

3 Traylor Drive, West Chester, PA 19382

July 12, 2023

DATE OF DEPOSIT

Ms. Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street, Keystone Building
Harrisburg, PA 17120

JUL 1st 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

To whom it may concern:

On behalf of The O.E. Group Inc, enclosed, please find an original Application to operate as a Supplier of Electric Supply Services as a Broker in the Commonwealth of Pennsylvania. The O.E. Group Inc. will neither take title to electricity, nor will it pay bills on behalf of customers. We have also enclosed a check for \$350.00 for the initial licensing fee and a flash drive with a set of searchable PDF files which comprise the complete contents of this Application.

The O.E. Group requests that certain portions of this Application be treated as confidential. The portions of this Application which are confidential are marked as such and are enclosed in a separate envelope. The electronic versions of the confidential materials are labeled confidential in the file name.

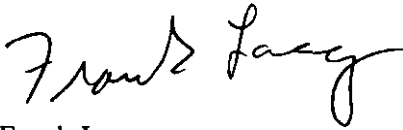
The O.E. Group respectfully requests expeditious treatment of this application. The O.E. Group has received prior approval from the Pennsylvania Public Utility Commission to provide brokering services for both gas and electricity in Pennsylvania. Through an administrative oversight, the electric license was allowed to lapse for failure to provide adequate financial surety to the Commission. As described within, The O.E. Group maintained adequate surety coverage from the time of its initial application through today. The renewal was not submitted to the Commission. The O.E. Group's gas license is still active and in good standing.

To expedite review of this Application, The O.E. Group has not included in this Application the notarized proofs of publication of this application. Arrangements have been made to have the notices published in all seven newspapers required for a state-wide Application. We are using the template provided in Appendix K to the application package as our model. We will forward those notarized statements to the Commission as soon as they are returned to us.

The O.E. Group is technically qualified to provide brokering services in the Commonwealth. The officers and staff bring strong experience in the retail electricity industry.

Thank you for your consideration of this Application.

Sincerely,

A handwritten signature in black ink that reads "Frank Lacey". The signature is written in a cursive style with a large, looped "F" and "L".

Frank Lacey
President, Electric Advisors Consulting
frank@eacpower.com
610-793-2809

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of ___O.E. Group Inc.__, d/b/a ___O.E. Group___, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) broker/Marketer engaged in the business of supplying electricity services, but not taking title to electricity, nor making payments for customers, as described in Item 4b below, to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

**The O.E Group Inc.
5314 16th Avenue, Suite 187
Brooklyn, NY 11204
Tel: 718-403-9444**

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

**Registered Agents, Inc.
1150 First Avenue, Suite 187
King Of Prussia, PA 19406
Tel: 610-456-2133**

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application and future inquiries should be addressed.

NOTE: To ensure timely receipt of regulatory information, a contact employed directly by the Applicant, and not a consultant, is preferred.

**Charles Bergman
President
5314 16th Avenue, Suite 187
Brooklyn, NY 11204
Tel: 718-403-9444
Fax: 718-972-5068
Email: cbergman@theoegroup.com**

DATE OF DEPOSIT

JUL 15 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

The Applicant is not using an attorney for this filing. The Applicant has been previously approved by the PA PUC for licensing, but through a managerial oversight, allowed its electricity broker license to expire. The Applicant has a current and valid gas broker license. The Applicant is using the services of a non-lawyer electricity markets consultant to support this application process.

**Frank Lacey
Electric Advisors Consulting, LLC
3 Traylor Drive,
West Chester, PA 19382
Tel: 610-793-2809
Email: Frank@eacpower.com**

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGs.

f.

<u>Primary</u>	<u>Secondary</u>
Charles Bergman 5314 16 th Avenue Suite 187 Brooklyn, NY 11204 Tel: 718-403-9444 Email: cbergman@theoegroup.com	Louis Weil 5314 16 th Avenue Suite 187 Brooklyn, NY 11204 Tel: 718-403-9444 Email: louisw@theoegroup.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

XXX The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

XXX The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- XXX** foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

The Foreign Corporation filing is attached as Exhibit 1.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

The O.E. Group is organized and formed in the State of New York. Organizational Documents for the firm are attached as Exhibit 2.

