

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of _____, d/b/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

NORESKO, LLC
One Research Drive, Suite 400C
Westborough, MA 01581
www.noresko.com
Phone: 508-614-1058

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

NORESKO
Attn: Legal Department
Three Robinson Plaza, Suite 330
Pittsburgh, PA 15205
Phone: 412-788-4044 Fax: 412-788-4577

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application and future inquiries should be addressed.

NOTE: To ensure timely receipt of regulatory information, a contact employed directly by the Applicant, and not a consultant, is preferred.

Andrew Cabana
Facility Security Officer
One Research Drive, Suite 400C
Westborough, MA 01581
Phone: 508-614-1058
acabana@noresko.com, licensing@noresko.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Jamie Vanaria
Secretary and Counsel
One Research Drive, Suite 400C
Westborough, MA 01581
Phone: 508-614-1016
Jamie.Vanaria@carrier.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Erik Miller
Energy Procurement Director
510 Thornall St, Suite 170
Edison, NJ 08837
Phone: 732-590-0122
Fax: 732-590-0129
emiller@noresko.com

Tofik Shiliwala
Energy Analyst
510 Thornall St, Suite 170
Edison, NJ 08837
Phone: 848-382-7507
Fax: 732-590-0129
Tofik.Shiliwala@noresko.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as (“d/b/a”)

Provide a copy of the Applicant’s filing with Pennsylvania’s Department of State pursuant to 54 Pa.C.S. § 311, Form DSCB: 54-311.

or

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.C.S. § 412 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa.C.S. § 8621)
- foreign general or limited partnership (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability partnership (15 Pa.C.S. §§ 8201 and 8221)
- foreign limited liability general partnership (15 Pa.C.S. §§ 411 and 412)
- foreign limited liability limited partnership (15 Pa.C.S. §§ 411 and 412)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant’s charter documentation.
- * If a corporate partner in the Applicant’s domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant’s Department of State filing pursuant to 15 Pa.C.S. §§ 411 and 412.

or

The Applicant is a:

- domestic corporation (15 Pa.C.S. § 1308)
- foreign corporation (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability company (15 Pa.C.S. § 8821)
- foreign limited liability company (15 Pa.C.S. §§ 411 and 412)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
[See Attachment A.](#)
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
[See Attachment B.](#)
- Give name and address of officers.
[See Attachment C.](#)

3. **AFFILIATES AND PREDECESSORS**

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliates currently doing business and state whether the affiliates are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.
[See Attachment D.](#)
- b. **PREDECESSORS:** Identify any predecessors of the Applicant and provide the names under which the Applicant has operated, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.
[See Attachment E.](#)
- c. **RELATED DOCKET NUMBERS:** Provide the Docket Numbers for any previous Pennsylvania PUC licenses for the Applicant, all affiliates, and any predecessors. If the Applicant does not have any related Docket Numbers, explicitly state so.
[Previous EGS: A-2014-2457160 \(canceled\)](#)
[Active NGS: A-2015-2466697](#)

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

Commissioning, Energy Performance Contracting, NGS, and Other Energy Consulting Services

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

NORESCO provides customers with energy procurement consulting services and serves as an Owner's Representative in customer's energy purchasing activities. In this capacity, NORESKO administers the supplier proposal and pricing process, business to be conducted, customers to be served, services provided and geographical areas to be covered, and provides recommendations and facilitates contract execution between the client and the winning energy supplier. NORESKO promotes the opportunity and contacts all registered energy suppliers to make them aware of the process and ensure that each supplier receives the data and information that it requires. NORESKO interfaces directly with the suppliers, handles all inquiries together with and/or on behalf of the client, and responds to Requests for Information from potential bidders as appropriate. We review the bid responses, tabulate the results and make a selection recommendation to the client. If the award is made, NORESKO provides contract support and facilitates contract execution. As appropriate, we also work to ensure that all accounts are properly transitioned to the winning energy supplier and the supplier handles the account enrollment process in a timely fashion.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- Residential and Small Commercial Customers in a Mixed Meter Capacity -

This customer class reflects situations in which a large commercial, industrial, and/or governmental customer account also contains features of residential and/or small commercial customers. In this instance, the residential and/or small commercial portion must be an incidental portion of the larger account. **This customer class alone does not allow marketing targeted directly to residential and/or small commercial customers.** Further information may be found in the Requirements Applicable to Mixed Meter Scenarios Secretarial Letter served March 25, 2011, at Docket No. M-2009-2082042.

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

As soon as possible, upon receipt of PA EGS license.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.
[See Attachment F.](#)
Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.
- b. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.
- c. **SUMMARY:** Provide a statement as to the resolution or present status of any proceedings or actions listed above. Additionally, provide details of any actions the applicant has undertaken that will prevent the items listed above from occurring if licensed in Pennsylvania.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

[Please see Attachment G for Proof of Service to Statutory Agencies and EDCs.](#)

- a. **STATUTORY AGENCIES:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission's Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of Small Business Advocate
Forum Place
555 Walnut Street, 1st Floor
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission’s Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide Proof of Service of the Application and attachments upon each Electric Distribution Company the Applicant proposes to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission’s Regulations, 52 Pa. Code § 5.14. Contact information for each EDC is as follows.

