



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET, HARRISBURG, PA 17120

BUREAU OF  
INVESTIGATION  
&  
ENFORCEMENT

July 1, 2024

**Via Electronic Filing**

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120

Re: Pennsylvania Public Utility Commission,  
Bureau of Investigation and Enforcement v.  
J J Serafin, Inc. T/A AAAA Limo & AAAA Transit  
Docket No. C-2024-  
**I&E Formal Complaint**

Dear Secretary Chiavetta:

Enclosed for electronic filing please find the Formal Complaint of the Bureau of Investigation and Enforcement of the Pennsylvania Public Utility Commission in the above-referenced matter.

Copies are being served on the parties of record in accordance with the attached Certificate of Service.

Should you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in blue ink, appearing to read 'G. Rosul', written over a light blue horizontal line.

Grant Rosul  
Prosecutor  
Bureau of Investigation and Enforcement  
PA Attorney ID No. 318204  
(717) 783-5243  
[grosul@pa.gov](mailto:grosul@pa.gov)

GR/ac  
Enclosures

cc: Michael L. Swindler, Deputy Chief Prosecutor, I&E-Enforcement (*via email*)  
As per Certificate of Service

## NOTICE

A. You must file an Answer within twenty (20) days of the date of service of this Complaint. The date of service is the date as indicated at the top of the Secretarial Letter. *See* 52 Pa. Code § 1.56(a). The Answer must raise all factual and legal arguments that you wish to claim in your defense, include the docket number of this Complaint, and be verified. Pursuant to *Suspension of Regulatory and Statutory Deadlines; Modification to Filing and Service Requirements*, Docket No. M-2020-3019262 (Emergency Order ratified on March 20, 2020), you must eFile your Answer using the Commission's website at [www.puc.pa.gov](http://www.puc.pa.gov). The link to eFiling is located under the Filing & Resources tab on the homepage.

**Additionally, please electronically serve a copy on:**

Grant Rosul, Prosecutor  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
[grosul@pa.gov](mailto:grosul@pa.gov)

B. If you fail to answer this Complaint within twenty (20) days, the Bureau of Investigation and Enforcement will request that the Commission issue an Order imposing the civil penalty and other requested relief.

C. You may elect not to contest this Complaint by paying the civil penalty within twenty (20) days and performing the corrective actions set forth in the requested relief. A certified check, cashier's check or money order should be payable to the "Commonwealth of Pennsylvania" and mailed to:

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120

Your payment is an admission that you committed the alleged violations and an agreement to cease and desist from committing further violations. Upon receipt of your payment, the Complaint proceeding shall be closed.

D. If you file an Answer, which either admits or fails to deny the allegations of the Complaint, the Bureau of Investigation and Enforcement will request the Commission to issue an Order imposing the civil penalty and granting the requested relief as set forth in the Complaint.

E. If you file an Answer which contests the Complaint, the matter will proceed before the assigned presiding Administrative Law Judge for hearing and decision. The Judge is not bound by the penalty set forth in the Complaint, and may impose additional and/or alternative penalties as appropriate.

F. If you are a corporation, you must be represented by legal counsel. 52 Pa. Code § 1.21.

G. Alternative formats of this material are available for persons with disabilities by contacting the Commission's ADA Coordinator at (717) 787-8714.

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Pennsylvania Public Utility Commission,	:	
Bureau of Investigation and Enforcement,	:	
Complainant	:	
	:	
v.	:	Docket No. C-2024-
	:	
J J Serafin, Inc.	:	
T/A AAAA Limo & AAAA Transit,	:	
Respondent	:	

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**FORMAL COMPLAINT**

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NOW COMES the Pennsylvania Public Utility Commission’s (“Commission”), Bureau of Investigation and Enforcement (“I&E”), by its prosecuting attorneys, pursuant to Section 1101 of the Pennsylvania Public Utility Code (“Code”), 66 Pa.C.S. § 1101, and files this Formal Complaint against J J Serafin, Inc. T/A AAAA Limo & AAAA Transit (“J J Serafin” or “Respondent”) alleging violations of 66 Pa.C.S. § 1101 requiring that common carriers of persons by motor vehicles possess a certificate of public convenience, and Commission regulation at 52 Pa. Code § 29.12. In support of its Complaint, I&E respectfully represents the following:

**I. PARTIES AND JURISDICTION**

1. The Pennsylvania Public Utility Commission (“Commission”), with a mailing address of 400 North Street, Harrisburg, PA 17120, is a duly constituted agency of the Commonwealth of Pennsylvania authorized and obligated by Section 501(a) of the Code, 66 Pa.C.S. § 501(a), to execute and enforce the provisions of the Code.

2. Complainant's prosecuting attorneys are as follows:

Grant Rosul  
Prosecutor  
[grosul@pa.gov](mailto:grosul@pa.gov)  
(717) 783-5243

Michael L. Swindler  
Deputy Chief Prosecutor  
[mwindler@pa.gov](mailto:mwindler@pa.gov)

Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120

3. Section 701 of the Code, 66 Pa.C.S. § 701, authorizes the Commission to, *inter alia*, hear and determine complaints alleging a violation of any law or regulation that the Commission has jurisdiction to administer.

4. Section 3301 of the Code, 66 Pa.C.S. § 3301, authorizes the Commission to impose civil penalties on any public utility or on any other person or corporation subject to the Commission's authority for violations of the Code, the Commission's regulations, or both. Section 3301 allows for the imposition of a fine for each violation and each day's continuance of such violation(s). 66 Pa.C.S. § 3301.

5. Respondent is a "common carrier by motor vehicle" as that term is defined in Pa.C.S. § 102 as it is engaged in "holding out, offering, or undertaking, directly or indirectly, service for compensation to the public for the transportation of passengers or property, or both, or any class of passengers or property, between points within this Commonwealth" by motor vehicle.

6. Respondent is a “public utility” as that term is defined at 66 Pa.C.S. § 102 as it is engaged in transporting passengers or property as a common carrier, with its principal place of business at 14 Dennison Street, Forty Fort, PA 18704.

7. Respondent, as a common carrier by motor vehicle, is subject to the power and authority of the Commission pursuant to Section 501(c) of the Code, 66 Pa.C.S. § 501(c), which requires a public utility to comply with Commission regulations and orders.

8. Pursuant to the provisions of the applicable Commonwealth statutes and regulations, the Commission has jurisdiction over the subject matter of this Complaint and the actions of Respondent related thereto.

## **II. BACKGROUND**

9. On July 29, 1993, Respondent was issued a certificate of public convenience to provide limousine service at A-00110791 and a certificate of public convenience to provide paratransit service at A-00110791F00002.