- Give name and address of officers.

**Charles Bergman, President
5314 16th Avenue, Suite 187
Brooklyn, NY 11204
Tel: 718-403-9444
Fax: 718-972-5068
Email: cbergman@theoegroup.com**

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

NONE

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

NONE

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- **Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.**

XXX The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- XXX** broker/marketer engaged in the business of supplying electricity services.
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

The O.E. Group provides electricity advisory services, including procurement support. The O.E. Group was a licensed electricity broker in Pennsylvania (licensed in Docket No. A-2018-2647476) until a February 2023 order in Docket No. M-2022-3030286, when its license was suspended for failure to provide the Commission with the required financial security. A copy of the Pennsylvania License is provided here as Exhibit 3. While operating under an active license, The O.E. Group assisted approximately seven (7) customers with electricity procurement services. These customers are still active customers of The O.E. Group.

Through a series of one or more administrative errors, The O.E. Group failed to communicate with the Commission that it had renewed its required surety bond. The O.E. Group did in fact renew its surety bond. Attached as Exhibit 4 to this application is a receipt from Old Republic Surety Group dated August 31, 2022, showing a renewal of the surety bond for two years, with an effective date of November 22, 2022.

The Applicant is also operating as a fully licensed broker for natural gas services and have been operating as such since 2019. That license was approved in Docket No. No. A-2018-3000443. The PA gas license is presented as Exhibit 5.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |
| <input type="checkbox"/> Penelec | <input type="checkbox"/> West Penn |
| <input type="checkbox"/> Penn Power | |

XXX Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- Residential and Small Commercial Customers in a Mixed Meter Capacity -

This customer class reflects situations in which a large commercial, industrial, and/or governmental customer account also contains features of residential and/or small commercial customers. In this instance, the residential and/or small commercial portion must be an incidental portion of the larger account. **This customer class alone does not allow marketing targeted directly to residential and/or small commercial customers.** Further information may be found in the Requirements Applicable to Mixed Meter Scenarios Secretarial Letter served March 25, 2011, at Docket No. M-2009-2082042.

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

The O.E. Group intends to begin to provide services immediately upon Commission approval of this application. The O.E. Group has active customers that were supported during the period of active licensure. The O.E Group will not actively solicit any additional customers until this application is approved.

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

NONE.

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

NONE

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable

6. PROOF OF SERVICE

***Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)***

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the ORIGINAL of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.

XXX For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such

supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Applicant requests a modification to the \$250,000 surety requirement. The Applicant is a broker/marketer that will not be taking title to electricity. Similarly, the Applicant will not be paying electricity bills on behalf of any of its customers. As noted above, the Applicant was formerly licensed as a broker in Pennsylvania, but had that license cancelled as a result of administrative oversight. While previously licensed, the Applicant was approved for the modified surety requirement from the Commission and at all times, had a \$10,000 bond in place with the PA PUC listed as the obligee on the bond. The original bond documents are attached as Exhibit 6. They are in the form of the bond attached to this application packet as Appendix F. This bond is still active. The receipt for the renewal of the bond, which was effective in November 2022, is attached as Exhibit 4. Applicant acknowledges the failure to supply the Commission with the receipt for the renewed bond. However, as noted above, the bond was always in place and the surety coverage from the Applicant never lapsed. The Applicant is seeking to continue to operate as it was operating prior to the Commission's February 2023 order.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

An organizational structure chart is presented as Exhibit 7.

Balance Sheets and Income Statements for the calendar years 2021 and 2022 are provided as CONFIDENTIAL EXHIBIT A.

Bank Account statements for the years ending 2020 and 2021 are included as CONFIDENTIAL EXHIBIT B.

