

Rise Energy Services LLC
23 Van Holten Road
Basking Ridge, NJ 07920
www.riseenergyservices.com



DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

JUNE 6, 2024

Pennsylvania Public Utility Commission
Secretary's Bureau
400 North Street
Keystone Building
Harrisburg, PA 17120

Dear Pennsylvania Public Utility Commission,

Please find enclosed Rise Energy Services LLC's application for approval to offer, render, furnish, or supply electricity or electric generation services as a broker/marketer. Rise Energy Services LLC has marked certain attachments as "CONFIDENTIAL", included in the enclosed sealed envelope. Copies of this application without "CONFIDENTIAL" documents have been served on all parties identified below. Please direct any follow-up questions to Mark Taddeo (marktaddeo@riseenergyservices.com), Co-founder and Principal for Rise Energy Services LLC, or Bray Dohrwardt (bray@dohrwardt.com), General Counsel for Rise Energy Services LLC.

Warm regards,

A handwritten signature in black ink, appearing to be "Bray Dohrwardt", written over a vertical line.

Bray Dohrwardt
General Counsel
Rise Energy Services LLC

CC: John Schultz (Rise Energy Services LLC) (with all Attachments)
Mark Taddeo (Rise Energy Services LLC) (with all Attachments)
All Parties on the Enclosed Certificate of Service (with only non-confidential attachments)

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Version Revised 01/04/24

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Rise Energy Services LLC, d/b/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Rise Energy Services LLC
23 Van Holten Road
Basking Ridge, NJ 07920
www.riseenergyservices.com
908-451-3483

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

CT Corporation
600 North 2nd Street
#401
Harrisburg, PA 17101
855-316-8944

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application and future inquiries should be addressed.

NOTE: To ensure timely receipt of regulatory information, a contact employed directly by the Applicant, and not a consultant, is preferred.

Mark Taddeo
Co-Founder & Principal
Rise Energy Services LLC
23 Van Holten Road
Basking Ridge, NJ 07920
marktaddeo@riseenergyservices.com
908-451-3483

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Bray Dohrwardt
P: 612-662-9236; F: 612-500-4655
bray@dohrwardt.com
General Counsel for Rise Energy Services LLC
5775 Wayzata Blvd
Suite 700-9014
St. Louis Park, MN 55416

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSSs.

Mark Taddeo
Co-Founder & Principal
Rise Energy Services LLC
23 Van Holten Road
Basking Ridge, NJ 07920
marktaddeo@riseenergyservices.com
customerservice@riseenergyservices.com

Bray Dohrwardt
P: 612-662-9236; F: 612-500-4655;
bray@dohrwardt.com
General Counsel for Rise Energy Services LLC
5775 Wayzata Blvd
Suite 700-9014
St. Louis Park, MN 55416

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa.C.S. § 311, Form DSCB: 54-311.

or

The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.C.S. § 412 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa.C.S. § 8621)
- foreign general or limited partnership (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability partnership (15 Pa.C.S. §§ 8201 and 8221)
- foreign limited liability general partnership (15 Pa.C.S. §§ 411 and 412)
- foreign limited liability limited partnership (15 Pa.C.S. §§ 411 and 412)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa.C.S. §§ 411 and 412.

or

The Applicant is a:

- domestic corporation (15 Pa.C.S. § 1308)
- foreign corporation (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability company (15 Pa.C.S. § 8821)
- foreign limited liability company (15 Pa.C.S. §§ 411 and 412)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. See corporate documents attached as Exhibit 2.b.1.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. Registered in Delaware. See Certificate of Good Standing, attached at Exhibit 2.b.2.
- Give name and address of officers.

Mark Taddeo (Principal and Co-Founder) 23 Van Holten Road, Basking Ridge NJ 07920, 908-451-3483

John Schultz (Principal and Co-Founder) 23 Van Holten Road, Basking Ridge NJ 07920, 908-892-0855

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliates currently doing business and state whether the affiliates are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The Applicant does not have any affiliates doing business in Pennsylvania.

- b. **PREDECESSORS:** Identify any predecessors of the Applicant and provide the names under which the Applicant has operated, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant does not have any predecessors doing business in Pennsylvania.

- c. **RELATED DOCKET NUMBERS:** Provide the Docket Numbers for any previous Pennsylvania PUC licenses for the Applicant, all affiliates, and any predecessors. If the Applicant does not have any related Docket Numbers, explicitly state so.