<p>Citizens’ Electric Company: Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837</p>	<p>Duquesne Light Company: Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219</p>
<p>Met-Ed, Penelec, and Penn Power: Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612</p>	<p>PECO: Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699</p>
<p>Pike County Light & Power Company: Vice President – Energy Supply Coming Natural Gas Holding Corporation 330 West William Street Coming, NY 14830</p>	<p>PPL: Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179</p>
<p>UGI: UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 1 UGI Drive Denver, PA 17517</p>	<p>Wellsboro Electric Company: Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901</p>
<p>West Penn Power: Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689</p>	

7. FINANCIAL FITNESS

a. **FINANCIAL SECURITY:** In accordance with 66 Pa.C.S. § 2809(c)(1)(i) and 52 Pa. Code § 54.40(a), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

The original financial security instrument is being filed separately. See Attachment H.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, EGS suppliers should email pc-puc-tus-energy@pagov.onmicrosoft.com or call (717)783-5242 to determine the appropriate bonding amount based on a percentage of suppliers gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, suppliers should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond (preferred), fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
[See Attachment I.](#)
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K) (SEC/EDGAR web addresses are sufficient)
<https://www.sec.gov/ix?doc=/Archives/edgar/data/1783180/000178318024000009/carr-20231231.htm>
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
<https://www.sec.gov/edgar/browse/?CIK=0001783180>
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
[See Attachment I.](#)
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
[See Attachment I.](#)
- Audited financial statements exhibiting accounts over a minimum two-year period.
<https://www.sec.gov/edgar/browse/?CIK=0001783180>
- Bank account statements (3-12 recent consecutive months), tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
<https://www.sec.gov/edgar/browse/?CIK=0001783180>

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A - Operating only as a Broker/Marketer.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

NORESCO's broker transactions are structured through three different contractual arrangements: a) the fee agreement between the customer and NORESCO, b) the broker agreement between NORESCO and the Supplier, and c) the electricity sales agreement between the customer and supplier. NORESCO and a customer enter into an agreement or understanding regarding a broker fee. NORESCO then enters into a broker agreement with the supplier in which the supplier agrees to remit the agreed-upon broker fee from the customer to NORESCO for any customer which NORESCO refers. Once the customer and the supplier have entered into an electricity sales agreement, the customer remits the broker fee to the supplier, and the broker fee is then remitted by supplier to NORESCO in regular (usually monthly) installments. Payment by the supplier to NORESCO of the broker fee is contingent upon the supplier receiving payment from the customer.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Rick Diethorn, Controller
Four Penn Center West, Suite 220
Pittsburgh, PA 15276
Phone: 412-788-4044
Fax: 412-788-4577
rdiethorn@noresco.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.
[See Attachment J.](#)

All sections of the Tax Certification Statement must be completed. Submitting N/A on either the Sales Tax License Number or the Employer ID Number (items 7A and 7B) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

*Item 7A on the Tax Certification Statement is designated by the Pennsylvania Department of Revenue.
Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:
See Attachment K.

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures? **N/A**

- Yes
- No

If yes, describe the Applicant's verification procedures. **N/A**

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

NORESCO relies on existing relationships and referrals for new business and we primarily go to market in this fashion. We do have some marketing information on our website, www.noresco.com, but because our potential customers are businesses and municipalities and not individual customers, we will not engage in telemarketing or door-to-door sales.

NORESCO's marketing and legal teams review all of our marketing materials to ensure compliance with legal and regulatory requirements as well as with the marketing policy of our parent company, Carrier.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See Attachment L.

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. **DISCLOSURE STATEMENTS:**

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

- c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.

- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(b)(4).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 of the Public Utility Code, 66 Pa.C.S. Chapt. 28, pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa.C.S. § 2809(D) and 52 Pa. Code § 54.41(a). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa.C.S. §§ 4902, 4903, and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
[See Attachment M.](#)
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.
[See Attachment N.](#)

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

All Applicants MUST include a Commission issued Docket Number in their publications. Docket Numbers are issued to new applicants when an application packet is submitted to the PUC's Secretary's Bureau. **Newspaper publications published without a Commission issued Docket No. will be rejected.** For more information, see 52 Pa. Code § 54.35.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and **must be supplied to the Commission before the applicant is licensed.**

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News or Philadelphia Inquirer	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Newspaper Publication Templates are provided at Appendices K and L)

13. SIGNATURE

Applicant: NORESCO, LLC

By: Troy Walters

Title: Chief Operating Officer & SVP



14. CHECKLIST

For the applicant’s convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary’s Bureau will not accept an application unless each of the following sections are complete.

