



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET, HARRISBURG, PA 17120

BUREAU OF  
INVESTIGATION  
&  
ENFORCEMENT

February 24, 2023

**Via Electronic Filing**

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120

Re: Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement v.  
Silk Road Transport, Inc.  
Docket No. C-2022-3036995  
**I&E Amended Formal Complaint (Assessment)**

Dear Secretary Chiavetta:

Enclosed for electronic filing please find the Bureau of Investigation and Enforcement's (I&E) **Amended Formal Complaint** for the above-captioned proceeding.

Copies are being served on all parties of record as evidenced in the attached Certificate of Service. Should you have any questions, or concerns, please do not hesitate to contact me.

Sincerely,

A handwritten signature in black ink that reads 'Alphonso Arnold III'.

Alphonso Arnold III  
Prosecutor  
Bureau of Investigation and Enforcement  
PA Attorney ID No. 318487  
(717) 787-3836  
[alphonarno@pa.gov](mailto:alphonarno@pa.gov)

AA/ac  
Enclosures

cc: Per Certificate of Service

## NOTICE

A. **You must file an Answer within 20 days of the date of service of this Complaint.** The date of service is the mailing date as indicated at the top of the Secretarial Letter. *See* 52 Pa. Code §1.56(a). The Answer must raise all factual and legal arguments that you wish to claim in your defense, include the docket number of this Complaint, and be verified. You may file your Answer by mailing an original to:

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

Or, you may eFile your Answer using the Commission's website at [www.puc.pa.gov](http://www.puc.pa.gov). The link to eFiling is located under the Filing & Resources tab on the homepage. If your Answer is 250 pages or less, you are not required to file a paper copy. If your Answer exceeds 250 pages, you must file a paper copy with the Secretary's Bureau.

Additionally, a copy should either be mailed to:

Alphonso Arnold III, Prosecutor  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
400 North Street  
Harrisburg, PA 17120

Or, emailed to Mr. Arnold at: [alphonarno@pa.gov](mailto:alphonarno@pa.gov)

B. If you fail to answer this Complaint within 20 days, the Bureau of Investigation and Enforcement will request that the Commission issue an Order imposing the requested relief.

C. You may elect not to contest this Complaint by paying the past due assessment and civil penalty within 20 days. Send only a certified check or money order made payable to the "Commonwealth of Pennsylvania," with the docket number indicated, and mail to:

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

D. If you file an Answer which either admits or fails to deny the allegations of the Complaint, the Bureau of Investigation and Enforcement will request the Commission to issue an Order imposing the requested relief set forth in this Complaint.

E. If you file an Answer which contests the Complaint, the matter will be assigned to an Administrative Law Judge for hearing and decision. The Judge is not bound by the penalty set forth in the Complaint and may impose additional and/or alternative penalties as appropriate.

F. If you are a corporation, you must be represented by legal counsel. 52 Pa. Code § 1.21.

G. Alternative formats of this material are available for persons with disabilities by contacting the Commission's ADA Coordinator at (717) 787-8714.

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Pennsylvania Public Utility Commission	:	
Bureau of Investigation and Enforcement	:	
	:	
v.	:	Docket No. C-2022-3036995
	:	
Silk Road Transport, Inc.	:	

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**AMENDED FORMAL COMPLAINT<sup>1</sup>**

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NOW COMES the Bureau of Investigation and Enforcement (“I&E”) of the Pennsylvania Public Utility Commission (“Commission”), by its prosecuting attorney, and files this **Assessment Complaint** against Silk Road Transport, Inc. (“Respondent”), pursuant to Section 701 of the Public Utility Code, 66 Pa.C.S. § 701. In support of its Complaint, I&E respectfully represents the following:

**Parties and Jurisdiction**

1. The Pennsylvania Public Utility Commission, with a mailing address of 400 North Street, Harrisburg, PA 17120, is a duly constituted agency of the Commonwealth of Pennsylvania empowered to regulate public utilities within the Commonwealth pursuant to the Public Utility Code, 66 Pa.C.S. §§ 101, *et seq.*

2. Complainant is the Commission’s Bureau of Investigation and Enforcement and is the entity established by statute to prosecute complaints against public utilities pursuant to 66 Pa.C.S. § 308.2(a)(11). *See also Implementation of Act 129 of 2008; Organization of Bureaus and Offices*, Docket No. M-2008-2071852 (Order entered

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<sup>1</sup> This Amended Formal Complaint replaces the Formal Complaint filed on December 1, 2022, at the above-captioned docket.

August 11, 2011) at 5 (transferring authority to prosecute assessment cases to I&E).

3. Complainant is represented by:

Alphonso Arnold III  
Prosecutor  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 787-3836  
[alphonarno@pa.gov](mailto:alphonarno@pa.gov)

4. Respondent is Silk Road Transport, Inc. and maintains its principal place of business at 8781 State Rte 36, Arkport NY 14807, Attn: Todd S Haraty.

5. Respondent is a “public utility” as that term is defined at 66 Pa.C.S. § 102, as it is engaged in transporting property in the Commonwealth of Pennsylvania for compensation.

6. The Commission issued Respondent a Certificate of Public Convenience on or about January 3, 2005, at A-00120008, for truck authority.

7. Section 501(a) of the Public Utility Code, 66 Pa.C.S. § 501(a), authorizes and obligates the Commission to execute and enforce the provisions of the Public Utility Code.

8. Section 701 of the Public Utility Code, 66 Pa.C.S. § 701, authorizes the Commission to, *inter alia*, hear and determine complaints against public utilities for a violation of any law or regulation that the Commission has jurisdiction to administer.

9. Section 3301 of the Public Utility Code, 66 Pa.C.S. § 3301, authorizes the Commission to impose civil penalties on any public utility, or any other person or corporation subject to the Commission’s authority, for violation(s) of the Public Utility Code and/or Commission regulations. Section 3301(a)-(b) of the Public Utility Code, 66 Pa.C.S. §

3301(a)-(b), allows for the imposition of a separate civil penalty for each violation and each day's continuance of such violation(s).

10. Respondent, in transporting property as a common carrier for compensation within Pennsylvania, is subject to the power and authority of this Commission pursuant to Section 501(c) of the Public Utility Code, 66 Pa.C.S. § 501(c), which requires a public utility to comply with Commission regulations.

11. Pursuant to the provisions of the applicable Commonwealth statutes and regulations, the Commission has jurisdiction over the subject matter of this complaint and the actions of Respondent related thereto.

12. Section 5.91(a) of the Commission's regulations, 52 Pa. Code § 5.91(a), permits a party to amend a pleading.

13. On December 1, 2022, this formal proceeding was initiated through the filing of a Formal Complaint, docketed at C-2022-3036995.

14. The December 1, 2022, Formal Complaint alleged four assessment-related violations against Respondent for the 2020-2021 and 2021-2022 Fiscal Years.

15. This Amended Complaint amends the December 1, 2022, Formal Complaint by alleging two additional assessment-related violations against Respondent for the 2022-2023 Fiscal Year.

## **Factual Background**

### **2020-2021 FISCAL YEAR**

16. On or about February 11, 2020, the Commission mailed to Respondent an assessment report for Respondent to report its gross intrastate operating revenues for the 2019 calendar year.

17. The assessment report was accompanied by instructions, which notified Respondent that the report was to be completed and returned to the Commission on or before March 31, 2020. A copy of the assessment report and instructions are attached as I&E Exhibit 1.

18. Respondent failed to file an assessment report stating its 2019 calendar year revenues.

19. On or about September 10, 2020, the Commission mailed to Respondent, by first class mail, an assessment invoice for the July 1, 2020 to June 30, 2021 Fiscal Year (“2020-2021 Fiscal Year”) that was based, in part, on Respondent’s estimated revenues for the 2019 calendar year due to Respondent’s failure to file an assessment report stating its 2019 calendar year revenues. Respondent’s assessment was \$306. A copy of the assessment invoice is attached as I&E Exhibit 2.

20. Accompanying the assessment invoice was a notice of assessment that informed Respondent that it was obligated to pay the amount listed on the assessment invoice within thirty (30) days or file objections within fifteen (15) days. A copy of the notice of assessment is attached as I&E Exhibit 3.

21. While there is no record that the assessment invoice and notice of assessment were returned in the mail to the Commission as being undeliverable, on or about November 12, 2020, the Commission re-mailed to Respondent, by certified mail, the assessment invoice and notice of assessment since Respondent did not pay the amount listed on the assessment invoice within thirty (30) days or file objections within fifteen (15) days.

22. The assessment invoice and notice of assessment were re-mailed to Respondent at 8781 State Rte 36, Arkport NY 14807, Respondent's last known mailing address.

23. The November 12, 2020, certified mailing of the assessment invoice and notice of assessment was not delivered to the Respondent as the mailing was lost in transit.

24. Therefore, on or about September 13, 2022, the Commission re-mailed to Respondent, by certified mail, the assessment invoice and notice of assessment.

25. The assessment invoice and notice of assessment were again re-mailed to Respondent at its last known mailing address of 8781 State Rte 36, Arkport NY 14807.

26. The USPS tracking history shows that on September 15, 2022, Respondent refused to accept the certified mailing of the 2020-2021 Fiscal Year assessment invoice and notice of assessment. *See* I&E Exhibit 4. Thus, the certified mailing was returned to the Commission on September 20, 2022, as "refused, unable to forward."

27. By mailing the assessment invoice and notice of assessment to Respondent by certified mail, the Commission complied with the requirements of Section 510(c) of the Public Utility Code, which requires notice by certified mail to each public utility of the amount lawfully charged against it. 66 Pa.C.S. § 510(c).

28. The Commission received no objections from Respondent to the assessment amount set forth in the 2020-2021 Fiscal Year Assessment Invoice.

29. Respondent failed to pay its 2020-2021 Fiscal Year Assessment Invoice of \$306.

## **2021-2022 FISCAL YEAR**

30. On or about February 10, 2021, the Commission mailed to Respondent an assessment report for Respondent to report its gross intrastate operating revenues for the 2020 calendar year.

31. The assessment report was accompanied by instructions, which notified Respondent that the report was to be completed and returned to the Commission on or before March 31, 2021. A copy of the assessment report and instructions are attached as I&E Exhibit 5.

32. Respondent failed to file an assessment report stating its 2020 calendar year revenues.

33. On or about September 10, 2021, the Commission mailed to Respondent, by certified mail, an assessment invoice for the July 1, 2021 to June 30, 2022 Fiscal Year (“2021-2022 Fiscal Year”) that was based, in part, on Respondent’s estimated revenues for the 2020 calendar year due to Respondent’s failure to file an assessment report stating its 2020 calendar year revenues. Respondent’s assessment was \$216. A copy of the assessment invoice is attached as I&E Exhibit 6.

34. Accompanying the assessment invoice was a notice of assessment that informed Respondent that it was obligated to pay the amount listed on the assessment invoice within thirty (30) days or file objections within fifteen (15) days. A copy of the notice of assessment is attached as I&E Exhibit 7.

35. The assessment invoice and notice of assessment were mailed to Respondent at 8781 State Rte 36, Arkport NY 14807, Respondent’s last known mailing address.

36. The USPS tracking history shows that on September 27, 2021, Respondent refused to accept the certified mailing of the 2021-2022 Fiscal Year assessment invoice and notice of assessment. *See* I&E Exhibit 8. Thus, the certified mailing was returned to the Commission on October 1, 2021, as “refused, unable to forward.”

37. By mailing the assessment invoice and notice of assessment to Respondent by certified mail, the Commission complied with the requirements of Section 510(c) of the Public Utility Code, which requires notice by certified mail to each public utility of the amount lawfully charged against it. 66 Pa.C.S. § 510(c).

