

February 5, 2024

Rosemary Chiavetta  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street  
2nd Floor, Room N201  
Harrisburg, PA 17120

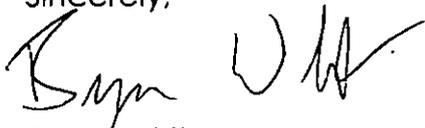
Re: License Application for Calpine Community Energy, LLC

Dear Secretary Chiavetta:

Please find enclosed Calpine Community Energy, LLC's EGS application.

If you have any questions regarding this application, please contact me at (619) 684-8200 or via email at [bryan.white@calpine.com](mailto:bryan.white@calpine.com).

Sincerely,



Bryan White  
Senior Regulatory Compliance Analyst

Enclosures

DATE OF DEPOSIT  
FEB - 5 2024  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Calpine Community Energy, LLC, d/b/a N/A, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) as specified in item #4b below to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Calpine Community Energy, LLC  
 401 West A St., Suite 500  
 San Diego, CA 92101  
 cca.calpinesolutions.com  
 1-877-273-6772

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- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Commission  
 2595 Interstate Drive, Suite 103  
 Harrisburg, PA 17110  
 1-800-927-9800

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application and future inquiries should be addressed.

**NOTE:** To ensure timely receipt of regulatory information, a contact employed directly by the Applicant, and not a consultant, is preferred.

Greg Bass, Regulatory Director  
 401 West A St., Suite 500  
 San Diego, CA 92101  
 (619) 540-5026  
 (619) 684-8355 (fax)  
 greg.bass@calpine.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

DeDe Freemyer-Kirby, Assistant General Counsel  
 1500 Rankin Rd, Suite 200  
 Houston, TX 77073  
 (713) 361-7741  
 dede.freemyer-kirby@calpine.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

**MAIN: Paula White, Vice President**  
401 West A St., Suite 600  
San Diego, CA 92101

1-877-273-6772  
(619) 684-8360  
CCAPJMTeam@CalpineSolutions.com

**ALTERNATE: Paul Soco, Manager**  
401 West A St., Suite 600  
San Diego, CA 92101  
1-877-273-6772  
(619) 684-8360  
CCAPJMTeam@CalpineSolutions.com

## 2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa.C.S. § 311, Form DSCB: 54-311.

**or**

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**  
*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.C.S. § 412 relating to Department of State filing requirements.

**or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa.C.S. § 8621)
- foreign general or limited partnership (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability partnership (15 Pa.C.S. §§ 8201 and 8221)
- foreign limited liability general partnership (15 Pa.C.S. §§ 411 and 412)
- foreign limited liability limited partnership (15 Pa.C.S. §§ 411 and 412)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers:
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa.C.S. §§ 411 and 412.

**OR**

**X** The Applicant is a:

- domestic corporation (15 Pa.C.S. § 1308)
- foreign corporation (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability company (15 Pa.C.S. § 8821)
- foreign limited liability company (15 Pa.C.S. §§ 411 and 412)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

**Please see Attachment A.**

### **3. AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

- a. AFFILIATES:** Give name and address of any affiliates currently doing business and state whether the affiliates are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Champion Energy Services LLC – Not a jurisdictional public utility**  
**1500 Rankin Road, Suite 200**  
**Houston, Texas 77073**

**North American Power – Not a jurisdictional public utility**  
**1500 Rankin Road, Suite 200**  
**Houston, Texas 77073**

**Calpine Energy Solutions, LLC**  
**401 West A St., Suite 500**  
**San Diego, CA 92101**

- b. **PREDECESSORS:** Identify any predecessors of the Applicant and provide the names under which the Applicant has operated, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant has no predecessors.

- c. **RELATED DOCKET NUMBERS:** Provide the Docket Numbers for any previous Pennsylvania PUC licenses for the Applicant, all affiliates, and any predecessors. If the Applicant does not have any related Docket Numbers, explicitly state so.

Calpine Energy Solutions, LLC – A-110141  
Champion Energy Services LLC – A-2009-2124113  
North American Power and Gas, LLC – A-2010-2176743

#### 4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

**OR**

- The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a (may check multiple):

- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.

- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

**Calpine Community Energy will provide EGS services to Community Choice Aggregators and municipal aggregators. The aggregators will determine the types of products offers for their customers.**

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- Residential and Small Commercial Customers in a Mixed Meter Capacity -

This customer class reflects situations in which a large commercial, industrial, and/or governmental customer account also contains features of residential and/or small commercial customers. In this instance, the residential and/or small commercial portion must be an incidental portion of the larger account. **This customer class alone does not allow marketing targeted directly to residential and/or small commercial customers.** Further information may be found in the Requirements Applicable to Mixed Meter Scenarios Secretarial Letter served March 25, 2011, at Docket No. M-2009-2082042.

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f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

**Immediately upon conferment of an EGS license by the Commission.**

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**Applicant and affiliates have NOT been nor are currently the defendant of a criminal or civil proceeding.**

- b. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

**Applicant has no such actions or complaints to identify.**

- c. **SUMMARY:** Provide a statement as to the resolution or present status of any proceedings or actions listed above. Additionally, provide details of any actions the applicant has undertaken that will prevent the items listed above from occurring if licensed in Pennsylvania.

