

# Stevens & Lee

17 N. Second Street, 16<sup>th</sup> Floor  
Harrisburg, PA 17101  
www.stevenslee.com

Direct Dial: (717) 255-7365  
Email: michael.gruin@stevenslee.com  
Direct Fax: (610) 988-0852

January 2, 2023

**VIA ELECTRONIC FILING**

Secretary Rosemary Chiavetta  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120

**Re: Application of Via Energy Solutions, LLC for Approval to Offer, Render, Furnish  
or Supply Natural Gas Supply Services  
Docket No. A-2022-3035970**

Dear Secretary Chiavetta:

Enclosed for filing please find the Responses of Via Energy Solutions, LLC to the TUS Data Requests issued in this matter. Please note that an updated Tax Certification is being provided as an attachment and the Tax Certification contains confidential tax account information. Accordingly, the Tax Certification will be filed separately through the Secretary's Confidential Filing Portal.

Thank you, and please feel free to contact me with any questions or concerns.

Sincerely,  
STEVENS & LEE



Michael A. Gruin, Esq.

Enclosures

cc: Jeff McCracken, TUS

Responses of Via Energy Solutions, LLC  
To  
TUS Data Requests  
Docket No. A-2022-3035970

1. Reference Application, Section 1.a, Identity of Applicant – Applicant listed its name in this section (and the heading above the section) as “VIA ENERGY SOLUTIONS, LLC” rather than “Via Energy Solutions, LLC” (please note the capitalization differences). Applicant also failed to provide its web address. Please provide an updated application page with the appropriate corrections.

**RESPONSE:** Please see attached corrected page 1 to the Application

2. Reference Application, Section 1.b, Registered Agent – Applicant failed to provide a telephone number for its registered agent. Please provide an updated application page with the appropriate corrections.

**RESPONSE:** Please see attached corrected page 1 to the Application

3. Reference Application, Section 1.c, Regulatory Contact – Applicant failed to provide a title for its regulatory contact. Please provide an updated application page with the appropriate corrections.

**RESPONSE:** Please see attached corrected page 1 to the Application

4. Reference Application, Section 1.d, Attorney Contact – Applicant failed to provide an email address and telephone number for its attorney contact. Please provide an updated application page with the appropriate corrections.

**RESPONSE:** Please see attached corrected page 1 to the Application

Responses of Via Energy Solutions, LLC

To

TUS Data Requests

Docket No. A-2022-3035970

5. Reference Application, Section 1.e, Customer Complaints Contact Info – Applicant failed to list the titles for its primary and alternate contact for complaints and customer service. Please provide an updated application page with the appropriate corrections.

**RESPONSE:** Please see attached corrected page 1 to the Application

6. Reference Application, Section 5.c and 5.d, Customer/Regulatory/Prosecutory Actions – In its application, the applicant included a list containing regulatory actions from various state agencies taken against the applicant’s affiliates (affiliates). The actions from the various state agencies taken against the affiliates were related to violations of the regulations, including slamming, marketing violations, deceptive practices, and other non-compliance issues. Furthermore, the instant application contains the same officers as those other affiliates. Please explain what steps the applicant has taken to ensure that these marketing practices will not be repeated within the Commonwealth of Pennsylvania.

**RESPONSE:**

The regulatory actions referenced in the Application related to the activities of several retail energy supplier affiliates of the Applicant in connection with direct sales of retail energy to residential customers by third-party marketing vendors. The sales activity and customer interactions described in the regulatory actions against the affiliates of Applicant were not committed by employees of the Applicant, or with their knowledge, involvement or approval. Rather, those investigations stemmed from the acts of third party telemarketing sales vendors acting on behalf of the affiliate suppliers of Applicant.

The Applicant has never had any regulatory action brought against it in any jurisdiction. It is important to note that the Applicant’s proposed operations involve an entirely different business model and entirely different customers than the business model and customer base of the affiliates of Applicant that were the subject of regulatory actions. The Applicant is a broker serving commercial and industrial customers, and it does not conduct any marketing to residential customers. Its operations involve working with sophisticated commercial and industrial customers to request and compare offers for energy supply from retail supplies, and then helping these potential customers to decide on the best offer for its particular commercial needs.

The Applicant will not take title to any electricity or natural gas. Applicant will not rely on third party marketing entities to conduct outreach to its prospective commercial and industrial customers. Applicant will instead rely on internal sales professionals and referrals to establish long-term relationships with commercial customers to assist them in choosing energy supply products offered by licensed suppliers.

Responses of Via Energy Solutions, LLC  
To  
TUS Data Requests  
Docket No. A-2022-3035970

The Applicant's operations will be led by seasoned professionals with extensive experience in the commercial and industrial sector. The Applicant's sales and marketing functions will primarily be led by Johnathan Martin, who joined the company recently after a decade working for large C&I suppliers. Mr. Martin was not employed by the Applicant or any of its affiliates during any of the events that resulted in regulatory investigations against the affiliates of Applicant.

While the Applicant's broker operations aimed at commercial and industrial customers represents a completely different line of business from the residential energy supply marketing operations that resulted in the investigations of its affiliates, Applicant notes that only one of its affiliates was the subject of a regulatory prosecution in Pennsylvania (Verde Energy USA, LLC) ("Verde"). Verde has previously committed to the Commission that it would develop and implement a new sales quality assurance program. This program includes a detailed sales agent training program, restrictions on sales vendor activity, vendor screening, recording of telemarketing sales calls, a new sales verification system, a sales compliance and quality assurance monitoring program, new staffing commitments for compliance purposes, and ongoing reporting obligations. See the Commission's Opinion and Order issued on September 15, 2022 in Docket No. C-2020-3017229 for the details of Verde's commitment. As reflected in that Order, Verde agreed not to resume in-person or telemarketing in Pennsylvania until it has fully implemented its new sales training and quality assurance program and provides written confirmation of such implementation. Verde has stated in that Docket that it intends to go far beyond minimum compliance with the applicable provisions of the Public Utility Code and Commissions' regulations, orders, and decisions, and that its goal is to develop a best-in-class program that will set it apart as a leader in in marketing and compliance related matters.

7. Reference Application, Section 6.a, Proof of Service – Applicant failed to list the Commission's Bureau of Investigation & Enforcement in the Certificate of Service. Please provide updated documentation.

**RESPONSE:** Please see attached Certificate of Service

8. Reference Application, Section 7.a, Financial Fitness – Applicant failed to provide a natural gas distribution company bonding letter for each utility in whose service territory it wishes to operate. Please provide the missing documentation.