10. On July 11, 2023, the Commission informed J J Serafin that the Company had not complied with a May 18, 2023, Tentative Order from the Commission, docketed at M-2023-3040348, to provide acceptable evidence of insurance within 30 days of the entry of the order (i.e. by July 1, 2023). The letter further informed J J Serafin that its certificates of public convenience at A-00110791 and A-00110791F00002 were cancelled for non-compliance with the insurance requirements set forth in 66 Pa.C.S. § 512. I&E Exhibit 1.

11. On November 2, 2023, Motor Carrier Enforcement received a complaint that “AAAA Limo” was “operating without authority for the past few months” and that Respondent does “contract work for the Luzerne County Correctional facility.” I&E Exhibit 2.

12. On December 22, 2023, Officer Neal Ebbert of the Commission’s Motor Carrier Enforcement spoke with Scott Serafin, a manager of J J Serafin, over the phone.

13. During this conversation, Scott Serafin stated that Respondent had been making interstate trips daily to New York airports. I&E Exhibit 3.

14. When asked by Officer Ebbert if Respondent had also made intrastate trips, Scott Serafin answered in the affirmative. Id.

15. Officer Ebbert and Scott Serafin made arrangements for Officer Ebbert to review Respondent’s paperwork, including trip sheets for the aforementioned intrastate trips. Id.

16. On December 27, 2023, Officer Ebbert visited J J Serafin at its office address in Forty Fort, Pennsylvania, where he reviewed Respondent’s paperwork. Id.

17. Upon review of the trip sheets, it was discovered that Respondent was making daily intrastate trips from the Luzerne County Correctional facility to two separate businesses, located in Berwick, Pennsylvania, and Nescopeck, Pennsylvania, respectively, as part of a pre-arranged work-release program. The trips occurred Monday through Friday and had been a part of Respondent’s business for the previous two years (i.e., prior to the Commission cancelling Respondent’s certificate of public convenience). Id.

18. Respondent provided Officer Ebbert with invoices for the intrastate trips, which are dated from November 30, 2023, to December 23, 2023, thereby indicating that Respondent was receiving compensation for these intrastate trips. Id.

19. Named in the “Bill To” section of the invoices are Rad Wood Products and Leggett & Platt, Inc., the respective names of the companies using prison labor. Id.

20. Officer Ebbert completed his investigation and referred the matter to I&E for further action.

21. Pursuant to Sections 331(a) and 506 of the Code, 66 Pa. C.S. §§ 331(a) and 506, and Section 3.113 of the Commission’s regulations, 52 Pa. Code § 3.113, Commission staff has the authority to conduct informal investigations or informal proceedings in order to gather data and/or to substantiate allegations of potential violations of the Commission’s regulations.

22. On March 12, 2024, I&E sent a Data Request to Respondent inquiring whether Respondent had provided any other intrastate trips for compensation from July 11, 2023, when its certificate of public convenience was cancelled, to March 12, 2024, the date of the Data Request.

23. On March 16, 2024, Respondent provided its response to I&E’s Data Request. I&E Exhibit 4.

24. Respondent indicated that it was operating as a common carrier of persons within Pennsylvania for compensation, and that it did provide those services between July 11, 2023, and March 12, 2024.

25. Respondent also provided a log of all trips taken during the July 11, 2023, to March 12, 2024, time frame.

### **III. ALLEGED VIOLATIONS**

26. All allegations in paragraphs 1-25 are incorporated as if fully set forth herein.

27. Respondent violated Section 1101 of the Code in that it operated as a common carrier of persons by motor vehicle for compensation without a certificate of public convenience permitting Respondent to do so.

#### **Count 1**

28. Respondent acted as a *de facto* public utility when it provided intrastate trips as a common carrier of persons by motor vehicle for compensation between the period of July 11, 2023, and March 12, 2024, when it did not possess a certificate of public convenience from the Commission.

If proven, this is a violation of 66 Pa.C.S § 1101, which requires that it shall be lawful “for any such proposed public utility to begin to offer... service within the Commonwealth” only upon the approval of an application from the Commission as “evidenced by its certificate of public convenience first had and obtained.” 66 Pa.C.S. § 1101.

### **IV. RELIEF REQUESTED:**

29. Based on the foregoing allegations, the Bureau of Investigation and Enforcement hereby requests that:

- a. Respondent be ordered to cease and desist providing public utility service as a common carrier of persons by motor vehicle for compensation until such time as it obtains a certificate of public convenience pursuant to Section 1101 of the Code, 66 Pa.C.S. § 1101.
- b. Respondent be ordered to pay a civil penalty in the amount of Twelve Thousand Five Hundred Dollars (\$12,500). Said payment shall be made within thirty (30) days of the date of entry of the Commission's Final Order in this case.
- c. The Commission grant such other relief as the Commission deems appropriate.

**WHEREFORE**, for all the foregoing reasons, the Bureau of Investigation and Enforcement of the Pennsylvania Public Utility Commission respectfully requests that, after consideration of the record, the Office of Administrative Law Judge and the Commission find J J Serafin, Inc., T/A AAAA Limo & AAAA Transit in violation of the count as set forth herein and grant the relief specified above.

Respectfully submitted,



Grant Rosul  
Prosecutor  
PA Attorney ID No. 318204

Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 783-5243  
[grosul@pa.gov](mailto:grosul@pa.gov)

Date: July 1, 2024

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Pennsylvania Public Utility Commission,	:	
Bureau of Investigation and Enforcement	:	
Complainant	:	
	:	
v.	:	Docket No. C-2024-
	:	
J J Serafin, Inc.	:	
T/A AAAA Limo & AAAA Transit	:	
Respondent	:	

**VERIFICATION**

I, Brian Mehus, Chief of the Pennsylvania Public Utility Commission’s Bureau of Investigation and Enforcement, Motor Carrier Enforcement, hereby state that the facts above set forth are true and correct to the best of my knowledge, information, and belief and that I expect that the Bureau of Investigation and Enforcement will be able to prove the same at any hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

Date: July 1, 2024



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Brian Mehus  
Pennsylvania Public Utility Commission  
Chief, Bureau of Investigation and Enforcement  
Motor Carrier Enforcement  
400 North Street  
Harrisburg, PA 17120

**I&E**  
**Exhibit 1**



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET  
HARRISBURG, PENNSYLVANIA 17120  
<http://www.puc.pa.gov>

July 11, 2023

M-2023-3040348  
A-00110791,F.1,F.2  
A-640220

J J SERAFIN INC  
T/A AAAA LIMO & AAAA TRANSPORT  
14 DENNISON STREET  
FOURTY FORT PA 18704

Re: Cancellation of Certificate of Public Convenience Docket No. A-00110791,F.1,F.2