38. The Commission received no objections from Respondent to the assessment amount set forth in the 2021-2022 Fiscal Year Assessment Invoice.

39. Respondent failed to pay its 2021-2022 Fiscal Year Assessment Invoice of \$216.

### **2022-2023 FISCAL YEAR**

40. On or about February 9, 2022, the Commission mailed to Respondent an assessment report for Respondent to report its gross intrastate operating revenues for the 2021 calendar year.

41. The assessment report was accompanied by instructions, which notified Respondent that the report was to be completed and returned to the Commission on or before March 31, 2022. A copy of the assessment report and instructions are attached as I&E Exhibit 9.

42. Respondent failed to file an assessment report stating its 2021 calendar year revenues.

43. On or about September 9, 2022, the Commission mailed to Respondent, by certified mail, an assessment invoice for the July 1, 2022 to June 30, 2023 Fiscal Year (“2022-2023 Fiscal Year”) that was based, in part, on Respondent’s estimated revenues for the 2021 calendar year due to Respondent’s failure to file an assessment report stating its 2021 calendar year revenues. Respondent’s assessment was \$133. A copy of the assessment invoice is attached as I&E Exhibit 10.

44. Accompanying the assessment invoice was a notice of assessment that informed Respondent that it was obligated to pay the amount listed on the assessment invoice within thirty (30) days or file objections within fifteen (15) days. A copy of the notice of assessment is attached as I&E Exhibit 11.

45. The assessment invoice and notice of assessment were mailed to Respondent at 8781 State Rte 36, Arkport NY 14807, Respondent’s last known mailing address.

46. The USPS tracking history shows that on September 10, 2022, Respondent refused to accept the certified mailing of the 2022-2023 Fiscal Year assessment invoice and notice of assessment. *See* I&E Exhibit 12. Thus, the certified mailing was returned to the Commission on September 15, 2022, as “refused, unable to forward.”

47. By mailing the assessment invoice and notice of assessment to Respondent by certified mail, the Commission complied with the requirements of Section 510(c) of the Public Utility Code, which requires notice by certified mail to each public utility of the amount lawfully charged against it. 66 Pa.C.S. § 510(c).

48. The Commission received no objections from Respondent to the assessment amount set forth in the 2022-2023 Fiscal Year assessment invoice.

49. Respondent failed to pay its 2022-2023 Fiscal Year Assessment Invoice of \$133.

50. On or about October 26, 2022, the Commission's Bureau of Administration sent Respondent a letter warning Respondent that if it did not pay its past due assessment balance of \$655, consisting of its unpaid assessment balance from the 2020-2021, 2021-2022, and 2022-2023 Fiscal Years, within 20 days of receipt of the letter, then the Commission would institute appropriate legal action against Respondent.

51. Respondent's outstanding assessment balance for the 2020-2021, 2021-2022, 2022-2023 Fiscal Years of \$655 remains outstanding.

## **Violations**

### **COUNTS 1-3**

52. That Respondent failed to report its gross intrastate operating revenues for the 2019, 2020, and 2021 calendar years in that it did not file assessment reports for these calendar years. If proven, this is a violation of Section 510(b) of the Public Utility Code, 66 Pa.C.S. § 510(b) (3 counts). The Bureau of Investigation and Enforcement's proposed civil penalty for this violation is \$750 (\$250/each count).<sup>2</sup> This civil penalty, consistent with past Commission decisions,<sup>3</sup> is based on the following factors: (1) the type of violation involved; (2) the amount of Respondent's outstanding assessment balance related to the 2020-2021, 2021-2022, and 2022-2023 Fiscal Years; and (3) Respondent's compliance

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<sup>2</sup> I&E anticipates that this level of penalty will provide a sufficient deterrent against future violations by Respondent.

<sup>3</sup> See *Pa. Pub. Util. Comm'n v. Moore Family Holdings, LLC*, Docket No. C-2021-3029489 (Order entered April 14, 2022); *Pa. Pub. Util. Comm'n v. Safety 1<sup>st</sup> Paratransit Inc.*, Docket No. C-2021-3029522 (Order entered April 14, 2022); *Pa. Pub. Util. Comm'n v. JB Jr. Trucking LLC*, Docket No. C-2021-3029458 (Order entered February 24, 2022).

history with the Public Utility Code and Commission’s regulations for three (3) years prior to the filing of this Complaint.<sup>4</sup>

#### COUNTS 4-6

53. That Respondent failed to satisfy its 2020-2021, 2021-2022, and 2022-2023 Fiscal Year assessments in that it did not pay the amounts due within thirty (30) days of receipt of each invoice. If proven, this is a violation of Section 510(c) of the Public Utility Code, 66 Pa.C.S. § 510(c) (3 counts). I&E’s proposed civil penalty for these violations is \$150 (\$50/each count).<sup>5</sup> This civil penalty, consistent with past Commission decisions,<sup>6</sup> is based on the following factors: (1) the type of violation involved; (2) the amount of Respondent’s outstanding assessment balance related to the 2020-2021, 2021-2022, and 2022-2023 Fiscal Years; and (3) Respondent’s compliance history with the Public Utility Code and Commission’s regulations for three (3) years prior to the filing of this Complaint.<sup>7</sup>

**WHEREFORE**, for all the foregoing reasons, the Pennsylvania Public Utility Commission’s Bureau of Investigation and Enforcement respectfully requests that:

- (a) Respondent be ordered to pay a total of \$1,555, which consists of its cumulative outstanding assessment balance of \$655 and a total civil penalty of \$900 for the above-described violations. Said payment should be made by certified check or money order, made payable to the “Commonwealth of Pennsylvania” with the docket number of this proceeding listed, and mailed to the Secretary’s Bureau of the Commission;
- (b) Respondent be directed to file assessment reports on a going-forward basis; and

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<sup>4</sup> A review of the Commission’s records for a period of three (3) years prior to the date of the filing of this Complaint demonstrates that Respondent has an acceptable compliance history with the Commission.

<sup>5</sup> See *supra* note 2.

<sup>6</sup> See *supra* note 3.

<sup>7</sup> See *supra* note 4.

- (c) If payment of the assessments and civil penalty is not made, the Bureau of Investigation and Enforcement requests that:
- (1) the Commission issue an Order to cancel the Certificate of Public Convenience issued to Respondent;
  - (2) this matter be referred to the Pennsylvania Office of Attorney General for appropriate action; and
  - (3) the Commission certify motor vehicle registrations to the Pennsylvania Department of Transportation, if any, for suspension or revocation.

Respectfully submitted,



Alphonso Arnold III  
Prosecutor  
PA Attorney ID No.318487

Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 787-3836  
[alphonarno@pa.gov](mailto:alphonarno@pa.gov)

Date: February 24, 2023

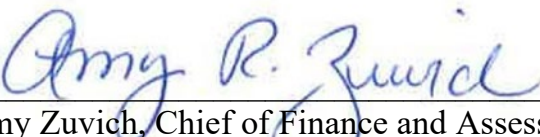
**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Pennsylvania Public Utility Commission	:	
Bureau of Investigation and Enforcement	:	
	:	
v.	:	Docket No. C-2022-3036995
	:	
Silk Road Transport, Inc.	:	

**VERIFICATION**

I, Amy Zuvich, Chief of Finance and Assessments, Bureau of Administration, Finance and Assessment Section, hereby state that the facts above set forth are true and correct to the best of my knowledge, information, and belief and that I expect the Bureau will be able to prove the same at any hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

Date: February 24, 2023

  
\_\_\_\_\_  
Amy Zuvich, Chief of Finance and Assessments  
Finance and Assessment Section  
Bureau of Administration  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

**I&E**  
**Exhibit 1**



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE  
**706310**

February 11, 2020

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE 36  
ARKPORT NY 14807

Dear TODD S HARATY:

The Pennsylvania Public Utility Code, 66 Pa. C.S. §510(b), requires every public utility to file with the Commission a statement, under oath, showing its gross intrastate (jurisdictional) operating revenues for the preceding calendar year. Enclosed is the Assessment Report (form AR-19-MC) that all Motor Carriers, including those registered with the Federal UCR program, must file with the Commission on or before **March 31, 2020**.

Please read the enclosed instructions carefully before preparing your Assessment Report. The completed original report with signature and notarization must be filed with the Commission's Assessment Section no later than **March 31, 2020**. No extensions will be granted.

Any questions regarding Assessment Reports should be directed to the Assessment Section at (717) 265-7548; or via email at [PUCASSESSMENTS@pa.gov](mailto:PUCASSESSMENTS@pa.gov).

Sincerely,

A handwritten signature in black ink that reads "Rosemary Chiavetta".

Rosemary Chiavetta  
Secretary

Enclosures: Form AR-19-MC  
Instructions

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## PENNSYLVANIA PUBLIC UTILITY COMMISSION

### INSTRUCTIONS FOR PREPARING 2019 ASSESSMENT REPORT FOR MOTOR CARRIERS

You have received this form because you currently hold a certificate of public convenience with the PA PUC. As such you must report your gross intrastate operating revenue for calendar year 2019 on the 2019 Assessment Report, which is enclosed. You are required to sign, notarize, and file the 2019 Assessment Report with the Commission on or before **March 31, 2020**. Please use the self-addressed return envelope enclosed. **THE PUC WILL NOT GRANT AN EXTENSION OF TIME TO FILE THIS REPORT. Failure to file this form could result in fines of up to \$1,000 for each day it is late (66 Pa. C.S. 3301), revocation of your certificate of public convenience, and PennDOT will place registration suspensions on your vehicles.**

**WHO MUST FILE:** All common carriers certificated by the Commission. Common Carriers include property carriers, passenger carriers, and household goods carriers. **Carriers subject to the UCR Act must file this report**, even though qualified UCR operations of carriers registered under the UCR Act will not be assessed if this report is filed timely.

**WHAT YOU MUST FILE:** The enclosed Assessment Report (AR-19-MC) must be completed in its entirety to be accepted as final. This includes signatures and notarization along with receipt in the Commission's Assessment Section on or before March 31, 2020. **This report form may not be modified.** Please be sure to include a valid telephone number for the contact person to answer questions, if necessary. The following are addresses for the Assessment Section of the PUC.

Regular Mail  
Pa. Public Utility Commission  
Fiscal Office  
Po Box 3265  
Harrisburg, PA 17105

Express Mail  
Pa. Public Utility Commission  
Fiscal Office  
400 North Street  
Harrisburg, PA 17120

**ASSESSMENT INQUIRIES**  
Assessment Hotline: 717-265-7548  
Email: PUCAssessments@pa.gov  
**UCR INQUIRIES: (717) 783-3846**  
**Website: www.ucr.gov**

**NAME AND ADDRESS:** Verify that the preprinted name and address are correct. If this is not correct, cross out and print the correct information. Please provide an email address and the current telephone number.

### LINE-BY-LINE INSTRUCTIONS

Line 1. *Pennsylvania Gross Intrastate Operating Revenue.*

Report Pennsylvania gross intrastate operating revenue here. This is earned gross revenue from your public utility operations within the boundaries of the Commonwealth of Pennsylvania, without deduction of expenses of any kind. Fuel surcharges must be included as revenue. Revenue must be reported in the appropriate column. For example, if all your revenue was from the transportation of property, you must report all revenue in the 'property' column. If your revenue was from 2 or more types of common carriage, you must report the amount of revenue received from each type, in the appropriate column.

Line 2. *Pennsylvania Exempt Intrastate Revenue Total.*

Report Exempt Intrastate Revenue here. You must itemize any exemptions on the "Exempt Intrastate Revenue" lines on the Report. Please see the list of allowable exemptions on page 2 of the instructions. **You must include the exemption number and amount. Attach additional sheets of paper as needed for explanation of exemptions. The PUC may disallow your exemptions if you fail to itemize.** Total all exempt revenue on Line 2.