**RESPONSE:** Please see attached NGDC bonding letters

Responses of Via Energy Solutions, LLC

To

TUS Data Requests

Docket No. A-2022-3035970

9. Reference Application, Section 7.b, Financial Fitness – Applicant failed to provide a letter in which Via Renewables, Inc. assumes financial responsibility for applicant’s electric operations within the Commonwealth. Please ensure that an officer from each entity signs this letter.

**RESPONSE:** Please see attached signed Guaranty confirming Via Renewables, Inc. will assume financial responsibility for Applicant’s operations in Pennsylvania.

10. Reference Application, Section 7.f, Taxation – Applicant failed to list the owners on its Tax Certification Statement. Please resubmit a complete Tax Certification Statement.

**RESPONSE:** Please see attached corrected Tax Certification

11. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide a license for each state in which it operates in the electric and natural gas industries. Please provide the missing documentation.

**RESPONSE:** Please see attached licenses

12. Reference Application, Section 12, Notarized Proofs – Applicant failed to provide notarized proofs of publication listing any natural gas distribution companies other than PECO Energy Company or UGI Utilities, Inc. Please provide additional notarized proofs of publication for the appropriate newspapers containing the other natural gas utilities

**RESPONSE:** Please see attached proofs of publication

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Via Energy Solutions, LLC, d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Xaa0} ^! \* ^ Á [ | ^ | • BŠŠŌ Á  
12140 Wickchester Ln., Ste. 100  
Houston, TX 77079  
281-851-8292  
<https://viaenergysolutions.com>

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Company  
2595 Interstate Drive, Suite 103  
Harrisburg, PA 17110  
email: [complianceemail@CSCglobal.com](mailto:complianceemail@CSCglobal.com)

Phone: 1-800-927-9800

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Johnathan Martin - Vice-President of Sales  
12140 Wickchester Ln., Ste 100  
Houston, TX 77079  
281-851-8292  
[j.martin@viaenergysolutions.com](mailto:j.martin@viaenergysolutions.com)

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Michael A. Gruin  
Stevens & Lee  
17 N. 2nd Street, 16th Fl.  
Harrisburg, PA 17101

Telephone - 717 -255-7365  
Email: [michael.gruin@stevenslee.com](mailto:michael.gruin@stevenslee.com)  
Fax: 610-988-0852

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

Johnathan Martin - Vice-President of Sales  
12140 Wickchester Ln., Ste 100  
Houston, TX 77079  
281-851-8292  
[j.martin@viaenergysolutions.com](mailto:j.martin@viaenergysolutions.com)

Paul Konikowski - Chief Operating Officer  
12140 Wickchester Ln., Suite 100  
Houston, TX 77079  
832-294-1955  
[pkonikowski@viarenewables.com](mailto:pkonikowski@viarenewables.com)

**CERTIFICATE OF SERVICE**

I hereby certify that on this 19<sup>th</sup> day of December 2022 a copy of the foregoing application has been served upon the persons listed below via First Class U.S. Mail in accordance with the requirements of 52 Pa. Code Sections 1.54 and 1.55.

Pennsylvania Public Utility Commission Bureau of Investigation & Enforcement 400 North St. Harrisburg, PA 17120	
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DATE: December 19, 2022

\_\_\_\_\_  
Michael A. Gruin, Esq.



375 North Shore Drive  
Pittsburgh, Pennsylvania 15212

[www.peoples-gas.com](http://www.peoples-gas.com)

**Carol Scanlon**  
Manager, Rates

**Peoples Service Company LLC**  
Phone: 412-208-6931  
Email: [Carol.Scanlon@peoples-gas.com](mailto:Carol.Scanlon@peoples-gas.com)

December 7, 2022

Johnathan Martin  
V.P. Sales  
Energy Solutions, LLC  
12140 Wickchester Ln., Suite 100  
Houston, TX 77079

Dear Mr. Martin:

We are pleased that Energy Solutions, LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, and Peoples Gas Company LLC (formerly Peoples TWP) (“the Companies”).

Since Energy Solutions, LLC is not currently serving customers on the Peoples systems, we have determined at this time that Energy Solutions, LLC does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company’s exposure to Energy Solutions, LLC provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at [Carol.Scanlon@peoples-gas.com](mailto:Carol.Scanlon@peoples-gas.com).

Sincerely,

Carol Scanlon  
Manager, Rates  
Peoples Natural Gas Company LLC

Cc: Stephen Kelly  
Mina Speicher





**National Fuel**

December 7, 2022

Via Energy Solutions, LLC  
Attn: Michael Gruin  
17 N. 2<sup>nd</sup> Street, 16<sup>th</sup> Fl.  
Harrisburg, PA 17101

Dear Michael,

National Fuel Gas Distribution Corporation (“NFGDC”) is aware Via Energy Solutions, LLC (VES) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, VES must furnish acceptable security to each utility where VES will do business. As such, under its tariff, NFGDC could require VES to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC’s understanding that VES intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, VES will never take title to any delivered natural gas, nor will it accept any customer payments or deposits.

Based upon your representations, NFGDC has determined that, at this time, VES does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by VES change in the future, NFGDC reserves the right to require security from VES as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7541.

Yours truly,

Nicole Barker  
Transportation Services Department



# VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840  
800/998-4427 • 570/888-9664 • FAX 570/888-6199

December 2, 2022

## VIA EMAIL

Michael A. Gruin  
Stevens & Lee  
17 N. 2<sup>nd</sup> St., 16<sup>th</sup> Fl.  
Harrisburg, PA 17101  
[michael.gruin@stevenslee.com](mailto:michael.gruin@stevenslee.com)

**RE: Via Energy Solutions, LLC**

Dear Mr. Gruin:

We understand that Via Energy Solutions, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Via Energy Solutions, LLC intends to only provide natural gas aggregating, brokering, and consulting services at this time, we have determined that Via Energy Solutions, LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Via Energy Solutions, LLC as deemed appropriate.

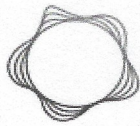
If you have any questions, please contact Jamie Beale at 570-888-9664 (Ext. 5232).

Sincerely,

Edward E. Rogers  
President & CEO

EER/ss

cc: J. Beale, Valley Energy



**peco**<sup>SM</sup>

AN EXELON COMPANY

December 2, 2022

VIA ENERGY SOLUTIONS LLC  
12140 Wickchester Ln., Ste. 100  
Houston, TX 77079

Re: Bonding Requirements

Dear VIA ENERGY SOLUTIONS LLC

PECO is aware that VIA ENERGY SOLUTIONS LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application VIA ENERGY SOLUTIONS LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. VIA ENERGY SOLUTIONS LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits.