Tax Returns for the same years are provided as CONFIDENTIAL EXHIBIT C.

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not applicable

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

The O.E. Group will collect commissions from EGS operating in the Commonwealth of PA.

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Charles Bergman
President, The O.E. Group Inc.
5314 16th Avenue, Suite 187
Brooklyn, NY 11204
Tel: 718-403-9444
Fax: 718-972-5068
Email: cbergman@theoegroup.com

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Submitting N/A on either the Sales Tax License Number or the Employer ID Number (items 7A and 7B) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Item 7A on the Tax Certification Statement is designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

The Completed Tax Certification Statement, designated Appendix I (Confidential) in the Application package, is attached as Confidential Exhibit D.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

A brief overview of the company is presented as Exhibit 8. More information about the company can be found on its website at <http://www.theoegroup.com/>. The Applicant operates in New York, New Jersey and Pennsylvania. New York does not have a licensing requirement for brokers, although that requirement will be in place by the end of this year. The New Jersey license is attached as Exhibit 9. In total, The O.E. Group services approximately 600 electricity and natural gas customers. As noted above, it has seven (7) electricity customers in Pennsylvania.

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
 No

If yes, will the Applicant be using verification procedures?

- Yes
 No

If yes, describe the Applicant's verification procedures.

Not Applicable

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

The O.E. Group is a small energy consultancy. It does not engage in mass marketing efforts. The O.E. Group website can be found at <http://www.theoegroup.com/>. The O. E. Group proudly serves manufacturers, grocery outlets, medical facilities and commercial real estate entities. Marketing efforts are personalized and professional. The O. E. Group does not engage subcontractors for marketing purposes. The material presented at Exhibit 8 is the primary marketing material for the company.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Brief bios for Charles Bergman, President; Louis Weil, Senior Analyst; Henry Hartstein, CEO; and Pinny Brown, Director of Sales are attached at Exhibit 10.

f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
 Received approval from FERC to be a Power Marketer at Docket or Case Number _____
 Not applicable

DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

Not Applicable

9. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED** - Applicant will provide compliance with this requirement within 120 days of receiving its license
- XXX** **ACKNOWLEDGED** - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

XXX **AGREED**

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- **Retail Electricity Choice Activity Reports:** The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the

appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.

- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

XXX AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

XXX AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

XXX ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

XXX AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

XXX AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

XXX AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

XXX AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

XXX AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

XXX PAYMENT ENCLOSED

10. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Attached as APPENDIX A.

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Attached as APPENDIX B.

NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

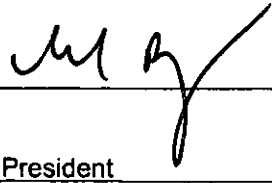
	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News or Philadelphia Inquirer	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		

Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Newspaper Publication Templates are provided at Appendices K and L)

13. SIGNATURE

Applicant: The O.E. Group

By:  _____

Title: President

DATE OF DEPOSIT

JUL 1 8 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

12. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: **The O.E. Group Inc.**

XX	Signature	
XX	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
XX	Application Affidavit	
XX	Operations Affidavit	
	Proof of Publication	
XX	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
XX	Tax Certification Statement	
XX	Commonwealth Department of State Verification	
XX	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New York:

: ss.

County of Kings:

Charles Bergman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of The O.E. Group (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

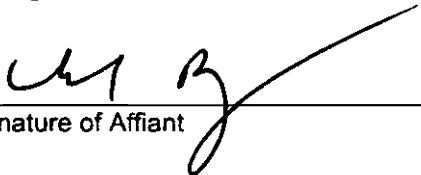
That the Applicant herein Charles Bergman has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Charles Bergman has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Charles Bergman acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Charles Bergman acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 10th day of July, 2023.

SARAH R. WEINGARTEN
NOTARY PUBLIC, State of New York
No. 01WE6203910
Qualified in Kings County
Commission Expires April 13, 2025



Signature of official administering oath

My commission expires 4/13/25.