To Whom it May Concern:

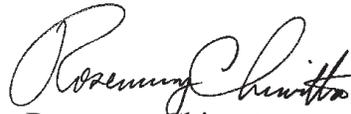
On May 18, 2023, the Commission entered a Tentative Order, Docket No. M-2023-3040348, which advised J J Serafin Inc, t/a AAAA Limo & AAAA Transport that it was not in compliance with the insurance requirements of 66 Pa.C.S. § 512. The Tentative Order advised J J Serafin Inc, t/a AAAA Limo & AAAA Transport, that it must, within 30 days of publication of the Tentative Order, either have acceptable evidence of insurance filed with the Commission or cancel their authority or file comments to the Tentative Order. The Tentative Order advised J J Serafin Inc, t/a AAAA Limo & AAAA Transport, that, if it failed to respond, its certificate of public convenience would be cancelled. The Tentative Order was served on J J Serafin Inc, t/a AAAA Limo & AAAA Transport and published in the *Pennsylvania Bulletin*.

J J Serafin Inc, t/a AAAA Limo & AAAA Transport failed to respond to the May 18, 2023, Tentative Order and remains in non-compliance with the insurance requirements of the Public Utility Code at 66 Pa.C.S. § 512.

Furthermore, this Commission will request the Pennsylvania Department of Transportation to put an administrative hold on Respondent's vehicle registrations. Respondent will **NOT** be able to register any new vehicles or renew any existing vehicle registrations until a new application for authority is submitted. Additionally, all unpaid fines or assessments accrued while you had authority, are still due.

**YOU ARE ADVISED:** That the certificate(s) issued at Docket No. A-00110791,F.1,F.2 be and is hereby *cancelled*, and all rights, powers, and privileges granted thereby shall forthwith cease and terminate.

Sincerely,

A handwritten signature in black ink, appearing to read "Rosemary Chiavetta". The signature is written in a cursive style with a large initial "R".

Rosemary Chiavetta  
Secretary

cc: Secretary's Bureau – File  
Bureau of Investigation and Enforcement – Safety Division  
Bureau of Administrative Services – Fiscal  
PA Department of Transportation  
PA Department of Revenue

**I&E**  
**Exhibit 2**

PUC-59

**Pennsylvania Public Utility Commission**

**MOTOR CARRIER COMPLAINT FORM**

If you have a complaint with a household goods carrier, passenger carrier (except taxis), or trucking company, please use this form to file a complaint. **Please print or type all information.** To file a complaint against a taxi, call the District Office nearest you to obtain a Taxi Complaint Form.

**PERSONAL INFORMATION:**

NAME	Carl Gross
ADDRESS	8 Apollo Drive
CITY, STATE, ZIP	West Wyoming, Pa 18644
DAYTIME PHONE	570-760-6000
E-MAIL	carl@luxurylimo.com

**INCIDENT INFORMATION:**

DATE	11-2-23	TIME:	AM/PM
LOCATION OF INCIDENT			
COMPANY NAME	AAAA Limo		
PUC # (If available)	Pending		
ADDRESS	1339 Dennison St.		
CITY, STATE, ZIP	Ferdysport PA 18704		
TELEPHONE NO.	570 288 5466		

**NATURE OF COMPLAINT:**

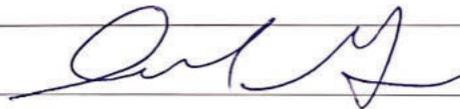
operating without Authority for the past few months. They do contract work for the Luzerne County Correction Facility.

CONTINUE ON BACK

**NATURE OF COMPLAINT:**

I have Also PASSED their Stretch Limo  
While A dressed driver WAS enroute to  
during, or coming from a trip.

Complainant's Signature:



Send to nearest District Office:

Manager  
Pa. Public Utility Commission  
801 Market Street  
Philadelphia, PA 19107  
Bur. of Transportation & Safety  
(215) 965-3721

Manager  
Pa. Public Utility Commission  
Bureau of Trans. & Safety  
P.O. Box 3265  
Harrisburg, PA 17105-3265  
(717) 787-7598

Manager  
Pa. Public Utility Commission  
Room 320, State Office Bldg.  
100 Lackawanna Avenue  
Scranton, PA 18503  
(570) 963-4590

Manager  
Pa. Public Utility Commission  
245 William Pitt Way  
Pittsburgh, PA 15238  
(412) 423-9310

**I&E**  
**Exhibit 3**

PUC-301 (Revised 4/2021)

<b>Date Assigned to Officer:</b> 11-22-23
<b>Date Report Completed:</b> 1/3/23

**PA PUC MOTOR CARRIER ENFORCEMENT DIVISION**  
**ASSIGNMENT**

<b>Regional Office:</b> Scranton	<b>Enforcement Officer:</b> Ebbert
<b>Assignment No.</b> S-038-23	<b>Assisting Officer:</b>
<b>BP8</b> 3044376	
<b>Respondent:</b> JJ Seafin t/a AAAA Limo	<b>Complainant:</b> Luxury Limousine Service
<b>Address:</b> 14 Dennison St	<b>Address:</b> 8 Apollo Drive
Forty Fort PA 18704	West Wyoming PA 18644
<b>USDOT:</b> N/A	<b>USDOT:</b> N/A
<b>Phone No.</b> 570-288-5466	<b>Phone No.</b> 570-823-5233
<b>PUC No.</b> 110791 <b>Utility Code</b> 640220	<b>PUC No.</b> 121954 <b>Utility Code</b> 641510
<b>Email:</b> N/A	<b>Email:</b> N/A

**TYPE OF REPORT**

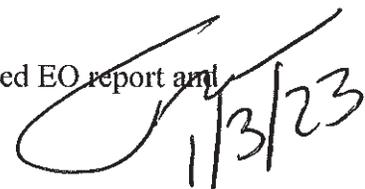
- COMPLAINT
- MEMO OF RECORD (Information regarding work assignment issued by Regional Supervisor, or additional information from E.O. on DVCR or MCSAP)
- OTHER (Describe):

**SUMMARY OF ASSIGNMENT**  
(Include how the assignment was initiated)

On July 11, 2023 the Commission issued a cancellation of the respondents authority for failure to provide insurance. The respondent reapplied and has pending authority but hasn't been granted as per Compliance Manager Roth. The complainant alleges that the respondent is operating intra-state during the time of the insurance lapse till now.

Contact the complainant and obtain the additional information on trips that he is aware of. Then contact the respondent and obtain evidence of these trips being provided along with any other intra-state trips that were provided during the timeframe. Please make copies of all supporting documents of the trips performed during the time period.

