

Application for Motor Common Carrier or Motor Contract Carrier of Household Goods in Use.

THIS APPLICATION IS REQUIRED TO REQUEST A CERTIFICATE OF PUBLIC CONVENIENCE (FOR COMMON CARRIERS) OR PERMIT (FOR CONTRACT CARRIERS) TO OPERATE AS A COMMERCIAL CARRIER OF HOUSEHOLD GOODS IN USE.

1. **Legal Name of Applicant** (Individual, Partnership or Corporation)

New World Van Lines Inc.

- If you are an individual who has not formed any type of corporate entity, you should enter your name **as it will appear on your insurance documents**.
- If you are filing for a partnership, but **not a limited liability partnership**, the names of all partners must be entered on this line. Those names should be entered **as they will appear on your insurance documents**. This includes husbands and wives filing jointly.
- If you are filing for a corporate entity (corporation, limited liability company, or limited liability partnership), **even if you are the sole shareholder member**, you must enter the name **exactly as it appears on the registration papers from the Corporation Bureau of the Pennsylvania Department of State**.

2. **Trade Name** (Attach a copy of fictitious name registration if applicable)

This is any name which you will be operating under which differs from the **LEGAL NAME OF APPLICANT**. A **TRADE NAME** is considered a **FICTITIOUS NAME** if the identity of the applicant cannot be readily determined. *EXAMPLE: John Doe is the applicant and wants to use the name "Johnboy Trucking" as his trade name. People cannot readily determine that John Doe is the actual operator; therefore, the name is fictitious and must be registered as such. Trade names such as "John Doe Trucking" or "J. Doe Trucking" are not considered fictitious and would not have to be registered.*

3. **Do you currently hold PUC Authority?** X NO **Previous Authority?** X NO

If YES, at PUC No. A- _____

4. **Are you a business entity registered with the PA Dept. of State?** ___ NO

If NO, you must register (see checklist on how to register)

If YES, provide your PA Corporation Bureau Entity ID Number 3992086

(See checklist and indicate type of business entity registered)

5. **If either a Corporation or Limited Liability Company, please list members (LLC) or shareholders and officers (Corporation).**

David Marx	Kimberly Ruppert
Patricia Marx	Catherine Girdner
Edward Marx	Richard Wilkus
Quintin Marx	

6. **Mailing Address**

5875 N Rogers Ave.
Street Address

Chicago, IL 60646	Cook
City, State and Zip Code	County
773-509-5987	dcamphouse@nwvl.com
Telephone Number	E-Mail Address

This is the e-mail address to which the Commission will send all official documents issued by the Commission until further notice.

7. **Physical Address** (if different from Mailing Address. Do no use a PO Box.)

Street Address

City, State and Zip Code County

Telephone Number E-Mail Address

The address entered here should reflect the actual location of the business. This is the address the Commission needs in order to dispatch Enforcement Officers to inspect equipment. If left blank, it will be assumed that the **PHYSICAL ADDRESS** is the same as the **MAILING ADDRESS**

8. **Attorney** (if applicable)

Attorney's Name & Telephone Number for this Filing

Attorney's Address E-mail Address

An attorney's name should only be entered if an attorney is filing the application for a client and the application is being sent under the attorney's cover letter.

9. **Does applicant have a USDOT Number?**

No Yes, at No. 258748

10. Describe the service area proposed by this application.

(Use the space below or attach additional sheet if space provided is not sufficient).

To transport household goods in use to and from all points in
— Pennsylvania.

Examples:

- *To transport household goods in use between points in Pennsylvania.*
- *To transport household goods in use from points in Centre County to points in Pennsylvania, and vice versa.*

11. Certification:

Applicant certifies that it is not now engaged in unauthorized intrastate transportation for compensation between points in Pennsylvania and will not engage in said transportation unless and until authorization is received from the Pennsylvania Public Utility Commission.

Applicant further certifies that it understands the requirements of the Pennsylvania Public Utility Commission, especially as they relate to safety and insurance and that it may be subject to civil penalties, suspension or cancellation of the Certificate for failure to comply with Commission requirements.