DATE OF DEPOSIT

JUL 12 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of New York:

: ss.

County of Kings:

Charles Bergman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of The O. E. Group (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Charles Bergman, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Charles Bergman, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Charles Bergman, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

DATE OF DEPOSIT

JUL 1 2023

Appendix B (Continued)

That Charles Bergman, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

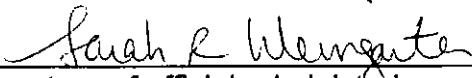
That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 10th day of July, 2023.

SARAH R. WEINGARTEN
NOTARY PUBLIC, State of New York
No. 01WE6203910
Qualified in Kings County
Commisson Expires April 13, 2025



Signature of official administering oath

My commission expires 4/13/25.

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 13th day of July 2023, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom or a USB flash drive, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517


Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

DATE OF DEPOSIT


JUL 13 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU


Frank Lacey, Electric Advisors Consulting, LLC

Entity# : 6633498
Date Filed : 11/13/2017
Robert Torres
Acting Secretary of the Commonwealth

**PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

<input checked="" type="checkbox"/> Return document by mail to: THE O.E. GROUP INC. Name CHARLES BOLGMAN Address 5314-16TH AVE. SUITE 187 City BROOKLYN State NY Zip Code 11204 <input type="checkbox"/> Return document by email to:	Foreign Registration Statement DSCB-16-412 (rev. 2/2017)  TFA171127JF1420
---	---

Read all instructions prior to completing. This form may be

Fee: \$250

I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- | | | |
|--|--|---|
| <input checked="" type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Business Trust |
| <input type="checkbox"/> Nonprofit Corporation | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Liability Limited Partnership | |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

THE O.E. GROUP INC.

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: **NEW YORK**

4. The street and mailing address of the association's principal office.

5314-16TH AVE. SUITE 187 BROOKLYN NY 11204

Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street City State Zip

PA DEPT. OF STATE

NOV 28 2017

DATE OF DEPOSIT

JUL 18 2023

PA DEPT. OF STATE

NOV 13 2017

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

EXHIBIT 1
The O.E. Group EGS Broker Application

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 208
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Charles Bergman
5314-16th Ave Suite 187
Brooklyn NY 11204

THE O.E. GROUP INC.

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch.

ENTITY NUMBER : 6633498

DSCB:15-412-2

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) - not both:

(a) _____
Number and street City OR State Zip County

(b) c/o: REGISTERED AGENTS INC. MONTGOMERY
Name of Commercial Registered Office Provider County

6. Check one of the following:
- The association may not have series.
 - The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):
- The Foreign Registration Statement shall be effective upon filing in the Department of State.
 - The Foreign Registration Statement shall be effective on: _____ at _____
Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:
- The association is a limited liability company which is not organized to render any of the below professional service(s).
 - The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

<input type="checkbox"/> Chiropractic	<input type="checkbox"/> Dentistry	<input type="checkbox"/> Law	<input type="checkbox"/> Medicine and surgery
<input type="checkbox"/> Optometry	<input type="checkbox"/> Osteopathic medicine and surgery	<input type="checkbox"/> Podiatric medicine	<input type="checkbox"/> Public accounting
<input type="checkbox"/> Psychology	<input type="checkbox"/> Veterinary medicine		

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 6th day of NOVEMBER, 2017

THE O.E. GROUP INC
Name of Association

[Signature]
Signature

PROG
Title

RECEIVED

APR 23 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CERTIFICATE OF INCORPORATION

OF

THE O.E. GROUP INC.

Under Section 402 of the Business Corporation Law

DATE OF DEPOSIT

JUL 19 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

FILER:

**FURNITURE LEADERS
CHARLES BERGMAN
5314-16TH AVE., SUITE 187
BROOKLYN, NY 11204**

**HUBCO #29
DRAWDOWN**

STATEMENT OF INCORPORATOR IN LIEU OF ORGANIZATION MEETING
OF
THE O.E. GROUP INC.