Revenue earned from service under the jurisdiction of the Philadelphia Parking Authority must be reported as exempt revenue, you may use number 11 from the exemption list.

Line 3. *Pennsylvania Net Intrastate Operating Revenue.*

Subtract Line 2 from Line 1. This revenue is used to calculate your assessment. If this report is not filed or filed late, your net revenue will be estimated. This estimate is binding.

### UCR REGISTRATION INFORMATION

You must check the appropriate box. If you are an interstate carrier and required to register under the UCR Program, you must check 'yes' and provide your U.S. DOT number. For the purposes of this report - INTERSTATE operating revenue refers to revenue earned from the transportation of property or persons between points of origin and destination, either or both of which are outside Pennsylvania. Further information on the UCR Program may be found at **WWW.UCR.GOV**.

### AUTHORIZATION FOR ABANDONMENT

If you are no longer in business or desire to cease operations, you may direct the Commission to cancel your certificate of public convenience. You must state the reason for the abandonment and sign in the assigned block on the back of the report.

## EXEMPT REVENUE

The following is a description of Exempt Revenue you may deduct on Line 2, as provided by 66 Pa.C.S. §102:

1. **LEASE REVENUE** – You may deduct lease revenue. Lease revenue is revenue a certificated carrier (lessor) receives when it leases its equipment to another certificated carrier (lessee). The lessor carrier cannot retain any responsibility for the maintenance, supervision or control of the vehicle. The leasing arrangement must be in compliance with the Commission’s leasing regulations, which are found at 52 Pa. Code sections 29.101 (passenger carrier leasing) and 31.32 (property and household carrier leasing). **The lessor must include in its assessment report the name(s) of the carrier(s) and the certificate of public convenience number to which it leases its equipment and the amount of revenue received from the carrier.** Lessees cannot use rental/lease fees paid to the lessor to offset operating revenue and must report, for assessment purposes, all revenues earned under their certificate of public convenience.
2. **TRANSPORTATION OF K-12 SCHOOL CHILDREN** – You may deduct revenue you received from a kindergarten through 12<sup>th</sup> grade public, private or parochial school for the transportation of school children for school purposes or school sponsored extra-curricular activities, under a bona fide transportation contract with a public, private or parochial school.
3. **TRANSPORTATION OF AGRICULTURE PRODUCTS** – Farm owners and operators may deduct revenue from transporting their own agricultural products from, or farm supplies to, their farm. In addition, independent contractors or cooperative agricultural associations, transporting agricultural products or farm supplies exclusively for one or more farm owner(s) or operator(s), may deduct that revenue.
4. **WASTE, EXCAVATED, AND ROAD CONSTRUCTION MATERIALS** – You may deduct revenue you received from transporting ashes (including anti-skid, flue dust and fly ash), rubbish and waste for disposal. The PUC also allows the exemption/deduction of any revenue you received for transporting excavated materials in dump trucks. The purpose of the excavation is to create a hole or cavity. Removing material of value for use elsewhere is NOT exempt. The PUC also allows the exemption/deduction of any revenue you received for transporting road construction materials if a dump truck is used, and the materials hauled are used solely in a private or public road project including driveways (but NOT parking lots), and the materials are hauled directly to road construction sites or stockpiles. Carriers may **not** claim a revenue exemption for waste, excavated and road construction material when those materials were transported in five-axle tractor-trailers.
5. **TRANSPORTATION OF YOUR OWN PROPERTY**- You may deduct revenue you received from transporting your own property to yourself or to those that buy directly from you.
6. **VOTING MACHINES** – You may deduct revenue you received from transporting voting machines to and from polling places on behalf of any political subdivision of the Commonwealth for use in any primary, general or special election.
7. **TRANSPORTATION OF WOOD PRODUCTS FROM WOODLOTS**- You may deduct revenue you received from transporting pulpwood, chemical wood, saw logs, wood chips, or veneer logs solely from woodlots. A woodlot is an area devoted to growing forest trees. Any revenue received from transportation performed from sources other than the woodlots is subject to assessment and may not be deducted.
8. **TRANSPORTATION OF WRECKED OR DISABLED VEHICLES** – You may deduct revenue you received from the transportation of wrecked or disabled motor vehicles from an accident, breakdown, or a place the vehicle was transported after the accident or breakdown, if it is subsequently transported for repair. Revenue from transportation of wrecked or disabled vehicles to or from auctions is NOT exempt.
9. **TRANSPORTATION OF STOLEN OR REPOSSESSED VEHICLES**- You may deduct revenue you received from the transportation of stolen or repossessed vehicles. Revenue from transportation of stolen or repossessed vehicles to or from auctions is NOT exempt.
10. **TRANSPORTATION OF INJURED, ILL OR DECEASED PERSONS** – You may deduct revenue you received from transporting an injured or ill person to a health care facility, when that transportation is performed in an ambulance or a medically equipped vehicle containing portable oxygen. A medical attendant must be in the vehicle in addition to the driver. A health care facility includes a hospital, clinic, dialysis center, nursing care institution, home health agency, infirmary, or behavioral service provider. A doctor’s office is **NOT** a health care facility. In addition, you may deduct revenue you received from transporting deceased persons.
11. **TRANSPORTATION UNDER CONTRACT WITH MUNICIPAL CORPORATIONS** - You may deduct revenue you received from passenger transportation performed under contract with a municipal corporation, provided that: (1) the municipal corporation actively regulates your rates, routes and services; and (2) the transportation is limited to municipal residents. This deduction does not apply to contracts with state agencies.

COMMONWEALTH OF PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
PO BOX 3265  
HARRISBURG, PA 17105-3265

**2019 ASSESSMENT REPORT-MOTOR CARRIERS**

This Report **MUST BE FILED** no later than **March 31, 2020**. Failure to file may result in fines up to \$1,000 for each day a violation continues (66 Pa. C.S. § 3301), revocation of your Certificate of Public Convenience, and PennDOT will place registration suspensions on your vehicles.

TRADE OR CORPORATE NAME OF UTILITY: SILK ROAD TRANSPORT INC	UTILITY CODE: 706310
CONTACT NAME: TODD S HARATY	EMAIL: THARATY@SILKROADTRANS.COM
ADDRESS 1: 8781 STATE RTE 36	ADDRESS 2 (Floor, Suite, etc.):
CITY, STATE, ZIP: ARKPORT NY 14807	PHONE NO.: 607-295-7406

**OPERATING REVENUE FOR CALENDAR YEAR 2019 (January 1, 2019-December 31, 2019)**

(Enter WHOLE dollars only)

	PROPERTY	HOUSEHOLD GOODS	PASSENGER	
			Group and Party 16 or more	Passenger 15 and Under
<b>1. PA INTRASTATE OPERATING REVENUE</b>				
<b>PA EXEMPT INTRASTATE REVENUE</b>				
<b>Exemption #</b>				
<b>Exemption #</b>				
<b>Exemption #</b>				
<b>Exemption #</b>				
<b>2. TOTAL Exempt Revenue</b>				
<b>3. PA NET INTRASTATE OPERATING REVENUE ( Subtract Line 2 from Line 1)</b>				

**UCR REGISTRATION INFORMATION**

2020 UCR Registered  YES  NO US DOT #: \_\_\_\_\_

**Internal Use Only**

A-1  C-1  AB-1

### AUTHORIZATION FOR RELEASE OF STATE TAX RECORDS

In accordance with Sections 505 and 506 of the Public Utility Code, to verify the accuracy of financial information supplied to the Public Utility Commission, I hereby authorize the Pennsylvania Department of Revenue to release to the Public Utility Commission, any tax records filed or compiled regarding the below-listed utility and/or individual.

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Signature

Date \_\_\_\_\_

\_\_\_\_\_  
Name (Printed)

\_\_\_\_\_  
Title

### AUTHORIZATION FOR ABANDONMENT

Approval of the abandonment is necessary or proper for the following reasons:

\_\_\_\_\_  
Reason(s)

\_\_\_\_\_  
Signature

### AFFIDAVIT

I affirm that the information reported herein is complete, true and correct.

\_\_\_\_\_  
(Signature of Individual or Officer)

\_\_\_\_\_  
(Date)

READABLE (PRINT OR TYPE) NAME OF INDIVIDUAL or OFFICER ABOVE:

TELEPHONE NO.:

Office (\_\_\_\_)

Other (\_\_\_\_)

Other (\_\_\_\_)

### NOTARIZATION

(Required)

Subscribed and sworn to before me this

\_\_\_\_\_ day of \_\_\_\_\_ 2020

\_\_\_\_\_  
NOTARY SIGNATURE

\_\_\_\_\_  
OFFICIAL TITLE

OFFICIAL SEAL

(Date My Commission Expires)

**I&E**  
**Exhibit 2**



Commonwealth of Pennsylvania  
**Pennsylvania Public Utility Commission**

Harrisburg, PA 17105-3265

**GENERAL ASSESSMENTS INVOICE**

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE36  
ARKPORT NY 14807

Invoice Date	Invoice Number
9/10/2020	20-706310
Fiscal Year	
July 1, 2020 to June 30, 2021	

- Read carefully Notice of Assessment
- Use return envelope provided
- Make check payable to:  
**Commonwealth of Pennsylvania**
- If you desire confirmation of receipt, use a mailing service that provides one, such as USPS-Return Receipt, or overnight delivery with receipt confirmation

PUC Assessment	\$306.00
<b>PAY THIS AMOUNT WITHIN 30 DAYS</b>	<b>\$306.00</b>

TO RECEIVE PROPER CREDIT FOR YOUR PAYMENT,  
REMOVE THE BOTTOM PART OF THIS INVOICE AT  
THE PERFORATION AND RETURN WITH YOUR  
REMITTANCE

**MAIL PAYMENT TO:**  
PA DOR  
PO BOX 61380  
HARRISBURG, PA 17106-1380

FOLD AND CUT HERE

RETURN THIS PORTION WITH YOUR REMITTANCE

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE36  
ARKPORT NY 14807

Invoice Date	Invoice Number
9/10/2020	20-706310
Fiscal Year	
July 1, 2020 to June 30, 2021	

<b>PAY THIS AMOUNT WITHIN 30 DAYS</b>	<b>\$306.00</b>
---------------------------------------	-----------------

20000070631001 091020100000306002000000000030000000000 000000306004

**I&E**  
**Exhibit 3**

**PENNSYLVANIA PUBLIC UTILITY COMMISSION  
Harrisburg, PA 17105-3265**

**NOTICE OF ASSESSMENT**

**EXPLANATION OF BILL FOR GENERAL ASSESSMENT  
FOR FISCAL YEAR JULY 1, 2020 TO JUNE 30, 2021**

In Section 510 of the Public Utility Code, 66 Pa. C.S. §510, the Pennsylvania General Assembly has authorized the Pennsylvania Public Utility Commission to assess all public utilities a percentage of their gross intrastate operating revenues for the preceding calendar year. Under Section 510, each public utility is required, on or before March 31 of each year to file with the Commission a statement of the utility's gross intrastate operating revenues for the preceding calendar year. After receiving the utilities' statements of gross intrastate operating revenues, the Commission sends general assessment invoices to all public utilities to advise each utility of the amount of assessment that utility owes based upon that utility's activity (gross intrastate operating revenues) in the preceding year. The enclosed assessment is for your proportionate share of the estimated expenses of the Public Utility Commission for the Commission's Fiscal Year July 1, 2020 to June 30, 2021.

