin@viaenergysolutions.com](mailto:j.martin@viaenergysolutions.com)

Dear Mr. Martin:

On February 9, 2022, Via Energy Solutions, LLC (“Company”) filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on May 6, 2022.

After considering this matter at the June 8, 2022 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-5006). The license granted by the Commission under this Letter Order is limited solely to electricity supplier services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People’s Counsel.

By Direction of the Commission,

*/s/ David J. Collins*

David J. Collins  
Deputy Executive Secretary

DJC/st

JASON M. STANEK  
CHAIRMAN

MICHAEL T. RICHARD  
ANTHONY J. O'DONNELL  
ODOGWU OBI LINTON  
PATRICE M. BUBAR



## PUBLIC SERVICE COMMISSION

**#1, 6/8/22 AM; ML#s 239021 and 240591**  
**License Reference No.: IR-5005**

June 8, 2022

Johnathan Martin  
Via Energy Solutions, LLC  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079  
[j.martin@viaenergysolutions.com](mailto:j.martin@viaenergysolutions.com)

Dear Mr. Martin:

On February 9, 2022, Via Energy Solutions, LLC (“Company”) filed an Application for a License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supply services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on May 6, 2022

After considering this matter at the June 8, 2022 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland (License Reference Number IR-5005). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People’s Counsel.

By Direction of the Commission,

*/s/ David J. Collins*

David J. Collins  
Deputy Executive Secretary

DJC/st

COMMISSIONER  
Jared S. Chicoine

STATE OF NEW HAMPSHIRE



DEPUTY COMMISSIONER  
Christopher J. Ellms, Jr.

TDD Access: Relay NH  
1-800-735-2964

Tel. (603) 271-3670

FAX No. 271-1526

Website:  
[www.energy.nh.gov](http://www.energy.nh.gov)

DEPARTMENT OF ENERGY  
21 S. Fruit St., Suite 10  
Concord, N.H. 03301-2429

March 2, 2022

Via Energy Solutions, LLC  
Johnathan Martin  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079

Re: Via Energy Solutions, LLC  
Initial Registration as Provider of Electric Aggregation Service

Dear Mr. Martin:

On February 28, 2022, Via Energy Solutions, LLC (Via Energy) submitted an application to the Department of Energy (Department) to register as a provider of electric aggregation service.

Review of the application determined it is complete and meets the requirements for approval under Puc 2003, Registration and Assessment Requirements, and Puc 2006.02, Information Required for Initial and Renewal Registration of Aggregators.

Under RSA 374-F:7, as amended effective July 1, 2021, the Department is now responsible for registration and regulation of electric aggregators and competitive electric power suppliers and for the adoption of related rules. The Department therefore is authorized to enforce the relevant provisions of the Puc 2000 rules, including those related to aggregator registration. *See* RSA 12-P:14.<sup>1</sup>

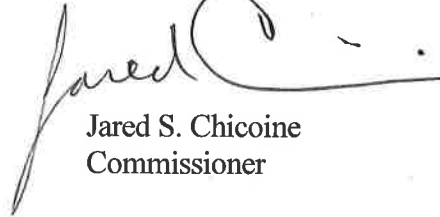
Via Energy's application for registration is hereby approved, effective as of March 3, 2022, under Puc 2003.05(g)(1). The registration is for a term of two years and expires at the close of business on March 3, 2024. Pursuant to Puc 2003.06(a), you must submit your next renewal application at least 60 days prior to the expiration of the approved registration term, on or before January 3, 2024.

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<sup>1</sup> On July 1, 2021, the Department came into existence and many functions previously performed by the Public Utilities Commission (PUC) were transferred to the Department. Under RSA 12-P:14, "[e]xisting rules, orders, and approvals of the [PUC] which are associated with any functions, powers, and duties, transferred to the [Department] pursuant to RSA 12-P:11 or any other statutory provision, shall continue in effect and be enforced by the commissioner of the [Department] until they expire or are repealed or amended in accordance with applicable law." *See* 2021 N.H. Laws Chapter 91 (House Bill 2-FN-A-Local).

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at:  
<http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>.

Sincerely,

A handwritten signature in black ink, appearing to read "Jared S. Chicoine". The signature is fluid and cursive, with a large loop at the end.

Jared S. Chicoine  
Commissioner





Philip D. Murphy  
Governor

Sheila Y. Oliver  
Lt. Governor

**State of New Jersey**

BOARD OF PUBLIC UTILITIES  
44 South Clinton Avenue, 1<sup>st</sup> Floor  
Post Office Box 350  
Trenton, New Jersey 08625-0350  
[www.nj.gov/bpu/](http://www.nj.gov/bpu/)  
(609)777-3300

**Joseph L. Fiordaliso**  
President

Mary-Anna Holden  
Commissioner

Dianne Solomon  
Commissioner

Upendra Chivukula  
Commissioner

Bob Gordon  
Commissioner

May 18, 2022

Johnathan Martin  
Vice President of Sales  
Via Energy Solutions, LLC  
12140 Wickchester Ln, Suite 100  
Houston, TX 77079

Re: **Energy Agent Initial Registration**  
Dkt. No. EE22030124L

Dear Mr. Martin:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its May 18, 2022 Agenda meeting, the New Jersey Board of Public Utilities ("Board") voted to issue an Energy Agent Registration to Via Energy Solutions, LLC. The company's registration number is EA-0688. Pursuant to N.J.A.C. 14:4-5.8, this registration shall not expire so long as a registration renewal fee accompanied by an annual information update form is submitted to the Board within 30 days prior to the annual **anniversary date of May 18<sup>th</sup>**.