Therefore, PECO has determined at this time that VIA ENERGY SOLUTIONS LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by VIA ENERGY SOLUTIONS LLC or the creditworthiness requirement for PECO's exposure to VIA ENERGY SOLUTIONS LLC changes in the future, PECO reserves the right to require VIA ENERGY SOLUTIONS LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Wanda Rucker at [wanda.rucker@exeloncorp.com](mailto:wanda.rucker@exeloncorp.com).

Respectfully submitted,

Suzette Adams  
Manager, Gas Supply and Transportation  
2301 Market  
Philadelphia, PA 19103



UGI Utilities, Inc.  
1 UGI Drive  
Denver, PA 17517

610-796-3400

**VIA E-MAIL**

December 1, 2022

Via Energy Solutions, LLC  
12140 Wickchester Lane  
Suite 100  
Houston, TX 77079

**ATTENTION:           Johnathan Martin**

**RE:   Via Energy Solutions, LLC  
      Application to Serve as a Natural Gas Broker**

Dear Mr. Martin,

Based on your assertion that Via Energy Solutions, LLC (“Via Energy”) is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities, Inc.-Gas Division (“UGIU”) has concluded that Via Energy will not need to post security with UGIU. This is based on the declaration that Via Energy will be acting in conjunction with a licensed natural gas supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the UGIU Tariff. If Via Energy wishes to directly serve Choice customers in the service territories of UGIU in the future as a natural gas supplier, it will have to post security as specified in the UGIU Tariff prior to the commencement of the service.

Please feel free to contact me with any additional questions you may have.

Sincerely,

A handwritten signature in blue ink that reads 'Sherry Epler'.

Sherry Epler  
Senior Manager  
Tariff & Supplier Administration

SE/rks



October 27, 2022

Johnathan Martin  
Via Energy Solutions, LLC  
12140 Wickchester Ln., Suite 100  
Houston, TX 77079

Dear Johnathan Martin:

We are pleased that Via Energy Solutions, LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Via Energy Solutions, LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Via Energy Solutions, LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Via Energy Solutions, LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Via Energy Solutions, LLC changes in the future, Columbia Gas might deem it appropriate to require Via Energy Solutions, LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4980 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

*Kylia Davis*

Kylia Davis  
Manager of Choice and Transportation Support Services



**PHILADELPHIA GAS WORKS**

800 West Montgomery Avenue • Philadelphia, PA 19122

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12/2/2022

Johnathan Martin  
Via Energy Solutions, LLC  
12140 Wickchester Ln., Suite 100  
Houston, TX 77079

[michael.gruin@stevenslee.com](mailto:michael.gruin@stevenslee.com)

RE: Security Requirement Bond for Via Energy Solutions, LLC.

Dear Mr. Martin,

Philadelphia Gas Works ("PGW") is aware that Via Energy Solutions, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Via Energy Solutions, LLC must furnish acceptable security to each utility where Via Energy Solutions, LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Via Energy Solutions, LLC, to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Via Energy Solutions, LLC, intends only to provide natural gas aggregating, brokering, and consulting services at this time. You have stated that in performing these services Via Energy Solutions, LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Via Energy Solutions, LLC, does not need to post a bond or other form of security to operate in its service territory. If the services provided by Via Energy Solutions, LLC, should change, Philadelphia Gas Works reserves the right to require security from Via Energy Solutions, LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

A handwritten signature in blue ink that reads 'J.C. ZUK'.

JOHN C. ZUK  
Sr. Vice President, Gas Management

/dls

## PARENT GUARANTY

This Parent Guaranty, dated as of October 26, 2022 (this “**Guaranty**”) is made by Via Renewables, Inc. (the “**Guarantor**”), in favor of Via Energy Solutions, LLC (“**Solutions**”).

WHEREAS, Solutions is in the process of obtaining retail supplier licenses from the Pennsylvania Public Utility Commission; and

WHEREAS, Solutions is a subsidiary of the Guarantor, and the Guarantor has agreed to guarantee Solutions’ payment obligations in connection with Solutions’ operations in the Commonwealth of Pennsylvania’s retail electricity and natural gas market, subject to the limitations of this Guaranty.

NOW, THEREFORE, in consideration of the promises and covenants set forth herein and other good and valuable consideration, receipt of which is acknowledged, the Guarantor hereby agrees as follows:


The Guarantor hereby absolutely, irrevocably and unconditionally guarantees to Solutions the due, punctual and full payment of Solutions’ payment obligations related to its operations in the Commonwealth of Pennsylvania’s retail electric and natural gas market up to an amount of five million dollars (\$5,000,000) (the “**Guaranteed Obligations**”).

This Guaranty may not be revoked and shall remain in full force and effect and binding on the Guarantor, its successors and permitted assigns until the date on which the Guaranteed Obligations have been fully paid and satisfied.

This Guaranty shall be binding upon and inure to the benefit of the parties hereto and their successors and permitted assigns. This Guaranty constitutes the entire agreement between the parties with respect to the subject matter and supersedes any and all prior and contemporaneous representations, agreements, negotiations, advertisements, statements, or understanding, whether oral or written. This Guaranty shall be construed in accordance with and governed by the laws of the Commonwealth of Pennsylvania. No amendment to this Guaranty shall be binding on either party unless such amendment is in writing and executed by authorized representatives of both parties to this Guaranty.

IN WITNESS WHEREOF, THIS GUARANTY HAS BEEN DULY EXECUTED AND DELIVERED AS OF THE DAY AND YEAR FIRST ABOVE WRITTEN.

VIA RENEWABLES, INC.

By:   
Name: Mike Barajas  
Title: CFO

VIA ENERGY SOLUTIONS, LLC

By:   
Name: Johnathan Martin  
Title: VP Sales

# LOCALiQ

Erie Times-News | The Intelligencer  
Bucks County Courier Times  
The Daily American | Beaver County Times  
Pocono Record | Burlington County Times

PO Box 630531 Cincinnati, OH 45263-0531

## **PROOF OF PUBLICATION**

Johnathan Martin  
12140 Wickchester LN # 100  
Houston TX 77079-1211

STATE OF PENNSYLVANIA, COUNTY OF ERIE

The Erie Times-News is a newspaper of general circulation, whose principal place of business is at 205 W 12<sup>th</sup> Street, Erie, Pennsylvania. That a copy of the printed notice, hereto attached, is exactly as the same was printed and published in the regular edition of the Erie Times-News, published in the issue dated:

11/11/2022

Sworn to and subscribed before on 11/11/2022

*Anna Kanitz*

Legal Clerk

*Kathleen Allen*

Notary, State of WI/County of Brown

*1-7-25*

My commision expires

Publication Cost: \$677.00

Order No: 8040258

# of Copies:

Customer No: 832701

-1

PO #:

**THIS IS NOT AN INVOICE!**

*Please do not use this form for payment remittance.*

KATHLEEN ALLEN  
Notary Public  
State of Wisconsin



**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

*Application of Via Energy Solutions, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services to The Public In The Commonwealth Of Pennsylvania.*

**Via Energy Solutions, LLC** will be filing an application with the Pennsylvania Public Utility Commission (“PUC”) for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Via Energy Solutions, LLC** proposes to sell natural gas, and related services in the service territories of Columbia Gas of PA, National Fuel Gas Distribution Corp., PECO, Peoples Natural Gas Company, Philadelphia Gas Works, UGI Utilities- Gas Division and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Via Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Via Energy Solutions, LLC’s** attorney at the address listed below.