**Not applicable; please see above responses.**

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
*(Example Certificate of Service is attached at Appendix C)*

- a. **STATUTORY AGENCIES:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission's Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

Office of Small Business Advocate  
Forum Place  
555 Walnut Street, 1st Floor  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission's Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide Proof of Service of the Application and attachments upon each Electric Distribution Company the Applicant proposes to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code § 5.14. Contact information for each EDC is as follows.

<p><b>Citizens' Electric Company:</b>  Citizens' Electric Company  Attn: EGS Coordination  1775 Industrial Boulevard  Lewisburg, PA 17837</p>	<p><b>Duquesne Light Company:</b>  Regulatory Affairs  Duquesne Light Company  411 Seventh Street, MD 16-4  Pittsburgh, PA 15219</p>
<p><b>Met-Ed, Penelec, and Penn Power:</b>  Legal Department  First Energy  2800 Pottsville Pike  Reading PA, 19612</p>	<p><b>PECO:</b>  Manager Energy Acquisition  PECO Energy Company  2301 Market Street  Philadelphia, PA 19101-8699</p>
<p><b>Pike County Light &amp; Power Company:</b>  Vice President – Energy Supply  Corning Natural Gas Holding Corporation  330 West William Street  Corning, NY 14830</p>	<p><b>PPL:</b>  Office of General Counsel  Attn: Kimberly A. Klock  PPL  Two North Ninth Street (GENTW3)  Allentown, PA 18101-1179</p>
<p><b>UGI:</b>  UGI Utilities, Inc.  Attn: Rates Dept. – Choice Coordinator  1 UGI Drive  Denver, PA 17517</p>	<p><b>Wellsboro Electric Company:</b>  Wellsboro Electric Company  Attn: EGS Coordination  33 Austin Street  P. O. Box 138  Wellsboro, PA 16901</p>
<p><b>West Penn Power:</b>  Legal Department  West Penn Power d/b/a Allegheny Power  800 Cabin Hill Drive  Greensburg, PA 15601-1689</p>	

## 7. FINANCIAL FITNESS

- a. **FINANCIAL SECURITY:** In accordance with 66 Pa.C.S. § 2809(c)(1)(i) and 52 Pa. Code § 54.40(a), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
  - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
  - For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

### CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, EGS suppliers should email [pc-puc-tus-energy@pagov.onmicrosoft.com](mailto:pc-puc-tus-energy@pagov.onmicrosoft.com) or call (717)783-5242 to determine the appropriate bonding amount based on a percentage of suppliers gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, suppliers should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond (preferred), fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

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b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

**Please see Attachment 7b**

- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K) (SEC/EDGAR web addresses are sufficient)

**The most recent publicly available financials for Calpine Corp are available here, and more statements can be provided on demand as they are requested. <https://www.sec.gov/Archives/edgar/data/916457/0000916457-20-000030-index.html>**

- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two-year period.
- Bank account statements (3-12 recent consecutive months), tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**Calpine Community Energy, LLC ("CCE") is 100% owned by Calpine Corporation, the parent company of the currently Pennsylvania Public Utility Commission licensed EGSs Calpine Energy Solutions, LLC, Champion Energy Services, LLC, and North American Power and Gas, LLC. CCE will operate within the same funding pool as is already in place for the other three affiliate companies identified.**

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**N/A, Applicant is not a broker.**

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Jeff Huber, Senior Vice President  
401 West A St., Suite 500  
San Diego, CA 92101  
(619) 684-8260  
(619) 684-8365 fax  
[jeff.huber@calpine.com](mailto:jeff.huber@calpine.com)**

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Submitting N/A on either the Sales Tax License Number or the Employer ID Number (items 7A and 7B) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Item 7A on the Tax Certification Statement is designated by the Pennsylvania Department of Revenue.  
Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry. **Applicant is 100% owned by Calpine Energy Solutions, LLC, an ESG in good standing with the Commission since its first license in early 2000s - A-110141.**
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions. **Please see Attachment 8a.**
- Type of customers and number of customers Applicant currently serves in other jurisdictions. **Calpine Community Energy, LLC as of 1/1/2024, serves 83,451 customers in New Hampshire which are a mixture of residential, commercial, and industrial types.**
- Staffing structure and numbers as well as employee training commitments. **The community choice aggregation team has 130 people.**
- Business plans for operations within the Commonwealth. **Calpine Community Energy will provide EGS services to Community Choice Aggregators and municipal aggregators. The aggregators will determine the types of products offers for their customers.**
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth. **Please see Attachment 8a.**
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

**CCE has no plans to market directly to any customers by any method or means, but if that plan changes, CCE will share any marketing materials with the PPUC staff for review and approval prior to use.**

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes  
 No

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If yes, will the Applicant be using verification procedures?

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- Yes  
 No

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If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**Please see response to Section 8b.**

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

**All officers are identified in Attachment A. Please see attachment 8e for resume information for Senior Vice President Drake Welch, Vice President Paula White, and other key personnel who will be responsible for operations.**

f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.  
 Received approval from FERC to be a Power Marketer at Docket or Case Number **ER23-944-001**.  
 Not applicable

## **9. DISCLOSURE STATEMENTS:**

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- X**      **AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).