**YOU ARE REQUIRED TO PAY THIS BILL WITHIN THIRTY DAYS AFTER YOU RECEIVE IT.** Objections to the assessment must be made in writing within 15 days and shall set out in detail the grounds upon which you regard the assessment to be excessive, erroneous, unlawful, or invalid. Objections may be filed only by the person, partnership, or corporation assessed. Filing an objection, however, does not eliminate your obligation to pay the assessment while your objection is being considered. **FAILURE TO PAY THE ASSESSMENT WHEN PAYMENT IS DUE WILL SUBJECT YOU TO PENALTIES.**

Be sure that your personal check or money order is written out in the same amount as your assessment invoice, and make your remittance payable to, "Commonwealth of PA". **DO NOT SEND CASH.** If you have reason to correspond with the Commission regarding your assessment invoice, please refer to your invoice number. A receipt for payment will not be issued. Please mail your payment with the return portion of your invoice in the enclosed self-addressed envelope. Please note that rounding of your assessment to the nearest dollar has occurred. Your assessment for the PUC, including the OCA, OSBA and the DPC where applicable, has been combined into one invoice; one payment for all applicable assessments is acceptable. **A TWENTY DOLLAR (\$20.00) FEE WILL BE CHARGED FOR ALL DISHONORED OR BAD CHECKS REMITTED AS PAYMENT OF ASSESSMENTS.**

Your assessment has been computed by multiplying your gross intrastate operating revenues for the calendar year 2019 by the assessment factor for the public utility group of which you are a member. Your gross intrastate operating revenues for 2019 have been taken from the Assessment Reports Form GAO-19, AR-19-RR or AR-19-MC which you have filed with the Commission, or have been estimated by the Commission if you failed to file a timely report. Gross operating revenue reported to the Commission on the Assessment Reports may have been revised by the Commission to accurately reflect assessable revenue. The assessment factors for the various public utility groups are set forth in Schedule B enclosed herewith.

The approved estimate of expenditures of the Commission for the period July 1, 2020 to June 30, 2021 payable as a General Assessment by the public utilities which the Commission regulates has been determined as follows:

Approved budget for the Commission for the Fiscal Year July 1, 2020 through June 30, 2021:	\$76,076,801
Deduct:	
Pipeline Operators per Act 127 of 2011	535,541
Various Fees Collected in FY 2019-20	688,473
UGWF Administration per Act 13 of 2012	453,404
Prior Year cost saving	8,292,175
UCR Collection for CY 2019	4,945,527
EGS/NGS fees per Act 155 of 2014	<u>5,859,359</u>
Total Deductions	<u>\$20,774,479</u>
General Assessment Total Amount	\$55,302,322

The way in which the total Public Utility Commission assessment of \$55,302,322 has been allocated to the various groups of public utilities as shown on Schedules A and B enclosed herewith.




---

Rosemary Chiavetta  
Secretary  
PA Public Utility Commission

**MAKE INQUIRIES TO:**

Pennsylvania Public Utility Commission  
Bureau of Administrative Services/Assess  
P.O. Box 3265  
Harrisburg, PA 17105-3265

**FOR CERTIFIED AND EXPRESS CARRIERS**

Pennsylvania Public Utility Commission  
Bureau of Administrative Services/Assess  
400 North Street  
Harrisburg, PA 17120

**CONTACT INFORMATION:**

Assessment Section Information - Telephone 717-265-7548

Allocation of Expenses based on percent of prior year Public Utility Group workload  
Budget Fiscal Year 2020-21

**SCHEDULE A**

<b>Group</b>	<b>Total Expenditures per Utility Group Calendar Year 2019</b>	<b>Percentage Distribution</b>	<b>Estimated Expenditures Fiscal Year 2020- 2021 by Utility Group</b>
Electric	\$24,920,549	45.1523%	\$24,970,270
Gas	\$11,203,601	20.2993%	\$11,225,984
Pipeline	\$628,447	1.1387%	\$629,728
Steam Heat	\$318,410	0.5769%	\$319,039
Tele./Tele.	\$5,541,503	10.0404%	\$5,552,574
Water/Sewer	\$6,533,076	11.8370%	\$6,546,136
Transportation - Passenger	\$2,052,922	3.7196%	\$2,057,025
Transportation - Property	\$1,206,524	2.1860%	\$1,208,909
Transportation - Rail	\$2,787,117	5.0498%	\$2,792,657
<b>Total</b>	<b>\$55,192,149</b>	<b>100.00%</b>	<b>\$55,302,322</b>

**SCHEDULE B**

<b>Group</b>	<b>Estimated Expenditures Fiscal Year 2020- 2021 by Utility Group</b>	<b>Gross intrastate revenues by utility group Calendar Year 2019</b>	<b>General Assessment Factor by Utility Group (Col. (a) / by Col. (b))</b>
	(a)	(b)	(c)
Electric	\$24,970,270	\$7,943,458,506	0.003143501031
Gas	\$11,225,984	\$4,082,124,332	0.002750034807
Pipeline	\$629,728	\$26,369,139	0.023881249972
Steam Heat	\$319,039	\$110,004,181	0.002900244310
Tele./Tele.	\$5,552,574	\$1,820,452,702	0.003050106160
Water/Sewer	\$6,546,136	\$1,447,058,777	0.004523752666
Transportation - Passenger	\$2,057,025	\$685,828,971	0.002999326490
Transportation - Property	\$1,208,909	\$508,973,483	0.002375190536
Transportation - Rail	\$2,792,657	\$78,530,272	0.035561534793
<b>Total</b>	<b>\$55,302,322</b>	<b>\$16,702,800,363</b>	<b>0.003310961084</b>

**SUPPLEMENTAL SCHEDULE  
FEDERAL SHORTFALL RELATED TO GAS SAFETY APPLICABLE TO GAS PUBLIC UTILITIES  
ONLY**

	<b>Estimated Commission Federal Shortfall for Calendar Year 2019 and 6 Months of Calendar Year 2020</b>	<b>Actual Commission Federal Shortfall for Calendar Year 2019 and 6 Months of Calendar Year 2020</b>	<b>Estimated Commission Federal Shortfall for Calendar Year 2020 and 6 Months of Calendar Year 2021</b>
Gas	\$0	\$0	\$0
	<b>Net Estimated Commission Federal Shortfall for Fiscal Year 2020-21 Assessment</b>	<b>Reported Revenue for Gas Utility Group for Calendar Year 2019</b>	<b>Supplemental add- on Factor</b>
Gas	\$0	\$4,082,124,332	0.000000000000

**I&E**

**Exhibit 4**

# USPS Tracking®

[FAQs >](#)

Tracking Number:

[Remove X](#)

## 9171969009350277152508

[Copy](#)

[Add to Informed Delivery](#)

(<https://informedelivery.usps.com/>)

### Latest Update

Your item has been delivered and is available at a PO Box at 7:44 am on September 20, 2022 in HARRISBURG, PA 17106.

[Feedback](#)

#### Delivered

**Delivered, PO Box**

HARRISBURG, PA 17106

September 20, 2022, 7:44 am

#### Available for Pickup

HARRISBURG, PA 17106

September 19, 2022, 8:46 am

#### Arrived at Post Office

HARRISBURG, PA 17107

September 19, 2022, 6:25 am

#### Departed USPS Regional Origin Facility

HARRISBURG PA DISTRIBUTION CENTER

September 18, 2022, 9:57 am

#### Arrived at USPS Regional Origin Facility

HARRISBURG PA DISTRIBUTION CENTER

September 18, 2022, 4:39 am

#### In Transit to Next Facility

September 17, 2022

- **Departed USPS Regional Destination Facility**  
ROCHESTER NY DISTRIBUTION CENTER  
September 16, 2022, 6:35 pm
- **Refused**  
ARKPORT, NY 14807  
September 15, 2022, 8:36 am
- **Out for Delivery**  
ARKPORT, NY 14807  
September 15, 2022, 8:01 am
- **Arrived at Post Office**  
ARKPORT, NY 14807  
September 15, 2022, 7:50 am
- **Arrived at USPS Regional Destination Facility**  
ROCHESTER NY DISTRIBUTION CENTER  
September 14, 2022, 11:07 am
- **Arrived at USPS Regional Origin Facility**  
HARRISBURG PA DISTRIBUTION CENTER  
September 13, 2022, 7:41 pm
- **Accepted at USPS Origin Facility**  
HARRISBURG, PA 17120  
September 13, 2022, 6:26 pm
- **Pre-Shipment Info Sent to USPS, USPS Awaiting Item**  
September 13, 2022
- **Hide Tracking History**

Feedback

**Text & Email Updates**



**Return Receipt Electronic**



**USPS Tracking Plus®**



**I&E**  
**Exhibit 5**



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE  
**706310**

February 10, 2021

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE 36  
ARKPORT NY 14807

Dear TODD S HARATY:

The Pennsylvania Public Utility Code, 66 Pa. C.S. §510(b), requires every public utility to file with the Commission a statement, under oath, showing its gross intrastate (jurisdictional) operating revenues for the preceding calendar year. Enclosed is the Assessment Report (form AR-20-MC) that all Motor Carriers, including those registered with the Federal UCR program, must file with the Commission on or before **March 31, 2021**.

Please read the enclosed instructions carefully before preparing your Assessment Report. The completed original report with signature and notarization must be filed with the Commission's Assessment Section no later than **March 31, 2021**. No extensions will be granted. Revenue is estimated for companies who fail to file the Assessment Report. Estimated revenue is legally binding.

Any questions regarding Assessment Reports should be directed to the Assessment Section at (717) 265-7548; or via email at [pucassessments@pa.gov](mailto:pucassessments@pa.gov).

Sincerely,

A handwritten signature in black ink that reads "Rosemary Chiavetta". The signature is written in a cursive, flowing style.

Rosemary Chiavetta  
Secretary

Enclosures: Form AR-20-MC  
Instructions

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## PENNSYLVANIA PUBLIC UTILITY COMMISSION

### INSTRUCTIONS FOR PREPARING 2020 ASSESSMENT REPORT FOR MOTOR CARRIERS

You have received this form because you currently hold a certificate of public convenience with the PA PUC. As such you must report your gross intrastate operating revenue for calendar year 2020 on the 2020 Assessment Report, which is enclosed. You are required to sign, notarize, and file the 2020 Assessment Report with the Commission on or before **March 31, 2021**. Please use the self-addressed return envelope enclosed. **THE PUC WILL NOT GRANT AN EXTENSION OF TIME TO FILE THIS REPORT.** **Failure to file this form could result in fines of up to \$1,000 for each day it is late (66 Pa. C.S. §3301), revocation of your certificate of public convenience, and PennDOT will place registration suspensions on your vehicles. Revenue is estimated for all companies who fail to file an Assessment Report. Estimates are legally binding (66 Pa. C.S. §510(b)).**

**WHO MUST FILE:** All common carriers certificated by the Commission. Common Carriers include property carriers, passenger carriers, and household goods carriers. **Carriers subject to the UCR Act must file this report**, even though qualified UCR operations of carriers registered under the UCR Act will not be assessed if this report is filed timely. Failure to file timely, or pay the UCR fee timely, could subject you to paying an assessment.

**WHAT YOU MUST FILE:** The enclosed Assessment Report (AR-20-MC) must be completed in its entirety to be accepted as final. This includes signatures and notarization along with receipt in the Commission's Assessment Section on or before March 31, 2021. **This report form may not be modified.** Please be sure to include a valid telephone number for the contact person to answer questions, if necessary. The following are addresses for the Assessment Section of the PUC.

**Regular Mail**

Pa. Public Utility Commission  
Fiscal Office  
Po Box 3265  
Harrisburg, PA 17105

**Express Mail**

Pa. Public Utility Commission  
Fiscal Office  
400 North Street  
Harrisburg, PA 17120

**ASSESSMENT INQUIRIES**

Assessment Hotline: 717-265-7548  
Email: [pucassessments@pa.gov](mailto:pucassessments@pa.gov)  
**UCR INQUIRIES: (717) 783-3846**  
**Website: [www.ucr.gov](http://www.ucr.gov)**

**NAME AND ADDRESS:** Verify that the preprinted name and address are correct. If this is not correct, cross it out and print the correct information. Please provide an email address and the current telephone number.