By and through Counsel: Michael Gruin  
Via Energy Solutions, LLC  
17 N. 2nd Street, 16th Fl.  
Harrisburg, PA 17101  
(717) 255-7365

**PENNSYLVANIA  
 PUBLIC UTILITY COMMISSION  
 NOTICE**

Application of **Via Energy Solutions, LLC** For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/ Broker Engaged In The Business Of Supplying Natural Gas Supply Services to The Public In The Commonwealth Of Pennsylvania.

Via Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Via Energy Solutions, LLC proposes to sell natural gas, and related services in the service territories of Columbia Gas of PA, National Fuel Gas Distribution Corp., PECO, Peoples Natural Gas Company, Philadelphia Gas Works, UGI Utilities- Gas Division and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Via Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Via Energy Solutions, LLC's** attorney at the address listed below.

By and through Counsel: Michael Gruin  
 Via Energy Solutions, LLC  
 17 N. 2nd Street, 16th Fl.  
 Harrisburg, PA 17101  
 (717) 255-7365

On this 17th day of November A.D. 2022, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

ria, and Commonwealth of Pennsylvania and published in said publication in the regular issues number 16, 2022; and that the Affiant is not that all of the allegations as to time, place and

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

Lines @	\$2.70 per line	0.00
inches @	\$27.00 per inch	405.00
ary Fee		5.00
ical Fee		2.50
<b>Total Cost</b>		<b>412.50</b>

Commonwealth of Pennsylvania - Notary Seal  
 Vivian Ohs, Notary Public  
 Cambria County  
 My commission expires December 6, 2024  
 Commission number 1123017  
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**  
*VIVIAN OHS* for publisher of TRIBUNE-DEMOCRAT  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.  
TRIBUNE-DEMOCRAT  
 (Name of Newspaper)  
 By *Vivian Ohs*

5  
**Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA**

Copy of Notice of Publication

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 14, 2022

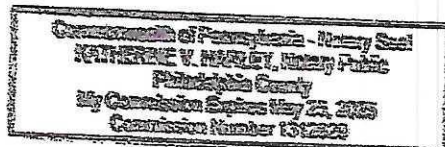
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*Helene Sweeney*

Sworn to and subscribed before me this 14th day of  
November, 2022

*Kath. V. Harley*  
Notary Public

My Commission Expires:



PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

*Application of Via Energy Solutions, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services to The Public In The Commonwealth Of Pennsylvania.*

Via Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Via Energy Solutions, LLC proposes to sell natural gas, and related services in the service territories of Columbia Gas of PA, National Fuel Gas Distribution Corp., PECO, Peoples Natural Gas Company, Philadelphia Gas Works, UGI Utilities- Gas Division and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Via Energy Solutions, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Via Energy Solutions, LLC's attorney at the address listed below.

By and through Counsel: Michael Gruin  
Via Energy Solutions, LLC  
17 N. 2nd Street, 16th Fl.  
Harrisburg, PA 17101  
(717) 255-7365

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss D. Rullo, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

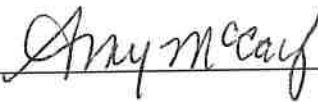
**10 of November, 2022**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:  
November 10, 2022



Commonwealth of Pennsylvania - Notary Seal  
Amy McCay, Notary Public  
Allegheny County  
My commission expires January 24, 2026  
Commission number 1323004  
Member, Pennsylvania Association of Notaries

**STATEMENT OF ADVERTISING COSTS**  
Via Energy Solutions, LLC  
12140 Wickchester Ln., Ste. 100  
Houston Texas 77079

To PG Publishing Company

Total ----- \$484.50

### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
legaladvertising@post-gazette.com  
Phone 412-263-1440

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By \_\_\_\_\_

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

### COPY OF NOTICE OR PUBLICATION

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

*Application of Via Energy Solutions, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services to The Public In The Commonwealth Of Pennsylvania.*

Via Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Via Energy Solutions, LLC proposes to sell natural gas, and related services in the service territories of Columbia Gas of PA, National Fuel Gas Distribution Corp., PECCO, Peoples Natural Gas Company, Philadelphia Gas Works, UGI Utilities Gas Division and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Via Energy Solutions, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Via Energy Solutions, LLC's attorney at the address listed below.

By and through Counsel:  
Michael Gruin  
Via Energy Solutions, LLC  
17 N. 2nd Street, 16th Fl.  
Harrisburg, PA 17101  
(717) 255-7365

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

SPARK ENERGY  
MARTHA LOPEZ  
12140 WICKCHESTER LN, STE 100 HOUSTON TX 77079

Account # 646804  
Order # 82677361  
Ad Price: 643.90


SPARK ENERGY  
Sharon Venturi

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/10/2022

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Sharon Venturi

Sworn and subscribed to before me  
this 28th day of December A.D., 2022



(Notary Public)

Commonwealth of Pennsylvania - Notary Seal  
Kathleen Weaver, Notary Public  
Lackawanna County  
My commission expires June 14, 2025  
Commission number 1314506  
Member, Pennsylvania Association of Notaries

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

*Application of **Via Energy Solutions, LLC** For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services to The Public In The Commonwealth Of Pennsylvania.*

**Via Energy Solutions, LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Via Energy Solutions, LLC** proposes to sell natural gas, and related services in the service territories of Columbia Gas of PA, National Fuel Gas Distribution Corp., PECO, Peoples Natural Gas Company, Philadelphia Gas Works, UGI Utilities- Gas Division and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Via Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Via Energy Solutions, LLC's** attorney at the address listed below.

