

LOAD SERVING ENTITY COMPLIANCE REQUIREMENT FORM

On an annual basis, EGSs providing retail electric supply service (i.e. take title to electricity) must file with the Commission this Load Serving Entity (LSE) Compliance Requirement Form. EGSs are directed to attach to this form documentation which provides the following:

- Proof of registration as a PJM LSE, or
- Proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

Examples of sufficient documentation to satisfy this compliance requirement include, but may not be limited to:

- A screen print showing that the EGS is listed as a Party to an effective version of the PJM Reliability Assurance Agreement (RAA), located at Schedule 17 of the RAA.
- Correspondence, such as an e-mail screen print or regular mail scan, from PJM verifying membership as an LSE.
- Documentation of an effective contract between the EGS and another party that serves as the LSE on behalf of the EGS. In such a case, the documentation must also include proof that the party fulfilling the LSE role is indeed registered with the PJM as an LSE

EGSs may mark all or portions of their filing confidential.

EGSs which do not provide retail electric supply service, such as brokers, are not required to file an LSE Compliance Requirement Form.

The EGS provides retail electric supply service and has attached compliance with the LSE requirement hereto.

Description of attachment (provide a brief description of the attachment below):

- Correspondence from PJM in email as proof of membership.
- RAA Service Agreement

ATTACHMENT F-1

**Form of Umbrella Service Agreement for
Network Integration Transmission Service
Under State Required Retail Access Programs**

- 1.0 This Service Agreement dated as of 2/28/2022, including the Specifications For Network Integration Transmission Service Under State Required Retail Access Programs attached hereto and incorporated herein, is entered into, by and between PJM Interconnection, L.L.C. ("Transmission Provider") as administrator of the Tariff, PJM Settlement Inc. ("Counterparty") as the counterparty, and EcoPlus Power, LLC, a transmission customer participating in a state required retail access program and/or a program providing for the contractual provision of default service or provider of last resort service ("Network Customer").
- 2.0 The Network Customer has been determined by the Transmission Provider to have a valid request for Network Integration Transmission Service under the Tariff and to have satisfied the conditions for service imposed by the Tariff to the extent necessary to obtain service with respect to its participation in a state required retail access program.
- 3.0 Service under this Service Agreement shall commence on March 1, 2022, and shall terminate on such date as mutually agreed upon by the parties, unless state law or regulations specify a limited period for service or unless earlier terminated for default under Section 7.3 of the Tariff.
- 4.0 The Transmission Provider agrees to provide, and the Network Customer agrees to take, Network Integration Transmission Service in accordance with the Tariff, including the Operating Agreement of the PJM Interconnection, L.L.C. ("Operating Agreement") (which is the Network Operating Agreement under the Tariff and is incorporated herein by reference) and this Service Agreement, as they may be amended from time to time.
- 5.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below.

Transmission Provider (on behalf of Transmission Provider and Counterparty)

PJM Interconnection, L.L.C.
2750 Monroe Blvd.
Audubon, PA 19403

Notice and Declaration(s) of Agency

In accordance with the Reliability Assurance Agreement Among Load Serving Entities in the PJM Region ("RAA"), Schedule 1, EcoPlus Power, LLC ("RAA Party") provides notice ("Notice") to PJM Interconnection, L.L.C. ("PJM").

WHEREAS, "Load Serving Entity" or "LSE" is defined in the RAA as "any entity (or the duly designated agent of such an entity), including a load aggregator or power marketer, (i) serving end-users within the PJM Region, and (ii) that has been granted the authority or has an obligation pursuant to state or local law, regulation or franchise to sell electric energy to end-users located within the PJM Region. Load Serving Entity shall include any end-use customer that qualifies under state rules or a utility retail tariff to manage directly its own supply of electric power and energy and use of transmission and ancillary services.

WHEREAS, Schedule 1 of the RAA requires an entity that is or will become a Load Serving Entity within the PJM Region and thus a Party to the RAA to submit a notice to PJM together with (i) its representation that it has satisfied or will (prior to the date the RAA is to become effective as to that entity) satisfy the requirements to become a Party, (ii) all data required to coordinate planning and operations within the PJM Region as applicable, in a format defined in the PJM Manuals, and (iii) a deposit in an amount to be specified that will be applied toward the costs of the required analysis.

WHEREAS, in addition to providing such notice, the purpose of a declaration of agency, if applicable, is to identify for PJM whether the basis of the RAA Party's qualification as an LSE is based on an agency relationship with a third party to ensure that PJM has all the appropriate documentation to validate that the RAA Party has the authority and knowingly takes on all the RAA responsibilities for its principals

WHEREAS, this Notice recounts all of the obligations imposed by the RAA to ensure a full understanding that those responsibilities apply to the RAA Party.

NOW THEREFORE, the RAA Party provides to PJM the following Notice and, if applicable, declaration of agency:

- 1) RAA Party has satisfied or will satisfy the requirements to become a party to the RAA prior to the date the RAA is to become effective as to the RAA Party.
- 2) Pursuant to RAA, Article 4, RAA Party (i) is a PJM Member and is an LSE as defined in the Operating Agreement and RAA, (ii) complies with the process and data requirements set forth in RAA, Schedule 1, and (iii) meets the standards for interconnection set forth in RAA, Schedule 2.
- 3) As applicable, RAA Party will provide all data required to coordinate planning and operations within the PJM Region in a format defined in the PJM Manuals.

From: Souder, Michelle
Sent: Thursday, February 3, 2022 11:18 AM
To: Travis Tangredi; Sumit Takkar
Cc: Membership Forms Request
Subject: Membership Approval: EcoPlus Power, LLC

Hi Travis and Sumit,
Welcome to PJM!

Your memberships have been approved and will be announced at the Members Committee ("MC") meeting to be held on 2/24/2022. You are able to vote at the Members Committee ("MC") meeting to be held on 3/23/2022. I have attached original copies of your signed agreements to this email.

Those listed as Authorized Representatives and Maintenance Managers on the designation form submitted with the application have access to the Maintenance feature in the Membership Management Community. An Authorized Representative or Maintenance Manager should login to the tool as soon as possible to designate Company Account Managers (CAMs) and Contact Managers for your company.

Company Account Managers (CAMs): Prior to allowing a Member to utilize PJM tools, the Member must designate CAMs. Individuals designated as CAMs will have full rights to manage individual user accounts on behalf of the company in the Account Manager tool. A minimum of two CAMs must be designated per member company account.

Contact Managers: These individuals will be given access to the Contact Management feature in the Membership Management Community allowing members to manage who is representing their company for various member-level roles at PJM. It is important that those listed as Contact Managers create and assign a Billing Contact, Roster Manager, and other required contacts, for your company as soon as possible. A Contact Management Quick Guide has been attached to provide you with more information.

A Client Manager will be assigned to your companies and will be reaching out to you within the next week to welcome you and talk about PJM. You can also contact our Customer Service Center at 866-400-8980 should you have any questions in the meantime.

Feel free to visit the following page on our site for a complete list of PJM trainings:
<http://www.pjm.com/training.aspx>

Your patience with us during this process and during this time is truly appreciated. And again welcome to PJM!

Best Regards,

Michelle Souder
Sr. Member Liaison, Knowledge Management Center

M: (610) 666-4729 | C: (267) 858-8403 | Michelle.Souder@pjm.com
PJM Interconnection | 2750 Monroe Blvd. | Audubon, PA 19403