The Applicant does not have any previous Pennsylvania PUC licenses.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

Rise Energy Services LLC has recently entered into a near-final deal with one customer but Rise Energy Services LLC will not finalize this deal and receive compensation until this Application is approved.

OR

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Rise Energy Services LLC plans to provide energy advisory services to commercial and industrial customers located in Pennsylvania. These services include energy procurement, sustainability, and project management work.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|---|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |
| <input type="checkbox"/> Penelec | <input type="checkbox"/> West Penn |
| <input type="checkbox"/> Penn Power | <input checked="" type="checkbox"/> Entire Commonwealth of PA |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- Residential and Small Commercial Customers in a Mixed Meter Capacity -
This customer class reflects situations in which a large commercial, industrial, and/or governmental customer account also contains features of residential and/or small commercial customers. In this instance, the residential and/or small commercial portion must be an incidental portion of the larger account. **This customer class alone does not allow marketing targeted directly to residential and/or small commercial customers.** Further information may be found in the Requirements Applicable to Mixed Meter Scenarios Secretarial Letter served March 25, 2011, at Docket No. M-2009-2082042.

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Rise Energy Services LLC has recently entered into a near-final deal with one customer but Rise Energy Services LLC will not finalize this deal and receive compensation until this Application is approved. Rise Energy Services LLC will begin actively marketing in the Commonwealth of Pennsylvania within 30 days of this Application being approved.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Rise Energy Services LLC has no criminal or civil proceedings to report.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None.

- b. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

Rise Energy Services LLC has no customer or regulatory actions to report.

- c. **SUMMARY:** Provide a statement as to the resolution or present status of any proceedings or actions listed above. Additionally, provide details of any actions the applicant has undertaken that will prevent the items listed above from occurring if licensed in Pennsylvania.

None.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

See completed Proof of Service attached as Exhibit 6.1

- a. **STATUTORY AGENCIES:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission's Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of Small Business Advocate
Forum Place
555 Walnut Street, 1st Floor
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission's Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide Proof of Service of the Application and attachments upon each Electric Distribution Company the Applicant proposes to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code § 5.14. Contact information for each EDC is as follows.

<p>Citizens' Electric Company: Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837</p>	<p>Duquesne Light Company: Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219</p>
<p>Met-Ed, Penelec, and Penn Power: Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612</p>	<p>PECO: Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699</p>
<p>Pike County Light & Power Company: Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830</p>	<p>PPL: Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179</p>
<p>UGI: UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 1 UGI Drive Denver, PA 17517</p>	<p>Wellsboro Electric Company: Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901</p>
<p>West Penn Power: Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689</p>	

7. FINANCIAL FITNESS

a. **FINANCIAL SECURITY:** In accordance with 66 Pa.C.S. § 2809(c)(1)(i) and 52 Pa. Code § 54.40(a), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Applicant requests a reduction from \$250,000 to \$10,000 security. Applicant does not take title to any electricity and does not intend to collect money and/or pay bills for its customers. Please find attached as Exhibit 7.a - Surety Bond filed as a confidential submission for the applicant.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, EGS suppliers should email pc-puc-tus-energy@pa.gov or call (717)783-5242 to determine the appropriate bonding amount based on a percentage of suppliers gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, suppliers should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond (preferred), fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K) (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
See CONFIDENTIAL Profit and Loss Statements attached as Exhibit 7.b.1.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
See CONFIDENTIAL Certificate of Insurance attached as Exhibit 7.b.2.
- Audited financial statements exhibiting accounts over a minimum two-year period.
- Bank account statements (3-12 recent consecutive months), tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
See CONFIDENTIAL Bank Account Statements attached as Exhibit 7.b.3.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Rise Energy Services LLC will operate as a Broker/Marketer only and will not take title to any electricity.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees:

Rise Energy Service LLC is compensated for an "Energy Procurement Success Fee" which is paid based on a nominal charge of \$.xx MMBtu or \$.xx MWh, which is placed on all competitive solicitations and to be paid directly by suppliers to Rise Energy Services LLC.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Mark Taddeo
Co-Founder & Principal
T: 908-451-3483
Rise Energy Services LLC
23 Van Holten Road
Basking Ridge, NJ 07920
mtaddeo@riseenergyservices.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Submitting N/A on either the Sales Tax License Number or the Employer ID Number (items 7A and 7B) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

*Item 7A on the Tax Certification Statement is designated by the Pennsylvania Department of Revenue.
Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

See CONFIDENTIAL Tax Certification Statement attached as Exhibit 7.f.