By and through Counsel: **Michael Gruin**  
Via Energy Solutions, LLC  
17 N. 2nd Street, 16th Fl.  
Harrisburg, PA 17101  
(717) 255-7365





The Patriot News  
LEGAL AFFIDAVIT

AD#: 0010500937

Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Christine Arnold being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 11/15/2022

Principal Clerk of the Publisher

Sworn to and subscribed before me this 15th day of November 2022

Notary Public

Commonwealth of Pennsylvania - Notary Seal  
Crystal B. Rosensteel, Notary Public  
Dauphin County  
My commission expires June 27, 2024  
Commission number 1299212  
Member, Pennsylvania Association of Notaries

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

**Application of Via Energy Solutions, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services to The Public In The Commonwealth Of Pennsylvania.**

**Via Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Via Energy Solutions, LLC proposes to sell natural gas, and related services in the service territories of Columbia Gas of PA, National Fuel Gas Distribution Corp., PECO, Peoples Natural Gas Company, Philadelphia Gas Works, UGI Utilities- Gas Division and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.**

**The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Via Energy Solutions, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Via Energy Solutions, LLC's attorney at the address listed below.**

**By and through Counsel:  
Michael Gruin  
Via Energy Solutions, LLC  
17 N. 2nd Street, 16th Fl.  
Harrisburg, PA 17101  
(717) 255-7365**



PA Media Group  
1900 Patriot Dr  
Mechanicsburg, PA 17050



# The Patriot News

SPARK ENERGY  
12140 WICKCHESTER LN STE 100  
HOUSTON, TX 77079

AD#: 0010500937

Sales Rep: PA Classifieds  
Account Number: 1000968972  
AD#: 0010500937

Remit Payment to:  
PA Media Group  
Dept 77571  
P.O. Box 77000  
Detroit, MI 48277-0571

Page 1 of 2

Date	Position	Description	P.O. Number	Ad Size	Costs
11/15/2022	Misc Legal Notice PA	PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of		1 x 46 L	
				Affidavit Notary Fee - 11/15/2022	\$5.00
				Basic Ad Charge - 11/15/2022	\$216.72
				<b>Total</b>	<b>\$221.72</b>

FOR QUESTIONS CONCERNING THIS AFFIDAVIT, PLEASE CALL 717-255-8119

**WILLIAMSPORT SUN-GAZETTE  
PROOF OF PUBLICATION**

**STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING, CLINTON, SULLIVAN &  
TIOGA/BRADFORD SS:**

Robert O. Rolley, Jr. Publisher of the Williamsport Sun-Gazette LLC publishers Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

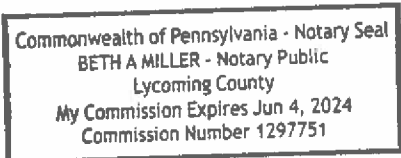
11 Nov 2022

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true

Robert O. Rolley, Jr.  
SUN-GAZETTE LLC

Sworn to and subscribed before me  
The 11<sup>th</sup> day of November 2022

Beth A. Miller  
Notary Public



**PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE**

*Application of Via Energy Solutions, LLC For Approval To Offer Render or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services to The Public In The Commonwealth Of Pennsylvania.*

**Via Energy Solutions, LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Via Energy Solutions, LLC** proposes to sell natural gas, and related services in the service territories of Columbia Gas of PA, National Fuel Gas Distribution Corp., PECO, Peoples Natural Gas Company, Philadelphia Gas Works, UGI Utilities- Gas Division and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Via Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Via Energy Solutions, LLC's** attorney at the address listed below.

By and through Counsel:  
Michael Gruin  
Via Energy Solutions, LLC  
17 N. 2nd Street, 16th Fl.  
Harrisburg, PA 17101  
(717) 255-7365

**PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA  
1325 G STREET N.W., SUITE 800  
WASHINGTON, D.C. 20005**

**ORDER**

**May 6, 2022**

**FORMAL CASE NO. GA2022-02, IN THE MATTER OF THE APPLICATION OF VIA ENERGY SOLUTIONS, LLC FOR A NATURAL GAS SUPPLIER LICENSE;**  
**and**

**FORMAL CASE NO. EA2022-03, IN THE MATTER OF THE APPLICATION OF VIA ENERGY SOLUTIONS, LLC FOR AN ELECTRICITY SUPPLIER LICENSE,**  
**Order No. 21148**

**I. INTRODUCTION**

1. By this Order, the Public Service Commission of the District of Columbia (“Commission”) grants licenses to Via Energy Solutions, LLC (“Via Energy Solutions” or “Applicant”) to function as an electricity and natural gas supplier in the District of Columbia.<sup>1</sup> The licenses for Via Energy Solutions, LLC are effective upon issuance of this Order.

**II. BACKGROUND**

2. On February 16, 2022, Via Energy Solutions filed its license applications.<sup>2</sup> Specifically, Via Energy Solutions indicates that it intends to operate as a broker of electricity pursuant to § 4601 in Chapter 46 (Electricity Suppliers)<sup>3</sup> and as a broker of natural gas pursuant to § 4701 in Chapter 47 (Natural Gas Suppliers) of Title 15 of the District of Columbia Municipal Regulations.<sup>4</sup> In both filings, the Applicant represents that it intends to serve residential, commercial and industrial customers in the District of

---

<sup>1</sup> D.C. Code § 34-1501(17) (2001) defines, in part, an electricity supplier as “a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers.” D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as “a person including an aggregator, broker, or marketer, who sell natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers.”

<sup>2</sup> *Formal Case No. EA2022-03, In the Matter of the Application of Via Energy Solutions, LLC for an Electricity Supplier License (“Formal Case No. EA2022-03”)*, Application of Via Energy Solutions, LLC filed February 16, 2022 and *Formal Case No. GA2022-02, In the Matter of the Application of Via Energy Solutions, LLC for a Natural Gas Supplier License (“Formal Case No. GA2022-02”)*, Application of Via Energy Solutions, LLC filed February 16, 2022.

<sup>3</sup> See 65 DCR 9970-9989 (September 28, 2018).

<sup>4</sup> See 65 DCR 9948-9967 (September 28, 2018).

Columbia. Via Energy Solutions filed supplemental information on March 11, and 28, 2022.<sup>5</sup>

### **III. DISCUSSION**

3. Our review of the information contained in Via Energy Solutions' applications and supplemental filings, demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity and natural gas customers in the District of Columbia. The Commission also finds that granting Via Energy Solutions' applications will serve the public interest by fostering competition in the District's energy market. Accordingly, Via Energy Solutions' applications are approved.

#### **THEREFORE, IT IS ORDERED THAT:**

4. The applications of Via Energy Solutions, LLC for licenses to conduct business in the District of Columbia as an electricity and natural gas supplier are hereby **GRANTED**. The licenses for Via Energy Solutions, LLC are effective upon issuance of this Order.

