



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
P.O. BOX 3265, HARRISBURG, PA 17105-3265

Application Form for Registration as a Conservation Service Provider ("CSP") in the Commonwealth of Pennsylvania

- **Contents of Conservation Service Provider Registration Application Package**

- I. Registration Application. (Sections 1-5)
- II. Affidavit (Appendix A)
- III. Proof of Liability Insurance (Applicant required to attach) **DATE OF DEPOSIT**

- **Instructions**

APR 24 2024

- Filling out the Application and Labeling Attachments

PA Public Utility Commission
Secretary's Bureau

You may use the attached form to make your application. **(Remove the cover sheets prior to filing.)** If you need more space than is provided on this form or if you are attaching exhibits, state in the relevant section of the body of the application "**see attachment _**" or "**see exhibit _**". Be sure to **label all attachments and exhibits in correspondence with the relevant section of the application.** Lastly, be sure to answer all questions, including questions that may not be applicable.

Incomplete applications or those missing any attachments are unacceptable for filing and will be returned or delayed for processing until the required information is sent to the Commission's Secretary's Bureau.

- **Pertinent Legal Framework for Conservation Service Provider Registration**

Requirements for Conservation Service Providers, 66 Pa.C.S. §§ 2806.1(b)(1)(i)(E), 2806.1(m) & 2806.2.

Referenced law can be found on the Commission website, www.puc.pa.gov, under regulatory information. As well, Title 52 (Public Utilities of the Pa. Code is available online at www.pacode.com. Copies are available from Fry Communications at (717) 766-0211.

- **Change to Information Provided in Application**

The Applicant is under a duty to inform the Commission of a material change in the information provided in the application during the pendency of the application, or while the CSP is operating in Pennsylvania. For more information on what constitutes a material change and when such changes should be reported, use 52 Pa. Code § 54.34 as guidance.

- **Affidavit**

Applicant must complete and submit with this Application and any subsequent documentation submitted to the Commission, such as emails, letters, and written responses to Commission data requests, the attached Affidavit, which must be signed by the Affiant and signed and dated by the official administering the oath, that to the best of the Applicant's knowledge, information and belief that the facts set forth in this Application and all subsequent submissions are true and correct.

- **Fees**

The Applicant must submit a check payable to the Commonwealth of Pennsylvania with this Application. The fee for this Initial Application is \$125. Check should be made payable to the Commonwealth of Pennsylvania.

- **Filing & Signing of Application**

- Hard Copy Filing

To file a hard copy application (first-class mail or in person) with the Pennsylvania Public Utility Commission, **file an ink-signed and verified (via notarized application affidavit – Appendix A) original and one copy** of your entire application, along with any attachments, in person, by overnight delivery service or by first class mail, with your check (if applicable) with the Commission's Secretary's Office in Harrisburg, Pennsylvania at:

Filing In Person or Overnight Delivery:

**Pennsylvania Public Utility Commission
Secretary
Commonwealth Keystone Building,
2nd Floor Room 01
Harrisburg, PA 17120**

or

Filing by First-Class Mail:

**Pennsylvania Public Utility Commission
Secretary
P.O. Box 3265
Harrisburg, PA 17105-3265**

- Electronic Filing (e-filing)

To file an electronic version of the application, visit the Commission's e-filing page and follow the instructions. The page is located at <http://www.puc.pa.gov/efiling/default.aspx>. Also, see 52 Pa. Code Chapters 1 and 5.

IMPORTANT AFFIDAVIT NOTE – Affidavits must be notarized before they are e-filed.

- **Questions**

Questions pertaining to completion of this application may be directed to the Bureau of Technical Utility Services at the above address or you may call the Bureau at the following number: 717-783-5242.

- **Subcontractors**

Any third party individual or commercial entity that is awarded a contract by a registered CSP that is under a sub-contractual obligation to complete work related to an Electric Distribution Company's Act 129 Energy Efficiency and Conservation Plan, whose financial budgetary obligation equals or exceeds 10% of that registered CSP's contract with an Electric Distribution Company, IS required to obtain CSP registration. To confirm whether CSP registration is required under this sub-contractual obligation, the individual or commercial entity should seek the advice of the registered CSP.