This registration is effective May 18, 2022. This registration and the rights thereunder are **Non-Transferable**.

***This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.***

If you have any questions, please contact Sandra Perez at (609) 913-6297.

Sincerely,

A handwritten signature in cursive script that reads "Carmen D. Diaz".

Carmen D. Diaz  
Acting Secretary

CDD/sgp



## Office of the Secretary of State

The undersigned, as Secretary of State of Texas, does hereby certify that the attached is a true and correct copy of each document on file in this office as described below:

Via Energy Solutions, LLC  
Filing Number: 804288798

Certificate of Formation

October 27, 2021

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on January 07, 2022.



A handwritten signature in black ink, appearing to read "John B. Scott".

John B. Scott  
Secretary of State

Secretary of State  
P.O. Box 13697  
Austin, TX 78711-3697  
FAX: 512/463-5709



**Certificate of Formation  
Limited Liability Company**

**Filed in the Office of the  
Secretary of State of Texas  
Filing #: 804288798 10/27/2021  
Document #: 1089507340002  
Image Generated Electronically  
for Web Filing**

Filing Fee: \$300

**Article 1 - Entity Name and Type**

The filing entity being formed is a limited liability company. The name of the entity is:

**Via Energy Solutions, LLC**

**Article 2 – Registered Agent and Registered Office**

A. The initial registered agent is an organization (cannot be company named above) by the name of:

**Corporation Service Company d/b/a CSC-Lawyers Incorporating Service Company**

**OR**

B. The initial registered agent is an individual resident of the state whose name is set forth below:

C. The business address of the registered agent and the registered office address is:

**Street Address:**

**211 E. 7th Street**

**Suite 620 Austin TX 78701-3218**

**Consent of Registered Agent**

A. A copy of the consent of registered agent is attached.

**OR**

B. The consent of the registered agent is maintained by the entity.

**Article 3 - Governing Authority**

A. The limited liability company is to be managed by managers.

**OR**

B. The limited liability company will not have managers. Management of the company is reserved to the members.

The names and addresses of the governing persons are set forth below:

Managing Member 1: (Business Name) **Via Renewables, Inc.**

Address: **12140 Wickchester Ln Suite 100 Houston TX, USA 77079**

**Article 4 - Purpose**

The purpose for which the company is organized is for the transaction of any and all lawful business for which limited liability companies may be organized under the Texas Business Organizations Code.

**Supplemental Provisions / Information**

[The attached addendum, if any, is incorporated herein by reference.]

**Organizer**

The name and address of the organizer are set forth below.

**Dominique Colvard**      **12140 Wickchester Ln, Suite 100, Houston, TX 77079**

**Effectiveness of Filing**

A. This document becomes effective when the document is filed by the secretary of state.

**OR**

B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its signing. The delayed effective date is:

**Execution**

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.

**Dominique Colvard**

Signature of Organizer

**FILING OFFICE COPY**

COMMISSIONER  
Jared S. Chicoine

DEPUTY COMMISSIONER  
Christopher J. Ellms, Jr.

STATE OF NEW HAMPSHIRE



DEPARTMENT OF ENERGY  
21 S. Fruit St., Suite 10  
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TDD Access: Relay NH  
1-800-735-2964

Tel. (603) 271-3670

FAX No. 271-1526

Website:  
[www.energy.nh.gov](http://www.energy.nh.gov)

March 2, 2022

Via Energy Solutions, LLC  
Johnathan Martin  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079

Re: Via Energy Solutions, LLC  
Initial Registration as Provider of Electric Aggregation Service

Dear Mr. Martin:

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Review of the application determined it is complete and meets the requirements for approval under Puc 2003, Registration and Assessment Requirements, and Puc 2006.02, Information Required for Initial and Renewal Registration of Aggregators.

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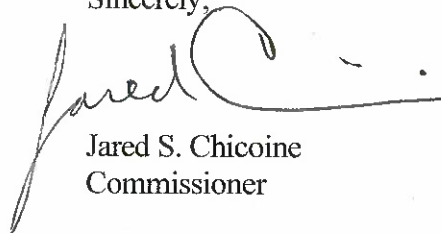
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Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at:  
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Sincerely,

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Jared S. Chicoine  
Commissioner



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Secretary of State  
P.O. Box 13697  
Austin, TX 78711-3697  
FAX: 512/463-5709

Filing Fee: \$300



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**Supplemental Provisions / Information**



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**Dominique Colvard**      12140 Wickchester Ln, Suite 100, Houston, TX 77079

**Effectiveness of Filing**

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**OR**

B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its signing. The delayed effective date is:

**Execution**

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**Dominique Colvard**

Signature of Organizer

**FILING OFFICE COPY**

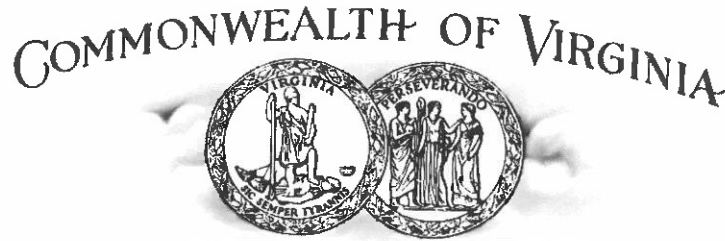
KIMBERLY B. PATE  
DIRECTOR

PATRICK W. CARR  
DEPUTY DIRECTOR

SCOTT C. ARMSTRONG  
DEPUTY DIRECTOR

DONNA T. PIPPERT  
DEPUTY DIRECTOR

ANDREA B. MACGILL  
DEPUTY DIRECTOR



TYLER BUILDING  
1300 EAST MAIN STREET  
FOURTH FLOOR B  
RICHMOND, VIRGINIA 23219

TELEPHONE: (804) 371-9950  
FAX NO.: (804) 371-9447

[ACCOUNTING@SCC.VIRGINIA.GOV](mailto:ACCOUNTING@SCC.VIRGINIA.GOV)

[scc.virginia.gov](http://scc.virginia.gov)

STATE CORPORATION COMMISSION  
DIVISION OF UTILITY ACCOUNTING AND FINANCE

June 21, 2022

Mr. Johnathan Martin  
Vice President, Sales  
Via Energy Solutions, LLC  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079

Dear Mr. Martin:

By Order dated April 28, 2022, in Case No. PUR-2022-00022, the Commission issued Via Energy Solutions LLC, License No. A-126 authorizing the Company to provide commercial, industrial, governmental and residential customers electric aggregation service in service territories of Virginia Electric and Power Company d/b/a Dominion Energy Virginia, Appalachian Power Company, and Kentucky Utilities Company d/b/a Old Dominion Power Company and natural gas aggregation service in territories of Columbia Gas of Virginia, Washington Gas Light Company, Southwestern Virginia Gas Company, and Virginia Natural Gas. Enclosed is License No. A-126, authorizing participation in the retail access programs as described in the Order.

If you have any questions about this license, please feel free to contact me at (804) 371-9295.

Sincerely,

Avis Jackson-Prince

Enclosure

c: Document Control Center

COMMONWEALTH OF VIRGINIA

**STATE CORPORATION COMMISSION**

LICENSE NO. A-126

Via Energy Solutions, LLC

---

is hereby granted this license pursuant to the Code of Virginia to provide only the following products and services:

*Electric Aggregation*

in service territories of Virginia Electric and Power Company d/b/a Dominion Energy Virginia, Appalachian Power Company, and Kentucky Utilities Company d/b/a Old Dominion Power Company

*Natural Gas Aggregation*

in service territories of Columbia Gas of Virginia, Washington Gas Light Company, Southwestern Virginia Gas Company, and Virginia Natural Gas to the following customer classes:

*Commercial, Industrial, Governmental, Residential*

This license is granted pursuant to the State Corporation Commission's Order Granting License dated April 28, 2022, in Case No. PUR-2022-00022, and incorporates all terms, conditions or restrictions set forth therein, or as subsequently amended.

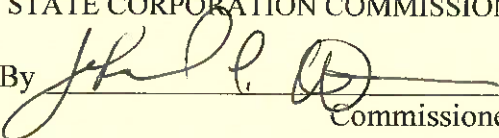
This license is not valid authority for the provision of any product or service not identified herein to any customer.

Failure of the licensee to comply with any applicable Federal Energy Regulatory Commission or State Corporation Commission Orders and/or Rules and all state and federal laws may result in the revocation, suspension or modification of this license, the imposition of appropriate fines and/or penalties, or additional actions may be taken as necessary to protect the public interest.

Dated at Richmond, Virginia \_\_\_\_\_ April 28, 2022 \_\_\_\_\_

STATE CORPORATION COMMISSION

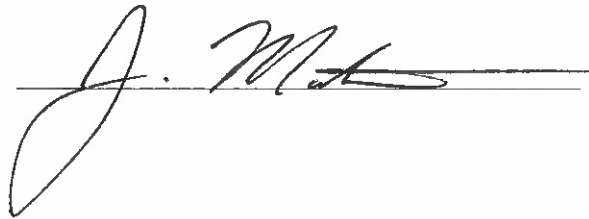
By

  
Commissioner

## VERIFICATION

I, Johnathan Martin holding the title of Vice President of Sales with Via Energy Solutions, LLC hereby state that the facts set forth above are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that false statements herein are made subject to the penalties of 18 Pa. C.S. §4904, relating to unsworn falsifications to authorities.

Date: October 26, 2022

A handwritten signature in black ink, appearing to read "J. Martin", is written over a horizontal line. The signature is stylized with a large, looped initial "J" and a cursive "Martin".