The certificate of incorporation of the above-named corporation having been filed in the Office of the Secretary of State of the State of New York, the undersigned, being the incorporator named in said certificate, does hereby state that the following actions were taken on this day for the purpose of organizing this corporation:

1. By-Laws for the regulation of the affairs of the corporation were adopted by the undersigned incorporator and were ordered inserted in the minute book immediately following the copy of the certificate of incorporation and before this instrument.
2. The undersigned hereby resigns as incorporator of the corporation as of the date set forth below.

The following are hereby elected as the director(s) of the corporation to hold office until the first annual meeting of the corporation or until their successors are elected and qualified:

CHARLES BERGMAN

Dated: Upon filing date



Bruce B. Hubbard
Incorporator
President, Hubbard Inc.
d/b/a Hubco Incorporation Services

RECEIVED

APR 23 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CERTIFICATE OF INCORPORATION

OF

THE O.E. GROUP INC.

RECEIVED

APR 23 2018

Under Section 402 of the Business Corporation Law

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

IT IS HEREBY CERTIFIED THAT:

(1) The name of the Corporation is:

THE O.E. GROUP INC.

(2) This Corporation is formed to engage in any lawful act or activity for which a corporation may be organized under the Business Corporation Law, provided That it is not formed to engage in any act or activity requiring the consent or approval of any state official, department, board, agency or other body without such consent or approval first being obtained.

For the accomplishment of the aforesaid purposes, and in furtherance thereof, the Corporation shall have, and may exercise, all of the powers conferred by the Business Corporation Law upon corporations formed thereunder, subject to any limitations contained in Article 2 of said law or in accordance with the provisions of any other statute of the State of New York.

(3) The number of shares which the Corporation shall have the authority to issue is
200 SHARES AT NO PAR VALUE.

(4) The county, within this state, in which the office of the corporation is to be located is:

KINGS

DATE OF DEPOSIT

JUL 1 2023

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION**

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

IN THE MATTER OF THE APPLICATION OF: A-2018-2647476

EFFECTIVE DATE: August 2, 2018

The Application of THE O.E. GROUP INC. to begin to offer, render, furnish or supply electricity or electric generation services as a broker/marketer to residential, small commercial (25 kW and under demand), large commercial (over 25 kW demand), industrial, and governmental customers in all of the electric distribution company service territories throughout the Commonwealth of Pennsylvania.

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

LICENSE FOR ELECTRIC GENERATION SUPPLIER, AS A BROKER/MARKETER.

In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 2ND day of August, 2018.


Secretary

DATE OF DEPOSIT

JUL 12 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION

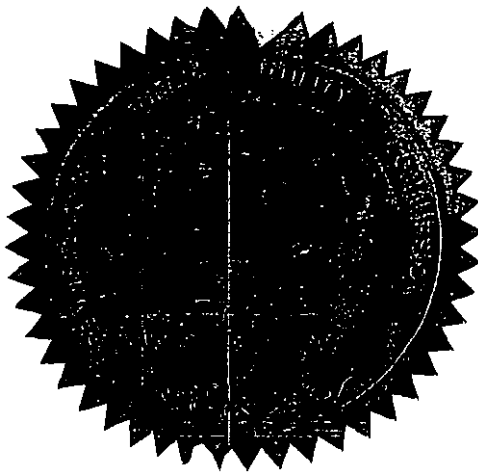
IN THE MATTER OF THE APPLICATION OF: A-2018-3000443

Application of THE O.E. GROUP INC. the right to begin to offer, render, furnish or supply natural gas services as a Broker/Marketer to the public, to residential, small commercial (under 6,000 MCF annually), large commercial (6,000 MCF or more annually), industrial, and governmental customers in the natural gas distribution company service territories of Columbia Gas of Pennsylvania, Inc., National Fuel Gas Distribution Corporation, PECO Energy Company, Peoples Natural Gas Company, LLC, Peoples Natural Gas Company, LLC - Equitable Division, Peoples Gas Company, LLC, Philadelphia Gas Works, UGI Central Penn Gas, UGI Penn Natural Gas, UGI Utilities, Inc., and Valley Energy, within the Commonwealth of Pennsylvania.