**A TRUE COPY:**

**BY DIRECTION OF THE COMMISSION:**



**CHIEF CLERK:**

**BRINDA WESTBROOK-SEDGWICK  
COMMISSION SECRETARY**

---

<sup>5</sup> *Formal Case No. EA2022-03 and GA2022-02, Via Energy Solutions, LLC filed supplemental information on March 11 and 28, 2022.*

JASON M. STANEK  
CHAIRMAN

MICHAEL T. RICHARD  
ANTHONY J. O'DONNELL  
ODOGWU OBI LINTON  
PATRICE M. BUBAR



## PUBLIC SERVICE COMMISSION

**#2, 6/8/22 AM; ML#s 239022 and 240593**  
**License Reference No.: IR-5006**

June 8, 2022

Johnathan Martin  
Via Energy Solutions, LLC  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079  
[j.martin@viaenergysolutions.com](mailto:j.martin@viaenergysolutions.com)

Dear Mr. Martin:

On February 9, 2022, Via Energy Solutions, LLC (“Company”) filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on May 6, 2022.

After considering this matter at the June 8, 2022 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-5006). The license granted by the Commission under this Letter Order is limited solely to electricity supplier services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People’s Counsel.

By Direction of the Commission,

*/s/ David J. Collins*

David J. Collins  
Deputy Executive Secretary

DJC/st

JASON M. STANEK  
CHAIRMAN

MICHAEL T. RICHARD  
ANTHONY J. O'DONNELL  
ODOGWU OBI LINTON  
PATRICE M. BUBAR



## PUBLIC SERVICE COMMISSION

**#1, 6/8/22 AM; ML#s 239021 and 240591**  
**License Reference No.: IR-5005**

June 8, 2022

Johnathan Martin  
Via Energy Solutions, LLC  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079  
[j.martin@viaenergysolutions.com](mailto:j.martin@viaenergysolutions.com)

Dear Mr. Martin:

On February 9, 2022, Via Energy Solutions, LLC (“Company”) filed an Application for a License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supply services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on May 6, 2022

After considering this matter at the June 8, 2022 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland (License Reference Number IR-5005). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People’s Counsel.

By Direction of the Commission,

*/s/ David J. Collins*

David J. Collins  
Deputy Executive Secretary

DJC/st

COMMISSIONER  
Jared S. Chicoine

STATE OF NEW HAMPSHIRE



DEPUTY COMMISSIONER  
Christopher J. Ellms, Jr.

TDD Access: Relay NH  
1-800-735-2964

Tel. (603) 271-3670

FAX No. 271-1526

Website:  
[www.energy.nh.gov](http://www.energy.nh.gov)

DEPARTMENT OF ENERGY  
21 S. Fruit St., Suite 10  
Concord, N.H. 03301-2429

March 2, 2022

Via Energy Solutions, LLC  
Johnathan Martin  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079

Re: Via Energy Solutions, LLC  
Initial Registration as Provider of Electric Aggregation Service

Dear Mr. Martin:

On February 28, 2022, Via Energy Solutions, LLC (Via Energy) submitted an application to the Department of Energy (Department) to register as a provider of electric aggregation service.

Review of the application determined it is complete and meets the requirements for approval under Puc 2003, Registration and Assessment Requirements, and Puc 2006.02, Information Required for Initial and Renewal Registration of Aggregators.

Under RSA 374-F:7, as amended effective July 1, 2021, the Department is now responsible for registration and regulation of electric aggregators and competitive electric power suppliers and for the adoption of related rules. The Department therefore is authorized to enforce the relevant provisions of the Puc 2000 rules, including those related to aggregator registration. *See* RSA 12-P:14.<sup>1</sup>

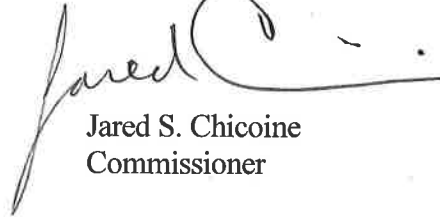
Via Energy's application for registration is hereby approved, effective as of March 3, 2022, under Puc 2003.05(g)(1). The registration is for a term of two years and expires at the close of business on March 3, 2024. Pursuant to Puc 2003.06(a), you must submit your next renewal application at least 60 days prior to the expiration of the approved registration term, on or before January 3, 2024.

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<sup>1</sup> On July 1, 2021, the Department came into existence and many functions previously performed by the Public Utilities Commission (PUC) were transferred to the Department. Under RSA 12-P:14, "[e]xisting rules, orders, and approvals of the [PUC] which are associated with any functions, powers, and duties, transferred to the [Department] pursuant to RSA 12-P:11 or any other statutory provision, shall continue in effect and be enforced by the commissioner of the [Department] until they expire or are repealed or amended in accordance with applicable law." *See* 2021 N.H. Laws Chapter 91 (House Bill 2-FN-A-Local).

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at:  
<http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>.

Sincerely,

A handwritten signature in black ink, appearing to read "Jared S. Chicoine". The signature is fluid and cursive, with a large loop at the end.

Jared S. Chicoine  
Commissioner





Philip D. Murphy  
Governor

Sheila Y. Oliver  
Lt. Governor

**State of New Jersey**

BOARD OF PUBLIC UTILITIES  
44 South Clinton Avenue, 1<sup>st</sup> Floor  
Post Office Box 350  
Trenton, New Jersey 08625-0350  
[www.nj.gov/bpu/](http://www.nj.gov/bpu/)  
(609)777-3300

**Joseph L. Fiordaliso**  
President

Mary-Anna Holden  
Commissioner

Dianne Solomon  
Commissioner

Uendra Chivukula  
Commissioner

Bob Gordon  
Commissioner

May 18, 2022

Johnathan Martin  
Vice President of Sales  
Via Energy Solutions, LLC  
12140 Wickchester Ln, Suite 100  
Houston, TX 77079

Re: **Energy Agent Initial Registration**  
Dkt. No. EE22030124L

Dear Mr. Martin:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its May 18, 2022 Agenda meeting, the New Jersey Board of Public Utilities ("Board") voted to issue an Energy Agent Registration to Via Energy Solutions, LLC. The company's registration number is EA-0688. Pursuant to N.J.A.C. 14:4-5.8, this registration shall not expire so long as a registration renewal fee accompanied by an annual information update form is submitted to the Board within 30 days prior to the annual **anniversary date of May 18<sup>th</sup>**.

This registration is effective May 18, 2022. This registration and the rights thereunder are **Non-Transferable**.

***This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.***

If you have any questions, please contact Sandra Perez at (609) 913-6297.

Sincerely,

A handwritten signature in black ink that reads "Carmen D. Diaz".