Applicant: _____

X	Signature	
X	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
X	Application Affidavit	
X	Operations Affidavit	
X	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
X	Tax Certification Statement	
X	Commonwealth Department of State Verification	
X	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

ATTACHMENTS

- A. Commonwealth of Pennsylvania Certificate of Registration**
- B. Delaware Certificate of Formation and Certificate of Good Standing**
- C. Name and Address of Officers**
- D. Affiliates**
- E. Predecessors**
- F. Civil Proceedings**
- G. Proof of Service**
- H. Bond**
- I. Financial Records, Statements, and Ratings**
- J. Tax Certification Statement**
- K. Experience, Plan, Structure**
- L. Resumes for Chief Officers Responsible for Operations**
- M. Application Affidavit**
- N. Operations Affidavit**
- O. Corporate Brochure**

Attachment A

Commonwealth of Pennsylvania Certificate of Registration

Pennsylvania Department of State
Bureau of Corporations and Charitable Organizations
PO Box 8722 | Harrisburg, PA 17105-8722
T: 717-787-1057
dos.pa.gov/BusinessCharities

Regarding: NORESKO, LLC
Request Type: Certificate of Registration
Request No.: 041058728
Receipt No.: 1175695
Filing Type: Foreign Limited Liability Company
Filing Subtype: Limited Liability Company
Initial Filing Date: March 29, 2001
Status: Active

Issuance Date: August 14, 2024
File No: 0002996990

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT

NORESCO, LLC

is a foreign association duly registered to do business in this Commonwealth as of the issuance date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the seal of my office to be affixed, the day and year above written.

A handwritten signature in cursive script, appearing to read "Albert Schmidt".

Albert Schmidt
Secretary of the Commonwealth

Verify this certificate online at www.file.dos.pa.gov

Attachment B

Delaware Certificate of Formation and Certificate of Good Standing

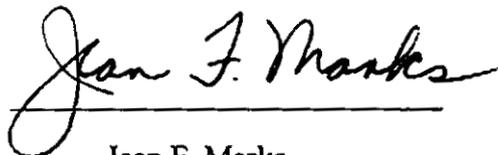
**CERTIFICATE OF FORMATION
OF
NORESKO, LLC**

The undersigned, being authorized to execute and file this Certificate, hereby certifies that:

- FIRST:** The name of the limited liability company (hereafter referred to as the "Company") is NORESKO, LLC.
- SECOND:** The purposes for which the Company is formed are to conduct any lawful business, purposes or activity as provided under the Delaware Limited Liability Company Act in effect on the date hereof or as it may be subsequently amended.
- THIRD:** The address of the registered office of the Company is Corporate Trust Center 1209 Orange Street, in the City of Wilmington, County of New Castle, State of Delaware. The name of the registered agent at such address is The Corporation Trust Company.

The undersigned, being authorized person, for the purpose of forming a limited liability company pursuant to the Delaware Limited Liability Company Act, does make this certificate hereby declaring and certifying that this is its act and deed and the facts herein stated are true, and accordingly have hereunto set its hand this 9th date of February, 2000.

EQUITABLE RESOURCES, INC.
As Authorized Person

By: 
Jean F. Marks

CERTIFICATE OF MERGER
OF
NORTHEAST ENERGY SERVICES, INC.
INTO
NORESKO, LLC

Pursuant to Sec. 18-209 of the Delaware Limited Liability Company Act, the undersigned surviving limited liability company submits the following Certificate of Merger for filing and certifies that:

1. The name and jurisdiction of formation or organization of each of the limited liability companies or other business entities which is to merge are:

Northeast Energy Services, Inc.	Delaware
Noresko, LLC	Delaware

2. An agreement of merger has been approved and executed by each of the domestic limited liability companies or other business entities which is to merge.
3. The name of the surviving limited liability company is:

Noresko, LLC

4. The merger shall become effective at 11:59 p.m. on February 28, 2001.
5. The agreement of merger is on file at a place of business of the surviving limited liability company, which is located at One Oxford Centre, Suite 3300, 301 Grant Street, Pittsburgh, PA 15219.
6. A copy of the agreement of merger will be furnished by the surviving limited liability company, on request and without cost, to any member of any domestic limited liability company or any person holding an interest in any other business entity which is to merge.

IN WITNESS WHEREOF, this Certificate of Merger has been duly executed as of the 27th day of February, 2001, and is being filed in accordance with Sec. 18-209 of the Act by an authorized person of the surviving limited liability company in the merger.

