



# DYNEGY

6555 Sierra Drive  
Irving, TX 75039

December 14, 2022

Sent Via FedEx: 7707 7257 1526

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

DATE OF DEPOSIT  
DEC 14 2022  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Re: Notice of Compliance Filing, 2023 5% Financial Security Reduction Renewal  
Dynergy Energy Services (East), LLC , Docket No. A-2010-2199236**

Dear Secretary Chiavetta,

Pursuant to the Pennsylvania Public Utility Commission's Order entered on September 2018, in Docket No. P-2017-2608078, The Public Utility Commission's new process for a 5% Financial Security Reduction Renewal for Electric Generation Suppliers. Dynergy Energy Services (East), LLC ("The Company") was granted its 5% bonding level by the Commission and its expiration/anniversary date of its current financial security falls on March 24, 2023. Dynergy Energy Services (East), LLC hereby respectfully requests that its bonding level of 5% be renewed.

The Company respectfully requests that the Commission grant Confidential Treatment to the attached documents. It is The Company's position that the information contained in these documents is of a proprietary and/or confidential nature. The Company respectfully requests that the Commission treat these documents as confidential, place them under a seal, and prevent public disclosure as stated in Pennsylvania Code Title 52 §5.365.

If you have any questions or concerns in regard to this submission, please do not hesitate to contact me at (214) 812-2134 or at [Dorian.Moore@Vistracorp.com](mailto:Dorian.Moore@Vistracorp.com) or [RCF@vistracorp.com](mailto:RCF@vistracorp.com).

Respectfully,

Dorian Moore  
Regulatory Reporting Manager

Enclosures

Please find enclosed for the Electric Generation Supplier (EGS) the following documents for its financial security annual compliance filing.

EGS's most recent 12 months (or 4 quarters) of its Pennsylvania gross receipts.

- Confidential and Redacted

EGS's 5% gross receipt calculation using its most recent 12 months (or 4 quarters) of gross receipts.

- Confidential and Redacted

EGS's Tax Status Letter of Good Standing from the Pennsylvania Department of Revenue.

- Confidential and Redacted

EGS's most recent REV-423 Specialty Tax Estimated Payment

- Confidential and Redacted

Copy of EGS's original continuous bond (original on file with Commission) and an original Surety Rider amending the continuous bond to an amount equal to 5% of the EGS's most recent 12 months (or 4 quarters) of gross receipts.

- Confidential and Redacted

EGS's letter from the Pennsylvania Alternative Energy Portfolio Standard ("AEPS") administrator stating it is current with its AEPS obligations.

- Please note that the name that appears on the letter matches the EGS's PJM Subaccount long name. The EGS has contacted GATS and they are unable to re-name the GATS account to match the EGS's registered name with the Pennsylvania Public Utilities Commission.

EGS's letter stating it is compliant with the Commission's required annual fees and supplemental annual fees.

EGS's signed Certificate of Service to the Commission as proof of service.

EGS's signed verification.

REDACTED

Financial Security- Gross Receipts Calculations  
Dynergy Energy Services (East), LLC

Dynergy Energy Services (East), LLC					
5% Gross Receipt Calculations					
Q4 2021	Q1 2022	Q2 2022	Q3 2022	Total	*5% of Total



Dynergy Energy Services (East), LLC (Docket No. A-2010-2199236)- 2023 5% Financial Security Renewal

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Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)



**REDACTED**

Monday, November 14, 2022

Attention: Gabe Vazquez

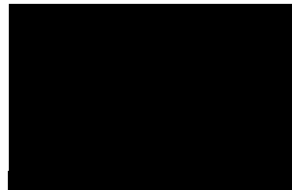
RE:  
Dynergy Energy Services (East), LLC  
6555 Sierra Drive  
Irving, TX 75039

DATE OF DEPOSIT

DEC 14 2022

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Federal EIN:  
Social Security Number:  
Business Partner Number:



Dear Requester,

I am writing to confirm that the above-referenced tax accounts of Dynergy Energy Services (East), LLC are current. All returns have been filed with payment as of Monday, November 14, 2022. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Emard S. Robinson, Jr.'.

Emard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division

Hearing Impaired and/or Speaking Needs  
Call TT# 800-447-3020

Dynergy Energy Services (East), LLC (Docket No. A-2010-2199236)- 2023 5% Financial Security Renewal

Payment Successfully Submitted.

REDACTED

### REV-423 Specialty Tax Estimated Payment

<b>Business Name</b>			<b>Revenue ID</b>	<b>Federal Employer Identification Number</b>	
DYNEGY ENERGY SERVICES (EAST)					
<b>Period Start Date</b>	<b>Period End Date</b>	<b>Period Due Date</b>	<b>Effective Date</b>	<b>Time Filed</b>	
1/1/2022	12/31/2022	3/15/2022	03/15/2022	3/14/2022 6:03:18 PM	

<b>Tax Type</b>	<b>Payment Amount</b>
Gross Receipts - Electric, Hydro-Electric, & Water Power	

<b>Filed By</b>	<b>Transaction ID</b>	<b>Tax Return Status</b>
Connie Ivins		Complete

Payment Method: ACH Debit (EFT) Payment Through e-TIDES

Return



*Via Email to: Dynegy Marketing & Trade, LLC (R-West Penn) (dorian.moore@vistraenergy.com, ziam@txu.com)*

13 September, 2022

Dear Pennsylvania Electric Supplier:

This letter is to inform you that Dynegy Marketing & Trade, LLC (R-West Penn) has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2022.