- **Confidentiality**

All information disclosed within this application is considered public information unless specifically labeled confidential. You have the responsibility to disclose to the Secretary's Bureau what is privileged or confidential information not otherwise available to the public. You should **submit one copy of all confidential information, on documents stamped "CONFIDENTIAL" at the top in clear and conspicuous letters, in a separate envelope (but still attached to the application) to the Secretary's Office along with the Application.** For more information, see 52 Pa. Code § 54.32(f).

If you have e-filed your application, separately mail in any confidential information specifically identifying that you have e-filed the application. Be sure to specify the Applicant's name, **and provide the e-filing confirmation page.**

COVER PAGES END. PLEASE REMOVE BEFORE FILING.

**CONSERVATION SERVICE PROVIDER (“CSP”) APPLICATION
FOR REGISTRATION IN THE COMMONWEALTH OF PENNSYLVANIA**

Conservation Service Providers (“CSPs”) have a specific role under Act 129, which requires a covered Electric Distribution Company’s Energy Efficiency and Conservation (EE&C) plan to include one or more CSPs to implement at least a portion of the EE&C Plan. 66 Pa.C.S. § 2806.1(b)(1)(i)(E). CSPs provide information and technical assistance to EDCs on *measures* that enable a person to increase energy efficiency or reduce energy consumption. CSPs must have no direct or indirect ownership, partnership or other affiliated interest with an EDC. 66 Pa.C.S. § 2806.1 (m). Only registered CSPs may advise an EDC and/or provide consultation, design, or administration or management services to an EDC related to the implementation of the EDC’s EE&C Plan. As such, registration of business entities as approved CSPs is required before entering into a contractual agreement with an EDC exclusively for the provision of consultation, design, administration, management or advisory services regarding that EDC’s EE&C plan. This registry is not intended as a resource for business, whose sole purpose is the installation of measures, supplying of equipment or other contracting work for use by the general public and EDC customers. If providing such services, registration as a CSP is not required for entering into an agreement with an EDC.

Any CSP subcontractor with an annual contract cost that equals or exceeds 10% of the CSP annual contract cost and is directly performing services pursuant to a contract with a CSP which has contracted with an EDC after Commission approval should also register as a CSP. This does not include third party contractors which participate in or support an EE&C Plan but are not directly contracted with a CSP which has a direct contractual relationship with the EDC subject to Act 129.

To qualify an applicant must have at least two years of experience in providing program consultation, design, administration, management or advisory services related to energy efficiency and conservation services. CSP registration is not required of entities that limit their services to the installation of energy efficiency measures or the provision of equipment or materials to EDC customers or the public in general.

1. IDENTITY OF THE APPLICANT

a. Legal Name of Applicant:

Resource Innovations, Inc.

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.¹

See Attachment A – Proof of Compliance.

¹ A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant’s Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant’s Pa. Dept. of State registration is “active.” Certified copies of Pa. Dept. of State documents are not required.

b. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a)—List all that apply.

The Applicant will be using a fictitious name or doing business as (“d/b/a”). Identify names below. If more space is needed, list names on the back of this page or append list to completed application.

The Applicant will not be using a fictitious name.

c. Applicant Address:

Corporate Office/Headquarters: 719 Main Street, Half Moon Bay, CA 94019

d. Applicant Telephone No:

(415) 369-1000

e. Applicant Email Address:

RI.BD@resource-innovations.com

f. Contact Information for Applicant. PLEASE NOTE: Upon approval of this application, this Contact Information will be listed on the Commission’s CSP Registry.

▪ Name

Will Walker, Sales Principal

▪ Mailing Address

**400 North Michigan Avenue, Suite S600
Chicago, Illinois 60611**

▪ Telephone

(503) 559-7599

▪ Email Address

RI.BD@resource-innovations.com

g. Predecessor(s) & Other Names used by Applicant for past five (5) years of date of this application. Provide Name(s), Address(es) and Telephone No.(s).

- Check Box if any Predecessor(s) is currently or was previously registered in Pennsylvania as a CSP. If affirmative, please provide Docket No(s). (A-[Year]-[Seven (7) Digits]) and names for all registered CSPs.

Docket No. A-2009-2103252

Applicant Name: Nexant, Inc.