NORESKO, LLC

By: 
Johanna G. O'Loughlin
Corporate Secretary

CERTIFICATE OF MERGER
OF
NORESKO, INC.
INTO
NORESKO, LLC

Pursuant to Section 18-209 of the Delaware Limited Liability Company Act, the undersigned surviving limited liability company submits the following Certificate of Merger for filing and certifies that:

- 1. The name and jurisdiction of formation or organization of each of the limited liability companies or other business entities that are to merge are:

<u>Name</u>	<u>Jurisdiction</u>
Noresco, Inc.	Delaware
Noresco, LLC	Delaware

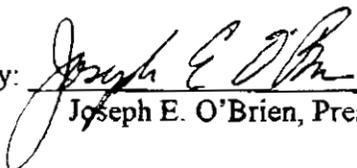
- 2. An agreement of merger has been approved and executed by each of the domestic limited liability companies or other business entities that are to merge.
- 3. The name of the surviving limited liability company is: Noresco, LLC.
- 4. The merger shall become effective at 12:04 a.m. on April 15, 2001.
- 5. The agreement of merger is on file at a place of business of the surviving limited liability company, which is located at:

1 Research Drive, Suite 400C
Westborough, Massachusetts 01581

- 6. A copy of the agreement of merger will be furnished by the surviving limited liability company, on request and without cost, to any member of any domestic limited liability company or any person holding an interest in any other business entity that is to merge.

IN WITNESS WHEREOF, this Certificate of Merger has been duly executed as of the 12th day of April, 2001 and is being filed in accordance with Sec. 18-209 of the Act by an authorized person of the surviving limited liability company in the merger.

NORESKO, LLC

By: 
Joseph E. O'Brien, President

Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "NORESKO, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SECOND DAY OF AUGUST, A.D. 2024.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "NORESKO, LLC" WAS FORMED ON THE NINTH DAY OF FEBRUARY, A.D. 2000.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.




Jeffrey W. Bullock, Secretary of State

3174085 8300

SR# 20243490168

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 204223770

Date: 08-22-24

Attachment C

Name and Address of Officers

By way of background, we are providing some context to the corporate ownership structure of NORESKO, LLC. This application is being submitted on behalf of NORESKO, LLC, which is used as the daily operating and contracting entity. NORESKO, LLC is wholly owned by NORESKO, Inc., which is in turn wholly owned by Carrier Corporation, and its ultimate parent company is Carrier Global Corporation. Because NORESKO, LLC is part of the Carrier Corporation portfolio, it is required to have numerous administrative officers pertaining to matters like taxes, which are not relevant to this submission.

NORESKO, Inc. is the sole managing member of NORESKO, LLC. As such, below please find the requested information for the directors of NORESKO, Inc., which also overlaps with the list of key officers/directors for NORESKO, LLC.

Officer Name	Title	Address
Mead Rusert	President & CEO	1 Research Dr., STE 400C Westborough, MA 01581
Troy Walters	Chief Operating Officer & SVP	1 Research Dr., STE 400C Westborough, MA 01581
Darcy Immerman	Senior Vice President	1 Research Dr., STE 400C Westborough, MA 01581

Attachment D

Affiliates

NORESCO, LLC is wholly owned by NORESKO, Inc., which is in turn wholly owned by Carrier Corporation, and its ultimate parent company is Carrier Global Corporation (“Carrier”). Carrier Global Corporation is a portfolio company with dozens of business units and entities across the globe. It is not possible or practical to provide a detailed accounting of all affiliates or holdings. Carrier Global Corporation is publicly traded under CARR.

Attachment E

Predecessors

Dome-Tech, Incorporated (Dome-Tech) is a former subsidiary of NORESKO LLC (NORESCO) which has held an EGS license in the past. On January 1, 2010, NORESKO assumed day-to-day management and corporate responsibilities of Dome-Tech, and on July 1, 2014, Dome-Tech assigned all of its assets to NORESKO and merged out of existence. Accordingly, the Pennsylvania Public Utility Commission may find references to Dome-Tech in its archives pertaining to NORESKO.

By way of background, NORESKO, LLC is a Delaware limited liability company, and is the main contracting entity used by the NORESKO group of entities under Carrier Global Corporation. NORESKO, LLC is 100% owned by NORESKO, Inc., which is in turn 100% owned by Carrier Corp., who is 100% owned by Carrier Global Corp.

Attachment F
Civil Litigations

[CONFIDENTIAL]

ATTACHMENT G

Certificate of Service

On this the 18th day of September 2024, I certify that a true and correct copy of the foregoing filing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of Small Business Advocate
Forum Place
555 Walnut Street, 1st Floor
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

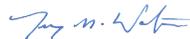
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901



Jay M. Wolf

Attachment H
Bond

The original bond will be file separately with PUC.

Attachment K

Experience, Plan, Structure

NORESCO provides world-class energy engineering and professional consulting services to help its clients optimize building systems performance, reduce energy consumption, reduce emissions production, manage energy expenses through retail purchasing, and improve overall efficiency and operational sustainability. NORESCO is headquartered in Westborough, Massachusetts and has numerous U.S. office locations, including offices in Edison, NJ, Manhattan and the Baltimore and Philadelphia areas.

NORESCO has been recognized by our clients as a leader and innovator in energy efficiency. Our client list includes some of the largest commercial, pharmaceutical, higher education, and public/government entities in the area and in the country.

NORESCO's workforce is predominantly comprised of degreed engineers with years of hands-on experience in facilities, systems and energy services in a variety of applications. Our professional staff consists of license Professional Engineers, Certified Energy Managers, LEED-Accredited professionals, TAB experts, Commissioning Agents, and Certified Energy Procurement Professionals.