Contact the complainant upon completion of this investigation and forwarded the completed EO report and supporting documentation to the SDO within 30 days.

  
1/3/23

**OFFICERS REPORT**

Rev. ( 2/99 )

**CARRIER NAME:** JJ Serafin Inc.  
14 Dennison St.  
Forty Fort, PA 18704  
570-288-5466  
Mark T. Serafin

**PUC NUMBER:** A00110791

**ASSIGNMENT NUMBER:** S-038-23  
BP8# 3044376

**OFFICERS NAME & SIGNATURE**

NEAL EBBERT #36

**DATE:** December 27, 2023

**NARRATIVE**

**Complainant:** Luxury Limousine Services  
8 Apollo Dr.  
West Wyoming, PA 18644  
570-823-5233

**Details:**

This officer was assigned to follow up on a complaint that was filed with the Scranton District Office. The complaint was filed by Carl Gross owner of Luxury Limousine Services. In this complaint it states that JJ Serafin has been operating without PA PUC Authority. In further explanation the complainant stated that JJ Serafin has a contract with Luzerne County Correctional Facility, and he has also witnessed this company doing Limousine transports.

The pending status has been verified with the PA PUC Commission. (See attached letter)

This officer is to contact JJ Serafin, Mark, and schedule an interview to conclude whether carrier has made trips during the inactive period.

On December 22, 2023, at approx. 1017 hours this officer spoke to Scott Serafin via the phone. I was able to obtain information from Scott that they have been making Interstate trips daily to New York airports. When asked if they have made any Intrastate trips, he also responded yes. They have a contract with Luzerne County to transport work release inmates. They have not done any weddings, nor have they completed any prom trips. He was advised that I will need to meet with him to review all Intrastate trip information due to a complaint filed. Scott understood and will supply information that will be requested. We discussed meeting Wednesday December 27, 2023, at approx. 0930-1000 hours. He supplied me with the office address and also stated that he will make available vehicles for inspections.

**Actions Taken:**

On December 27, 2023, a phone call was made to JJ Serafin Limousine Services to confirm the scheduled appointment. They were still available for the appointment.

Upon arrival to the said address, I was met by both partners of the business (Scott & Mark Serafin). They explained and were able to provide all letters, documents, and correspondence from the PA PUC. All paperwork was filed and sorted by vehicles, drivers, and insurance for the company. They explained that their

insurance carrier and their attorneys have filed Form E numerous times with the Commission.

During the review of the paperwork, I was shown current insurance policy which was paid in full in October 2023. All insurance cards for the current vehicles which are being operated. A complete driver list was supplied which showed that all drivers have current MVR's, Criminal Histories, and dates they will need updated copies. They then explained that the trips made to New York and New Jersey airports, log sheets and upcoming trips for the next six (6) months.

They were then requested to supply any Intrastate trips for the past month. Log sheets supplied show that they make trips daily (Monday-Friday). These trips are made from the Luzerne County Correctional Facility to two (2) separate businesses. These trips are also pre-arranged to transport county inmates on work release program. The one trip goes to Berwick and the second goes to Nescopeck. The trip pick up is at 0500 and they transport the, back by 1700 hours the same date. They do not have a formal written contract with Luzerne County that they were able to provide but they did state that these trips have been made daily for the past two (2) years.

**Follow-up:**

Contact was then made with the complainant. During the conversation Mr. Gross was advised that the complaint he filed was completed and any documents will be submitted to the Commission. He was also advised that the Commission will be following up as needed. He questioned if there were any trips located and he was advised trips Interstate were located and tris with the Luzerne County Correctional Facility.

**Attachments:**

Complaint forms, assignment sheet.

Insurance policy for JJ Serafin, insurance cards for all vehicles being operated.

Driver list.

Intrastate trip sheets for November and December 2023.

PUC Letter dated July 11, 2023.

**AAAA LIMOUSINE SERVICE**

14 Denison Street  
Forty-Fort, Pa 18704  
570-288-5466  
Fax 570-288-1591  
PUC#00110791

**Invoice/Statement**

Invoice/Stmt Date: 11/30/2023  
Closing Date: 12/02/2023  
Invoice/Stmt #: 2023122  
ACCOUNT #: RAD WOOD

**BILL TO:**

RAD WOOD PRODUCTS  
531 MAPLE ST  
NESCOPECK, PA 18635

TOTAL DUE: 1750.00  
DUE BY: On receipt

Date	Trip #	Ref #	Pax Name	Trip Desc.	Service	Due
11/27/2023	10056493		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
11/28/2023	10056518		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
11/28/2023	10056494		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
11/29/2023	10056519		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
11/29/2023	10056495		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
11/30/2023	10056520		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
11/30/2023	10056528		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/01/2023	10056521		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/01/2023	10056529		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/02/2023	10056522		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00

Trip Totals: 1,750.00

Total Charges: 1,750.00  
Finance Charges: 0.00  
Total Tax/GST: 0.00  
TOTAL DUE 1,750.00

**AAAA LIMOUSINE SERVICE**

14 Denison Street  
Forty-Fort, Pa 18704  
570-288-5466  
Fax 570-288-1591  
PUC#00110791

**Invoice/Statement**

Invoice/Stmt Date: 12/08/2023  
Closing Date: 12/09/2023  
Invoice/Stmt #: 2023129  
ACCOUNT #: RAD WOOD

**BILL TO:**

RAD WOOD PRODUCTS  
531 MAPLE ST  
NESCOPECK, PA 18635

TOTAL DUE: 1750.00  
DUE BY: On receipt

Date	Trip #	Ref #	Pax Name	Trip Desc.	Service	Due
12/04/2023	10056531		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/05/2023	10056523		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/05/2023	10056532		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/06/2023	10056524		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/06/2023	10056533		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/07/2023	10056525		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/07/2023	10056534		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/08/2023	10056526		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/08/2023	10056535		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/09/2023	10056527		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
<b>Trip Totals:</b>						<b>1,750.00</b>

Total Charges: 1,750.00  
Finance Charges: 0.00  
Total Tax/GST: 0.00  
TOTAL DUE 1,750.00

**AAAA LIMOUSINE SERVICE**

*Invoice/Statement*

14 Denison Street  
Forty-Fort, Pa 18704  
570-288-5466  
Fax 570-288-1591  
PUC#00110791

Invoice/Stmt Date:	12/15/2023
Closing Date:	12/16/2023
Invoice/Stmt #:	20231216
ACCOUNT #:	RAD WOOD