(Note: Resource Innovations acquired Nexant, Inc. in May 2021.)

h. Parent & Subsidiary Companies & Affiliates:

- Parent Name and Contact Information. Provide name and contact information for parent company. Check Box if any parent company is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

Parent Company:

North Haven RI Topco, LP

1535 Broadway

New York, NY 10036

None

- Subsidiaries and Contact Information. Provide name and contact information for all subsidiary companies. Check Box if any subsidiary is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

Subsidiaries:

1) Resource Innovations Canada, Inc.

Address: TD Canada Trust Tower

161 Bay Street, Suite 2765

Toronto, ON M5L 1B9

Phone: (416) 572-2764

2) TechniArt, Inc.

Address: 41 Bridge Street

Collinsville, CT 06022

Phone: (860) 693-2450

- Affiliate(s) and Contact Information.** Provide name and contact information for all affiliate companies. Check Box if any affiliate is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

None

i. **Contracts & Business Partnerships:**

- Check Box if Applicant intends to or has operated under contract with or has partnered with an EDC within the past five (5) years. If "None," do not check the box and answer "None" below.
- Check Box if Applicant intends to or has operated under contract (subcontractor) with or has partnered with a CSP within the past five (5) years. If "None," do not check the box and answer "None" below.

If any box above is checked, please provide name(s) of EDC(s) and CSP(s) and contact information for each and briefly describe the nature of business services associated with each contract and/or partnership.

Duquesne Light Company
CSP for Phase III DLC Industrial Efficiency Program
Contact: Dave Defide, ddefide@duqlight.com, 412-335-1199

- 1. Resource Innovations' acquired company, Nexant, partnered with Duquesne Light Company as a CSP providing Industrial Program delivery services to align with their energy efficiency / conservation plan.**
- 2. Nexant partnered with PECO Energy Co. to provide customer service, call center, and energy advisor support for the Smart Ideas Programs. Nexant also provided PECO and Exelon with grid software / support (to analyze power optimization, fault, general state, etc.).**
- 3. Until withdrawing from the partnership in 2015, Nexant was a subcontractor to GDS on the Pennsylvania Statewide Evaluator (SWE) contract administered by the PA PUC.**

- j. Identify principal officers (owners, executives, partners and/or directors), as appropriate for Applicant's organizational structure. Provide an organizational chart and the names, titles, business addresses and telephone numbers for each office.

Resource Innovations Leadership Team

- **Lauren Casentini, Chief Executive Officer**
- **Catherine Carhart, Chief Technology Officer and Chief Information Officer**
- **Tim Mabler, Chief Operating Officer and Chief Financial Officer**
- **Shaun Dentice, Chief Commercial Officer**
- **Jennifer Ballard Croft, Chief People Officer and General Counsel**
- **Alex Black, Chief of Staff**

See Attachment B – Leadership Team Org Chart.
See Attachment C – Office Locations.

- k. Attach to this Application a brief biography or single page professional resume for all principal officers and management directly responsible for Applicant's operations.

See Attachment D - Leadership Team Bios.

- l. Provide Applicant's Employer Identification No. (EIN): **94-3342927**

2. ***REGISTERED AGENT***

- a. If the Applicant does not maintain a principal office in the Commonwealth, the Applicant is required by the Pennsylvania Department of State to designate an approved Registered Agent as its representative in the Commonwealth. Check one of the Boxes below, as applicable:

- YES, the Applicant has registered its business with the Pennsylvania Department of State. Following is the Name and Contact information for the Applicant's Department-Approved Registered Agent.**

- Registered Agent's Name

Corporation Service Company

- Registered Agent's Mailing Address

**2595 Interstate Drive, Suite 103
Harrisburg, PA 17110**

- Registered Agent's Telephone

(800) 622-2300

- Registered Agent's Email Address

None

- NO, the Applicant has not registered its business with the Pennsylvania Department of State. **STOP**—To avoid denial of your application and forfeiture of your application fee, you should contact the Pennsylvania Department of State Bureau of Corporations to register as a business entity within the Commonwealth **PRIOR TO** completion and filing of this application with the Pennsylvania Public Utility Commission.

b. Applicant has registered its business with the Pennsylvania Department of State. Please check appropriate registration type for Applicant as designated with the Department.