EFFECTIVE DATE: July 12, 2018

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

LICENSE FOR NATURAL GAS SUPPLIER, AS A BROKER MARKETER



In Witness Whereof, The PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 12TH day of July, 2018.

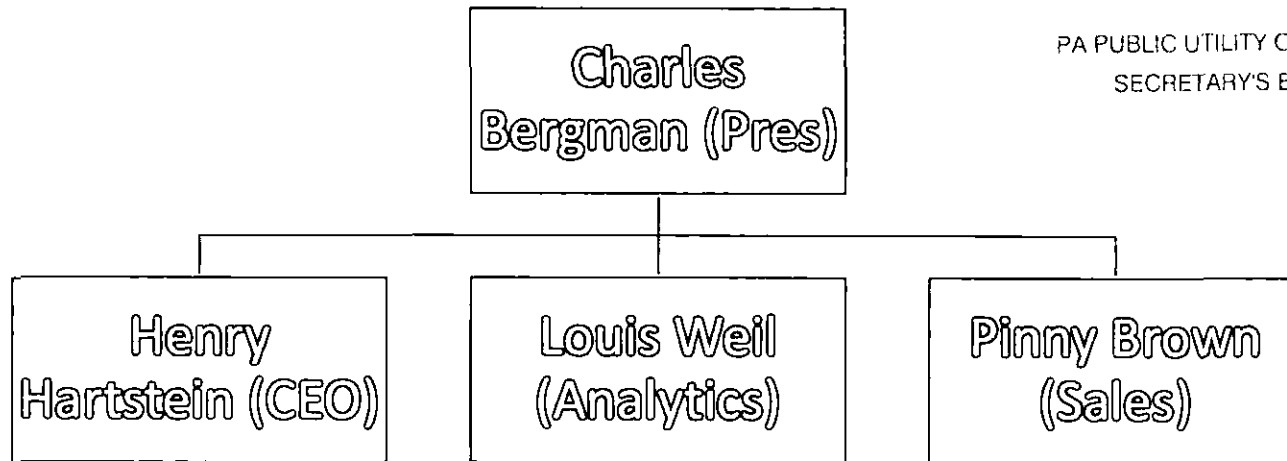

Secretary

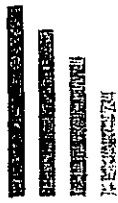
The O.E. Group Organization Chart

DATE OF DEPOSIT

JUL 15 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU





THE OE GROUP
OPTIMAL ENERGY SOLUTIONS

DATE OF DEPOSIT

JUL 15 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Company Profile

Introduction:

The OE Group is a leading brokerage company specializing in providing comprehensive gas and electric solutions to businesses. With a strong commitment to exceptional customer service, unparalleled problem-solving skills, and in-depth expertise in the gas and electric markets, we are dedicated to delivering outstanding results to our clients. As a trusted partner, we strive to optimize energy procurement, reduce costs, and streamline operations for our valued customers.

Services:

Gas and Electric Brokerage:

We act as a mediator between businesses and energy suppliers, leveraging our industry knowledge and network to secure the best gas and electric contracts for our clients. Whether it's negotiating favorable rates, evaluating contract terms, or managing supplier relationships, we provide tailored solutions that align with the specific needs and goals of our clients.

Energy Procurement:

The OE Group offers comprehensive energy procurement services, ensuring our clients have access to reliable and cost-effective gas and electric supply. Our team conducts thorough market analysis, identifies opportunities for savings, and facilitates seamless procurement processes, enabling businesses to optimize their energy consumption and reduce expenses.