### LINE-BY-LINE INSTRUCTIONS

Line 1. *Pennsylvania Gross Intrastate Operating Revenue.*

Report Pennsylvania gross intrastate operating revenue here. This is earned gross revenue from your public utility operations within the boundaries of the Commonwealth of Pennsylvania, without deduction of expenses of any kind. Fuel surcharges must be included as revenue. Revenue must be reported in the appropriate column. For example, if all your revenue was from the transportation of property, you must report all revenue in the 'property' column. If your revenue was from 2 or more types of common carriage, you must report the amount of revenue received from each type, in the appropriate column.

Line 2. *Pennsylvania Exempt Intrastate Revenue Total.*

Report Exempt Intrastate Revenue here. You must itemize any exemptions on the "Exempt Intrastate Revenue" lines on the Report. Please see the list of allowable exemptions on page 2 of the instructions. **You must include the exemption number and amount. Attach additional sheets of paper as needed for explanation of exemptions. The PUC may disallow your exemptions if you fail to itemize.** Total all exempt revenue on Line 2.

Revenue earned from service under the jurisdiction of the Philadelphia Parking Authority must be reported as exempt revenue, you may use number 11 from the exemption list.

Line 3. *Pennsylvania Net Intrastate Operating Revenue.*

Subtract Line 2 from Line 1. This revenue is used to calculate your assessment. If this report is not filed or filed late, your net revenue will be estimated. This estimate is binding.

### UCR REGISTRATION INFORMATION

You must check the appropriate box. If you are an interstate carrier and required to register under the UCR Program, you must check 'yes' and provide your U.S. DOT number. For the purposes of this report - INTERSTATE operating revenue refers to revenue earned from the transportation of property or persons between points of origin and destination, either or both of which are outside Pennsylvania. Further information on the UCR Program may be found at [WWW.UCR.GOV](http://WWW.UCR.GOV). You must also provide the date on which you paid the current year UCR fee.

### AUTHORIZATION FOR ABANDONMENT

If you are no longer in business or desire to cease operations, you may direct the Commission to cancel your certificate of public convenience. You must state the reason for the abandonment and sign in the assigned block on the back of the report. If you have questions regarding your CPC, please contact our Motor Carrier compliance department at 717-787-1168.

## EXEMPT REVENUE

The following is a description of Exempt Revenue you may deduct on Line 2, as provided by 66 Pa.C.S. §102:

1. **LEASE REVENUE** – You may deduct lease revenue. Lease revenue is revenue a certificated carrier (lessor) receives when it leases its equipment to another certificated carrier (lessee). The lessor carrier cannot retain any responsibility for the maintenance, supervision, or control of the vehicle. The leasing arrangement must follow the Commission’s leasing regulations, which are found at 52 Pa. Code §29.101 (passenger carrier leasing) and §31.32 (property and household carrier leasing). **The lessor must include in its assessment report the name(s) of the carrier(s) and the certificate of public convenience number to which it leases its equipment and the amount of revenue received from the carrier.** Lessees cannot use rental/lease fees paid to the lessor to offset operating revenue and must report, for assessment purposes, all revenues earned under their certificate of public convenience.
2. **TRANSPORTATION OF K-12 SCHOOL CHILDREN** – You may deduct revenue you received from a kindergarten through 12<sup>th</sup> grade public, private or parochial school for the transportation of school children for school purposes or school sponsored extra-curricular activities, under a bona fide transportation contract with a public, private, or parochial school.
3. **TRANSPORTATION OF AGRICULTURE PRODUCTS** – Farm owners and operators may deduct revenue from transporting their own agricultural products from, or farm supplies to, their farm. In addition, independent contractors, or cooperative agricultural associations, transporting agricultural products or farm supplies exclusively for one or more farm owner(s) or operator(s), may deduct that revenue.
4. **WASTE, EXCAVATED, AND ROAD CONSTRUCTION MATERIALS** – You may deduct revenue you received from transporting ashes (including anti-skid, flue dust and fly ash), rubbish and waste for disposal. The PUC also allows the exemption/deduction of any revenue you received for transporting excavated materials in dump trucks. The purpose of the excavation is to create a hole or cavity. Removing material of value for use elsewhere is NOT exempt. The PUC also allows the exemption/deduction of any revenue you received for transporting road construction materials if a dump truck is used, and the materials hauled are used solely in a private or public road project including driveways (but NOT parking lots), and the materials are hauled directly to road construction sites or stockpiles. Carriers may **not** claim a revenue exemption for waste, excavated and road construction material when those materials were transported in five-axle tractor-trailers.
5. **TRANSPORTATION OF YOUR OWN PROPERTY**- You may deduct revenue you received from transporting your own property to yourself or to those that buy directly from you.
6. **VOTING MACHINES** – You may deduct revenue you received from transporting voting machines to and from polling places on behalf of any political subdivision of the Commonwealth for use in any primary, general, or special election.
7. **TRANSPORTATION OF WOOD PRODUCTS FROM WOODLOTS**- You may deduct revenue you received from transporting pulpwood, chemical wood, saw logs, wood chips, or veneer logs solely from woodlots. A woodlot is an area devoted to growing forest trees. Any revenue received from transportation performed from sources other than the woodlots is subject to assessment and may not be deducted.
8. **TRANSPORTATION OF WRECKED OR DISABLED VEHICLES** – You may deduct revenue you received from the transportation of wrecked or disabled motor vehicles from an accident, breakdown, or a place the vehicle was transported after the accident or breakdown, if it is subsequently transported for repair. Revenue from transportation of wrecked or disabled vehicles to or from auctions is NOT exempt.
9. **TRANSPORTATION OF STOLEN OR REPOSSESSED VEHICLES**- You may deduct revenue you received from the transportation of stolen or repossessed vehicles. Revenue from transportation of stolen or repossessed vehicles to or from auctions is NOT exempt.
10. **TRANSPORTATION OF INJURED, ILL OR DECEASED PERSONS** – You may deduct revenue you received from transporting an injured or ill person to a health care facility, when that transportation is performed in an ambulance or a medically equipped vehicle containing portable oxygen. **A medical attendant must be in the vehicle in addition to the driver.** A health care facility includes a hospital, clinic, dialysis center, nursing care institution, home health agency, infirmary, or behavioral service provider. A doctor’s office is **NOT** a health care facility. In addition, you may deduct revenue you received from transporting deceased persons.
11. **TRANSPORTATION UNDER CONTRACT WITH MUNICIPAL CORPORATIONS** - You may deduct revenue you received from passenger transportation performed under contract with a municipal corporation, provided that: (1) the municipal corporation actively regulates your rates, routes and services; and (2) the transportation is limited to municipal residents. This deduction does not apply to contracts with state agencies. **Philadelphia Parking Authority regulated trips fall under this exemption.**

COMMONWEALTH OF PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
PO BOX 3265  
HARRISBURG, PA 17105-3265

**2020 ASSESSMENT REPORT-MOTOR CARRIERS**

This Report **MUST BE FILED** no later than **March 31, 2021**. Failure to file may result in fines up to \$1,000 for each day a violation continues (66 Pa. C.S. §3301), revocation of your Certificate of Public Convenience, and PennDOT will place registration suspensions on your vehicles.

TRADE OR CORPORATE NAME OF UTILITY: SILK ROAD TRANSPORT INC	UTILITY CODE: 706310
CONTACT NAME: TODD S HARATY	EMAIL: THARATY@SILKROADTRANS.COM
ADDRESS 1: 8781 STATE RTE 36	ADDRESS 2 (Floor, Suite, etc.):
CITY, STATE, ZIP: ARKPORT NY 14807	PHONE NO.: 607-295-7406

**OPERATING REVENUE FOR CALENDAR YEAR 2020 (January 1, 2020-December 31, 2020)**

(Enter WHOLE dollars only)

	PROPERTY	HOUSEHOLD GOODS	PASSENGER	
			Group and Party 16 or more	Passenger 15 and Under
<b>1. PA INTRASTATE OPERATING REVENUE</b>				
<b>PA EXEMPT INTRASTATE REVENUE</b>				
<b>Exemption #</b>				
<b>Exemption #</b>				
<b>Exemption #</b>				
<b>Exemption #</b>				
<b>2. TOTAL Exempt Revenue</b>				
<b>3. PA NET INTRASTATE OPERATING REVENUE ( Subtract Line 2 from Line 1)</b>				

**UCR REGISTRATION INFORMATION**

2021 UCR Registered:  YES  NO US DOT #: \_\_\_\_\_

Date 2021 UCR fee was paid: \_\_\_\_\_

**Internal Use Only**

A-1  C-1  AB-1

### AUTHORIZATION FOR RELEASE OF STATE TAX RECORDS

In accordance with Sections 505 and 506 of the Public Utility Code, to verify the accuracy of financial information supplied to the Public Utility Commission, I hereby authorize the Pennsylvania Department of Revenue to release to the Public Utility Commission, any tax records filed or compiled regarding the below-listed utility and/or individual.

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Printed)

\_\_\_\_\_  
Title

### AUTHORIZATION FOR ABANDONMENT

Approval of the abandonment is necessary or proper for the following reasons:

\_\_\_\_\_  
Reason(s)

\_\_\_\_\_  
Signature

### AFFIDAVIT

I affirm that the information reported herein is complete, true and correct.

\_\_\_\_\_  
(Signature of Individual or Officer)

\_\_\_\_\_  
(Date)

READABLE (PRINT OR TYPE) NAME OF INDIVIDUAL or OFFICER ABOVE:

TELEPHONE NO.:

Office ( )

Other ( )

Other ( )

### NOTARIZATION

(Required)

Subscribed and sworn to before me this

\_\_\_\_ day of \_\_\_\_\_ 2021

\_\_\_\_\_  
NOTARY SIGNATURE

\_\_\_\_\_  
OFFICIAL TITLE

OFFICIAL SEAL

(Date My Commission Expires)

**I&E**  
**Exhibit 6**



Commonwealth of Pennsylvania  
**Pennsylvania Public Utility Commission**

Harrisburg, PA 17105-3265

**GENERAL ASSESSMENTS INVOICE**

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE36  
ARKPORT NY 14807

Invoice Date	Invoice Number
9/10/2021	21-706310
Fiscal Year	
July 1, 2021 to June 30, 2022	

- Read carefully Notice of Assessment
- Use return envelope provided
- Make check payable to:  
**Commonwealth of Pennsylvania**
- If you desire confirmation of receipt, use a mailing service that provides one, such as USPS-Return Receipt, or overnight delivery with receipt confirmation

PUC Assessment	\$216.00
<b>PAY THIS AMOUNT WITHIN 30 DAYS</b>	<b>\$216.00</b>

TO RECEIVE PROPER CREDIT FOR YOUR PAYMENT,  
REMOVE THE BOTTOM PART OF THIS INVOICE AT  
THE PERFORATION AND RETURN WITH YOUR  
REMITTANCE

**MAIL PAYMENT TO:**  
PA DOR  
PO BOX 61380  
HARRISBURG, PA 17106-1380

FOLD AND CUT HERE

RETURN THIS PORTION WITH YOUR REMITTANCE

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE36  
ARKPORT NY 14807

Invoice Date	Invoice Number
9/10/2021	21-706310
Fiscal Year	
July 1, 2021 to June 30, 2022	

<b>PAY THIS AMOUNT WITHIN 30 DAYS</b>	<b>\$216.00</b>
---------------------------------------	-----------------

210000706310&1 091021100000216002000000000030000000000 000000216002

**I&E**  
**Exhibit 7**

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
Harrisburg, PA 17105-3265

NOTICE OF ASSESSMENT

EXPLANATION OF BILL FOR GENERAL ASSESSMENT  
FOR FISCAL YEAR JULY 1, 2021 TO JUNE 30, 2022

In Section 510 of the Public Utility Code, 66 Pa. C.S. §510, the Pennsylvania General Assembly has authorized the Pennsylvania Public Utility Commission to assess all public utilities a percentage of their gross intrastate operating revenues for the preceding calendar year. Under Section 510, each public utility is required, on or before March 31 of each year to file with the Commission a statement of the utility's gross intrastate operating revenues for the preceding calendar year. After receiving the utilities' statements of gross intrastate operating revenues, the Commission sends general assessment invoices to all public utilities to advise each utility of the amount of assessment that utility owes based upon that utility's activity (gross intrastate operating revenues) in the preceding year. The enclosed assessment is for your proportionate share of the estimated expenses of the Public Utility Commission for the Commission's Fiscal Year July 1, 2021 to June 30, 2022.