- Sole proprietor (15 Pa. C.S. §4124)
- Domestic corporation (none)
- Domestic general partnership (15 Pa. C.S. §4124)
- Domestic limited liability company (15 Pa. C.S. §8913)
- Domestic limited liability partnership (15 Pa. C.S. §8201)
- Foreign corporation (15 Pa. C.S. §4124)**
- Foreign general or limited partnership (15 Pa. C.S. §4124)
- Foreign limited liability company (15 Pa. C.S. §8981)
- Foreign limited liability general partnership (15 Pa. C.S. §8211)
- Foreign limited liability limited partnership (15 Pa. C.S. §8211)

c. If Applicant is not domiciled in the Commonwealth of Pennsylvania and is registered as a "foreign" entity as identified in Question 2.b., please identify all other states where applicant is registered and name the appropriate state department(s):

Other states where Resource Innovations is registered to do business and the state departments are as follows:

Alabama	Secretary of State
Arizona	Corporation Commission, Corporations Division
Arkansas	Secretary of State
California	Secretary of State

Colorado	Secretary of State
Connecticut	Secretary of State
Delaware	Secretary of State
District Of Columbia	Dept. of Consumer and Regulatory Affairs, Bus. and Prof. Lic. Admin., Corporations Division
Florida	Department of State
Georgia	Secretary of State
Hawaii	Dept. of Commerce and Consumer Affairs
Idaho	Secretary of State
Illinois	Secretary of State
Indiana	Secretary of State
Iowa	Secretary of State
Kansas	Secretary of State
Kentucky	Secretary of State
Louisiana	Secretary of State
Maryland	State Dept. of Assess. and Taxation
Massachusetts	Secretary of the Comm.
Michigan	Securities & Licensing Division
Minnesota	Secretary of State
Missouri	Secretary of State
Nevada	Secretary of State
New Jersey	Dept. of Treasury
New York	Dept. of State, Division of Corp.
North Carolina	Secretary of State
North Dakota	Secretary of State
Ohio	Secretary of State
Oklahoma	Secretary of State
Oregon	Secretary of State

South Carolina	Secretary of State
Tennessee	Dept. of State
Texas	Secretary of State
Utah	Dept. of Commerce
Virginia	State Corp. Comm'n
Washington	Secretary of State
Wisconsin	Dept. of Financial Institutions
Wyoming	Secretary of State

3. **APPLICANT'S OPERATIONS**

Respond to each of the following four questions relating to Applicant's operations and its technical and financial fitness. Respond to each item and attach any additional information and/or statements to this Application as appropriate.

a. Describe nature of business:

Resource Innovations is an energy transformation firm. Women-led, purpose built, and driven by diversity, we're expanding on our expertise in demand-side management and energy efficiency with new capabilities to deliver a more sustainable and equitable future. For customers and communities across North America, we bring a fundamentally different approach to the challenge.

We offer a range of services designed to help our clients achieve their sustainability goals. Our advisory services, solutions delivery, decarbonization, and software and analytics offerings are built around our deep expertise in energy efficiency, delivering results that mark real progress in the clean energy transition.

b. Current status of business (check one):

- Applicant is presently doing business in Pennsylvania**
- Applicant is presently not doing business in Pennsylvania**

c. The Applicant proposes to provide the following information and technical services to an EDC. Check all services that apply and identify all EDCs with whom Applicant intends to conduct business.

- Consultation** EDCs:
- Design** All Eleven (11) EDCs identified below
- Administration** All Eleven (11) EDCs identified below
- Management** All Eleven (11) EDCs identified below
- Advisory** All Eleven (11) EDCs identified below

1. **Citizens' Electric Company**
2. **Duquesne Light Company (DQE)**
3. **PPL Electric Utilities**
4. **PECO Energy Company – An Exelon Company**
5. **Pike County Light & Power Company – A subsidiary of Corning Natural Gas Holding Corp.**
6. **UGI Utilities, Inc.**
7. **Wellsboro Electric Company**
8. **Penn Power – A FirstEnergy Company**
9. **Met-Ed – A FirstEnergy Company**
10. **Penelec – A FirstEnergy Company**
11. **West Penn Power – A FirstEnergy Company**

- d. Attach to this Application a copy of any certification(s) or similar documentation that would demonstrate the technical fitness of Applicant, such as membership in trade associations, professional licenses, technical certifications, and/or names of current or past clients with a description of dates and types of services provided by Applicant.