**BILL TO:**

RAD WOOD PRODUCTS  
531 MAPLE ST  
NESCOPECK, PA 18635

TOTAL DUE:	2100.00
DUE BY:	On receipt

<i>Date</i>	<i>Trip #</i>	<i>Ref #</i>	<i>Pax Name</i>	<i>Trip Desc.</i>	<i>Service</i>	<i>Due</i>
12/11/2023	10056605		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/12/2023	10056595		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/12/2023	10056606		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/13/2023	10056596		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/13/2023	10056607		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/14/2023	10056597		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/14/2023	10056608		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/15/2023	10056598		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/15/2023	10056609		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/16/2023	10056599		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/16/2023	10056610		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/16/2023	10056611		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00

**Trip Totals: 2,100.00**

<b>Total Charges:</b>	<b>2,100.00</b>
<b>Finance Charges:</b>	<b>0.00</b>
<b>Total Tax/GST:</b>	<b>0.00</b>
<b>TOTAL DUE</b>	<b>2,100.00</b>

**AAAA LIMOUSINE SERVICE**

14 Denison Street  
Forty-Fort, Pa 18704  
570-288-5466  
Fax 570-288-1591  
PUC#00110791

**Invoice/Statement**

Invoice/Stmt Date: 12/21/2023  
Closing Date: 12/23/2023  
Invoice/Stmt #: 20231223  
ACCOUNT #: RAD WOOD

**BILL TO:**

RAD WOOD PRODUCTS  
531 MAPLE ST  
NESCOPECK, PA 18635

TOTAL DUE: 2100.00  
DUE BY: On receipt

Date	Trip #	Ref #	Pax Name	Trip Desc.	Service	Due
12/18/2023	10056612		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/19/2023	10056600		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/19/2023	10056613		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/20/2023	10056601		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/20/2023	10056614		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/21/2023	10056602		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/21/2023	10056615		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/22/2023	10056603		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/22/2023	10056616		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/22/2023	10056642		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/23/2023	10056639		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00
12/23/2023	10056643		EMPLOYEES,	EMPLOYEE TRANSFER	VAN	175.00

**Trip Totals: 2,100.00**

**Total Charges: 2,100.00**  
**Finance Charges: 0.00**  
**Total Tax/GST: 0.00**  
**TOTAL DUE 2,100.00**

**AAAA LIMOUSINE SERVICE**

14 Denison Street  
Forty-Fort, Pa 18704  
570-288-5466  
Fax 570-288-1591  
PUC#00110791

*Invoice/Statement*

Invoice/Stmt Date: 12/08/2023  
Closing Date: 12/08/2023  
Invoice/Stmt #: 2023128  
ACCOUNT #: LEGGETT

**BILL TO:**

LEGGETT & PLATT, INC  
515 SALEM BLVD  
BERWICK, PA 18603  
ACCT # 1029464

TOTAL DUE: 1560.00  
DUE BY: On receipt

<u>Date</u>	<u>Trip #</u>	<u>Ref #</u>	<u>Pax Name</u>	<u>Trip Desc.</u>	<u>Service</u>	<u>Due</u>
12/04/2023	10056540		EMPLOYEES,	AS DIRECTED		195.00
12/04/2023	10056548		EMPLOYEES,	AS DIRECTED		195.00
12/05/2023	10056541		EMPLOYEES,	AS DIRECTED		195.00
12/05/2023	10056549		EMPLOYEES,	AS DIRECTED		195.00
12/06/2023	10056542		EMPLOYEES,	AS DIRECTED		195.00
12/06/2023	10056550		EMPLOYEES,	AS DIRECTED		195.00
12/07/2023	10056543		EMPLOYEES,	AS DIRECTED		195.00
12/07/2023	10056551		EMPLOYEES,	AS DIRECTED		195.00

Trip Totals: 1,560.00

Total Charges: 1,560.00  
Finance Charges: 0.00  
Total Tax/GST: 0.00  
TOTAL DUE 1,560.00

**AAAA LIMOUSINE SERVICE**

14 Denison Street  
Forty-Fort, Pa 18704  
570-288-5466  
Fax 570-288-1591  
PUC#00110791

**Invoice/Statement**

Invoice/Stmt Date: 12/15/2023  
Closing Date: 12/15/2023  
Invoice/Stmt #: 20231215  
ACCOUNT #: LEGGETT

**BILL TO:**

LEGGETT & PLATT, INC  
515 SALEM BLVD  
BERWICK, PA 18603  
ACCT # 1029464

TOTAL DUE: 1560.00  
DUE BY: On receipt

Date	Trip #	Ref #	Pax Name	Trip Desc.	Service	Due
12/11/2023	10056579		EMPLOYEES,	AS DIRECTED		195.00
12/11/2023	10056594		EMPLOYEES,	AS DIRECTED		195.00
12/12/2023	10056580		EMPLOYEES,	AS DIRECTED		195.00
12/12/2023	10056593		EMPLOYEES,	AS DIRECTED		195.00
12/13/2023	10056581		EMPLOYEES,	AS DIRECTED		195.00
12/13/2023	10056592		EMPLOYEES,	AS DIRECTED		195.00
12/14/2023	10056582		EMPLOYEES,	AS DIRECTED		195.00
12/14/2023	10056591		EMPLOYEES,	AS DIRECTED		195.00

Trip Totals: 1,560.00

Total Charges: 1,560.00  
Finance Charges: 0.00  
Total Tax/GST: 0.00  
TOTAL DUE 1,560.00

**AAAA LIMOUSINE SERVICE**

14 Denison Street  
Forty-Fort, Pa 18704  
570-288-5466  
Fax 570-288-1591  
PUC#00110791

*Invoice/Statement*

Invoice/Stmt Date: 12/21/2023  
Closing Date: 12/22/2023  
Invoice/Stmt #: 20231222  
ACCOUNT #: LEGGETT

**BILL TO:**

LEGGETT & PLATT, INC  
515 SALEM BLVD  
BERWICK, PA 18603  
ACCT # 1029464

TOTAL DUE: 1560.00  
DUE BY: On receipt

Date	Trip #	Ref #	Pax Name	Trip Desc.	Service	Due
12/18/2023	10056583		EMPLOYEES,	AS DIRECTED		195.00
12/18/2023	10056590		EMPLOYEES,	AS DIRECTED		195.00
12/19/2023	10056584		EMPLOYEES,	AS DIRECTED		195.00
12/19/2023	10056589		EMPLOYEES,	AS DIRECTED		195.00
12/20/2023	10056585		EMPLOYEES,	AS DIRECTED		195.00
12/20/2023	10056588		EMPLOYEES,	AS DIRECTED		195.00
12/21/2023	10056586		EMPLOYEES,	AS DIRECTED		195.00
12/21/2023	10056587		EMPLOYEES,	AS DIRECTED		195.00