NORESCO is licensed in the following states as a supplier of electric services: Connecticut, Delaware, Maryland, Massachusetts, New Hampshire, New Jersey, Rhode Island, Texas, and New York (application submitted). **See our licenses/proof on the following pages.**

NORESCO currently serves over 50 customers in various states and jurisdictions across the country. These customers are classified as commercial and industrial, as well as municipal and government clients.

See Attachment O for our Corporate Brochure.



STATE OF CONNECTICUT

PUBLIC UTILITIES REGULATORY AUTHORITY
TEN FRANKLIN SQUARE
NEW BRITAIN, CT 06051

- DOCKET NO. 23-04-24 APPLICATION OF ELITE ENERGY GROUP INC. FOR A CONNECTICUT ELECTRIC AGGREGATOR CERTIFICATE OF REGISTRATION
- DOCKET NO. 23-05-53 APPLICATION OF ENERGY AUCTION HOUSE INC. FOR A CONNECTICUT ELECTRIC AGGREGATOR CERTIFICATE OF REGISTRATION
- DOCKET NO. 23-05-58 APPLICATION OF EMEX, LLC FOR A CONNECTICUT ELECTRIC AGGREGATOR CERTIFICATE OF REGISTRATION
- DOCKET NO. 23-06-05 APPLICATION OF NORESKO, LLC FOR A CONNECTICUT ELECTRIC AGGREGATOR CERTIFICATE OF REGISTRATION**
- DOCKET NO. 23-07-13 APPLICATION OF LIGHTSTAR ENERGY GROUP LLC FOR A CONNECTICUT ELECTRIC AGGREGATOR CERTIFICATE OF REGISTRATION
- DOCKET NO. 23-07-20 APPLICATION OF Q ENERGY, LLC FOR A CONNECTICUT ELECTRIC AGGREGATOR CERTIFICATE OF REGISTRATION

August 23, 2023

By the following Commissioners:

Marissa P. Gillett
John W. Betkoski, III
Michael A. Caron

DECISION

DECISION

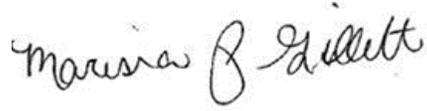
In the months of April through June 2023, the following applicants (Applicants) applied to the Public Utilities Regulatory Authority (Authority) for a Connecticut Electric Aggregator Certificate of Registration: Elite Energy Group Inc. (Elite); Energy Auction House Inc.; EMEX, LLC, d/b/a EMEX Power, LLC; NORESKO, LLC; Lightstar Energy Group LLC; and Q Energy, LLC. The Authority, including its Office of Education, Outreach, and Enforcement (EOE) reviewed all of the information in the record of the above-referenced dockets pursuant to Conn. Gen. Stat. § 16-245(l) and finds that the Applicants have satisfied all of the application requirements. The Authority grants the Applicants an Electric Aggregator Certificate of Registration to serve the non-residential markets requested by each Applicant, subject to the conditions described herein.

Pursuant to statute and the Authority's clarification in the March 30, 2023 Motion No. 16 Ruling (Motion No. 16) in Docket No. 14-07-20RE01, PURA Development and Implementation of Marketing Standards and Sales Practices by Electric Suppliers - Revised Standards, an entity that represents the customer in securing a supplier contract and is ultimately compensated by the customer with the customer's knowledge is an aggregator. An aggregator is the customer's agent. If an entity represents the supplier in the transaction, then the entity is the agent of the supplier and the supplier must claim the entity on its Form 6. See Conn. Agencies Reg. § 16-245o(h)(1).¹ No entity may be both an aggregator and an agent of suppliers.

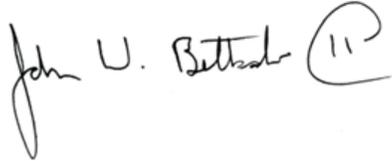
A review of the contracts between the Applicants and suppliers reveals that many of the contracts do not clearly delineate the Applicant as representing the customer and being compensated ultimately by the customer. As EOE noted in Motion No. 16 in Docket No. 14-07-20RE01, there may be instances in which non-residential customers wish to receive one bill for all of their energy supply services. In those instances, it benefits the non-residential customer for the supplier to include the aggregator's compensation in that bill and pass that compensation straight to the aggregator. Not all supplier contracts provided by the Applicants, however, contain straight pass-through provisions. Many contain provisions in which a portion of the aggregator's mark-up goes to the supplier. Others contain provisions in which the supplier pays the aggregator a flat fee for each customer procured, in the same way a supplier would pay an agent. Still, others contain provisions in which the supplier pays the aggregator an amount up front, includes the aggregator's mark-up in the customer's rate, and then the supplier retains any excess, or that do not remove the mark-up if the customer ceases doing business with the aggregator. Any compensation structure in which the supplier is retaining a portion of the aggregator's compensation or paying the aggregator separate from the customer's usage calls into question the relationship between the supplier and the aggregator, and between the aggregator and the customer.

