



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
400 NORTH STREET, HARRISBURG, PA 17120

IN REPLY PLEASE
REFER TO OUR FILE

January 30, 2023

Docket No. A-2019-3009046

Entity Code 9922049

JOHN MASTRACCHIO PE CFA
RAFTELIS FINANCIAL CONSULTANTS INC
4 BRITISH AMERICAN BLVD
LATHAM NY 12110
JMASTRACCHIO@RAFTELIS.COM

RE: Application of Raftelis Financial Consultants, Inc. to Renew Registration as a Utility
Valuation Expert at Docket No. A-2019-3009046

Dear Mr. Mastracchio:

On December 27, 2022, Raftelis Financial Consultants, Inc. filed the above-captioned document with the Pennsylvania Public Utility Commission (Commission). For the Commission to complete its analysis of the filing, please respond with the information requested in the attached document.

Please forward the information to the Secretary of the Commission at the address listed below **within ten (10) business days** from the date of this letter. All documents requiring notary stamps must have original signatures. The Commission strongly encourages submission through efilings with the Secretary of the Commission by opening an efilings account through the Commission website and accepting eservice at <https://efiling.puc.pa.gov>. The Commission is accepting all public documents through our efilings system at this time.

If your filing contains confidential material, you are required to either file by overnight delivery or submit to the Secretary's Share Point File system to ensure the timely filing of your submission. Filers should contact the Secretary's Bureau in advance to gain access to the Share Point File system. Make sure to reference the Docket Number listed above when filing your response. The overnight address for hard-copy or confidential responses is:

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Your answers must be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

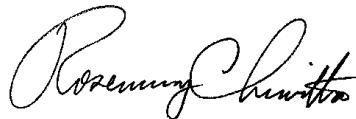
I, [print name of appropriate company representative], hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Signature _____
Title _____
Date _____

Please contact the below staff person if any problems should arise that prevent a full response within ten business days or if any clarification of these data requests is needed. Please mark the materials “CONFIDENTIAL” in bold or highlighted manner if any of the requested information is deemed to be of a confidential nature.

In addition, to expedite completion of the review, please send a copy of the response to Paul Zander in the Water/Wastewater Section of the Bureau of Technical Utility Services via e-mail at pzander@pa.gov. Please also direct any questions to Paul Zander at telephone number (717) 783-1372. Thank you in advance for your cooperation.

Sincerely,



Rosemary Chiavetta
Secretary

Enclosure: TUS Data Request Set 1

cc: Christine Hoover, Office of Consumer Advocate (w/enclosure), choover@paoca.org
Teresa Wagner, Office of Small Business Advocate (w/enclosure), tereswagne@pa.gov
Richard Kanaskie, Bureau of Investigation & Enforcement (w/enclosure), rkanaskie@pa.gov

TUS Data Request Set 1

Application of Raftelis Financial Consultants, Inc. to Renew Registration as a Utility Valuation Expert at Docket No. A-2019-3009046

Note: Please restate the data request prior to providing a response. In addition, provide the name and title of the person(s) providing the response and/or information for each data request.

- A-1. Section G of the instructions accompanying the Application for Registration as a Utility Valuation Expert requires applicants to provide a complete copy of the Application including exhibits to the Office of Consumer Advocate and the Office of Small Business Advocate (Statutory Advocates). The Application does not indicate that a copy was provided to the Statutory Advocates. Please verify that a complete copy of the Application was served on the Statutory Advocates.
- A-2. In the response to Question No. 6 of the Application, Raftelis indicated that it has not operated under contract, subcontracted or partnered with a UVE in the past five (5) years. However, Arcadis U.S., Inc. indicated in their response to Question No. 6 at Docket No. A-2020-3021113 that they have subcontracted with Raftelis, specifically for work in Columbus, Ohio, and work with Capital Region Water. Please clarify whether Raftelis subcontracted with Arcadis, U.S., Inc. in the past five (5) years, and if so, please provide an amended response to Question No. 6 of the Application.