8. TECHNICAL FITNESS

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
See CONFIDENTIAL resumes of John Schultz and Mark Taddeo, attached at Exhibit 8.a.1.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
Rise Energy Service LLC is registered as an Energy Agent and Energy Consultant in New Jersey. See document attached at Exhibit 8.a.2.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
See CONFIDENTIAL Summary Description of current Rise Energy Services LLC customers, attached at Exhibit 8.a.3.
- Staffing structure and numbers as well as employee training commitments.
See CONFIDENTIAL Summary Description of current Rise Energy Services LLC employees, attached at Exhibit 8.a.4.
- Business plans for operations within the Commonwealth.
See Rise Energy Services LLC's CONFIDENTIAL Pennsylvania Business Plan, attached at Exhibit 8.a.5.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
Rise Energy Services LLC will not act as an EGS in the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

Rise Energy Services LLC does not engage in door to door sales activities and so this is not applicable.

- Yes
- No

If yes, describe the Applicant's verification procedures.

Rise Energy Services LLC does not engage in door to door sales activities and so this is not applicable.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Rise Energy Services LLC has developed a Code of Conduct for all employees and subcontractors. Each employee and subcontractor undergoes initial and annual ethical training before engaging in any marketing activities.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Rise Energy Services LLC is managed by:

John Schultz, Co-Founder and Principal. 908-892-0855; johnschultz@riseenergyservices.com
Mark Taddeo, Co-Founder and Principal. 908-451-3483; marktaddeo@riseenergyservices.com

John and Mark's CONFIDENTIAL resumes are attached at 8.a.1.

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

- c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.

- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(b)(4).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 of the Public Utility Code, 66 Pa.C.S. Chapt. 28, pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa.C.S. § 2809(D) and 52 Pa. Code § 54.41(a). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa.C.S. §§ 4902, 4903, and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A. See Application Affidavit of Mark Taddeo, attached at Exhibit 11.a.
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

See Operations Affidavit of Mark Taddeo, attached at Exhibit 11.b.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

All Applicants MUST include a Commission issued Docket Number in their publications. Docket Numbers are issued to new applicants when an application packet is submitted to the PUC's Secretary's Bureau. **Newspaper publications published without a Commission issued Docket No. will be rejected.** For more information, see 52 Pa. Code § 54.35.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and **must be supplied to the Commission before the applicant is licensed.**

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News or Philadelphia Inquirer	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Newspaper Publication Templates are provided at Appendices K and L)

13. SIGNATURE

Applicant: 
 By: Mark Taddeo
 Title: Principal, Co-Founder Rise Energy Services LLC

DATE OF DEPOSIT

JUN 6 · 2024

**PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU**

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Rise Energy Services LLC

X	Signature	
X	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
X	Application Affidavit	
X	Operations Affidavit	
X	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
X	Tax Certification Statement	
X	Commonwealth Department of State Verification	
X	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

**Section 2
Attachments**

DATE OF DEPOSIT

JUN 6 - 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

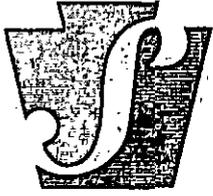
EXHIBIT 2.b.1

**Rise Energy Services LLC
Proof of Registration with the Department of State
as a Foreign LLC**

DATE OF DEPOSIT

JUN 6 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**



Pennsylvania Department of State
Bureau of Corporations and Charitable Organizations
PO Box 8722 | Harrisburg, PA 17105-8722
T: 717.787.1057
dos.pa.gov/BusinessCharities

June 3, 2024

CT Corporation System
600 NORTH SECOND STREET, SUITE 401
HARRISBURG, PA 17101

Entity Name: Rise Energy Services LLC
Entity File Date: May 22, 2024
Entity Number: 0013856709
Filing Type: Foreign Limited Liability Company

The Bureau of Corporations and Charitable Organizations is happy to send your filed document. The Bureau is here to serve you and we would like to thank you for doing business in Pennsylvania.

Thank you for registering with the Department of State to do business in Pennsylvania. Like many other businesses, you may have employees, sell taxable products, or provide a taxable service to consumers in Pennsylvania. Please visit www.pa100.state.pa.us to register for business taxes with the Department of Revenue and the Department of Labor and Industry. You may also visit www.Business.pa.gov to find resources for businesses through all stages of development.