¹ Conn. Agencies Reg. § 16-245o(h)(1) provides in part that "[a]ny third-party who contracts with or is otherwise compensated by an electric supplier to sell electric generation services, or contracts with or is compensated by a third-party marketer of the electric supplier to sell electric generation services for the electric supplier, shall be a legal agent of the electric supplier."

This Decision is adopted by the following Commissioners:



Marissa P. Gillett



John W. Betkoski, III



Michael A. Caron

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Public Utilities Regulatory Authority, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.



Jeffrey R. Gaudiosi, Esq.
Executive Secretary
Public Utilities Regulatory Authority

August 23, 2023

Date



STATE OF DELAWARE
PUBLIC SERVICE COMMISSION
861 SILVER LAKE BLVD.
CANNON BUILDING, SUITE 100
DOVER, DELAWARE 19904
TELEPHONE: (302) 736-7500

April 18, 2023

MEMORANDUM TO FILE

TO: File

FROM: Islah Causey, Public Utility Analyst 

CC: Tofik Shiliwala, NORESKO, LLC

SUBJECT: NOTICE OF CANCELLATION OF HEARING ON REVOCATION OF ELECTRIC SUPPLIER CERTIFICATE

On April 13, 2023, the Delaware Public Service Commission (“Commission”) received NORESKO, LLC’s (“NORESKO” or “Company”) Certified Electric Supplier Annual Report. The Commission will not be moving forward with the Hearing scheduled for April 26, 2023. NORESKO’s Electric Supplier Certificate remains active, and the Company is authorized to operate as an Electric Broker in Delaware.

No further action is required.

W. KEVIN HUGHES
CHAIRMAN

HAROLD D. WILLIAMS
LAWRENCE BRENNER
KELLY SPEAKES-BACKMAN
ANNE E. HOSKINS

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#8, 10/22/14 AM; ML#s 157955 and 158921
License Reference No.: IR-3379

October 22, 2014

Deanna Sheridan
Corporate Counsel
Noresco, LLC
One Research Drive, Suite 400C
Westborough, MA 01581

Dear Ms. Sheridan:

On August 26, 2014, Noresco, LLC (“Company”) filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier broker services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on September 26, 2014.

After considering this matter at the October 22, 2014 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (**License Reference Number IR-3379**). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff 30 days prior to commencing operations in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People’s Counsel.

By Direction of the Commission,

/s/ David J. Collins

David J. Collins
Executive Secretary

DJC/st

cc: Phil VanderHeyden, Electricity Division
Obi Linton, Director, External Relations

NORESKO Massachusetts Electric Broker License

The Official Website of the Executive Office of Energy and Environmental Affairs (EOEEA)

[EOEEA Home](#) [Mass.Gov Home](#) [State Agencies](#) [State Online Services](#)

[◀ Back to DPU](#) | [Dockets/Filings](#) | [Gas/Electric by Town](#) | [Public Hearings](#) | [Gas Suppliers](#) | [Electric Suppliers](#) | [Licensed Carriers](#) |

[N.B. Some functionality will not work in Internet Explorer 8; you must use a newer version of Internet Explorer or another browser such as Google Chrome, Safari, or Firefox.]

Select company type or select company's first letter or select RCI

Note the key for the "RCI" column: "R" - serves Residential customers "C" - serves Commercial customers "I" - serves Industrial customers

Type	Company	RCI	Url	City	State	Phone	Licensed	License #
ELECTRIC BROKERS LICENSE	National Energy Discounters d/b/a National Auditing Services & Consulting	RCI	http://www.nascaudits.com	Monroe	CT	888-673-0249	4/10/2015 12:00:00 AM	EB-314
COMPETITIVE SUPPLIERS	National Gas & Electric, LLC	RCI	http://ngande.com	Houston	TX	888-442-0002	7/7/2017 12:00:00 AM	CS-170
ELECTRIC BROKERS LICENSE	Navigate Power, LLC	CI	http://www.navigatepower.com	Chicago	IL	888-601-1789	11/30/2017 12:00:00 AM	EB-423
ELECTRIC BROKERS LICENSE	Neighborhood Energy	RCI	http://www.neighborhoodenergyne.com	East Hampstead	NH	888-486-5174	4/10/2015 12:00:00 AM	EB-316
ELECTRIC BROKERS LICENSE	New England Preferred Energy, LLC	CI	http://www.nepreferredenergy.com	East Longmeadow	MA	413-237-9071	10/31/2019 12:00:00 AM	EB-496
COMPETITIVE SUPPLIERS	NextEra Energy Services Massachusetts, LLC	RCI	https://www.nexteraenergyservices.com	Houston	TX	866-322-4392	12/27/2004 12:00:00 AM	CS-046
COMPETITIVE SUPPLIERS	Nordic Energy Services, LLC	RCI	http://www.NORDICENERGY-US.COM	Oakbrook Terrace	IL	877-808-1022	7/23/2015 12:00:00 AM	CS-122
ELECTRIC BROKERS LICENSE	Noresco, LLC	CI	http://www.noresco.com	Westborough	MA	848-382-7507	4/28/2023 12:00:00 AM	EB-560
ELECTRIC BROKERS LICENSE	Northern Hill Capital, LLC	CI		Pittsfield	MA	800-991-2513	10/24/2019 12:00:00 AM	EB-493
COMPETITIVE SUPPLIERS	NRG Home f/ka Reliant Energy Northeast, LLC	RCI	http://www.nrghomepower.com	Philadelphia	PA	855-500-8703	5/18/2011 12:00:00 AM	CS-081
ELECTRIC BROKERS LICENSE	NUS Consulting Group	CI	http://www.nusconsulting.com	Park Ridge	NJ	800-654-4687	2/2/2007 12:00:00 AM	EB-093