Market Insights and Analysis:

With a deep understanding of the ever-changing gas and electric markets, we provide valuable insights and analysis to our clients. Our experts continuously monitor market trends, regulatory changes, and pricing fluctuations, empowering our clients to make informed decisions and capitalize on opportunities. We offer customized reports, forecasts, and recommendations to help businesses stay ahead in the energy landscape.

Problem Solving and Consultancy:

The OE Group renowned for its exceptional problem-solving skills. Our dedicated team of experts collaborates closely with clients to identify challenges, develop effective strategies, and implement solutions that drive measurable results. From resolving billing discrepancies to addressing technical issues, we provide comprehensive support throughout the customer journey.

Customer Service:

The OE Group customer service is at the core of everything we do. We prioritize building strong relationships with our clients, ensuring their satisfaction at every touchpoint. Our team of knowledgeable and friendly professionals is available to assist clients with any inquiries, concerns, or emergencies. We strive to provide prompt responses, clear communication, and reliable support, fostering long-term partnerships based on trust and mutual success.

Why The OE Group?

Unparalleled expertise: Our team possesses extensive knowledge of the gas and electric markets, enabling us to navigate complexities and provide strategic guidance.

Customized solutions: We understand that every client has unique requirements. We tailor our services to meet specific needs, ensuring optimal outcomes for each business.

Cost savings: Through our procurement strategies, contract negotiations, and market analysis, we help clients reduce energy costs, unlocking substantial savings.

Industry relationships: With established relationships with leading energy suppliers, we have access to a wide network of options, securing advantageous contracts for our clients.

Proactive problem-solving: Our team excels at identifying and addressing challenges swiftly and efficiently, minimizing disruptions and maximizing customer satisfaction.

Conclusion:

The OE Group is your trusted partner in navigating the complex gas and electric markets. With our exceptional customer service, problem-solving skills, and in-depth industry expertise, we strive to exceed expectations and deliver measurable value to businesses and consumers alike. Contact us today to streamline your energy procurement, optimize costs, and stay ahead in the dynamic world of energy.

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

**The O.E. Group
d/b/a Optimal Energy**
5314 16th Avenue, Suite 187
Brooklyn, New York 11204

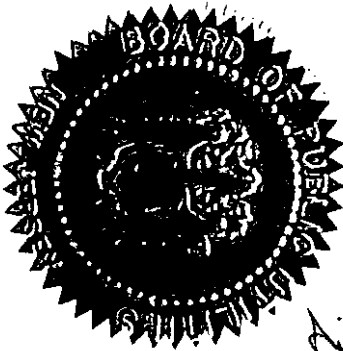
DATE OF DEPOSIT

JUL 1 9 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0244
Effective Date: August 29, 2018
Expiration Date: August 28, 2019

**Charles Bergman
President
The O.E. Group Inc.**

Charles Bergman is a highly accomplished professional with over 15 years of experience in the energy industry.

Armed with an MBA, Charles has successfully navigated the dynamic landscape of sales, financial analysis, and strategic planning within renowned energy companies. His unwavering commitment to excellence, coupled with his deep industry knowledge, has consistently propelled him to achieve outstanding results. Throughout his career, Charles has adeptly identified new business opportunities, cultivated strong client relationships, and implemented innovative sales strategies that have significantly contributed to revenue growth and market expansion. His exceptional financial acumen has enabled him to optimize profitability, streamline operations, and deliver impactful financial insights to drive informed decision-making. With a proven track record of success, Charles is poised to make enduring contributions to the energy sector by leveraging his multifaceted expertise and leadership skills.

DATE OF DEPOSIT

JUL 10 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Henry Hartstein
CEO
The O.E. Group Inc.

Henry Hartstein is a visionary leader with an exceptional tenure of 20 years as the CEO of a prominent energy company.