Beginning in 2025, annual reports are required for all domestic filing entities, limited liability general partnerships and registered foreign associations. More information will be forthcoming from the Bureau. However, to ensure that you receive notice of how and when to make annual reports, keep all information on file with the Bureau up-to-date, particularly registered office address.



0013856709

B0693-5531 05/22/2024 2:47 PM Received by Pennsylvania Department of State



COMMONWEALTH OF PENNSYLVANIA
 Department of State
 Bureau of Corporations and Charitable Organizations
 PO Box 8722
 Harrisburg, Pennsylvania 17105-8722
FOREIGN REGISTRATION STATEMENT
 Fee: \$250

Pennsylvania Department of State
-FILED-
 File #: 0013856709
 Date Filed: 5/22/2024

DSCB:15-412 (rev. 2/2017)

In compliance with the requirements of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

Foreign Business Type	
Filing type	Foreign Limited Liability Company
LLC filing type	Limited Liability Company
Association Name	
The full and proper name of the foreign association as registered in its jurisdiction of formation is	Rise Energy Services LLC
Business name in Pennsylvania	Rise Energy Services LLC
Effective Date	
The filing shall be effective when filed with the Department of State	
Additional Information	
Jurisdiction of Formation	DELAWARE
Select one of the following	The association may have one or more series.
The street address of the association's principal office.	
Principal Office Address	MARK TADDEO 23 VAN HOLTEN ROAD BASKING RIDGE, NJ 07920
The mailing address of the association's principal office.	
Mailing Address	MARK TADDEO 23 VAN HOLTEN ROAD BASKING RIDGE, NJ 07920
Home Jurisdiction Addresses	
Select one	The association's home jurisdiction does not require the association to maintain a street and mailing address in that jurisdiction.
Registered Office	
The name of the commercial registered office provider and the county of venue is	
CT Corporation System Commercial Registered Office Provider	
Venue and Publication County	DAUPHIN
Additional provisions, if any	
Additional provisions	
<input type="checkbox"/> I qualify for a veteran/reservist-owned small business fee exemption (see help)	

Electronic Signature:

IN TESTIMONY WHEREOF, the above-named association has caused this Foreign Registration Statement to be signed by a duly authorized representative

Full Name	Title	Date
Bray Dohrwardt	General Counsel, Rise Energy Services LLC	05/22/2024

EXHIBIT 2.b.2

**Rise Energy Services LLC
Certificate of Good Standing from the State of
Delaware, where Rise Energy Services LLC was
formed.**

DATE OF DEPOSIT

JUN 6 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "RISE ENERGY SERVICES LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTH DAY OF JUNE, A.D. 2024.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "RISE ENERGY SERVICES LLC" WAS FORMED ON THE NINTH DAY OF APRIL, A.D. 2021.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



5826003 8300

SR# 20242775273

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 203632799

Date: 06-05-24

Section 6
Attachments

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT 6.1
Rise Energy Services LLC

Proof of Service

DATE OF DEPOSIT

JUN 6 · 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

CERTIFICATE OF SERVICE

On this the 6th day of June 2024, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all NON-CONFIDENTIAL attachments have been served as a hardcopy, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of Small Business Advocate Forum Place
555 Walnut Street, 1st Floor
Harrisburg, PA 17101

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Legal Department
First Energy
2800 Pottsville
Pike Reading PA, 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

DATE OF DEPOSIT

JUN 6 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**



Bray Dohrwardt
General Counsel
Rise Energy Services LLC

Section 7
Attachments

DATE OF DEPOSIT

JUN 6 · 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT 7.a
Rise Energy Services LLC
CONFIDENTIAL
SURETY BOND

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CONFIDENTIAL

EXHIBIT 7.b.1
Rise Energy Services LLC
CONFIDENTIAL
Accounting Statements

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CONFIDENTIAL

EXHIBIT 7.b.2
Rise Energy Services LLC
CONFIDENTIAL
Certificate of Insurance

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT 7.b.3
Rise Energy Services LLC
CONFIDENTIAL
Bank Account Statements