See Attachment E – Technical Fitness.

- e. Attach to this Application proof of current liability insurance coverage.

See Attachment F – Current Liability Insurance Coverage.

4. **COMPLIANCE**

Respond to each of the following Questions. Provide a statement as to the resolution or present status of any such proceedings, if applicable.

- a. In the past three years has the Applicant, the parent company, an affiliate or predecessor or partner (contractor/subcontractor business relationship) of the Applicant, or any person identified by the Applicant in this Application, been investigated or convicted of a crime involving fraud, theft, larceny, deceit, violation of consumer protection law, violation of deceptive trade law or similar activity, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent?

- No
 Yes. Provide explanation:

- b. Is the Applicant, the parent company, an affiliate, or a predecessor of either, currently delinquent with any state or federal taxing authority?

- No
 Yes. Provide explanation:

- c. Identify all bankruptcy or liquidation proceedings for the prior three years. If none, state "None" below.

None

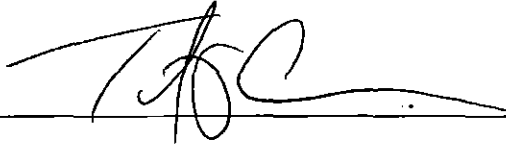
- d. Identify all customer complaints filed with a regulatory or prosecutor agency for the prior three years of the date of this application. In none, state "None" below.

Customer complaints for a program offered in the tri-state area has been filed with the Attorney General's office which have all been resolved without further inquiry.

5. **FALSIFICATION**

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

Signature of Principal Official: _____



Official's Name & Title : Tim Mahler, Chief Operating Officer and Chief Financial Officer
(Please Print)

Date : 04/17/2024

APPENDIX A - AFFIDAVIT

[Commonwealth/State] of Illinois _____ :

_____ : ss.

County of Cook _____ :

Tim Mahler _____, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Chief Operating Officer and Chief Financial Officer (Office of Affiant) of Resource Innovations, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Resource Innovations, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be registered as a conservation service provider pursuant to Act 129 of 2008.

That the Applicant herein Resource Innovations, Inc. acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That the Applicant herein Resource Innovations, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

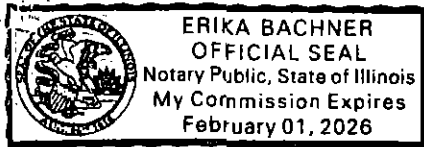
That the Applicant herein Resource Innovations, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.


That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 17th day of April, 2024.





Signature of official administering oath


My commission expires: February 1, 2026 .



As proof of compliance with Pennsylvania Department of State filing requirements, Resource Innovations is registered and listed on the Pennsylvania Department of State website. The Resource Innovations company listing is available through business entity search at <https://file.dos.pa.gov/search/business> to view the following information. If further information is desired, please contact us and additional details can be provided.

Business Search

As of 03/11/2024 we have processed all business filings received in our office through 03/04/2024.

Business Search Info: 

Resource Innovations 

Advanced 

Results 1

Filing Information 	Initial Filing Date 	Status 	Entity Type 	Formed In 	Address 
RESOURCE INNOVATIONS, INC. (3286135) 	02/24/2005	Active	Foreign Business Trust	DELAWARE	Corporation Service Company

History

Collapse All

Amendment of Foreign Registration Statement - 8/13/2021			
Amendment Type	Field Name	Changed From	Changed To
Amendment of Foreign Registration Statement Control ID 0010943243 Date 8/13/2021 Effective After 8/13/2021	Filing Name		RESOURCE INNOVATIONS, INC.
Amendment of Foreign Registration Statement - 5/10/2016			
Amendment Type	Field Name	Changed From	Changed To
Amendment of Foreign Registration Statement Control ID 0010943243 Date 5/10/2016 Effective After 5/10/2016	Filing Name		NEXANT, INC.
Initial Filing - 2/24/2005			
Amendment Type			
Initial Filing Control ID 0003286135 Date 2/24/2005 Effective After 2/24/2005			