COMMISSIONER
Jared S. Chicoine

STATE OF NEW HAMPSHIRE



DEPUTY COMMISSIONER
Christopher J. Ellms, Jr.

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-3670

FAX No. 271-1526

Website:
www.energy.nh.gov

DEPARTMENT OF ENERGY
21 S. Fruit St., Suite 10
Concord, N.H. 03301-2429

NORESCO New Hampshire Electric Aggregator page 1

March 7, 2022

NORESCO, LLC
Pablo Hernandez, Vice President and CFO
1 Research Drive, Suite 400C
Westborough, MA 01581

Re: NORESCO, LLC
Renewal of Registration as Provider of Electric Aggregation Service

Dear Mr. Hernandez:

NORESCO, LLC (NORESCO) has submitted an application to the Department of Energy (Department) to renew its registration as a provider of electric aggregation service.

Review of the application determined it is complete and meets the requirements for approval under Puc 2003, Registration and Assessment Requirements, and Puc 2006.02, Information Required for Initial and Renewal Registration of Aggregators.

Under RSA 374-F:7, as amended effective July 1, 2021, the Department is now responsible for registration and regulation of electric aggregators and competitive electric power suppliers and for the adoption of related rules. The Department therefore is authorized to enforce the relevant provisions of the Puc 2000 rules, including those related to aggregator registration. *See* RSA 12-P:14.¹

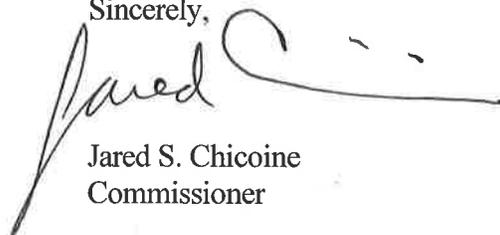
NORESCO's application for registration renewal is hereby approved, effective as of January 31, 2022, under Puc 2003.06(e)(1). The renewed registration is for a term of two years and expires at the close of business on January 31, 2024. Pursuant to Puc 2003.06(a), you must submit your next renewal application at least 60 days prior to the expiration of the approved registration term, on or before December 2, 2023.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier

¹ On July 1, 2021, the Department came into existence and many functions previously performed by the Public Utilities Commission (PUC) were transferred to the Department. Under RSA 12-P:14, "[e]xisting rules, orders, and approvals of the [PUC] which are associated with any functions, powers, and duties, transferred to the [Department] pursuant to RSA 12-P:11 or any other statutory provision, shall continue in effect and be enforced by the commissioner of the [Department] until they expire or are repealed or amended in accordance with applicable law." *See* 2021 N.H. Laws Chapter 91 (House Bill 2-FN-A-Local).

and Aggregator Rules. These rules are available at:
<http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>.

Sincerely,

A handwritten signature in black ink, appearing to read "Jared", followed by a long, sweeping horizontal line that ends in a small upward hook.

Jared S. Chicoine
Commissioner



Christine Guhl-Sadovy
President

Dr. Zenon Christodoulou
Commissioner

Marian Abdou
Commissioner

Michael Bange
Commissioner

State of New Jersey

BOARD OF PUBLIC UTILITIES
44 South Clinton Avenue, 1st Floor
Post Office Box 350

www.nj.gov/bpu/
(609)777-3300

Alice A. Bator, Director
Division of Audits

Tel #: (609) 913-6258
Fax #: (609) 292-2620

April 9, 2024

Sent via electronic mail:

Troy Walters
SVP, COO
NORESKO, LLC
twalters@noresko.com

Re: **Receipt of Annual Energy Agent, Private Aggregator, and Energy Consultant Registration Filing**
Dkt Nos. EE21020095L & GE21020096L

The New Jersey Board of Public Utilities ("Board") received a timely submission of the annual information update form for Energy Agent, Private Aggregator, and Energy Consultant registrations, numbers EA-0300, PA-0160, and EC-0094, issued to NORESKO, LLC for filing year 2024 on February 14, 2024. Pursuant to N.J.A.C. 14:4-5.8, these registrations shall not expire so long as a registration renewal fee accompanied by an annual information update form is submitted to the Board within 30 days prior to the registrant's annual anniversary date of March 24th. These registrations remain active and in good standing with the Board. Nothing shall limit the authority of the Board to deny, suspend, or revoke a license or registration at any time, consistent with the provision of P.L. 1999, c.23 (N.J.S.A. 48:3-49 et seq.). N.J.A.C. 14:4-5.13(d)

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact the Division of Audits at 609-913-6258.