CONFIDENTIAL

EXHIBIT 7.f
Rise Energy Services LLC
CONFIDENTIAL
Tax Certification Statement

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CONFIDENTIAL

**Section 8
Attachments**

DATE OF DEPOSIT

JUN 6 · 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

EXHIBIT 8.a.1

Rise Energy Services LLC

CONFIDENTIAL

Resumes of John Schultz and Mark Taddeo

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT 8.a.2
Rise Energy Services LLC
Proof of Energy Agent
and Energy Consultant Registration
from the State of New Jersey
Board of Public Utilities

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



PHIL MURPHY
GOVERNOR

TAHESHA L. WAY
LT. GOVERNOR

State of New Jersey
BOARD OF PUBLIC UTILITIES
44 South Clinton Avenue
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/
(609)777-3300

Christine Guhl-Sadovy
President

Mary-Anna Holden
Commissioner

Dr. Zenon Christodoulou
Commissioner

Marian Abdou
Commissioner

October 11, 2023

Bray Dohrwardt
General Counsel
Rise Energy Services LLC
23 Van Holten Road
Basking Ridge, NJ 07920

Re: **Energy Agent and Energy Consultant Initial Registrations**
Dkt. Nos. EE23080555L & GE23080556L

Dear Bray Dohrwardt:

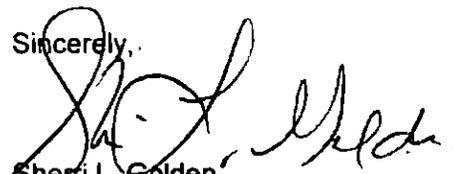
In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its October 11, 2023 Agenda meeting, the New Jersey Board of Public Utilities ("Board") voted to issue Energy Agent and Energy Consultant Registrations to Rise Energy Services LLC. The company's registration numbers are EA-0718 and EC-0229. Pursuant to N.J.A.C. 14:4-5.8, these registrations shall not expire so long as a registration renewal fee accompanied by an annual information update form is submitted to the Board within 30 days prior to the annual anniversary date of October 11th.

These registrations are effective October 11, 2023. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Sandra Perez at (609) 913-6297.

Sincerely,



Sherri L. Golden
Secretary of the Board

SLG/sgp

EXHIBIT 8.a.3
Rise Energy Services LLC

CONFIDENTIAL

Summary Description of
Rise Energy Services LLC's
current customers

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CONFIDENTIAL

EXHIBIT 8.a.4
Rise Energy Services LLC
Description of Rise Energy Services LLC's
Employees
(including short biographies)

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



John Schultz: Co-Founder & Principal

John is an experienced senior energy professional with a proven track record of developing winning strategies and delivering results. He has led two of the largest competitive retail energy companies in the United States and has 27 years of practical experience in a variety of energy industry roles including; energy sales, natural gas and electric operations, energy trading, energy infrastructure development, retail energy M&A, strategy development and risk management. He is passionate about finding sustainable energy solutions to help companies meet the de-carbonization challenge.

Most recently, John was President of Direct Energy Business, one of the largest retail energy providers in North America with operations in 23 states and Canada. John started his career at Statoil Energy Services and spent 13 years at Hess Corporation in a variety of energy-related roles.



Mark Taddeo: Co-Founder & Principal

Mark has been helping commercial and industrial customers, of all sizes, achieve their energy goals for over three decades. He is a seasoned energy executive with significant experience in the natural gas, electric, demand response, and sustainable energy markets. Always focused on delivering for his clients, he leverages his knowledge and experience to find the best solution to any challenge.

Mark was previously Vice President Sales at Direct Energy, where he led a team of over 100 sales and support professionals responsible for selling natural gas, electricity, and energy solutions to commercial and industrial customers throughout North America. Mark started his career at Hess Corporation and held numerous sales, marketing, and customer operations roles of increasing responsibility eventually becoming Vice President of Sales and leading the Hess Energy Marketing sales organization.



Kevin George: Director, Sustainability and Client Services

Kevin has 20+ years experience in the energy industry, with a career-arc spanning technology, operations, strategy, and sales. During that time, he developed a passion for creating strategies that allow customers to meet their sustainability goals without sacrificing stakeholder returns.

Most recently, Kevin was the Director of Sales at Stem, focused on helping customers in the United States, Canada and Mexico achieve their microgrid and renewable energy goals. Prior to joining Rise, Kevin's career also included lengthy stops at IBM, ADP, Hess Corporation and Direct Energy.