With a steadfast focus on future development and market expansion, Henry has spearheaded groundbreaking initiatives that have positioned the company as a frontrunner in the industry. Under his guidance, the organization has successfully navigated through evolving market dynamics and emerged as a key player in opening new markets. Henry's strategic acumen and forward-thinking approach have not only facilitated the identification of emerging trends but also the development of innovative solutions that have revolutionized the energy sector. While his ability to foster strong partnerships and navigate complex regulatory environments has been instrumental in the company's remarkable growth. As a highly respected leader, Henry's enduring impact on the energy industry is a testament to his unwavering dedication to driving transformative change and shaping the future of the sector.

Louis Weil
Senior Analyst
The O.E. Group Inc.

Louis Weil is a seasoned professional with 15 years of industry experience, specializing as a senior analyst within the energy sector.

With an unwavering commitment to excellence, Louis has developed a remarkable expertise in monitoring market trends, regulatory changes, and price fluctuations. His keen analytical skills and deep understanding of the industry dynamics enable him to provide invaluable insights that guide strategic decision-making within the company. Louis's meticulous attention to detail and ability to identify emerging opportunities have consistently positioned the organization ahead of the curve, fostering a competitive edge in a rapidly evolving market. Known for his astute market analysis and proactive approach, Louis is a trusted advisor whose contributions have played a pivotal role in navigating the complexities of the energy landscape and ensuring sustained growth and profitability.

Pinny Brown
Director of Sales
The O.E. Group Inc.

Pinny Brown is a highly accomplished professional with an impressive 20-year track record as the Director of Sales within the energy industry. With a relentless drive for success, Pinny has consistently delivered exceptional results by implementing effective sales strategies, fostering strong client relationships, and driving revenue growth. With a keen understanding of the market dynamics and customer needs, Pinny has successfully led high-performing sales teams, surpassing targets and expanding market share. His extensive industry knowledge and strategic vision have allowed him to identify new business opportunities and forge strategic partnerships, ensuring the company's continued success and prominence within the energy sector. Pinny's unwavering commitment to excellence, coupled with his exceptional leadership skills, has positioned him as a respected figure within the industry, recognized for his ability to drive sales growth, exceed customer expectations, and achieve remarkable business outcomes.

ORIGIN ID:BIGA (610) 793-2809
FRANK LACEY
ELECTRIC ADVISORS CONSULTING, LLC
3 TRAYLOR DRIVE

SHIP DATE: 12JUL23
ACTWGT:
CAD: 308600009/NET4612

WEST CHESTER, PA 19382
UNITED STATES US

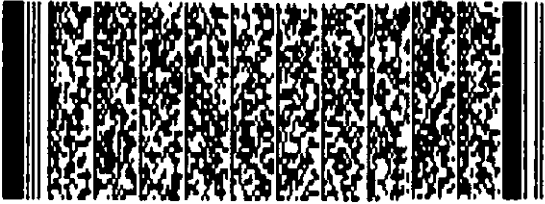
BILL CREDIT CARD

TO **SECRETARY**
PA PUBLIC UTILITY COMMISSION
400 NORTH STREET
KEYSTONE BUILDING
HARRISBURG PA 17120

583J46E48AE3

(800) 692-7380 REF:
INV:

PO DEPT:



FedEx
Express

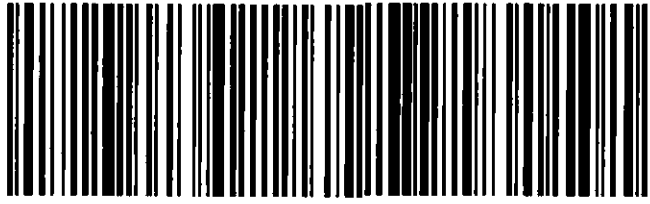


THU - 13 JUL 4:30P
STANDARD OVERNIGHT

TRK# 7727 2266 1743
0201

EN MDTA

17120
PA-US MDT



RECEIVED

JUL 14 2023

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

After printing this label:
CONSIGNEE COPY - PLEASE PLACE IN FRONT OF POUCH
1. Fold the printed page along the horizontal line
2. Place label in shipping pouch and affix it to your shipment

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.