**YOU ARE REQUIRED TO PAY THIS BILL WITHIN THIRTY DAYS AFTER YOU RECEIVE IT.** Objections to the assessment must be made in writing within 15 days and shall set out in detail the grounds upon which you regard the assessment to be excessive, erroneous, unlawful, or invalid. Objections may be filed only by the person, partnership, or corporation assessed. Filing an objection, however, does not eliminate your obligation to pay the assessment while your objection is being considered. **FAILURE TO PAY THE ASSESSMENT WHEN PAYMENT IS DUE WILL SUBJECT YOU TO PENALTIES.**

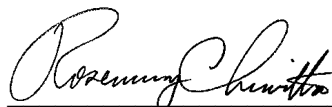
Be sure that your personal check or money order is written out in the same amount as your assessment invoice, and make your remittance payable to, "Commonwealth of PA". **DO NOT SEND CASH.** If you have reason to correspond with the Commission regarding your assessment invoice, please refer to your invoice number. A receipt for payment will not be issued. Please mail your payment with the return portion of your invoice in the enclosed self-addressed envelope. Please note that rounding of your assessment to the nearest dollar has occurred. Your assessment for the PUC, including the OCA, OSBA and the DPC where applicable, has been combined into one invoice; one payment for all applicable assessments is acceptable. **A TWENTY DOLLAR (\$20.00) FEE WILL BE CHARGED FOR ALL DISHONORED OR BAD CHECKS REMITTED AS PAYMENT OF ASSESSMENTS.**

Your assessment has been computed by multiplying your gross intrastate operating revenues for the calendar year 2020 by the assessment factor for the public utility group of which you are a member. Your gross intrastate operating revenues for 2020 have been taken from the Assessment Reports Form GAO-20, AR-20-RR or AR-20-MC which you have filed with the Commission, or have been estimated by the Commission if you failed to file a timely report. Gross operating revenue reported to the Commission on the Assessment Reports may have been revised by the Commission to accurately reflect assessable revenue. The assessment factors for the various public utility groups are set forth in Schedule B enclosed herewith.

The approved estimate of expenditures of the Commission for the period July 1, 2021 to June 30, 2022 payable as a General Assessment by the public utilities which the Commission regulates has been determined as follows:

Approved budget for the Commission for the Fiscal Year July 1, 2021 through June 30, 2022:	\$76,418,074
Deduct:	
Pipeline Operators per Act 127 of 2011	531,547
Various Fees Collected in FY 2020-21	232,829
UGWF Administration per Act 13 of 2012	528,950
Prior Year cost saving	8,674,989
UCR Collection for CY 2020	4,945,527
EGS/NGS fees per Act 155 of 2014	<u>3,784,070</u>
<b>Total Deductions</b>	<b><u>\$18,697,912</u></b>
<b>General Assessment Total Amount</b>	<b>\$57,720,162</b>

The way in which the total Public Utility Commission assessment of \$57,720,162 has been allocated to the various groups of public utilities as shown on Schedules A and B enclosed herewith.




---

Rosemary Chiavetta  
Secretary  
PA Public Utility Commission

**MAKE INQUIRIES TO:**

Pennsylvania Public Utility Commission  
Bureau of Administrative Services/Assess  
P.O. Box 3265  
Harrisburg, PA 17105-3265

**FOR CERTIFIED AND EXPRESS CARRIERS**

Pennsylvania Public Utility Commission  
Bureau of Administrative Services/Assess  
400 North Street  
Harrisburg, PA 17120

**CONTACT INFORMATION:**

Assessment Section - Telephone 717-265-7548 or e-mail [pucassessments@pa.gov](mailto:pucassessments@pa.gov)

Allocation of Expenses based on percent of prior year Public Utility Group workload  
Budget Fiscal Year 2021-22

**SCHEDULE A**

<b>Group</b>	<b>Total Expenditures per Utility Group Calendar Year 2020</b>	<b>Percentage Distribution</b>	<b>Estimated Expenditures Fiscal Year 2021- 2022 by Utility Group</b>
Electric	\$25,794,157	45.0557%	\$26,006,223
Gas	\$12,138,076	21.2020%	\$12,237,829
Pipeline	\$356,757	0.6232%	\$359,712
Steam Heat	\$380,744	0.6651%	\$383,897
Tele./Tele.	\$5,695,370	9.9483%	\$5,742,175
Water/Sewer	\$7,191,030	12.5608%	\$7,250,114
Transportation - Passenger	\$1,913,566	3.3425%	\$1,929,296
Transportation - Property	\$1,172,663	2.0483%	\$1,182,282
Transportation - Rail	\$2,607,212	4.5541%	\$2,628,634
<b>Total</b>	<b>\$57,249,575</b>	<b>100.00%</b>	<b>\$57,720,162</b>

**SCHEDULE B**

<b>Group</b>	<b>Estimated Expenditures Fiscal Year 2021- 2022 by Utility Group</b>	<b>Gross intrastate revenues by utility group Calendar Year 2020</b>	<b>General Assessment Factor by Utility Group (Col. (a) / by Col. (b))</b>
	(a)	(b)	(c)
Electric	\$26,006,223	\$7,835,422,705	0.003319058075
Gas	\$12,237,829	\$3,347,192,213	0.003656147667
Pipeline	\$359,712	\$19,932,836	0.018046202758
Steam Heat	\$383,897	\$96,724,209	0.003968985676
Tele./Tele.	\$5,742,175	\$1,784,569,332	0.003217681094
Water/Sewer	\$7,250,114	\$1,532,122,563	0.004732071817
Transportation - Passenger	\$1,929,296	\$445,015,811	0.004335342593
Transportation - Property	\$1,182,282	\$773,316,668	0.001528845878
Transportation - Rail	\$2,628,634	\$121,941,805	0.021556462937
<b>Total</b>	<b>\$57,720,162</b>	<b>\$15,956,238,142</b>	<b>0.003617404145</b>

**SUPPLEMENTAL SCHEDULE  
FEDERAL SHORTFALL RELATED TO GAS SAFETY APPLICABLE TO GAS PUBLIC UTILITIES  
ONLY**

	<b>Estimated Commission Federal Shortfall for Calendar Year 2020 and 6 Months of Calendar Year 2021</b>	<b>Actual Commission Federal Shortfall for Calendar Year 2020 and 6 Months of Calendar Year 2021</b>	<b>Estimated Commission Federal Shortfall for Calendar Year 2021 and 6 Months of Calendar Year 2022</b>
Gas	\$0	\$0	\$0
	<b>Net Estimated Commission Federal Shortfall for Fiscal Year 2021-22 Assessment</b>	<b>Reported Revenue for Gas Utility Group for Calendar Year 2020</b>	<b>Supplemental add- on Factor</b>
Gas	\$0	\$3,347,192,213	0.000000000000

**I&E**  
**Exhibit 8**

# USPS Tracking®

[FAQs >](#)

Tracking Number:

[Remove X](#)

## 9171969009350251809879

[Copy](#)     [Add to Informed Delivery](#)

[\(https://informedelivery.usps.com/\)](https://informedelivery.usps.com/)

### Latest Update

Your item has been delivered to the original sender at 7:45 am on October 1, 2021 in HARRISBURG, PA 17105.

[Feedback](#)

#### Delivered

**Delivered, To Original Sender**

HARRISBURG, PA 17105  
October 1, 2021, 7:45 am

#### Available for Pickup

HARRISBURG, PA 17107  
October 1, 2021, 7:37 am

#### Arrived at Post Office

HARRISBURG, PA 17107  
October 1, 2021, 4:27 am

#### In Transit to Next Facility

September 30, 2021

#### Departed USPS Regional Origin Facility

HARRISBURG PA DISTRIBUTION CENTER  
September 29, 2021, 1:18 pm

#### Arrived at USPS Regional Origin Facility

HARRISBURG PA DISTRIBUTION CENTER  
September 29, 2021, 10:00 am

Feedback

- **Departed USPS Regional Destination Facility**  
ROCHESTER NY DISTRIBUTION CENTER  
September 28, 2021, 6:46 pm
- **Return to Sender**  
ARKPORT, NY 14807  
September 27, 2021, 9:20 am
- **Refused**  
ARKPORT, NY 14807  
September 27, 2021, 9:20 am
- **Available for Pickup**  
ARKPORT, NY 14807  
September 27, 2021, 9:17 am
- **Out for Delivery**  
ARKPORT, NY 14807  
September 11, 2021, 9:16 am
- **Arrived at Post Office**  
ARKPORT, NY 14807  
September 11, 2021, 9:05 am
- **Arrived at USPS Regional Destination Facility**  
ROCHESTER NY DISTRIBUTION CENTER  
September 10, 2021, 1:06 pm
- **Arrived at USPS Regional Origin Facility**  
HARRISBURG PA DISTRIBUTION CENTER  
September 9, 2021, 7:33 pm
- **Accepted at USPS Origin Facility**  
HARRISBURG, PA 17120  
September 9, 2021, 6:18 pm
- **Pre-Shipment Info Sent to USPS, USPS Awaiting Item**  
September 9, 2021
- **Hide Tracking History**

**I&E**  
**Exhibit 9**



**COMMONWEALTH OF PENNSYLVANIA**  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET  
HARRISBURG, PENNSYLVANIA 17120

IN REPLY PLEASE REFER  
TO OUR FILE NUMBER

**\*NOTICE\***

**New for 2022 – PA PUC Assessments Revenue Reporting Portal**

The PA Public Utility Commission has recently launched the Assessments Revenue Reporting Portal. Utilities may now file their annual Assessment Reports electronically. To do so, please visit <https://portal.puc.pa.gov/>. The following information will be needed to login to the website.

Utility Code:  
Access Code:

Once you have submitted your Assessment Report, you may save and print a copy for your records.

**The use of the Assessments Revenue Reporting Portal is strongly encouraged.** Filing through the portal is the most efficient method. The PA PUC will receive your filing immediately, and you will have confirmation of your submission. Additionally, when filing your assessment report through the portal, notarization is not required. Paper forms will continue to be accepted. **Please file using only ONE method- through the portal OR on the paper form. Do NOT file both ways.**

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COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
400 NORTH STREET, HARRISBURG, PA 17120

IN REPLY PLEASE  
REFER TO OUR FILE

Dear

The Pennsylvania Public Utility Code, 66 Pa. C.S. §510(b), requires every public utility to file with the Commission a statement, under oath, showing its gross intrastate (jurisdictional) operating revenues for the preceding calendar year. Enclosed is the Assessment Report (Form AR-21-MC) that all Motor Carriers, including those registered with the Federal UCR program, must file with the Commission on or before March 31, 2022.

Please read the enclosed instructions carefully before preparing your Assessment Report. The completed original report with signature and notarization must be filed with the Commission's Assessment Section no later than March 31, 2022. No extensions will be granted.