**Calpine Community Energy, LLC is 100% owned and controlled by Calpine Energy Solutions, LLC. Calpine Energy Solutions, LLC is a registered PJM LSE and will facilitate the retail electricity services of Calpine Community Energy, LLC. CCE will apply for its own PJM membership in the future.**

- AGREED** - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED** - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

**X**      **AGREED**

- c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- **Retail Electricity Choice Activity Reports:** The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- **Reports of Gross Receipts:** Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- **The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.**

- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer\\_info/electricity/alternative\\_energy.aspx](http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx). Scroll down to the Net Metering Standards Section.
  
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(b)(4).
  
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 of the Public Utility Code, 66 Pa.C.S. Chapt. 28, pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa.C.S. § 2809(D) and 52 Pa. Code § 54.41(a). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa.C.S. §§ 4902, 4903, and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

**Must be notarized before filing.**

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

## 12. NEWSPAPER PUBLICATIONS

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

**All Applicants MUST include a Commission issued Docket Number in their publications.** Docket Numbers are issued to new applicants when an application packet is submitted to the PUC's Secretary's Bureau. **Newspaper publications published without a Commission issued Docket No. will be rejected.** For more information, see 52 Pa. Code § 54.35.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and **must be supplied to the Commission before the applicant is licensed.**

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News or Philadelphia Inquirer	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Newspaper Publication Templates are provided at Appendices K and L)

13. **SIGNATURE**

DATE OF DEPOSIT

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Applicant: 

By: Drake Welch

Title: Senior Vice President

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

**Applicant: Calpine Community Energy, LLC**

Applicant's Use	✓	<b>Signature</b>	
	✓	<b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b>	
	✓	<b>Application Affidavit</b>	
	✓	<b>Operations Affidavit</b>	
	✓	<b>Bond, Letter of Credit, or Parental/Affiliate Guarantee</b>	
	✓	<b>Tax Certification Statement</b>	
	✓	<b>Commonwealth Department of State Verification</b>	
	✓	<b>Certificate of Service</b>	

PUC Secretary's Bureau Use

Appendix A

DATE OF DEPOSIT

APPLICATION AFFIDAVIT

FEB - 5 2024

State of California:

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

ss.

County of San Diego

Drake Welch, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Senior Vice President (Office of Affiant) of Calpine Community Energy, LLC ("CCE");]

[That he/she is authorized to and does make this affidavit for said Applicant;]

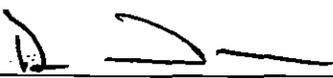
That the Applicant herein CCE has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa.C.S. § 2809 (b) and 52 Pa. Code § 54.37.

That the Applicant herein CCE has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein CCE acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein CCE acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

  
\_\_\_\_\_  
Signature of Affiant

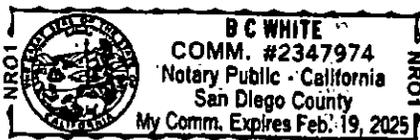
JURAT

State of California

County of San Diego

Subscribed and sworn to (or affirmed) before me on this 5<sup>th</sup> day of February, 2024, by Drake Welch, proved to me on the basis of satisfactory evidence to be the person who appeared before me.

*BC White*



A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

**Appendix B**

**OPERATIONS AFFIDAVIT**

**DATE OF DEPOSIT**

**FEB - 5 2024**

State of California:

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

ss.

County of San Diego

Drake Welch, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

[He/she is the Senior Vice President (Office of Affiant) of Calpine Community Energy, LLC ("CCE")  
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That CCE, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That CCE, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That CCE, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Code of 1971, 72 P.S. §§ 7101 et seq., and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa.C.S. § 506 (relating to the inspection of facilities and records).

As provided by 66 Pa.C.S. § 2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That CCE, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa.C.S. § 506, § 2807(c), § 2807(d)(2), § 2809(b) and the standards and billing practices of 52 Pa. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

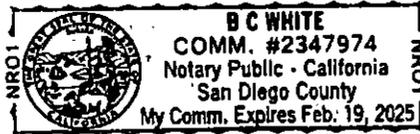
  
\_\_\_\_\_  
Signature of Affiant

**JURAT**

State of California  
County of San Diego

Subscribed and sworn to (or affirmed) before me on this 5<sup>th</sup> day of February, 2024, by Drake Welch, proved to me on the basis of satisfactory evidence to be the person who appeared before me.