Carmen D. Diaz  
Acting Secretary

CDD/sgp



## Office of the Secretary of State

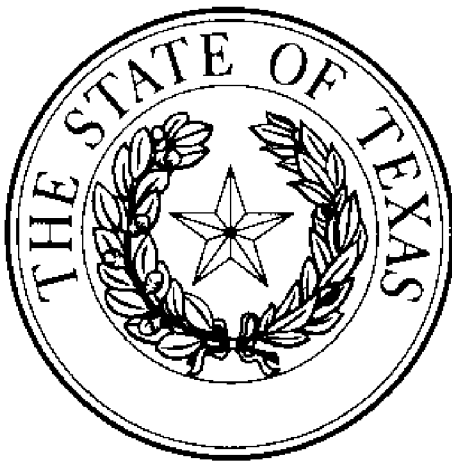
The undersigned, as Secretary of State of Texas, does hereby certify that the attached is a true and correct copy of each document on file in this office as described below:

Via Energy Solutions, LLC  
Filing Number: 804288798

Certificate of Formation

October 27, 2021

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on January 07, 2022.



A handwritten signature in black ink, appearing to read "John B. Scott".

John B. Scott  
Secretary of State

Secretary of State  
P.O. Box 13697  
Austin, TX 78711-3697  
FAX: 512/463-5709

Filing Fee: \$300



**Certificate of Formation  
Limited Liability Company**

**Filed in the Office of the  
Secretary of State of Texas  
Filing #: 804288798 10/27/2021  
Document #: 1089507340002  
Image Generated Electronically  
for Web Filing**

**Article 1 - Entity Name and Type**

The filing entity being formed is a limited liability company. The name of the entity is:

**Via Energy Solutions, LLC**

**Article 2 – Registered Agent and Registered Office**

A. The initial registered agent is an organization (cannot be company named above) by the name of:

**Corporation Service Company d/b/a CSC-Lawyers Incorporating Service Company**

**OR**

B. The initial registered agent is an individual resident of the state whose name is set forth below:

C. The business address of the registered agent and the registered office address is:

**Street Address:**

**211 E. 7th Street**

**Suite 620 Austin TX 78701-3218**

**Consent of Registered Agent**

A. A copy of the consent of registered agent is attached.

**OR**

B. The consent of the registered agent is maintained by the entity.

**Article 3 - Governing Authority**

A. The limited liability company is to be managed by managers.

**OR**

B. The limited liability company will not have managers. Management of the company is reserved to the members.

The names and addresses of the governing persons are set forth below:

Managing Member 1: (Business Name) **Via Renewables, Inc.**

Address: **12140 Wickchester Ln Suite 100 Houston TX, USA 77079**

**Article 4 - Purpose**

The purpose for which the company is organized is for the transaction of any and all lawful business for which limited liability companies may be organized under the Texas Business Organizations Code.

**Supplemental Provisions / Information**

[The attached addendum, if any, is incorporated herein by reference.]

**Organizer**

The name and address of the organizer are set forth below.

**Dominique Colvard**      **12140 Wickchester Ln, Suite 100, Houston, TX 77079**

**Effectiveness of Filing**

A. This document becomes effective when the document is filed by the secretary of state.

**OR**

B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its signing. The delayed effective date is:

**Execution**

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.

**Dominique Colvard**

Signature of Organizer

**FILING OFFICE COPY**

COMMISSIONER  
Jared S. Chicoine

DEPUTY COMMISSIONER  
Christopher J. Ellms, Jr.

STATE OF NEW HAMPSHIRE



DEPARTMENT OF ENERGY  
21 S. Fruit St., Suite 10  
Concord, N.H. 03301-2429

TDD Access: Relay NH  
1-800-735-2964

Tel. (603) 271-3670

FAX No. 271-1526

Website:  
[www.energy.nh.gov](http://www.energy.nh.gov)

March 2, 2022

Via Energy Solutions, LLC  
Johnathan Martin  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079

Re: Via Energy Solutions, LLC  
Initial Registration as Provider of Electric Aggregation Service

Dear Mr. Martin:

On February 28, 2022, Via Energy Solutions, LLC (Via Energy) submitted an application to the Department of Energy (Department) to register as a provider of electric aggregation service.

Review of the application determined it is complete and meets the requirements for approval under Puc 2003, Registration and Assessment Requirements, and Puc 2006.02, Information Required for Initial and Renewal Registration of Aggregators.

Under RSA 374-F:7, as amended effective July 1, 2021, the Department is now responsible for registration and regulation of electric aggregators and competitive electric power suppliers and for the adoption of related rules. The Department therefore is authorized to enforce the relevant provisions of the Puc 2000 rules, including those related to aggregator registration. *See* RSA 12-P:14.<sup>1</sup>

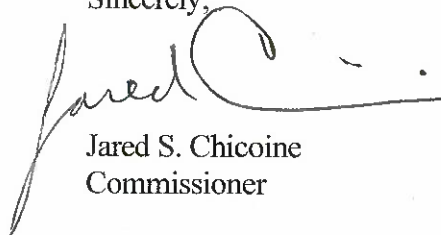
Via Energy's application for registration is hereby approved, effective as of March 3, 2022, under Puc 2003.05(g)(1). The registration is for a term of two years and expires at the close of business on March 3, 2024. Pursuant to Puc 2003.06(a), you must submit your next renewal application at least 60 days prior to the expiration of the approved registration term, on or before January 3, 2024.

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<sup>1</sup> On July 1, 2021, the Department came into existence and many functions previously performed by the Public Utilities Commission (PUC) were transferred to the Department. Under RSA 12-P:14, "[e]xisting rules, orders, and approvals of the [PUC] which are associated with any functions, powers, and duties, transferred to the [Department] pursuant to RSA 12-P:11 or any other statutory provision, shall continue in effect and be enforced by the commissioner of the [Department] until they expire or are repealed or amended in accordance with applicable law." *See* 2021 N.H. Laws Chapter 91 (House Bill 2-FN-A-Local).

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at:  
<http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>.

Sincerely,

A handwritten signature in black ink, appearing to read "Jared S. Chicoine", with a large, stylized flourish at the end.

Jared S. Chicoine  
Commissioner



## Office of the Secretary of State

The undersigned, as Secretary of State of Texas, does hereby certify that the attached is a true and correct copy of each document on file in this office as described below:

Via Energy Solutions, LLC  
Filing Number: 804288798

Certificate of Formation

October 27, 2021

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on January 07, 2022.



A handwritten signature in black ink, appearing to read "John B. Scott".