Applicant further certifies that it understands that it is subject to an annual assessment based upon its reported gross Pennsylvania intrastate revenues; said assessment to help defray expenses incurred in regulating Motor Common Carriers of Household Goods in Use; and acknowledges that failure to report revenue and pay its annual assessment may result in civil penalties, suspension or cancellation of the certificate.

Verification of Application

I/We hereby state that the statement(s) made in this application is/are true and correct to the best of my/our knowledge and belief.

The undersigned understands that false statements herein are made subject to the penalties of 18 Pa. C.S. Section 4904 relating to unsworn falsification to authorities.

David Marx

(Print Name)



1/29/2024

(Signature)

(Date)

The verification of the application must be completed by the applicant appearing on Line 1 of the application by the named individual, all partners if a partnership, a member (if a limited liability company), or by the President or Secretary (if a corporation).

VERIFIED STATEMENT OF APPLICANT

THE FOLLOWING INFORMATION IS REQUIRED BY THE COMMISSION TO DETERMINE THE APPLICANT'S FITNESS TO OPERATE. STATEMENTS SHOULD BE TYPED OR PRINTED. ILLEGIBLE STATEMENTS WILL DELAY YOUR APPLICATION.

New World Van Lines Inc.

Legal Name of Applicant			
Trade Name, if any			
5875 N Rogers Ave		Chicago, IL 60646	
Street Address (principal place of business)	City or Municipality	State	Zip Code

The Verified Statement of the Applicant factual details about your proposed transportation service. Your Verified Statement must answer all of the items listed below and on the following pages. Provide as much information as possible to prevent delay in processing your application. If you need more space to provide your answer, please attach additional pages identifying the appropriate item number.

1. Identify the person making the Verified Statement on behalf of the applicant. If an employee/officer of applicant is making the statement, give name, title, business address and telephone number.
David Marx, President, 5875 N Rogers Ave. Chicago, IL 60646
773-509-5901
2. List the applicant's affiliation (owner, manager, controls) with any other carrier, with the description of affiliation.
3. Please provide evidence of minimum of two-years' experience with a licensed household goods carrier or the equivalent as required by 52 Pa. Code §3.381(c)(1)(iii)(A)(II)(-I-).
New World Van Lines has had interstate authority since 1982.
4. Describe your facilities, record maintenance plan and your communication network. Please include a description of your physical location, to including office machines that will be utilized, and the facility to house vehicles. As a carrier of household goods in use, applicant should include a description of storage facilities, if applicable. Please include an explanation of your plan to maintain records required by the PUC, as well as normal business records. In regard to your communication network, please explain how you will receive customer requests for transportation, how you will dispatch the vehicles to fulfill the request, and how you will maintain continuous communication with your drivers.

Our headquarters is in Chicago, IL and we have two locations near Pennsylvania in New Jersey and Ohio that are both offices and warehouses. In regard to our communication network, New World Van Lines employs a state-of-the-art, secure VPN infrastructure to manage and safeguard our records meticulously. Data storage is exclusively handled in-house, underpinned by our primary data center situated at a premier colocation facility, which is complemented by our headquarters acting as a comprehensive backup data center. This dual-layered approach underscores our unwavering dedication to maintaining the highest standards of data security, reliability, and

App MCC accessibility for our operations. We dispatch vehicles regionally, nationally, and locally by using continuous rev 12/6/2' communication with GPS and mobile phones.

5. Please state the number of drivers you intend to use or hire in your business and explain why that number of drivers is appropriate for the size of the territory you will be serving. In addition, please explain:
 - a. Your hiring standards for drivers;
 - b. Your system for conducting criminal background checks;
 - c. Your driver training program;
 - d. Your system for conducting driver license checks;
 - e. Your policies regarding alcohol and drug use by your drivers.

Anyone who wants to be a driver for New World has to fill out an application, then the driver goes through a screening interview with our recruiter and then with one of our company partners. After the interviews, if we decide that they are a good fit, they are sent for a drug test and DOT physical and we submit their information for a background check. We currently use BackTrack Screening as our background check vendor. We also run their MVR and CDLIS through Supervision. After the background check, MVR, and CDLIS are back the information goes to our safety department to determine whether they are a good fit based on our guidelines attached at the bottom. Once they are approved by our safety department, part of there on-boarding orientation is to take driving training classes. The list of classes is attached at the bottom. In addition to the driver training classes the new driver also has to read and sign off on the J.J. Keller Alcohol & Drug Testing booklet and the J.J. Keller CMV Driver Basics for Entry-level training booklet.