If you have any questions regarding this letter please contact InClime, the Pennsylvania AEPS Administrator, at [customerservice@pennaeps.com](mailto:customerservice@pennaeps.com) or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team

**From:** [Chen, Yanling](#) on behalf of [PC.PUCAssessments](#)  
**To:** [RCF; PC.PUCAssessments](#)  
**Subject:** RE: [External] Dynegy Energy Services (East), LLC Petition for 5% Financial Security Renewal- Assistance Required  
**Date:** Wednesday, November 9, 2022 8:25:46 AM

**EXTERNAL EMAIL**

Good morning,

DYNEGY ENERGY SERVICES (EAST) LLC (1112699) is compliant with the Commission's required annual fees and supplemental annual fees. There is no outstanding balance in the account.

Thanks!

Yanling

**From:** RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Sent:** Monday, November 7, 2022 10:30 AM  
**To:** PC.PUCAssessments <[RA-PCPUCASSESSMENTS@pa.gov](mailto:RA-PCPUCASSESSMENTS@pa.gov)>  
**Cc:** RCF <[rcf@vistracorp.com](mailto:rcf@vistracorp.com)>  
**Subject:** [External] Dynegy Energy Services (East), LLC Petition for 5% Financial Security Renewal- Assistance Required

***ATTENTION:** This email message is from an external sender. Do not open links or attachments from unknown senders. To report suspicious email, use the [Report Phishing button in Outlook](#).*

Good Morning.

Dynegy Energy Services (East), LLC (Docket No.: A-2010-2199236) is a licensed EGS and is petitioning the Pennsylvania Public Utilities Commission ("Commission") to renew its current financial security amount of 5% of its most recent 12 months (or 4 quarters) of gross receipts. We must provide evidence that we are compliant with the Commission's required annual fees and supplemental annual fees, and we have been directed to you for support via the attached document.

Please provide us with the required information prior to December 2, 2022 as this will allow us enough time to submit our petition at least 90 days prior to our current financial security expiration/anniversary date.

Feel free to reach out with any questions or concerns regarding this request to [RCF@vistracorp.com](mailto:RCF@vistracorp.com) or [Dorian.Moore@vistracorp.com](mailto:Dorian.Moore@vistracorp.com).

Respectfully,

**Taylor Overly**  
Regulatory Reporting Analyst II- Legal  
Vistra Corp.

**VERIFICATION**

I, Dorian Moore, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Date: December 16, 2022



**Dorian Moore**  
**Regulatory Reporting Manager**  
**Dynegy Energy Services (East), LLC**

DATE OF DEPOSIT  
DEC 14 2022  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



**CERTIFICATE OF SERVICE**

On this the 14 day of December 2022 I certify that a true and correct copy of the foregoing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a CD-ROM, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17101

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading, PA 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

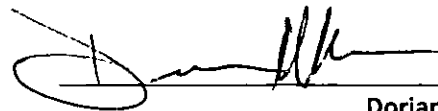
Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

DATE OF DEPOSIT

DEC 14 2022

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



**Dorian Moore**  
Regulatory Reporting Manager  
Dynergy Energy Services (East), LLC

ORIGIN ID:DALA (214) 812-2134  
DORIAN MOORE  
VISTRA ENERGY  
6555 SIERRA DRIVE

SHIP DATE: 14DEC22  
ACTWGT: 1.00 LB  
CAD: 101778781/NET4530

IRVING, TX 75039  
UNITED STATES US

BILL SENDER

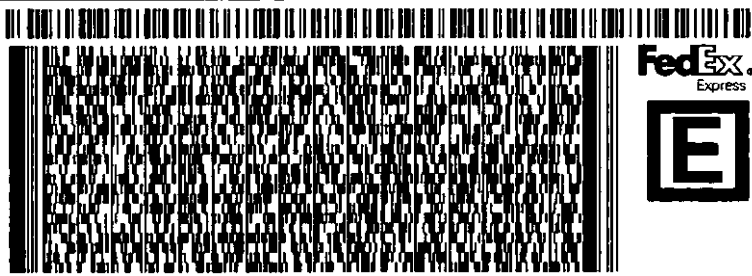
TO ROSEMARY CHIAVETTA, SECRETARY  
PA PUBLIC UTILITIES COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET, 2ND FLOOR  
HARRISBURG PA 17105

581.039/97/FE20

(717) 772-7777  
NW  
PO

REF: S07

DEPT

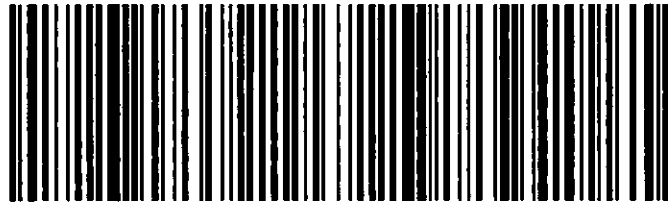


THU - 15 DEC 4:30P  
STANDARD OVERNIGHT

TRK# 7707 7257 1526  
0201

**XE MDTA**

17105  
PA-US MDT



RECEIVED

DEC 19 2022

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**After printing this label:**

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