*BC White*



A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

**DATE OF DEPOSIT**

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# ATTACHMENT A

**DATE OF DEPOSIT**

FEB - 5 2024

**PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU**

## OFFICER LIST - ATTACHMENT A

Name	Title	Address
Fallmer, Sean G.	President	401 West A Street, Suite 500 San Diego, CA 92101
Rauf, Zamir	Chief Financial Officer	717 Texas Avenue, Suite 1000 Houston, TX 77002
Kimzey, Bryan	Vice President	717 Texas Avenue, Suite 1000 Houston, TX 77002
Stephenson, Caleb	Vice President	717 Texas Avenue, Suite 1000 Houston, TX 77002
Malik, Kaiser	Vice President and Asst. Secretary	717 Texas Avenue, Suite 1000 Houston, TX 77002
Novotny, Andrew	Vice President	717 Texas Avenue, Suite 1000 Houston, TX 77002
Piper, Katherine	Corporate Secretary	717 Texas Avenue, Suite 1000 Houston, TX 77002
Huber, Jeffrey	Vice President & Controller	401 West A Street, Suite 500 San Diego, CA 92101
Koshkin, Jeff	Vice President	717 Texas Avenue, Suite 1000 Houston, TX 77002
Welch, Drake A.	Senior Vice President	401 West A Street, Suite 500 San Diego, CA 92101

DATE OF DEPOSIT

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



Linda De La Cerda  
lindade

Home

Search

Initial Forms

My Work Queue

My Records

Data Requests

Dashboard

Help

# Business Search

As of 01/29/2024 we have processed all business filings received in our office through 01/22/2024.

Business Search Info:

calpine com

Advanced

Results: 1

Filing Information	Initial Filing Date	SI
Calpine Community Energy, LLC (13494951)	06/09/2023	Ar

Calpine Community Energy, LLC (13494951)



File Amendment



Request Certificate

Initial Filing Date	06/09/2023
Status	Active
Formed In	DELAWARE
Foreign Name	Calpine Community Energy, LLC
Filing Type	Foreign Limited Liability Company
Filing Subtype	Limited Liability Company
Principal Address	401 W A ST STE 500 SAN DIEGO, CA 92101-7991
Mailing Address	401 W A ST STE 500 SAN DIEGO, CA 92101-7991
Registered Office	Corporation Service Company County: DAUPHIN



View History



Manage User Access

DATE OF DEPOSIT

FEB -5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



[Skip to main content](#)

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF 'CALPINE COMMUNITY ENERGY, LLC', FILED IN THIS OFFICE ON THE THIRD DAY OF JUNE, A.D. 2022, AT 8:14 O`CLOCK P.M.

DATE OF DEPOSIT

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



  
Jeffrey W. Bullock, Secretary of State

6837096 8100  
SR# 20222620563

Authentication: 203605179  
Date: 06-06-22

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

CERTIFICATE OF FORMATION  
OF  
LIMITED LIABILITY COMPANY

FIRST. The name of the limited liability company is Calpine Community Energy, LLC.

SECOND. The address of its registered office in the State of Delaware is 251 Little Falls Drive, County of New Castle, Wilmington, DE 19808. The name of its Registered Agent at such address is Corporation Service Company.

IN WITNESS WHEREOF, the undersigned have executed this Certificate of Formation of Calpine Community Energy, LLC this 3<sup>rd</sup> day of June, 2022.

BY: /s/Kelvin Chung  
Authorized Person(s)

NAME: Kelvin Chung  
(Type or Print Name)

DATE OF DEPOSIT

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 08:14 PM 06/03/2022  
FILED 08:14 PM 06/03/2022