Kevin currently is providing technical expertise related to energy storage, micro-grid applications and greenhouse gas accounting and sustainability reporting and disclosures. He also has a Level 1 SASB certification. Kevin brings a broad expertise to the table that has allowed him to successfully engage with Fortune 500 companies, federal and state government agencies, major public universities and public boards to assist with sustainability goal setting, action plans or reporting requirements.



Ryan Campbell: Director, Sales

Ryan has 15+ years' experience in the energy industry, with a focus on energy sales, digital marketing and commodity risk management. Most recently, Ryan was doing Business Development for NRG in the Mid-Atlantic region. Prior to joining Rise, Ryan's career also included NRG, Direct Energy and Hess Corporation. Ryan is currently supporting our clients with compressed natural gas solutions, sustainable energy solutions, fuel cell applications, and energy price risk management. Ryan, like many of his colleagues, is passionate about helping customers bridge to a more sustainable energy future. He is currently pursuing an MBA from Villanova with a concentration in Sustainability and Strategic Management.



Ellen Northrup: Manager, Energy Analytics

Ellen Northrup is a highly skilled energy procurement and management professional with over two decades of experience in the energy industry. She has successfully managed energy procurement for a wide range of clients, including commercial, industrial, and municipal entities, delivering significant cost savings while maintaining a high level of service. Ellen also has extensive experience in managing financial and accounting matters, as demonstrated by her recovery of over \$3.3 million in gross receipt tax refunds for her clients.

Previously, Ellen has held positions with KPMG Peat Marwick, Morgan Stanley and with 5/Luthin Associates. She is a Certified Energy Professional by the Association of Energy Engineers. Ellen is currently supporting our clients in the areas of energy procurement, utility tariff analysis, energy budgeting and planning, energy tax analysis and commodity pricing strategies.

EXHIBIT 8.a.5
Rise Energy Services LLC
CONFIDENTIAL
Rise Energy Services LLC's
Pennsylvania Business Plan

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CONFIDENTIAL

**Section 11
Attachments**

DATE OF DEPOSIT

JUN 6 - 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

EXHIBIT 11.a
Rise Energy Services LLC

Application Affidavit of Mark Taddeo

DATE OF DEPOSIT

JUN 6 2024

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

Appendix A

APPLICATION AFFIDAVIT

DATE OF DEPOSIT

JUN 6 2024

[Commonwealth/State] of New Jersey :

ss.

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

County of Somerset :

Mark Taddeo, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Principal, Co-Founder (Office of Affiant) of Rise Energy Services LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Rise Energy Services LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa.C.S. § 2809 (b) and 52 Pa. Code § 54.37.

That the Applicant herein Rise Energy Services LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Rise Energy Services LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Rise Energy Services LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 30 day of May, 2024



Signature of official administering oath

My commission expires 2/6/28

KRUTIKA K. PATEL
Notary Public, State of New Jersey
Comm. # 50206702
My Commission Expires 2/6/2028

EXHIBIT 11.b
Rise Energy Services LLC

Operations Affidavit
of Mark Taddeo

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

DATE OF DEPOSIT

JUN 6 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of New Jersey :

: ss.

County of Somerset :

Mark Taddeo, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the Principal, Co-Founder (Office of Affiant) of Rise Energy Services LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Rise Energy Services LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Rise Energy Services LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Rise Energy Services LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Code of 1971, 72 P.S. §§ 7101 et seq., and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa.C.S. § 506 (relating to the inspection of facilities and records).

As provided by 66 Pa.C.S. § 2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Rise Energy Services LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa.C.S. § 506, § 2807(c), § 2807(d)(2), § 2809(b) and the standards and billing practices of 52 Pa. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



* Signature of Affiant

Sworn and subscribed before me this 30 day of May, 2024



Signature of official administering oath

My commission expires 2/6/28

- KRUTIKA K PATEL -
Notary Public, State of New Jersey
Comm. # 50206702
My Commission Expires 2/6/2028

Rise Energy Services LLC
23 Van Holten Road
Basking Ridge, NJ 07920



G

US POSTAGE
\$8.60

USPS GROUND ADVAN

RECEIVED

JUN 10 2024

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

SHIP TO:



400 NORTH ST
HARRISBURG PA 17120-0211

USPS TRACKING@ #



9500 1150 3312 4158 5117 82

Pennsylvania Public Utility Commission
Secretary's Bureau
400 North Street, Keystone Building
Harrisburg, PA 17120