6. Please state the number of vehicles you plan to use in your business and why that number is appropriate to provide reasonable and efficient service to the territory you will be serving. If you have already obtained vehicles for your business, please list them in the chart below.

Trucks need to be purchased but the appropriate number of trucks is based on how many intrastate PA jobs we have in that area.

YEAR	MAKE	MODEL	SEATING CAPACITY*	VEHICLE ID #	MILEAGE

7. Describe your vehicle safety program. Please include the following in your explanation:
 - a. Your periodic vehicle maintenance plan
 - b. Your system for ensuring your vehicles will continuously comply with applicable Pennsylvania vehicle equipment standards (67 Pa. Code, Chapter 175).

New World Van Lines Preventive Maintenance Program exceeds the Federal Motor Carrier Administration and the manufacture requirements for safe and efficient operation. Individual vehicle service requirements are set based on the manufactures recommended intervals and then upward adjusted for the type of service each vehicle in operating under and meet our standards. As an example: FMCA requires trailers to be inspected and serviced every year. At New World trailers are service three times per year. Power units are tracked based on as many as 13 different services, depending on make, model and year.

All services are tracked in our maintenance system and mileage tracked by a telemetry system which is imported into the maintenance system for up to date mileage tracking. New World Van Lines is proud to be able to state that we have one of the best CSA/SAFESTST rating in the moving industry.

8. Please explain what steps you have taken to determine if you can obtain insurance and pay the required insurance premiums.

We are a national carrier with Vanliner insurance and have been with them since 2008.

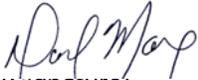
9. State whether the applicant has been convicted of a misdemeanor or felony. If applicant is partnership, limited liability partnership, corporation, or limited liability company this question applies to all members, officers, and/or shareholders. If "YES", explain.

_____ YES X NO

10. Financial Data. Complete the "Statement of Financial Position", which follows this page. Please feel free to also provide additional information explaining why you believe you have sufficient funds to ensure your transportation business can provide reliable service to the public in a safe manner.

Verification of Statement

The undersigned deposes and says that he/she is authorized to and does make this verification and that the facts set forth therein are true and correct to the best of his/her knowledge, information, and belief. The undersigned understands that false statements herein are made subject to penalties of 18 Pa. C. S. Section 4904 relating to unsworn falsification to authorities.


(Signature)

David Marx, President

2/7/2024
(Date)

(Name and Title, printed or typed)

Statement of Financial Position (Balance Sheet)
As of (date) 10.31.23
(Must be less than 6 months old)

ASSETS

Current Assets		
Cash	\$3,722,763	
Other Current Assets (specify)	<u>28,459,677</u>	
Total Current Assets		<u>\$32,182,440</u>
Tangible Assets		
Motor Vehicle Equipment	<u>\$3,850,169</u>	
Property (buildings, land, etc.)		<u>\$3,850,169</u>
Office Equipment		
	TOTAL ASSETS	<u>\$36,032,609</u>

LIABILITIES

Current Liabilities (Due within one year of date)		
Loans	<u>\$413,234</u>	
Credit cards/revolving credit		
Other Liabilities (Attach schedule)	<u>15,630,650</u>	
Total Current Liabilities		<u>\$16,043,884</u>
Long Term Liabilities (Due after one year of date)		
Mortgage		
Long term commercial loan		
Other Liabilities (Attach Schedule)		
Total Long-Term Liabilities		
	TOTAL LIABILITIES	<u>\$16,043,884</u>

Current Assets Supporting Schedule

Accounts Receivable - Trade	\$	13,715,135
Misc. Receivables		160,922
Lease Right of Use Asset		6,582,637
Prepaid Expenses		1,444,480
Inventory		537,162
Due From Related Parties		5,829,417
Sweep/Interco		113,833
Deposits		76,091
Current Assets Support		28,459,677