LAUREN CASENTINI
Chief Executive Officer



TIM MAHLER
COO/CFO



CATHERINE CARHART
CTO/CIO



SHAUN DENTICE
Chief Commercial
Officer



JENNIFER BALLARD
CROFT
Chief People Officer and
General Counsel



ALEX BLACK
Chief of Staff

Resource Innovations Office Locations

Resource Innovations has 16 offices and four distribution centers throughout North America, with company headquarters in Half Moon Bay, California.

Offices	State	Address	Phone
Boulder (Louisville)	CO	1025 Cannon Street, Suite 200 Louisville, CO 80027	303-402-2480
Chandler	AZ	3100 West Ray Rd, Suite 230 Chandler, AZ 85226	480-768-6984
Chicago	IL	400 North Michigan Avenue, Suite 600 Chicago, IL 60611	872-272-6614
Collinsville	CT	41 Bridge Street Collinsville, CT 06019	860-693-2450
Des Moines	IA	1312 Locust Ave, Suite 200 Des Moines, IA 50309	515-280-0719
Half Moon Bay	CA	719 Main Street, Suite A Half Moon Bay, CA 94019	408-813-7633
Madison	WI	1232 Fourier Drive, Suite 125 Madison, WI 53717	608-824-1257
Salt Lake City	UT	5101 S. Commerce Dr. Suite 200 Salt Lake City, UT 84107	801-290-4263
Co-Work / Shared Space			
St. Louis	MO	401 Pine St., St. Louis, MO 63102	618-225-1049
Oak Brook	IL	700 Commerce Drive, Suite 500 Oak Brook, Illinois 60523	630-480-8148,
Jacksonville	FL	4651 Salisbury Rd Suite 400 Jacksonville, FL 32256	904-861-7422
Atlanta	GA	5815 Windward Parkway, Suite 302 Alpharetta, GA 30005	678-836-7759
Peoria	IL	300 Liberty St., 4th Fl. Peoria, IL 61602	309-253-7100
Toronto	ON	TD Canada Trust Tower, 161 Bay St. Suite 2765 Toronto, Ontario, M5J 2 S1 Canada	416-572-2327
Cary	NC	2500 Regency Parkway Cary, NC 27518	404-803-0553

Offices	State	Address	Phone
Durham	NC	555 S. Mangum Street, Suite 100 Durham, NC 27701	919-334-7659

Resource Innovations Distribution Centers

Offices	State	Address	Phone
Farmington	CT	1451 New Britain Ave Farmington, CT 06032	860-919-7069
Green Brook	NJ	205 US Hwy 22 Green Brook, NJ 08812	732-208-0025
Lemon Grove	CA	6420 Federal Blvd Lemon Grove, CA 91945	619-708-5102
Kent	WA	18866 72nd Ave S Kent, WA 98032	206-579-6928



Lauren Casentini

Chief Executive Officer

Lauren Casentini has been at the forefront of transforming the energy landscape for over three decades, leading some of the first and most successful clean energy programs in the nation. As Founder and CEO of Resource Innovations, and former executive and board member for the largest energy efficiency firm in the U.S., she's changing how utilities navigate the clean energy transition. With a proven track record of innovation, scale, and impact, Lauren has been recognized four times on the Inc. 5000 list of fastest-growing private companies, a recognition awarded to less than 6% of companies.



Catherine Carhart

Chief Technology Officer and Chief Information Officer

Catherine brings 30 years of specialization in software development and IT management in mission-driven organizations to her role at Resource Innovations. Catherine provides strategic direction for software development, security and technology infrastructure, and new technology solutions for internal operations, energy tracking, and management. Catherine has combined her analytic and business expertise in all aspects of her varied career, including her operation of an organic farm after selling her previous software company.



Tim Mahler

Chief Operating Officer and Chief Financial Officer

Tim brings more than three decades of experience in clean energy, real estate, and construction to Resource Innovations, where he builds and leads effective, multidisciplinary teams in operations and finance. Tim has a proven track record of delivering growth in startup, turnaround, disrupted, and high-growth environments through organic expansion and strategic M&A. Prior to joining Resource Innovations, he helped drive the clean energy transition with leadership positions at Harvest Solar and CLEAResult.