Trip Totals: 1,560.00

Total Charges: 1,560.00  
Finance Charges: 0.00  
Total Tax/GST: 0.00  
TOTAL DUE 1,560.00



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
400 NORTH STREET  
HARRISBURG, PENNSYLVANIA 17120  
<http://www.puc.pa.gov>

IN REPLY PLEASE  
REFER TO OUR FILE

July 11, 2023

M-2023-3040348  
A-00110791,F.1,F.2  
A-640220

J J SERAFIN INC  
T/A AAAA LIMO & AAAA TRANSPORT  
14 DENNISON STREET  
FOURTY FORT PA 18704

Re: Cancellation of Certificate of Public Convenience Docket No. A-00110791,F.1,F.2

To Whom it May Concern:

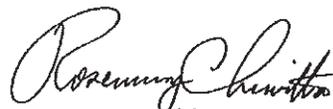
On May 18, 2023, the Commission entered a Tentative Order, Docket No. M-2023-3040348, which advised J J Serafin Inc, t/a AAAA Limo & AAAA Transport that it was not in compliance with the insurance requirements of 66 Pa.C.S. § 512. The Tentative Order advised J J Serafin Inc, t/a AAAA Limo & AAAA Transport, that it must, within 30 days of publication of the Tentative Order, either have acceptable evidence of insurance filed with the Commission or cancel their authority or file comments to the Tentative Order. The Tentative Order advised J J Serafin Inc, t/a AAAA Limo & AAAA Transport, that, if it failed to respond, its certificate of public convenience would be cancelled. The Tentative Order was served on J J Serafin Inc, t/a AAAA Limo & AAAA Transport and published in the *Pennsylvania Bulletin*.

J J Serafin Inc, t/a AAAA Limo & AAAA Transport failed to respond to the May 18, 2023, Tentative Order and remains in non-compliance with the insurance requirements of the Public Utility Code at 66 Pa.C.S. § 512.

Furthermore, this Commission will request the Pennsylvania Department of Transportation to put an administrative hold on Respondent's vehicle registrations. Respondent will **NOT** be able to register any new vehicles or renew any existing vehicle registrations until a new application for authority is submitted. Additionally, all unpaid fines or assessments accrued while you had authority, are still due.

**YOU ARE ADVISED:** That the certificate(s) issued at Docket No. A-00110791,F.1,F.2 be and is hereby *cancelled*, and all rights, powers, and privileges granted thereby shall forthwith cease and terminate.

Sincerely,



Rosemary Chiavetta  
Rosemary Chiavetta  
Secretary

cc: Secretary's Bureau – File  
Bureau of Investigation and Enforcement – Safety Division  
Bureau of Administrative Services – Fiscal  
PA Department of Transportation  
PA Department of Revenue

PUC-59

Pennsylvania Public Utility Commission

MOTOR CARRIER COMPLAINT FORM

If you have a complaint with a household goods carrier, passenger carrier (except taxis), or trucking company, please use this form to file a complaint. Please print or type all information. To file a complaint against a taxi, call the District Office nearest you to obtain a Taxi Complaint Form.

PERSONAL INFORMATION:

NAME	Carl Gross
ADDRESS	8 Apollo Drive
CITY, STATE, ZIP	West Wyoming, Pa 18644
DAYTIME PHONE	570-760-6000
E-MAIL	carl@luxurylimo.com

INCIDENT INFORMATION:

DATE	11-2-23	TIME:	AM/PM
LOCATION OF INCIDENT			
COMPANY NAME	AAAA Limo		
PUC # (If available)	Pending		
ADDRESS	1339 Dennison St.		
CITY, STATE, ZIP	Fordy fort PA 18704		
TELEPHONE NO.	570 288 5466		

NATURE OF COMPLAINT:

operating without Authority for the past few months. They do contract work for the Luzerne County Correction Facility.

CONTINUE ON BACK

**NATURE OF COMPLAINT:**

I have also passed their stretch limo  
while a dressed driver was enroute to  
daring, or coming from a trip.

Complainant's Signature:



Send to nearest District Office:

Manager  
Pa. Public Utility Commission  
801 Market Street  
Philadelphia, PA 19107  
Bur. of Transportation & Safety  
(215) 965-3721

Manager  
Pa. Public Utility Commission  
Bureau of Trans. & Safety  
P.O. Box 3265  
Harrisburg, PA 17105-3265  
(717) 787-7598

Manager  
Pa. Public Utility Commission  
Room 320, State Office Bldg.  
100 Lackawanna Avenue  
Scranton, PA 18503  
(570) 963-4590

Manager  
Pa. Public Utility Commission  
245 William Pitt Way  
Pittsburgh, PA 15238  
(412) 423-9310

American Risk Management, Inc.  
Amalgamated Casualty Insurance Company  
PO Box 15033  
Worcester, MA 01615



### Payment Receipt

JJ SERAFIN, INC. DBA AAAA TRANSPORTATION  
14 DENNISON ST  
FORTY FORT, PA 18704

Agency: TN001  
Geny Insurance Group, LLC DBA SouthPointRisk-  
Nashville  
992 Davidson Dr., Ste. 108  
Nashville, TN 37205

RECEIPT NO: 2023042800062  
PROCESSED ON: 04/28/2023 4:17:02PM  
ENTERED BY: JJ S.

#### Policy Payment Information

Policy Number	Eff. Date	Exp. Date	Amount Paid		Unpaid Balance
CAP-23-0114932-00	4/1/2023	4/1/2024	PREMIUM	\$18,470.00	\$0.00
RECEIPT TOTAL				\$18,470.00	\$0.00

Note: Installment payments must be received in our office on or before their due date in order to avoid cancellation of your policy.

Please contact your agent with any billing questions.

can make your payment online by visiting [www.arminsure.com](http://www.arminsure.com).

Thank you for your continued business and please drive safely.

# Filing Summary

## Form Type Form E

You have generated the following insurance filings. Your account will be billed \$ 5.50 . These filings have not been reviewed or accepted by the state agency yet.

### What's next?

- **Electronic filings** have been submitted to state agencies for review. Watch for an email with a status update, or use the [Search Filings feature](#) to track the status.
- **Non-electronic filings** must be submitted outside of Tyler Insurance Filings by mail, fax, or other means. [Contact the state agency](#) for assistance.

