

COMMONWEALTH OF PENNSYLVANIA

PENNSYLVANIA PUBLIC UTILITY COMMISSION COMMONWEALTH KEYSTONE BUILDING 400 NORTH STREET HARRISBURG, PENNSYLVANIA 17120

Docket No. A-2022-3036811

Utility Code: 1225734

CALEB BERGER PRESIDENT CAPITAL ENERGY INC 45 BROADWAY, SUITE 2230 NEW YORK NY 10006

Email: <u>caleb.berger@capitalenergy.net</u>

RE: Natural Gas Supplier License Application

Dear Mr. Berger:

On November 16, 2022, the Public Utility Commission (Commission) accepted Capital Energy, Inc's application for a Natural Gas Supplier license. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached questions.

Please use the Commission's efiling system or an overnight delivery service to submit the requested information to the Secretary of the Commission within 20 days from the date of this letter. The Commission accepts all public documents through our efiling system and strongly recommends companies open an efiling account through the Commission's website at https://efiling.puc.pa.gov. Use of the efiling system will ensure that submissions by the company are received timely and receipt can be verified. Failure to respond timely may result in the application being denied.

If your filing contains confidential material, you are required to either file by overnight delivery or submit to the Secretary's Share Point File system to ensure the timely filing of your submission. Filers should contact the Secretary's Bureau in advance to gain access to the Share Point File system. Make sure to reference the Docket Number listed above and mark the materials "CONFIDENTIAL" in bold or highlighted manner if any of the requested information is deemed to be of a confidential nature.

The overnight address for hard-copy or confidential responses is:

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17120 Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

I, [print name of appropriate company representative], hereby state that the facts above set Forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).	
	Signature
	Title
	Date

Please note that all documents requiring notary stamps must have original signatures.

If Capital Energy, Inc. has decided to withdraw its application, please reply notifying the Commission of such a decision.

Finally, in order to expedite the application review process, please send a copy of your response to Lee Yalcin at lyalcin@pa.gov. If any problems arise that prevent a full timely response or if any clarification of these data requests is needed, please contact Lee Yalcin of the Bureau of Technical Utility Services via e-mail at lyalcin@pa.gov (preferred) or (717) 787-6723.

Sincerely,

Rosemary Chiavetta

Secretary

Enclosure

Cc: BRADFORD STERN, ESQUIRE ROTHFELDER STERN, L.L.C. 407 GREENWOOD AVENUE, UNIT #301 TRENTON, NJ 08609

Email: bstern@rothfelderstern.com

Docket No. A-2022-3036811 Capital Energy, Inc. Data Requests

- 1. Reference Application, Cover Letter, which lists Bradford Stern at 22 Lakeview Hollow Cherry Hill, NJ 08003. Page 1 of the NGS Application, however, lists Mr. Stern's name, but the address is for Martin Rothfelder as listed on the Cover Letter. Please provide an updated page one of the Application with the correct address listed for counsel, alternatively, if both counsels are utilizing either address, please state so.
- 2. Reference Application, Section 5.c, Customer/Regulatory/Prosecutory Actions Applicant failed to provide a satisfactory response to demonstrate compliance. If the Applicant has no proceedings to list, explicitly state such.
- 3. Reference Application, Section 7.b, Financial Fitness Applicant provided minimal documentation to demonstrate financial fitness. Please provide three recent consecutive months of bank statements.