Shaun Dentice

Chief Commercial Officer

Shaun spearheads growth and strategy at Resource Innovations, which includes corporate development, product development, market strategy, and marketing. With more than 20 years of energy industry experience in operations, leadership, and business development, he brings a passion

for sustainability and innovation to every client relationship. A former board member of the Midwest Energy Efficiency Alliance, he has demonstrated his success in leading operational excellence while growing effective teams that drive impact.



Jennifer Ballard Croft

Chief People Officer and General Counsel

As CPO, Jennifer directs all people functions, aligning human resources, recruiting, and DE&I strategies to the company's guiding pillars. As General Counsel, she provides legal guidance on potential mergers and acquisitions, risk management, contract negotiations, board matters, and dispute resolution. A Leadership Greater Chicago Fellow since 2019, Jennifer is proud to combine her business experience and legal expertise to deliver a measurable impact on the future of energy.



Alex Black

Chief of Staff

Alex leads the delivery of strategic organizational management planning and initiatives. A licensed attorney and certified project management professional with more than 15 years of experience, she is committed to driving efficiency and effectiveness across all levels of the organization. Alex was a 2023 Impact Fellow with the prestigious University of Chicago Booth School of Business and Chicago Urban League Impact Leadership Development Program.

Staff Certifications

Resource Innovations has highly trained and experienced staff. Our staff hold several professional certifications, including American Institute of Certified Planners professional (AICP), Building Operator Certification (BOC), Building Performance Institute (BPI) certified professionals, Certified Demand Side Manager (CDSM), Certified Energy Auditor, Certified Energy Manager (CEM), Certified Measurement & Verification Professional (CMVP), Certified Practitioner in Energy Management Systems (CPEnMS), Energy Manager in Training (EMIT), Engineer in Training (EIT), Leadership in Energy and Environmental Design (LEED) Accredited Professional, LEED Green Associate, Professional Engineer (PE), Project Management Professional (PMP), Qualified Commissioning Process Provider (QCxP), and Residential Energy Services Network (RESNET) certified professional, as examples.

Professional and Business Association Memberships

Resource Innovations is an active participant in the energy industry both through extensive project work and participation in relevant energy and engineering associations. Resource Innovations and our staff hold memberships in a variety of national and regional industry organizations, including:

- American Council for an Energy-Efficient Economy (ACEEE)
- American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE)
- Association of Energy Engineers (AEE)
- Association of Energy Service Professionals (AESP)
- Climate Registry
- Midwest Energy Efficiency Alliance (MEEA)
- National Association of State Energy Offices (NASEO)
- North American SEM Collaborative
- Peak Load Management Alliance
- Project Management Institute (PMI)
- Residential Energy Services Network (RESNET)
- Southeast Energy Efficiency Alliance (SEEA)
- U.S. Green Building Council (GBCI)
- Southwest Energy Efficiency Project (SWEEP)
- Southeast Energy Efficiency Alliance (SEEA)

AGENCY CUSTOMER ID: _____
LOC #: _____



ADDITIONAL REMARKS SCHEDULE

AGENCY Willis Towers Watson Northeast, Inc.		NAMED INSURED Resource Innovations, LLC 719 Main St., Suite A Half Moon Bay, CA 94019	
POLICY NUMBER See Page 1		EFFECTIVE DATE: See Page 1	
CARRIER See Page 1	NAIC CODE See Page 1		

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM.
FORM NUMBER: 25 **FORM TITLE:** Certificate of Liability Insurance

INSURER AFFORDING COVERAGE: Indian Harbor Insurance Company **NAIC#:** 36940
POLICY NUMBER: MTE9046293 00 **EXP DATE:** 04/30/2023 **EXP DATE:** 04/30/2024

TYPE OF INSURANCE:	LIMIT DESCRIPTION:	LIMIT AMOUNT:
Excess Cyber E&O	\$5,000,000 xs of	\$5,000,000

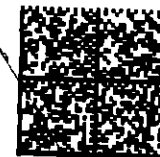
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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



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