## Insurance Information

Insurance Company	Forge Insurance Company
Authorized Signature	Brian Mancino
Insurance Agent ID	
Form Type	Form E
Reinstate	No

## Certificate of Insurance

Policy Number	CAP-23-0114932-00
USDOT #	987220
FMCSA #	None
Liability Limit	1,500,000.00
Contact Email Address	sbuena@asginsurance.com
Effective Date	04/01/2023
PA Type of Authority/Service	Limousine, Paratransit

# Motor Carrier Information - Electronic Filing States

## Pennsylvania

State MC ID	A-00110791
Legal Name	JJ Serafin Inc
DBA	AAAA Limo & AAAA Transport
Address	14 DENNISON ST
City	FORTY FORT
State	PA
Zip	18704
Country	
Notes	re-filings after name change updates. thanks

# Motor Carrier Information - Non-Electronic Filing States

No non-electronic filing states submitted.

**PA** **INSURANCE IDENTIFICATION CARD**  
(STATE)

COMPANY NUMBER      COMPANY       COMMERCIAL       PERSONAL  
**Amalgamated Casualty Insurance Co.**

POLICY NUMBER      EFFECTIVE DATE      EXPIRATION DATE  
**CAP-23-0114932-00**      **8/1/2023**      **4/1/2024**

YEAR      MAKE/MODEL      VEHICLE IDENTIFICATION NUMBER  
**2007**      **Mercedes**      **S 550 Sedan**      **WDDNG71X27A044193**

AGENCY/COMPANY ISSUING CARD  
**SouthPoint Risk Insurance**  
**992 Davidson Dr**      **Suite 108**  
**Nashville**      **TN 37205**      **(615) 356-3212**

INSURED  
 **JJ Serafin Inc d/b/a AAAA Limo & AAAA Transport**  
**14 Dennison Street**  
  
 **Forty Fort**      **PA 18704**

SEE IMPORTANT NOTICE ON REVERSE SIDE

THIS CARD MUST BE KEPT IN THE INSURED  
VEHICLE AND PRESENTED UPON DEMAND

IN CASE OF ACCIDENT: Report all accidents to your Agent/Company as soon as possible. Obtain the following information:

1. Name and address of each driver, passenger and witness.
2. Name of Insurance Company and policy number for each vehicle involved.

02

INSURANCE IDENTIFICATION CARD

PA  
(STATE)

COMPANY NUMBER      COMPANY       COMMERCIAL       PERSONAL  
**Amalgamated Casualty Insurance Co.**

POLICY NUMBER      EFFECTIVE DATE      EXPIRATION DATE  
CAP-23-0114932-00      8/1/2023      4/1/2024

YEAR      MAKE/MODEL      VEHICLE IDENTIFICATION NUMBER  
2019      Cadillac      XTS      2G61M5S37K9155203

AGENCY/COMPANY ISSUING CARD  
**SouthPoint Risk Insurance**  
992 Davidson Dr      Suite 108  
Nashville      TN 37205      (615) 356-3212

INSURED  
 JJ Serafin Inc d/b/a AAAA Limo & AAAA Transport  
14 Dennison Street  
  
 Forty Fort      PA 18704

SEE IMPORTANT NOTICE ON REVERSE SIDE

THIS CARD MUST BE KEPT IN THE INSURED  
VEHICLE AND PRESENTED UPON DEMAND

IN CASE OF ACCIDENT: Report all accidents to your Agent/Company as soon as possible. Obtain the following information:

1. Name and address of each driver, passenger and witness.
2. Name of Insurance Company and policy number for each vehicle involved.

03

INSURANCE IDENTIFICATION CARD

PA  
(STATE)

COMPANY NUMBER

COMPANY

COMMERCIAL

PERSONAL

Amalgamated Casualty Insurance Co.

POLICY NUMBER

EFFECTIVE DATE

EXPIRATION DATE

CAP-23-0114932-00

8/1/2023

4/1/2024

YEAR

MAKE/MODEL

VEHICLE IDENTIFICATION NUMBER

2017

Buick

Enclave

5GAKVCKDXHJ353283

AGENCY/COMPANY ISSUING CARD

SouthPoint Risk Insurance

992 Davidson Dr

Suite 108

Nashville

TN

37205

(615) 356-3212

INSURED

JJ Serafin Inc d/b/a AAAA Limo & AAAA Transport  
14 Dennison Street

Forty Fort

PA 18704

SEE IMPORTANT NOTICE ON REVERSE SIDE

THIS CARD MUST BE KEPT IN THE INSURED  
VEHICLE AND PRESENTED UPON DEMAND

IN CASE OF ACCIDENT: Report all accidents to your Agent/Company as  
soon as possible. Obtain the following information:

1. Name and address of each driver, passenger and witness.
2. Name of Insurance Company and policy number for each vehicle involved.

**PA** **INSURANCE IDENTIFICATION CARD**  
(STATE)

COMPANY NUMBER      COMPANY       COMMERCIAL       PERSONAL  
**Amalgamated Casualty Insurance Co.**

POLICY NUMBER      EFFECTIVE DATE      EXPIRATION DATE  
**CAP-23-0114932-00      8/1/2023      4/1/2024**

YEAR      MAKE/MODEL      VEHICLE IDENTIFICATION NUMBER  
**2016      Cadillac      XTS      2G61M5836G9188541**

AGENCY/COMPANY ISSUING CARD  
**SouthPoint Risk Insurance**  
**992 Davidson Dr      Suite 108**  
**Nashville      TN 37205      (615) 356-3212**

INSURED  
**JJ Serafin Inc d/b/a AAAA Limo & AAAA Transport**  
**14 Dennison Street**  
**Forty Fort      PA 18704**

SEE IMPORTANT NOTICE ON REVERSE SIDE

THIS CARD MUST BE KEPT IN THE INSURED  
VEHICLE AND PRESENTED UPON DEMAND

IN CASE OF ACCIDENT: Report all accidents to your Agent/Company as soon as possible. Obtain the following information:

1. Name and address of each driver, passenger and witness.
2. Name of Insurance Company and policy number for each vehicle involved.

**INSURANCE IDENTIFICATION CARD**

PA  
(STATE)

COMPANY NUMBER      COMPANY       COMMERCIAL       PERSONAL  
**Amalgamated Casualty Insurance Co.**

POLICY NUMBER      EFFECTIVE DATE      EXPIRATION DATE  
**CAP-23-0114932-00      8/1/2023      4/1/2024**

YEAR      MAKE/MODEL      VEHICLE IDENTIFICATION NUMBER  
**2013      Cadillac      XTS      2G61P5835D9157946**

AGENCY/COMPANY ISSUING CARD  
**SouthPoint Risk Insurance**  
**992 Davidson Dr      Suite 108**  
**Nashville      TN      37205      (615) 356-3212**

INSURED  
 **JJ Serafin Inc d/b/a AAAA Limo & AAAA Transport**  
**14 Dennison Street**  
  
 **Forty Fort      PA      18704**

SEE IMPORTANT NOTICE ON REVERSE SIDE

THIS CARD MUST BE KEPT IN THE INSURED  
VEHICLE AND PRESENTED UPON DEMAND

IN CASE OF ACCIDENT: Report all accidents to your Agent/Company as soon as possible. Obtain the following information:

1. Name and address of each driver, passenger and witness.
2. Name of Insurance Company and policy number for each vehicle involved.