Any questions regarding Assessment Reports should be directed to the Assessment Section at (717) 265-7548; or via email at [PUCASSESSMENTS@pa.gov](mailto:PUCASSESSMENTS@pa.gov).

Sincerely,

A handwritten signature in black ink that reads "Rosemary Chiavetta". The signature is written in a cursive, flowing style.

Rosemary Chiavetta  
Secretary

Enclosures: Form AR-21-MC

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## PENNSYLVANIA PUBLIC UTILITY COMMISSION

### **INSTRUCTIONS FOR PREPARING 2021 ASSESSMENT REPORT FOR MOTOR CARRIERS**

You have received this form because you currently hold a certificate of public convenience with the PA PUC. As such you must report your gross intrastate operating revenue for calendar year 2021 on the 2021 Assessment Report, which is enclosed. You are required to sign, notarize, and file the 2021 Assessment Report with the Commission on or before **March 31, 2022**. If you prefer to file a hard copy of this report, please choose a guaranteed method of delivery to ensure timely receipt. **THE PUC WILL NOT GRANT AN EXTENSION OF TIME TO FILE THIS REPORT.** Failure to file this form could result in fines of up to \$1,000 for each day it is late (66 Pa. C.S. 3301), revocation of your certificate of public convenience, and PennDOT will place registration suspensions on your vehicles.

**WHO MUST FILE:** All common carriers certificated by the Commission. Common Carriers include property carriers, passenger carriers, and household goods carriers. **Carriers subject to the UCR Act must file this report**, even though qualified UCR operations of carriers registered under the UCR Act will not be assessed if this report is filed timely.

**WHAT YOU MUST FILE:** The enclosed Assessment Report (AR-21-MC) must be completed in its entirety to be accepted as final. This includes signatures and notarization along with receipt in the Commission's Assessment Section on or before March 31, 2022. **This report form may not be modified.** Please be sure to include a valid telephone number for the contact person to answer questions, if necessary. The following are addresses for the Assessment Section of the PUC.

#### **REGULAR/EXPRESS MAIL**

Public Utility Commission  
Fiscal Office  
400 North Street  
Harrisburg, PA 17120

#### **ASSESSMENT INQUIRIES**

Assessment Hotline: 717-265-7548  
Email: PUCAssessments@pa.gov  
**UCR INQUIRIES**  
BI&E Main Line: (717) 783-3846  
Website: www.ucr.gov

**NAME AND ADDRESS:** Verify that the preprinted name and address are correct. If this is not correct, cross out and print the correct information. Please provide an email address and the current telephone number.

### **LINE-BY-LINE INSTRUCTIONS**

#### Line 1. *Pennsylvania Gross Intrastate Operating Revenue.*

Report Pennsylvania gross intrastate operating revenue here. This is earned gross revenue from your public utility operations within the boundaries of the Commonwealth of Pennsylvania, without deduction of expenses of any kind. Fuel surcharges must be included as revenue. Revenue must be reported in the appropriate column. For example, if all your revenue was from the transportation of property, you must report all revenue in the 'property' column. If your revenue was from 2 or more types of common carriage, you must report the amount of revenue received from each type, in the appropriate column.

#### Line 2. *Pennsylvania Exempt Intrastate Revenue Total.*

Report Exempt Intrastate Revenue here. You must itemize any exemptions on the "Exempt Intrastate Revenue" lines on the Report. Please see the list of allowable exemptions on page 2 of the instructions. **You must include the exemption number and amount. Attach additional sheets of paper as needed for explanation of exemptions. The PUC may disallow your exemptions if you fail to itemize.** Total all exempt revenue on Line 2.

Revenue earned from service under the jurisdiction of the Philadelphia Parking Authority must be reported as exempt revenue, you may use number 11 from the exemption list.

#### Line 3. *Pennsylvania Net Intrastate Operating Revenue.*

Subtract Line 2 from Line 1. This revenue is used to calculate your assessment. If this report is not filed or filed late, your net revenue will be estimated. This estimate is binding.

### **UCR REGISTRATION INFORMATION**

You must check the appropriate box. If you are an interstate carrier and required to register under the UCR Program, you must check 'yes' and provide your U.S. DOT number. Further information on the UCR Program may be found at WWW.UCR.GOV.

### **AUTHORIZATION FOR ABANDONMENT**

If you are no longer in business or desire to cease operations, you may direct the Commission to cancel your certificate of public convenience. You must state the reason for the abandonment and sign in the assigned block on the back of the report.

## EXEMPT REVENUE

The following is a description of Exempt Revenue you may deduct on Line 2, as provided by 66 Pa.C.S. §102:

1. **LEASE REVENUE** – You may deduct lease revenue. Lease revenue is revenue a certificated carrier (lessor) receives when it leases its equipment to another certificated carrier (lessee). The lessor carrier cannot retain any responsibility for the maintenance, supervision or control of the vehicle. The leasing arrangement must be in compliance with the Commission’s leasing regulations, which are found at 52 Pa. Code sections 29.101 (passenger carrier leasing) and 31.32 (property and household carrier leasing). **The lessor must include in its assessment report the name(s) of the carrier(s) and the certificate of public convenience number to which it leases its equipment and the amount of revenue received from the carrier.** Lessees cannot use rental/lease fees paid to the lessor to offset operating revenue and must report, for assessment purposes, all revenues earned under their certificate of public convenience.
2. **TRANSPORTATION OF K-12 SCHOOL CHILDREN** – You may deduct revenue you received from a kindergarten through 12<sup>th</sup> grade public, private or parochial school for the transportation of school children for school purposes or school sponsored extra-curricular activities, under a bona fide transportation contract with a public, private, or parochial school.
3. **TRANSPORTATION OF AGRICULTURE PRODUCTS** – Farm owners and operators may deduct revenue from transporting their own agricultural products from, or farm supplies to, their farm. In addition, independent contractors, or cooperative agricultural associations, transporting agricultural products or farm supplies exclusively for one or more farm owner(s) or operator(s), may deduct that revenue.
4. **WASTE, EXCAVATED, AND ROAD CONSTRUCTION MATERIALS** – You may deduct revenue you received from transporting ashes (including anti-skid, flue dust and fly ash), rubbish and waste for disposal. The PUC also allows the exemption/deduction of any revenue you received for transporting excavated materials in dump trucks. The purpose of the excavation is to create a hole or cavity. Removing material of value for use elsewhere is NOT exempt. The PUC also allows the exemption/deduction of any revenue you received for transporting road construction materials if a dump truck is used, and the materials hauled are used solely in a private or public road project including driveways (but NOT parking lots), and the materials are hauled directly to road construction sites or stockpiles. Carriers may **not** claim a revenue exemption for waste, excavated and road construction material when those materials were transported in five-axle tractor-trailers.
5. **TRANSPORTATION OF YOUR OWN PROPERTY**- You may deduct revenue you received from transporting your own property to yourself or to those that buy directly from you.
6. **VOTING MACHINES** – You may deduct revenue you received from transporting voting machines to and from polling places on behalf of any political subdivision of the Commonwealth for use in any primary, general, or special election.
7. **TRANSPORTATION OF WOOD PRODUCTS FROM WOODLOTS**- You may deduct revenue you received from transporting pulpwood, chemical wood, saw logs, wood chips, or veneer logs solely from woodlots. A woodlot is an area devoted to growing forest trees. Any revenue received from transportation performed from sources other than the woodlots is subject to assessment and may not be deducted.
8. **TRANSPORTATION OF WRECKED OR DISABLED VEHICLES** – You may deduct revenue you received from the transportation of wrecked or disabled motor vehicles from an accident, breakdown, or a place the vehicle was transported after the accident or breakdown, if it is subsequently transported for repair. Revenue from transportation of wrecked or disabled vehicles to or from auctions is NOT exempt.
9. **TRANSPORTATION OF STOLEN OR REPOSSESSED VEHICLES**- You may deduct revenue you received from the transportation of stolen or repossessed vehicles. Revenue from transportation of stolen or repossessed vehicles to or from auctions is NOT exempt.
10. **TRANSPORTATION OF INJURED, ILL OR DECEASED PERSONS** – You may deduct revenue you received from transporting an injured or ill person to a health care facility, when that transportation is performed in an ambulance or a medically equipped vehicle containing portable oxygen. A medical attendant must be in the vehicle in addition to the driver. A health care facility includes a hospital, clinic, dialysis center, nursing care institution, home health agency, infirmary, or behavioral service provider. A doctor’s office is **NOT** a health care facility. In addition, you may deduct revenue you received from transporting deceased persons.
11. **TRANSPORTATION UNDER CONTRACT WITH MUNICIPAL CORPORATIONS** - You may deduct revenue you received from passenger transportation performed under contract with a municipal corporation, provided that: (1) the municipal corporation actively regulates your rates, routes and services; and (2) the transportation is limited to municipal residents. This deduction does not apply to contracts with state agencies.

COMMONWEALTH OF PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
400 NORTH STREET  
HARRISBURG, PA 17120

**2021 ASSESSMENT REPORT-MOTOR CARRIERS**

This Report **MUST BE FILED** no later than **March 31, 2022**. Failure to file may result in fines up to \$1,000 for each day a violation continues (66 Pa. C.S. § 3301), revocation of your Certificate of Public Convenience, and PennDOT will place registration suspensions on your vehicles.

TRADE OR CORPORATE NAME OF UTILITY:	UTILITY CODE:
CONTACT NAME:	EMAIL:
ADDRESS 1:	ADDRESS 2 (Floor, Suite, etc.):
CITY, STATE, ZIP:	PHONE NO.:

**OPERATING REVENUE FOR CALENDAR YEAR 2021 (January 1, 2021-December 31, 2021)**

(Enter **WHOLE** dollars only)

	PROPERTY	HOUSEHOLD GOODS	PASSENGER	
			Group and Party 16 or More	All Other Passenger Transportation
<b>1. PA INTRASTATE OPERATING REVENUE</b>				
<b>PA EXEMPT INTRASTATE REVENUE</b>				
Exemption #				
Exemption #				
Exemption #				
Exemption #				
<b>2. TOTAL Exempt Revenue</b>				
<b>3. PA NET INTRASTATE OPERATING REVENUE ( Subtract Line 2 from Line 1)</b>				

**UCR REGISTRATION INFORMATION**

2022 UCR Registered  YES  NO US DOT #: \_\_\_\_\_

**Internal Use Only**

A-1  C-1  AB-1

### AUTHORIZATION FOR RELEASE OF STATE TAX RECORDS

In accordance with Sections 505 and 506 of the Public Utility Code, to verify the accuracy of financial information supplied to the Public Utility Commission, I hereby authorize the Pennsylvania Department of Revenue to release to the Public Utility Commission, any tax records filed or compiled regarding the below-listed utility and/or individual.

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Signature

Date \_\_\_\_\_

\_\_\_\_\_  
Name (Printed)

\_\_\_\_\_  
Title

### AUTHORIZATION FOR ABANDONMENT

Approval of the abandonment is necessary or proper for the following reasons:

Reason(s) \_\_\_\_\_

\_\_\_\_\_  
Signature

### AFFIDAVIT

I affirm that the information reported herein is complete, true, and correct.