SR 20222620563 - File Number 6837096

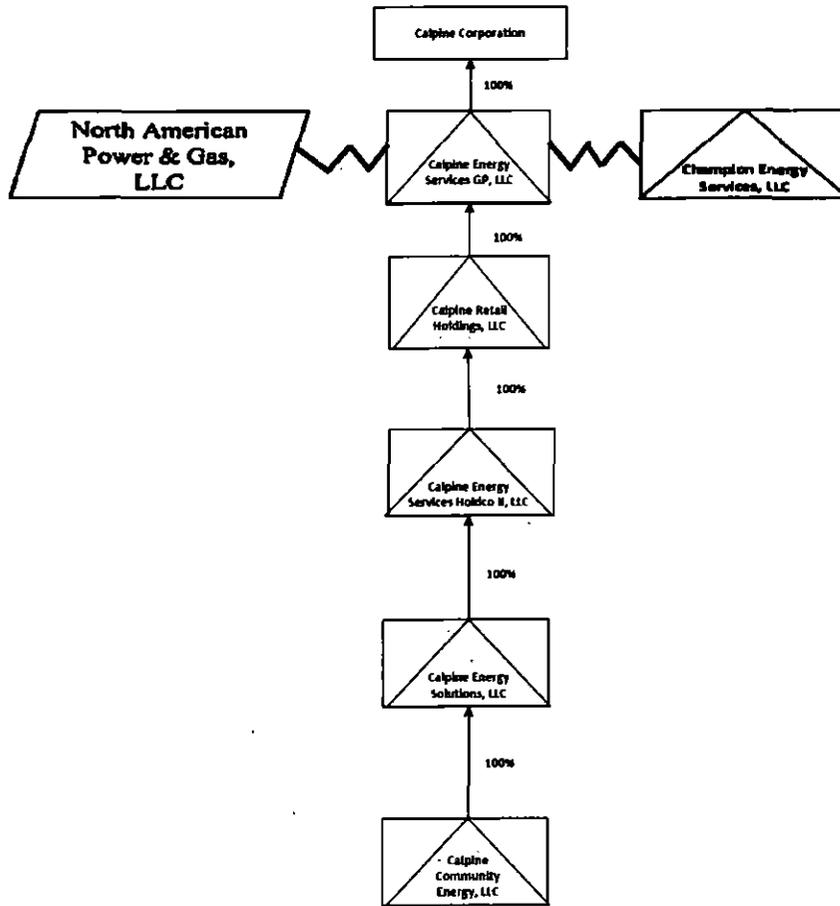
# ATTACHMENT 7b

DATE OF DEPOSIT

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

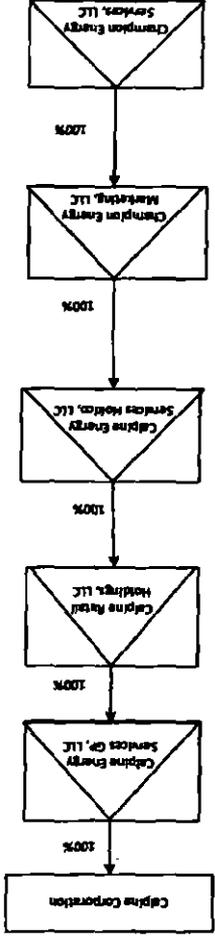
ATTACHMENT A



DATE OF DEPOSIT

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



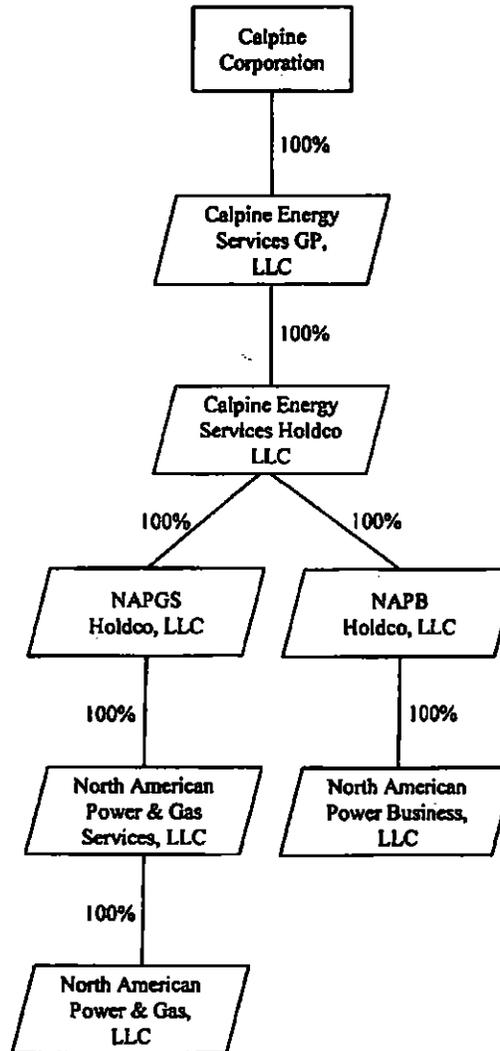
**North American Power and Gas, LLC – License No. 09-10-21  
Notice of License Update: Change in Corporate Structure**

**EXHIBIT A**

DATE OF DEPOSIT

FEB - 5 2024

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



# ATTACHMENT 8a

**DATE OF DEPOSIT**

**FEB - 5 2024**

**PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU**

STATE OF NEW HAMPSHIRE

COMMISSIONER  
Jared S. Chicoine

DEPUTY COMMISSIONER  
Christopher J. Ellms, Jr.



DEPARTMENT OF ENERGY  
21 S. Fruit St., Suite 10  
Concord, N.H. 03301-2429

TDD Access: Relay NH  
1-800-735-2964

Tel. (603) 271-3670

FAX No. 271-1526

Website:  
[www.energy.nh.gov](http://www.energy.nh.gov)

DATE OF DEPOSIT

June 20, 2023

FEB - 5 2024

Calpine Community Energy, LLC  
James M. Wood, President and CEO  
401 West A Street, Suite 500  
San Diego, CA 92101

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Re: **REG 2023-002** - Calpine Community Energy, LLC Registration as a Competitive Electric Power Supplier

Dear Mr. Wood:

On March 21, 2023, Calpine Community Energy, LLC (Calpine) filed an application to register as a competitive electric power supplier (CEPS). Calpine subsequently submitted additional information and materials to complete its application, including Electronic Data Interchange certificates and a surety bond in the amount of \$100,000. On June 2, 2023, Calpine submitted a request to amend their current application to now include the New Hampshire Electric Cooperative, Inc. (NHEC) franchise area. In their updated application, Calpine requests authority to operate in the franchise areas of Public Service Company of New Hampshire d/b/a Eversource Energy (Eversource), Liberty Utilities (Granite State Electric) Corp. d/b/a Liberty (Liberty), Unitil Energy Systems, Inc. (Unitil), and New Hampshire Electric Cooperative, Inc. (NHEC), and to provide service to residential, small commercial, large commercial and industrial customers. The application and additional information and materials demonstrate compliance with the requirements of N.H. Code Admin. Rules Puc 2003 and Puc 2006.01.

Under RSA 374-F:7, as amended effective July 1, 2021, the Department of Energy (Department) is now responsible for registration and regulation of CEPS and electric aggregators and for the adoption of related rules. The Department therefore is authorized to enforce the relevant provisions of the Puc 2000 rules, including those related to CEPS registration. *See* RSA 12-P:14.<sup>1</sup>

Pursuant to that statutory authority, and the applicable provisions of Puc 2003 and Puc 2006.01, I find that Calpine has met the requirements for registration as a CEPS.

