



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET  
HARRISBURG, PENNSYLVANIA 17120

December 7, 2022

Docket No. A-2014-2416214  
Utility Code: 1116480

**EMAIL**

JACKIE KERN CMO  
SMARTENERGY HOLDINGS LLC  
400 MADISON AVE STE 9A  
NEW YORK, NY 10017  
regulatory@smartenergy.com

RE: Financial Security Reduction Annual Compliance Filing

Dear Ms. Kern:

On October 17, 2022, SmartEnergy Holdings LLC filed an Annual Compliance Filing<sup>1</sup> to maintain its bonding level of 5% of its most recent 4 quarters of revenue subject to the minimum of \$250,000, per the Commission's Orders entered July 24, 2014, at Docket No. M-2013-2393141 and September 20, 2018, at Docket No. P-2017-2608078. In order for us to complete our analysis of your filing, the Energy Industry Group requires answers to the attached question(s).

Please use the Commission's efilings system or an overnight delivery service to submit the requested information to the Secretary of the Commission **within 30 days** from the date of this letter. The Commission accepts all public documents through our efilings system and strongly recommends companies open an efilings account through the Commission's website at <https://efiling.puc.pa.gov>. Use of the efilings system will ensure that submissions by the company are received timely and receipt can be verified. Failure to respond timely may result in the filing being denied.

If your filing contains confidential material, you are required to either file by overnight delivery or submit to the Secretary's Share Point File system to ensure the timely filing of your submission. Filers should contact the Secretary's Bureau in advance to gain access to the Share Point File system. Make sure to reference the Docket Number listed above and mark the materials "CONFIDENTIAL" in bold or highlighted manner if any of the requested information is deemed to be of a confidential nature.

The overnight address for hard-copy or confidential responses is:

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

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<sup>1</sup> Final Order at Docket No. P-2017-2608078 (adopted September 20, 2018)

**Your answers should be verified per 52 Pa Code § 1.36.** Accordingly, you must provide the following statement with your responses:

*I, [print name of appropriate company representative], hereby state that the facts above set Forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).*


Signature \_\_\_\_\_  
Title \_\_\_\_\_  
Date \_\_\_\_\_

Please note that all documents requiring notary stamps must have original signatures.

If SmartEnergy Holdings LLC has decided to withdraw its filing, please reply notifying the Commission of such a decision.

Finally, in order to expedite the filing review process, please send a copy of your response to Stephen Jakab at [sjakab@pa.gov](mailto:sjakab@pa.gov). If any problems arise that prevent a full timely response or if any clarification of these data requests is needed, please contact Stephen Jakab of the Bureau of Technical Utility Services via e-mail at [sjakab@pa.gov](mailto:sjakab@pa.gov).

Sincerely,

  
Rosemary Chiovetta  
Secretary

Enclosure

Docket No. A-2014-2416214  
SmartEnergy Holdings LLC  
Data Request

1. Reference Annual Compliance Filing - Applicant failed to provide a recent Tax Status Letter of Good Standing from the Department of Revenue. Please file a recent Tax Status Letter of Good Standing. Below is a link to the referenced letter.
  - a. [https://revenue-pa.custhelp.com/app/answers/detail/a\\_id/2212/~how-do-i-request-a-letter-for-tax-status%3F](https://revenue-pa.custhelp.com/app/answers/detail/a_id/2212/~how-do-i-request-a-letter-for-tax-status%3F)