John B. Scott  
Secretary of State

Secretary of State  
P.O. Box 13697  
Austin, TX 78711-3697  
FAX: 512/463-5709

Filing Fee: \$300



**Certificate of Formation  
Limited Liability Company**

**Filed in the Office of the  
Secretary of State of Texas  
Filing #: 804288798 10/27/2021  
Document #: 1089507340002  
Image Generated Electronically  
for Web Filing**

**Article 1 - Entity Name and Type**

The filing entity being formed is a limited liability company. The name of the entity is:

**Via Energy Solutions, LLC**

**Article 2 – Registered Agent and Registered Office**

A. The initial registered agent is an organization (cannot be company named above) by the name of:

**Corporation Service Company d/b/a CSC-Lawyers Incorporating Service Company**

**OR**

B. The initial registered agent is an individual resident of the state whose name is set forth below:

C. The business address of the registered agent and the registered office address is:

Street Address:

**211 E. 7th Street**

**Suite 620 Austin TX 78701-3218**

**Consent of Registered Agent**

A. A copy of the consent of registered agent is attached.

**OR**

B. The consent of the registered agent is maintained by the entity.

**Article 3 - Governing Authority**

A. The limited liability company is to be managed by managers.

**OR**

B. The limited liability company will not have managers. Management of the company is reserved to the members.

The names and addresses of the governing persons are set forth below:

Managing Member 1: (Business Name) **Via Renewables, Inc.**

Address: **12140 Wickchester Ln Suite 100 Houston TX, USA 77079**

**Article 4 - Purpose**

The purpose for which the company is organized is for the transaction of any and all lawful business for which limited liability companies may be organized under the Texas Business Organizations Code.

**Supplemental Provisions / Information**



[The attached addendum, if any, is incorporated herein by reference.]

**Organizer**

The name and address of the organizer are set forth below.

**Dominique Colvard**      12140 Wickchester Ln, Suite 100, Houston, TX 77079

**Effectiveness of Filing**

A. This document becomes effective when the document is filed by the secretary of state.

**OR**

B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its signing. The delayed effective date is:

**Execution**

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.

**Dominique Colvard**

Signature of Organizer

**FILING OFFICE COPY**

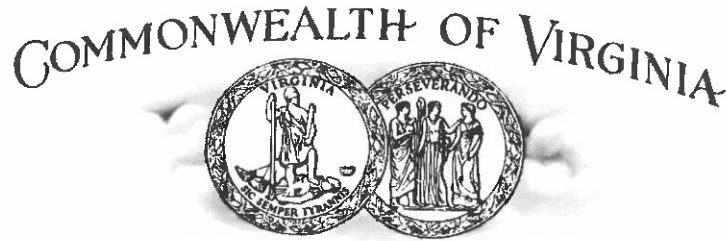
KIMBERLY B. PATE  
DIRECTOR

PATRICK W. CARR  
DEPUTY DIRECTOR

SCOTT C. ARMSTRONG  
DEPUTY DIRECTOR

DONNA T. PIPPERT  
DEPUTY DIRECTOR

ANDREA B. MACGILL  
DEPUTY DIRECTOR



TYLER BUILDING  
1300 EAST MAIN STREET  
FOURTH FLOOR B  
RICHMOND, VIRGINIA 23219

TELEPHONE: (804) 371-9950  
FAX NO.: (804) 371-9447

[ACCOUNTING@SCC.VIRGINIA.GOV](mailto:ACCOUNTING@SCC.VIRGINIA.GOV)

[scc.virginia.gov](http://scc.virginia.gov)

STATE CORPORATION COMMISSION  
DIVISION OF UTILITY ACCOUNTING AND FINANCE

June 21, 2022

Mr. Johnathan Martin  
Vice President, Sales  
Via Energy Solutions, LLC  
12140 Wickchester Lane, Suite 100  
Houston, TX 77079

Dear Mr. Martin:

By Order dated April 28, 2022, in Case No. PUR-2022-00022, the Commission issued Via Energy Solutions LLC, License No. A-126 authorizing the Company to provide commercial, industrial, governmental and residential customers electric aggregation service in service territories of Virginia Electric and Power Company d/b/a Dominion Energy Virginia, Appalachian Power Company, and Kentucky Utilities Company d/b/a Old Dominion Power Company and natural gas aggregation service in territories of Columbia Gas of Virginia, Washington Gas Light Company, Southwestern Virginia Gas Company, and Virginia Natural Gas. Enclosed is License No. A-126, authorizing participation in the retail access programs as described in the Order.

If you have any questions about this license, please feel free to contact me at (804) 371-9295.

Sincerely,

A handwritten signature in cursive script that reads 'Avis Jackson-Prince'.

Avis Jackson-Prince

Enclosure

c: Document Control Center

COMMONWEALTH OF VIRGINIA

**STATE CORPORATION COMMISSION**

LICENSE NO. A-126

Via Energy Solutions, LLC

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is hereby granted this license pursuant to the Code of Virginia to provide only the following products and services:

*Electric Aggregation*

in service territories of Virginia Electric and Power Company d/b/a Dominion Energy Virginia, Appalachian Power Company, and Kentucky Utilities Company d/b/a Old Dominion Power Company

*Natural Gas Aggregation*

in service territories of Columbia Gas of Virginia, Washington Gas Light Company, Southwestern Virginia Gas Company, and Virginia Natural Gas to the following customer classes:

*Commercial, Industrial, Governmental, Residential*

This license is granted pursuant to the State Corporation Commission's Order Granting License dated April 28, 2022, in Case No. PUR-2022-00022, and incorporates all terms, conditions or restrictions set forth therein, or as subsequently amended.

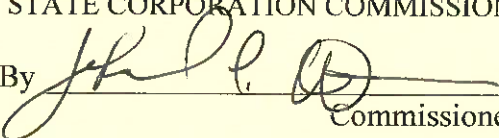
This license is not valid authority for the provision of any product or service not identified herein to any customer.

Failure of the licensee to comply with any applicable Federal Energy Regulatory Commission or State Corporation Commission Orders and/or Rules and all state and federal laws may result in the revocation, suspension or modification of this license, the imposition of appropriate fines and/or penalties, or additional actions may be taken as necessary to protect the public interest.

Dated at Richmond, Virginia \_\_\_\_\_ April 28, 2022 \_\_\_\_\_

STATE CORPORATION COMMISSION

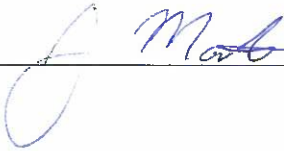
By

  
Commissioner

**VERIFICATION**

I, Johnathan Martin, holding the title of Vice President of Sales with Via Energy Solutions, LLC hereby state that the facts set forth above are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that false statements herein are made subject to the penalties of 18 Pa. C.S. §4904, relating to unsworn falsifications to authorities.

Date: 12/19/22

  
\_\_\_\_\_