**INSURANCE IDENTIFICATION CARD**

PA  
(STATE)

COMPANY NUMBER      COMPANY       COMMERCIAL       PERSONAL  
**Amalgamated Casualty Insurance Co.**

POLICY NUMBER      EFFECTIVE DATE      EXPIRATION DATE  
**CAP-23-0114932-00      8/1/2023      4/1/2024**

YEAR      MAKE/MODEL      VEHICLE IDENTIFICATION NUMBER  
**2007      Lincoln      Town Car Exec 1L1FM88W47Y620607**

AGENCY/COMPANY ISSUING CARD  
**SouthPoint Risk Insurance**  
**992 Davidson Dr      Suite 108**  
**Nashville      TN 37205      (615) 356-3212**

INSURED  
 **JJ Serafin Inc d/b/a AAAA Limo & AAAA Transport**  
**14 Dennison Street**  
 **Forty Fort      PA 18704**

SEE IMPORTANT NOTICE ON REVERSE SIDE

THIS CARD MUST BE KEPT IN THE INSURED  
VEHICLE AND PRESENTED UPON DEMAND

IN CASE OF ACCIDENT: Report all accidents to your Agent/Company as soon as possible. Obtain the following information:

1. Name and address of each driver, passenger and witness.
2. Name of Insurance Company and policy number for each vehicle involved.

<b>PA</b> (STATE)		<b>INSURANCE IDENTIFICATION CARD</b>	
COMPANY NUMBER	COMPANY	<input checked="" type="checkbox"/> COMMERCIAL	<input type="checkbox"/> PERSONAL
	Amalgamated Casualty Insurance Co.		
POLICY NUMBER	EFFECTIVE DATE	EXPIRATION DATE	
CAP-23-0114932-00	8/1/2023	4/1/2024	
YEAR	MAKE/MODEL	VEHICLE IDENTIFICATION NUMBER	
2013	Nissan NVP	5BZBF0AA8DN200261	
AGENCY/COMPANY ISSUING CARD			
SouthPoint Risk Insurance			
992 Davidson Dr		Suite 108	
Nashville	TN 37205	(615) 356-3212	
INSURED			
JJ Serafin Inc d/b/a AAAA Limo & AAAA Transport			
14 Dennison Street			
Forty Fort		PA 18704	
SEE IMPORTANT NOTICE ON REVERSE SIDE			

THIS CARD MUST BE KEPT IN THE INSURED  
VEHICLE AND PRESENTED UPON DEMAND

IN CASE OF ACCIDENT: Report all accidents to your Agent/Company as soon as possible. Obtain the following information:

1. Name and address of each driver, passenger and witness.
2. Name of Insurance Company and policy number for each vehicle involved.



Peguero	Renato	National	08/25/23	08/25/25
Dombrowski	Chris	National	10/30/23	10/30/25

Last Name	First Name	PA Driving Record Check	Due Next (2 years)
Kuchta	Will	01/06/22	01/06/24
Hanson	Daniel	03/07/23	03/07/25
Serafin	Mark	03/28/23	03/28/25
Hughes	Robert	04/12/23	04/12/25
Norcia	Pat	07/08/23	07/08/25
Dadurka	Dave	07/31/23	07/31/25
Peguero	Renato	08/25/23	08/25/25
Dombrowski	Chris	10/30/23	10/30/25
Serafin	Scott	12/08/21	12/22/25
Last Name	First Name	Driver's License Expiration Date	
Serafin	Scott	02/08/24	
Peguero	Renato	10/18/24	
Norcia	Pat	04/14/25	
Fernandez	Jose	10/14/25	
Dombrowski	Chris	05/16/26	
Hughes	Robert	08/20/26	
Hanson	Daniel	10/19/26	
Kuchta	Will	04/05/27	
Serafin	Mark	07/20/27	
Dadurka	Dave	08/13/27	

Last Name	First Name	Medical Card	Expiration Date
Hanson	Daniel		09/29/24
Hughes	Robert	NONE	
Kutch	Will	NONE	
Norcia	Pat	NONE	9/30/20 FAIL
Serafin	Mark	NONE	
Serafin	Scott	NONE	
Fernandez	Jose		09/08/24
		National	Child Abuse History
Hanson	Daniel	Clear	
Kutch	Will	Clear	
Norcia	Pat	Clear	
Serafin	Mark	Clear	
Serafin	Scott	Clear	
Dombrowski	Chris		

**I&E**  
**Exhibit 4**

**From:** [aaaatrans@epix.net](mailto:aaaatrans@epix.net)  
**To:** [Rosul, Grant](#)  
**Subject:** [External] J.J. Serafin, Inc AAAA Limousine  
**Date:** Saturday, March 16, 2024 5:43:41 PM

---

***ATTENTION:** This email message is from an external sender. Do not open links or attachments from unknown senders. To report suspicious email, use the [Report Phishing button in Outlook.](#)*

Grant,

Thanks for taking the time to review everything with me this past Friday.

J.J. Serafin, Inc is a common carrier of persons within in Pennsylvania for compensation

J.J. Serafin did provide transportation for compensation between July 11, 2023 thru March 12th, 2024 the date of notification

32 pages of documentation

We only have Certificate of Public Convenience for the past 30 years up to 7/12/2023

Please let me know if you want all documents scanned and sent or I will be more than happy to drop off personally at your convenience.

This will also include history of issues that caused cancellation, valid insurance and previous approval as well as pending.

Again

Thank you

Scott Serafin

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Pennsylvania Public Utility Commission,	:	
Bureau of Investigation and Enforcement	:	
Complainant	:	
	:	
v.	:	Docket No. C-2024-
	:	
J J Serafin, Inc.	:	
T/A AAAA Limo & AAAA Transit	:	
Respondent	:	

**CERTIFICATE OF SERVICE**

I hereby certify that I have this day served a true copy of the foregoing document upon the parties, listed below, in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by a party).

**Service by Certified Mail**

J J Serafin Inc., T/A AAAA Limo & AAAA Transit  
Attn: Mark T. Serafin  
14 Dennison St.  
Forty Fort, PA 18704



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Grant Rosul  
Prosecutor  
PA Attorney ID No. 318204  
Bureau of Investigation and Enforcement  
(717) 783-5243  
[grosul@pa.gov](mailto:grosul@pa.gov)

Dated: July 1, 2024