\_\_\_\_\_  
(Signature of Individual or Officer)

\_\_\_\_\_  
(Date)

### NOTARIZATION

(Required)

Subscribed and sworn to before me this

\_\_\_\_\_ day of \_\_\_\_\_ 2022

\_\_\_\_\_  
NOTARY SIGNATURE

\_\_\_\_\_  
OFFICIAL TITLE

OFFICIAL SEAL

(Date My Commission Expires)

READABLE (PRINT OR TYPE) NAME OF INDIVIDUAL or OFFICER ABOVE:

TELEPHONE NO.:

Office (\_\_\_\_)

Other (\_\_\_\_)

Other (\_\_\_\_)

**I&E**  
**Exhibit 10**



Commonwealth of Pennsylvania  
**Pennsylvania Public Utility Commission**

Harrisburg, PA 17105-3265

**GENERAL ASSESSMENTS INVOICE**

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE36  
ARKPORT NY 14807

Invoice Date	Invoice Number
9/9/2022	22-706310
Fiscal Year	
July 1, 2022 to June 30, 2023	

- Read carefully Notice of Assessment
- Use return envelope provided
- Make check payable to:  
**Commonwealth of Pennsylvania**
- If you desire confirmation of receipt, use a mailing service that provides one, such as USPS-Return Receipt, or overnight delivery with receipt confirmation

PUC Assessment	\$133.00
<b>PAY THIS AMOUNT WITHIN 30 DAYS</b>	<b>\$133.00</b>

TO RECEIVE PROPER CREDIT FOR YOUR PAYMENT,  
REMOVE THE BOTTOM PART OF THIS INVOICE AT  
THE PERFORATION AND RETURN WITH YOUR  
REMITTANCE

**MAIL PAYMENT TO:**  
PA DOR  
PO BOX 61380  
HARRISBURG, PA 17106-1380

FOLD AND CUT HERE

RETURN THIS PORTION WITH YOUR REMITTANCE

SILK ROAD TRANSPORT INC  
TODD S HARATY  
8781 STATE RTE36  
ARKPORT NY 14807

Invoice Date	Invoice Number
9/9/2022	22-706310
Fiscal Year	
July 1, 2022 to June 30, 2023	

<b>PAY THIS AMOUNT WITHIN 30 DAYS</b>	<b>\$133.00</b>
---------------------------------------	-----------------

22000070631061 090222100000133002000000000030000000000 000000133009

**I&E**

**Exhibit 11**

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
Harrisburg, PA 17120

NOTICE OF ASSESSMENT

EXPLANATION OF BILL FOR GENERAL ASSESSMENT  
FOR FISCAL YEAR JULY 1, 2022 TO JUNE 30, 2023

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**YOU ARE REQUIRED TO PAY THIS BILL WITHIN THIRTY DAYS AFTER YOU RECEIVE IT.** Objections to the assessment must be made in writing within 15 days and shall set out in detail the grounds upon which you regard the assessment to be excessive, erroneous, unlawful, or invalid. Objections may be filed only by the person, partnership, or corporation assessed. Filing an objection, however, does not eliminate your obligation to pay the assessment while your objection is being considered. **FAILURE TO PAY THE ASSESSMENT WHEN PAYMENT IS DUE WILL SUBJECT YOU TO PENALTIES.**

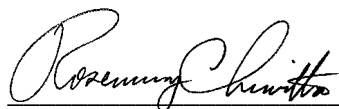
Be sure that your personal check or money order is written out in the same amount as your assessment invoice, and make your remittance payable to, "Commonwealth of PA". **DO NOT SEND CASH.** If you have reason to correspond with the Commission regarding your assessment invoice, please refer to your invoice number. A receipt for payment will not be issued. Please mail your payment with the return portion of your invoice in the enclosed self-addressed envelope. Please note that rounding of your assessment to the nearest dollar has occurred. Your assessment for the PUC, including the OCA, OSBA and the DPC where applicable, has been combined into one invoice; one payment for all applicable assessments is acceptable. **A TWENTY DOLLAR (\$20.00) FEE WILL BE CHARGED FOR ALL DISHONORED OR BAD CHECKS REMITTED AS PAYMENT OF ASSESSMENTS.**

Your assessment has been computed by multiplying your gross intrastate operating revenues for the calendar year 2021 by the assessment factor for the public utility group of which you are a member. Your gross intrastate operating revenues for 2021 have been taken from the Assessment Reports Form GAO-21, AR-21-RR or AR-21-MC which you have filed with the Commission, or have been estimated by the Commission if you failed to file a timely report. Gross operating revenue reported to the Commission on the Assessment Reports may have been revised by the Commission to accurately reflect assessable revenue. The assessment factors for the various public utility groups are set forth in Schedule B enclosed herewith.

The approved estimate of expenditures of the Commission for the period July 1, 2022 to June 30, 2023 payable as a General Assessment by the public utilities which the Commission regulates has been determined as follows:

Approved budget for the Commission for the Fiscal Year July 1, 2022 through June 30, 2023:	\$77,577,478
Deduct:	
Pipeline Operators per Act 127 of 2011	622,365
Various Fees Collected in FY 2021-22	250,811
UGWF Administration per Act 13 of 2012	544,050
Prior Year cost saving	12,400,000
UCR Collection for CY 2021	4,945,527
EGS/NGS fees per Act 155 of 2014	<u>4,535,412</u>
Total Deductions	<u>\$23,298,165</u>
General Assessment Total Amount	\$54,279,313

The way in which the total Public Utility Commission assessment of \$54,279,313 has been allocated to the various groups of public utilities as shown on Schedules A and B enclosed herewith.



\_\_\_\_\_  
Rosemary Chiavetta  
Secretary  
PA Public Utility Commission

**MAKE INQUIRIES TO:**

Pennsylvania Public Utility Commission  
Bureau of Administrative Services/Assessments  
400 North Street  
Harrisburg, PA 17120

**CONTACT INFORMATION:**

Assessment Section - Telephone 717-265-7548 or e-mail [pucassessments@pa.gov](mailto:pucassessments@pa.gov)

Allocation of Expenses based on percent of prior year Public Utility Group workload  
Budget Fiscal Year 2022-23

**SCHEDULE A**

<b>Group</b>	<b>Total Expenditures per Utility Group Calendar Year 2021</b>	<b>Percentage Distribution</b>	<b>Estimated Expenditures Fiscal Year 2022- 2023 by Utility Group</b>
Electric	\$24,685,221	44.9541%	\$24,400,778
Gas	\$11,023,252	20.0744%	\$10,896,246
Pipeline	\$555,529	1.0117%	\$549,144
Steam Heat	\$314,777	0.5732%	\$311,129
Tele./Tele.	\$5,401,812	9.8372%	\$5,339,565
Water/Sewer	\$7,791,606	14.1892%	\$7,701,800
Transportation - Passenger	\$1,876,991	3.4182%	\$1,855,375
Transportation - Property	\$839,531	1.5289%	\$829,876
Transportation - Rail	\$2,423,329	4.4131%	\$2,395,400
<b>Total</b>	<b>\$54,912,048</b>	<b>100.00%</b>	<b>\$54,279,313</b>

**SCHEDULE B**

<b>Group</b>	<b>Estimated Expenditures Fiscal Year 2022- 2023 by Utility Group</b>	<b>Gross intrastate revenues by utility group Calendar Year 2021</b>	<b>General Assessment Factor by Utility Group (Col. (a) / by Col. (b))</b>
	(a)	(b)	(c)
Electric	\$24,400,778	\$8,252,725,883	0.002956693139
Gas	\$10,896,246	\$3,745,435,165	0.002909206947
Pipeline	\$549,144	\$17,561,016	0.031270628078
Steam Heat	\$311,129	\$113,785,487	0.002734346956
Tele./Tele.	\$5,339,565	\$1,566,346,810	0.003408928959
Water/Sewer	\$7,701,800	\$1,604,283,092	0.004800773653
Transportation - Passenger	\$1,855,375	\$555,189,915	0.003341874465
Transportation - Property	\$829,876	\$970,425,494	0.000855167146
Transportation - Rail	\$2,395,400	\$124,268,691	0.019275973543
<b>Total</b>	<b>\$54,279,313</b>	<b>\$16,950,021,553</b>	<b>0.003202315279</b>

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**I&E**  
**Exhibit 12**

# My Online Services

Systems

Reports

E-Services

Supplies

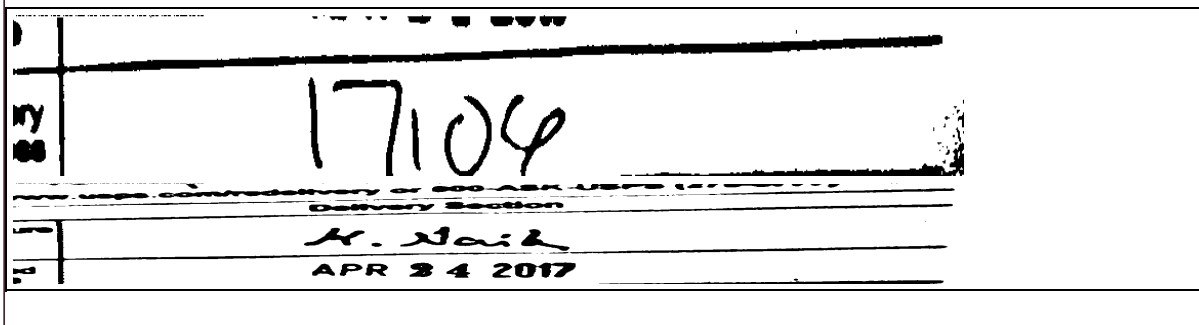
Home > [E-Services](#) > Status History

## Status History ?

◆ **Tracking Number Information**

<b>Meter:</b>	12604116	<b>Mailing Date:</b>	09/07/22 02:48 PM
<b>Tracking Number:</b>	9171969009350277151532	<b>Sender:</b>	
<b>Current Status:</b>	OK : Delivered	<b>Recipient:</b>	
<b>Class of Mail</b>	FC	<b>Zip Code:</b>	14807
<b>Service:</b>	ERR	<b>City:</b>	ARKPORT
<b>Value</b>	\$0.570	<b>State:</b>	NY

[Proof of delivery](#)



◆ **Status Details**

▼ Status Date	Status
Thu, 09/15/22, 07:46:00 AM	OK : Delivered
Thu, 09/15/22, 07:18:00 AM	Arrival at Tracking Pick-up point
Thu, 09/15/22, 05:45:00 AM	Arrival at Unit
Wed, 09/14/22, 01:43:00 PM	Processed (processing scan)
Wed, 09/14/22, 01:06:00 PM	Processed (processing scan)
Mon, 09/12/22, 07:29:00 PM	Processed (processing scan)
Mon, 09/12/22, 09:02:00 AM	Processed (processing scan)
Sat, 09/10/22, 08:31:00 AM	Not delivered: Refused
Sat, 09/10/22, 08:30:00 AM	Arrival at Unit
Fri, 09/09/22, 10:31:00 PM	Processed (processing scan)
Fri, 09/09/22, 03:43:00 PM	Processed (processing scan)
Fri, 09/09/22, 11:14:00 AM	Processed (processing scan)
Thu, 09/08/22, 07:31:00 PM	Processed (processing scan)

[1](#) [2](#) [next >>](#)

Note: Delivery status updates are processed throughout the day and posted upon receipt from the Postal Service.

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

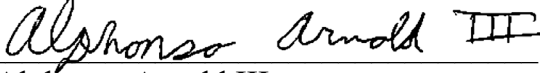
Pennsylvania Public Utility Commission	:	
Bureau of Investigation and Enforcement	:	
	:	
v.	:	Docket No. C-2022-3036995
	:	
Silk Road Transport, Inc.	:	

**CERTIFICATE OF SERVICE**

I hereby certify that I am serving the foregoing **Amended Formal Complaint** in the manner and upon the persons listed below, in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by party):

**Served via Certified Mail**

Silk Road Transport, Inc.  
Attn: Todd S Haraty  
8781 State Rte 36  
Arkport NY 14807

  
\_\_\_\_\_  
Alphonso Arnold III  
Prosecutor  
PA Attorney ID No. 318487

Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 787-3836  
[alphonarno@pa.gov](mailto:alphonarno@pa.gov)

Dated: February 24, 2023