<sup>1</sup> On July 1, 2021, the Department came into existence and many functions previously performed by the Public Utilities Commission (PUC) were transferred to the Department. Under RSA 12-P:14, "[e]xisting rules, orders, and approvals of the [PUC] which are associated with any functions, powers, and duties, transferred to the [Department] pursuant to RSA 12-P:11 or any other statutory provision, shall continue in effect and be enforced by the commissioner of the [Department] until they expire or are repealed or amended in accordance with applicable law." *See* 2021 N.H. Laws Chapter 91 (House Bill 2-FN-A-Local).

Accordingly, Calpine's application for registration as a CEPS authorized to operate in the franchise areas of Eversource, Liberty, Unitil and New Hampshire Electric Cooperative, Inc. (NHEC), and to provide service to residential, small commercial, large commercial and industrial customers, is approved for a three-year term beginning on April 20, 2023, and ending at the close of business on April 20, 2026. Pursuant to Puc 2003.02(a), Calpine must submit its renewal application at least 60 days prior to the expiration of the approved registration period, on or before February 19, 2026.

Please be aware that registered CEPS are subject to specific requirements contained in Puc 2000 - Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>. In particular, note that, under Puc 2003.03(e), if a CEPS's financial security instrument will not be renewed or extended beyond its expiration date, it must (1) provide written notice to the Department of such non-renewal or non-extension no less than 60 days prior to the expiration date, and (2) submit to the Department a replacement financial security instrument meeting the requirements of the rules no less than 30 days prior to the expiration date, and that, under Puc 2003.03(f), a CEPS that fails to comply with the requirement to file a replacement financial security instrument is subject to fines, suspension, or revocation pursuant to Puc 2005.

Please also note that each CEPS must input into a shopping comparison website, maintained by the Department, information regarding its standard pricing policies, charges, and key terms for residential and small commercial customers. This information must be updated whenever it changes, but no less frequently than once per month. These requirements are intended to comply with RSA 374-F:4-b, II and Puc 2004.03(a). Following its initial registration, each CEPS will be sent by electronic mail a link to the website through which it must input the required information.

In addition, all CEPS must comply with the renewable portfolio standard (RPS) requirements of RSA 362-F. The RPS obligation applies to every "provider of electricity," as defined in RSA 362-F:2, XIV, which includes registered CEPS. Please also refer to the Puc 2500 rules for further details regarding RPS compliance and reporting requirements. RPS compliance is on a calendar year basis and must be reported to the Department by July 1 of the subsequent calendar year.

Pursuant to RSA 378:49 and Puc 2004.05, all CEPS also must comply with the requirement to disclose environmental characteristics of the electricity they sell to retail customers.

Sincerely,

A handwritten signature in black ink, appearing to read "Jared S. Chicoine", with a long horizontal flourish extending to the right.

Jared S. Chicoine  
Commissioner



**Department of  
Public Service**

Three Empire State Plaza, Albany, NY 12223-1350  
www.dps.ny.gov

**Public Service Commission**  
**Rory M. Christian**  
Chair and  
Chief Executive Officer

**DATE OF DEPOSIT**

**FEB - 5 2024**

**PA PUBLIC UTILITY COMMISSION**  
**SECRETARY'S BUREAU**

**Diane X. Burman**  
**James S. Alesi**  
**Tracey A. Edwards**  
**John B. Howard**  
**David J. Valesky**  
**John B. Maggiore**  
Commissioners

April 13, 2023

Greg Bass  
Regulatory Director  
401 West A Street, Suite 500  
San Diego, CA 92101

Re: Calpine Community Energy, LLC – ESCO Code:

Dear Greg:

Thank you for submitting your Retail Access Application Package to become an Energy Services Company (ESCO) serving residential and non residential electric customers in New York State. This letter is to inform you that documentation submitted in your application package has been reviewed by Staff and established to be in compliance with the New York State Public Service Commission's ESCO eligibility requirements that are implemented by codes and regulations found in the Uniform Business Practices (UBP), the Home Energy Fair Practices Act (HEFPA) and other applicable regulations.

I am also pleased to notify you that your company has successfully met the Commission's electronic data interchange (EDI) phase I certification requirements for all utility rate ready transactions effective March 3, 2023. All requirements for this initial state of your eligibility determination has been satisfied.

Please be advised that you may now continue with the utility approval state of the eligibility process by contacting those companies in whose service territories you intend to participate. The utility stage normally consists of a creditworthiness determination, completion of EDI Phase III Testing and the execution of any operating or billing service agreements. Each utility's eligibility requirements pertain to only their retail access programs.

Once you have satisfactorily completed the utility eligibility stage, Staff will be notified by the utility of your success. Please notify Staff of the actual production date in the utility service territories you intend to enroll customers. At that point Staff will confirm your final eligibility requirements have been satisfied.