Current Liabilities Supporting Schedule

Accounts Payable - Trade	1,905,943
Accrued Business Insurance	2,027,288
Lease Liability	6,816,790
Accrued Salaries & Wages	725,813
Property Taxes	647,060
OO Reserves	379,930
Profit Sharing	113,677
Accrued Claims	350,000
Accrued Vacation	691,868
Other-Misc	1,972,281
<hr/> Current Liabilities Supporting Schedule	<hr/> 15,630,650



Driver Experience

- Class A driver applicants must have twelve (12) months of verifiable driving experience or 40,000 miles within the last three (3) years immediately preceding application.
- All other driver applicants must have held or been issued their driver's license for a minimum of one (1) year for the motor vehicle type position applicant is seeking
- Driver applicants should have no more than six (6) previous employers in the past two (2) years or no more than twelve (12) months of unemployment in past three (3) years from date of application

Driver Disqualification's (Company or Contractor)

Alcohol & Controlled Substances

- Any alcohol or controlled substance positive test and/or criminal/MVR alcohol or misdemeanor drug conviction in a CMV within last ten (10) years
- Any second alcohol or controlled substance positive test and/or criminal/MVR alcohol or misdemeanor drug conviction in a CMV anytime
- Any alcohol or controlled substance positive test and/or criminal/MVR alcohol or misdemeanor drug conviction in a non-CMV within the last five (5) years
- Any second alcohol or controlled substance positive test and/or criminal/MVR alcohol or misdemeanor drug conviction in a non-CMV within the last ten (10) years
- Any third alcohol or controlled substance positive test and/or criminal/MVR alcohol or misdemeanor drug conviction in a non-CMV anytime
- Any current NEW WORLD employee, with any NEW WORLD driver's classification, who fails any alcohol test will be ineligible to drive for ten (10) years due to being required to be in a safety sensitive status, ready to operate a CMV while completing any alcohol test.
- Current NEW WORLD employees, with any NEW WORLD Driver Classification who fails any drug test will be ineligible to drive for five (5) years

Major Offenses (FMCSR 383.51 (b) - Other than alcohol or controlled substance convictions) within three (3) years

- Leaving scene of an accident
- Reckless driving
- Causing a fatality through negligent operation
- Operating any motor vehicle while the operator's previous issued motor vehicle's license has been revoked, suspended, or cancelled. Administrative revocations, suspensions, and cancellations will be reviewed on an individual basis.
- Violation of any out of service order
- Any railroad conviction described in FMCSR 383.51 (d)
- Any license suspension, revocation, or cancellation (other than administrative) within three (3) years of applications



Serious Traffic Violations (FMCSR 383.51 (c) - other than reckless) any two convictions of violations described below within three (3) years

- Speeding excessively, 15 MPH or more above posted speed limit
- Making improper or erratic traffic lane changes
- Following the vehicle ahead too closely
- Four (4) separate occurrence moving violation convictions or three (3) separate occurrence accidents within three (3) years from date of application. Documented proof or non-preventable and/or non-chargeable accidents will not be calculated on an applicant's record
- Any combination of four (4) separate occurrence moving violation conviction and/or accidents within three (3) years from date of application. Documented proof or non-preventable and/or non-chargeable accidents will not be calculated on an applicant's record

Criminal Convictions

- Any criminal Felony conviction within seven (7) years
- Any two (2) Felony convictions within seven (7) years
- Any single misdemeanor conviction involving security of another person and their possessions within three (3) years
- Any two (2) separate or more criminal misdemeanor occurrences resulting in convictions within three (3) years
- Any applicant currently on probation and/or parole (excluding for child support)

Lifetime Felony Disqualifications

- Any applicant or employee convicted of the following felony criminal offense against anyone at anytime
 - Rape of any kind (including attempted)
 - Murder of any kind (included attempted)
 - Sex offender of any kind, including registered
- Felony resulting in serious physical injury including but not limited to assault or battery
- Felony involving organized criminal activity including but not limited to extortion, racketeering, and trafficking
- Felony involving the use of weapons including but not limited to armed criminal action, burglary, and robbery
- Felony involving a hate crime
- Felony involving kidnapping
- Felony involving terrorist activity

New World Driver Classification/Authorization

No New World employees and contractors will not be permitted to operate any New World vehicle unless they are authorized and announced by the New World Human Resources/Safety departments. This authorization will only occur after a DOT file has been completed. All driver

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