

ECKERT
SEAMANS
ATTORNEYS AT LAW

Eckert Seamans Cherin & Mellott, LLC
213 Market Street
8th Floor
Harrisburg, PA 17101

TEL 717 237 6000
FAX 717 237 6019
www.eckertseamans.com

Sarah C. Stoner
717.237.6026
sstoner@eckertseamans.com

October 3, 2023

Via Hand Delivery

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

RCVD PUC SEC BUR
OCT 3 2023 AM 11:45

RE: Annual Compliance Filing of Direct Energy Services, LLC for Continuation of 5% Reduction in Security Requirements; Docket No. A-110164; Utility Code 110164

Dear Secretary Chiavetta:

On behalf of Direct Energy Services, LLC ("Direct Energy"), enclosed for filing please find Direct Energy's Annual Compliance Filing to renew its security at the reduced level of 5% based on its most recent twelve months of revenue. Direct Energy previously received authority to reduce its security with the Pennsylvania Public Utility Commission from 10% to 5% of Direct Energy's most recent twelve months of revenue. This Annual Compliance Filing is being submitted at least 90 days prior to the anniversary date of Direct Energy's current financial security.

Direct Energy provides the following information and documentation in support of its Annual Compliance Filing:

- 1) A table of Direct Energy's gross receipts in Pennsylvania for the most-recent 12 months (August 2022 through July 2023) attached hereto as Appendix A (**CONFIDENTIAL**);
- 2) A copy of Direct Energy's Pennsylvania Department of Revenue REV-423 Specialty Tax Estimated Payment Form as proof that it has prepaid 2023 gross receipts, attached as Appendix B (**CONFIDENTIAL**);
- 3) A letter from the AEPS Administrator confirming Direct Energy's compliance with AEPS requirements attached hereto as Appendix C (**CONFIDENTIAL**);
- 4) A Sales and Use Tax Statement of Account from the Pennsylvania Department of Revenue to evidence that it is current with its tax liabilities to the Commonwealth, attached as Appendix D (**CONFIDENTIAL**);

5) An original bond rider and continuation certificate attached as Appendix E
(**CONFIDENTIAL**); and

6) Direct Energy is compliant with the Commission's annual and supplemental fee requirements.

The Direct Energy contact persons for this Annual Compliance Filing are as follows:

Leah Gibbons
Senior Director – Regulatory Affairs
3711 Market Street, Suite 1000
Philadelphia, PA 19104
Tel. 301.509.1508
Email: Lgibbons@nrg.com

Ryan Harwell
Regulatory Licensing and Reporting Manager
910 Louisiana Street
Houston, TX 77002
Tel. 281.800.6157
Email: Ryan.Harwell@nrg.com

A verification attesting to the truthfulness of facts set forth in Direct Energy's request is enclosed. Copies of this Annual Compliance Filing to be served in accordance with the attached Certificate of Service.

The confidential attachments to this filing are being provided to the Commission under seal and it is requested that they be maintained in a non-public folder.

Please do not hesitate to contact me should you have any questions or concerns regarding this Annual Compliance Filing.

Sincerely,

Sarah C. Stoner

Sarah C. Stoner

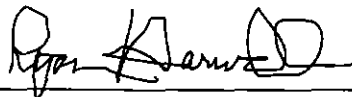
Enclosures

cc: Stephen Jakob, Bureau of Technical Utility Services (with appendices)
Certificate of Service (without appendices)

VERIFICATION

I, Ryan Harwell, state that I am a Regulatory Licensing and Reporting Manager for NRG Energy, Inc. I hereby verify that I am authorized to and do make this Verification for Direct Energy Services, LLC, and that the facts set forth in the foregoing **Annual Compliance Filing** are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at any hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Dated: 10/2/2023



Ryan Harwell
Regulatory Licensing & Reporting Manager
NRG Energy, Inc.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CERTIFICATE OF SERVICE

I hereby certify that this day I served a copy of the public version of Direct Energy Services, LLC's Annual Compliance Filing upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54.

Via First Class Mail

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street
Harrisburg, PA 17120

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17101-1923

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Office of Attorney General
Bureau of Consumer Protection
14th Floor, Strawberry Square
Harrisburg, PA 17120

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Office of Small Business Advocate
Forum Place
555 Walnut Street, 1st Floor
Harrisburg, PA 17101

Legal Department
Attn: Kimberly A. Klock
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, PA 19612-2677

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

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Pike County Light & Power Company
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Dated: October 3, 2023

Sarah C. Stoner

Sarah C. Stoner, Esq.