Before you begin marketing to, and enrolling residential customers, you must post rates to the New York Power to Choose website: <http://www.newyorkpowertochoose.com>. The UBP Section 2.D.4.d. requires that all ESCOs actively marketing to residential customers post offers with the Department on a monthly basis. Please visit the following link to register for an ESCO rates filer account: <http://www.dps.ny.gov/e-file/registration.html>. We will only post your company's contact information on our website once you have completed the eligibility process and are ready to begin enrolling customers.

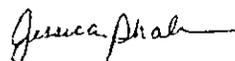
As an ESCO your organization may have an obligation for the purchase of Zero-Emissions Credits (ZECs) and Renewable Energy Credits (RECs) during the 2017 and future compliance years covered by the Public Service Commission's August 1, 2016 Order Adopting a Clean Energy Standard (CES). NYSERDA is the administrator of the CES and information about the CES and LSE obligations can be found on the NYSERDA website: <https://www.nyserda.ny.gov/All-Programs/Programs/Clean-Energy-Standard/REC-and-ZEC-Purchasers>. Email questions about the CES and LSE obligations to NYSERDA: [ces@nyserda.ny.gov](mailto:ces@nyserda.ny.gov).

Be advised, that to maintain your ESCO eligibility, you must notify Staff of any substantive legal, financial or business related changes to your company as they occur. If your business plan changes and you decide to expand your service offerings, you must immediately submit the appropriate documentation for Staff review and determination of how it affects your current eligibility (UBP Section 2.D.4). Also, if you decide to withdrawal your eligibility, an official letter should be sent to the Department.

In addition, please fully review the UBP Section 2, Eligibility Requirements. ESCOs are required to provide a list of entities, including contractors and sub-contractors, which will market to customers on behalf of the ESCO (UBP Section 2.B.1.n.). ESCOs are required to submit by January 31 of each year a statement that the information and attachments filed in its Retail Access Application Form are current; or provide the revisions to the application to Staff (UBP Section 2.D.1.a. and 2.D.1.b.). ESCOs shall file with the Secretary historic pricing data for residential customers served (UBP Section 2.D.3). **Every eligible ESCO must comply with all rules and regulations with the UBP.** The UBP can be viewed on our website at the following link: <http://dps.ny.gov/uniform-business-practices>

Please do not hesitate to contact me at (518) 473-2047 or [jessica.phalen@dps.ny.gov](mailto:jessica.phalen@dps.ny.gov) if you have any further questions or concerns.

Sincerely,



Jessica Phalen – Office of Consumer Services

**From:** Sprague, Brian  
**To:** Greg Bass; Bryan White  
**Cc:** Customer Registration Mailbox; Edgar Garcia  
**Subject:** NYISO Customer Approval - Calpine Community Energy, LLC  
**Date:** Friday, July 7, 2023 12:25:33 PM  
**Attachments:** image001.png

**EXTERNAL SENDER**

**Do not click links, open attachments or enter your ID/Password** unless you recognize the sender. **Consider the following before taking action:** Were you expecting this email? Can you verify the sender? Are the grammar and spelling correct? Does the content or request make sense?

Bryan and Greg,

Congratulations and Welcome to the New York Wholesale power markets!

This message will serve as initial notification that your application to become a Customer of the New York Independent System Operator has been approved. You are now able to fully participate in the New York electric energy markets in accordance with the Tariffs under which you have elected to take service.

If you are required to provide verification of NYISO approval to another party you may forward this message to that party. If written notification is required please contact us to make this special arrangement.

We invite you to become familiar with our web site <https://www.nyiso.com/>. It contains important information about the NYISO with links to the OASIS/MIS, billing data, NYISO Manuals, contact information, a calendar of events, training schedules, and other key market information. Please also take a moment to review the information regarding your organization in the NYISO MIS.

Your satisfaction is our number one priority. As a NYISO customer you have been assigned a representative to be your primary point of contact. Jason Baker will be contacting you shortly to review the above information. You will have direct access to your assigned representative and the Stakeholder Services Team for all your inquiries 24 hours a day, 7 days a week, 365 days a year. They may be reached at (518) 356-6060 or by sending an email to [stakeholder\\_services@nyiso.com](mailto:stakeholder_services@nyiso.com).

Thank you and we look forward to working with you.

Kind Regards,  
Brian



**Brian Sprague**  
Member Relations Liaison  
10 Krey Boulevard, Rensselaer, NY 12144  
Ph: 518-356-8587 | [www.nyiso.com](http://www.nyiso.com)

NEPOOL Participants  
Alpha by Voting Member  
Related Persons indented beneath

NAME OF PARTICIPANT	Generation Sector	Transmission Sector	Supplier Sector	AR Sector	Publicly-Owned Entity Sector	End User Sector	Prov. Memb Group Seal
ECP Companies							
Calpine Energy Services, LP	1						
Calpine Community Energy, LLC							
Calpine Energy Solutions, LLC							
Champion Energy Marketing, LLC							
North American Power and Gas, LLC							
Accelerate Renewables, LLC							
EDF Trading North America, LLC			1				
eKapital Investments LLC			1				
Elektrisola, Inc. (L)						1	
Eligo Energy, LLC			1				
Emera Energy Services Subsidiary No. 15 LLC			1				
Emera Energy Services Subsidiary No. 1 LLC							
Emera Energy Services Subsidiary No. 2 LLC							
Emera Energy Services Subsidiary No. 3 LLC							
Emera Energy Services Subsidiary No. 4 LLC							
Emera Energy Services Subsidiary No. 6 LLC							
Emera Energy Services Subsidiary No. 12 LLC							
Bear Swamp Power Company LLC							
NS Power Energy Marketing Incorporated							
Enel X North America, Inc. (LR Sub-Sector)				1			
Enel Trading North America, LLC							
Woods Hill Solar, LLC							
Energy Harbor LLC			1				
Energy Storage Resources, LLC				1			
Engelhart CTP (US) LLC			1				
ENGIE Energy Marketing NA, Inc.				1			
ENGIE Resources LLC							
Genbright, LLC							
MATEP LLC							
Environmental Defense Fund						1	
Eoch Energy LLC			1				
ETC Endure Energy, L.L.C.			1				
Eversource Energy Service Company		1					
Connecticut Light and Power Company, The							
NSTAR Electric Company							
Public Service Company of New Hampshire							
Eversource Energy Transmission Ventures, Inc. (Prov)							
BSW ProjectCo LLC							
North East Offshore, LLC							
First Point Power, LLC			1				
FirstLight Power Management LLC	1						
Freepoint Commodities LLC			1				
Galt Power Inc.			1				
Garland Manufacturing Company (S)						1	
Garland Power Company							
GBE Power Inc.			1				
<b>Generation Group Member</b>	1						
Berlin Station, LLC							
CS Berlin Ops, Inc.							
Paper Birch Energy, LLC							
Blackstone Hydro, Inc.							
Bridgewater Power Company, L.P.							
Energy Management, Inc.							
JGT2 Energy LLC							
Kendall Green Energy LLC							
Millennium Power Company, LLC							
Plainfield Renewable Energy, LLC							
Record Hill Wind LLC							
ReEnergy Stratton LLC							
Vineyard Reliability LLC							
Waterside Power, LLC							
Windham Energy Center LLC							

# ATTACHMENT 8e

## Key Technical Personnel

- 1) Stephanie Watanabe – Director of Operations
  - a. Stephanie has nearly 20 years of experience in the energy industry and has worked at Calpine Solutions as Director of Operations since 2014. In this role she is responsible for scheduling, forecasting, and intra-month optimization in PJM, NYISO, ISO-NE, and MISO. Prior to joining Calpine, Stephanie worked at Shell Energy North America where she held a variety of roles including Real Time Power Trader, Real Time Manager, and Retail (Commercial and Industrial) Gas and Power Sales.
- 2) Edgar Garcia – Senior Power Ops Analyst
  - a. Edgar has 20 years of experience in the energy industry, including 10 years of buying and selling energy on the wholesale market. He has worked in scheduling and forecasting for both MISO and PJM territories.

## Key Managerial Personnel

- 1) Drake Welch – Senior Vice President
  - a. Mr. Welch has over 25 years of utility billing experience, which includes utility invoicing, consolidated billing services and ESP invoicing. Most recently, Drake oversaw the implementation of supplier consolidated billing for several customers in the State of California. His most recent experience was obtained while working for Sempra Energy Information Solutions and San Diego Gas & Electric where he resolved commodity billing issues, performed statistical exception research and resolution, reconciled major accounts and performed rate, usage, and cost analysis reporting. Currently the Senior Vice President of Customer Care for Calpine Energy Solutions, LLC, Drake is in charge of existing commodity billing in all regions. His responsibilities included set up process, procedures and controls for all commodity invoicing.
- 2) Greg Bass – Regulatory Director
  - a. Greg has over 25 years of experience in the energy delivery business. His knowledge of the energy business is drawn from his direct experience with Direct Access operations in California, metering operations, meter data acquisition and system implementation, Direct Access customer enrollments, project management, demand-side management program development, power procurement, contract administration, and customer service. Prior to his service for Calpine Energy Solutions, LLC, he worked for Southern California Edison's ESP Services Division as an account manager for such notable ESPs as Enron Energy Services, New West Energy, Constellation New Energy, and other predominant California retail power providers. His knowledge and experience is also derived from his past work in the regulatory arena for both PacifiCorp and Southern California Edison.

3) **Paula White – Vice President**

- a. Paula has over 20 years energy industry experience. Working first as billing associate, then billing analyst, then commodity billing supervisor, her early career focused primarily on California, Oregon, and Texas account management. Paula joined the CCA management team in 2016 and has focused on operational growth and development, driving utility, technical, and control improvements. The CCA Operations Department consists of five teams that provide expertise in Billing, Rates & Tariffs, Utility Data, CCA Accounts, and Contact Center Solutions.

**DATE OF DEPOSIT**

**FEB - 5 2024**

**PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU**

## Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

### Example CERTIFICATE OF SERVICE

On this the 5th day of February 2024, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom or a USB flash drive, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of Small Business Advocate  
Forum Place  
555 Walnut Street, 1st Floor  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

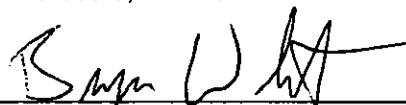
UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
1 UGI Drive  
Denver, PA 17517

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

FEB - 5 2024

DATE OF DEPOSIT

  
Bryan White, Sr. Reg. Comp. Analyst