Sincerely,

Alice Bator 
Director, Division of Audits

AB/sgp

Listing of Registered Non-Regulated Power Producers as of 6/12/24

DOCKET	COMPANY NAME	Address	City	State	Zip
D-96-6					
E	Constellation NewEnergy, Inc.	100 N. Riverside Plaza, 9th Floor	Chicago	IL	60606
Z	Direct Energy Business, LLC f/k/a NRG Business	804 Carnegie Center	Princeton	NJ	08540
P2	Engie Resources LLC	1360 Post Oak Boulevard, Suite 400	Houston	TX	77056
U2	Direct Energy Services, LLC	804 Carnegie Center	Princeton	NJ	08540
Y2	Usource, LLC	601 Travis St., Suite 1400	Houston	TX	77002
M3	NextEra Energy Services Rhode Island, LLC	601 Travis St., Suite 1400	Houston	TX	77002
T3	Calpine Energy Solutions, LLC	401 West A Street, Suite 500	San Diego	CA	92101
A4	Early Bird Power, LLC	1 Adams St.	Milton	MA	02186
E4	First Point Power LLC	2000 Chapel View Blvd, Suite 450	Cranston	RI	02920
G4	Goldstar Energy Group Inc.	5429 Harding Highway, Bldg. 500	Mays Landing	NJ	08330
M4	Integrity Energy, LTD	5711 Grant Ave	Cleveland	OH	44105
S4	Single Source Energy Solutions, Inc.	7 Bay Cliff Circle	Plymouth	MA	02360
V4	Unified Energy Services, LLC	2625 Greenbriar Drive	Houston	TX	77098
Y4	National Utility Service, Inc. dba NUS Consulting Group	One Maynard Drive	Park Ridge	NJ	07656
A5	ENGIE Insight Services, Inc. dba ENGIE Impact	1313 N. Atlantic, Suite 5000	Spokane	WA	99201
G5	Sprague Energy Solutions Inc.	185 International Drive	Portsmouth	NH	03801
K5	Enel X Advisory Services USA, LLC	101 Seaport Boulevard, 12th Floor	Boston	MA	02210
M5	Public Power, LLC	6555 Sierra Dr.	Irving	TX	75039
P5	Ambit Energy dba Ambit Northeast, LLC	6555 Sierra Dr.	Irving	TX	75039
R5	Clearview Electric Inc. dba Ambit Northeast, LLC	901 Main St., 47th Floor	Dallas	TX	75202
U5	North American Power & Gas, LLC	1500 Rankin Rd, Suite 200	Houston	TX	77073
A6	XOOM Energy Rhode Island, LLC	804 Carnegie Center	Princeton	NJ	08540
B6	Town Square Energy	2200 E Williams Field Road, Suite 200	Gilbert	AZ	85295
J6	NRG Business Marketing, LLC f/k/a Direct Energy Business Marketing, LLC	804 Carnegie Center	Princeton	NJ	08540
K6	US Grid Energy, LLC	212 N Main St.	Pennington	NJ	08534-2222
M6	L5E, LLC	4545 Fuller Drive, Ste 412	Irving	TX	75038
N6	CleanChoice Energy, Inc. dba Ethical Electric; Clean Energy Option	1055 Thomas Jefferson Street, NW, Suite 650	Washington	DC	20007
P6	Viridian Energy, LLC	6555 Sierra Dr.	Irving	TX	75039
R6	Energy Auction House Inc.	91 RTE 6A	Sandwich	MA	02563
N7	Progressive Energy Consultants, LLC	26133 US Highway 19 North, Suite 214	Clearwater	FL	33763-2016
P7	Reliant Energy Northeast LLC dba NRG Residential Solutions, NRG Business Solutions and NRG Home	2929 Arch Street, Suite 1902	Philadelphia	PA	19104
R7	ENGIE Retail, LLC dba Think Energy	107 John Street	Southport	CT	06890
S7	SmartEnergy Holdings, LLC	400 Madison Ave, Suite 9A	New York	NY	10017

Contact Information: Please contact Donna Daigle at (401) 780-2137 or donna.daigle@dpuc.ri.gov concerning the above information.

Listing of Registered Non-Regulated Power Producers as of 6/12/24

V7	Archer Energy, LLC	9777 Fairway Drive	Powell	OH	43065
X7	Freepoint Energy Solutions, LLC	3050 Post Oak Blvd, Suite 1330	Houston	TX	77056
D8	Global Energy, LLC dba NYC Clean Energy	214 Saint Paul Street, Ste. #1	Westfield	NJ	07090
I8	Discount Power, Inc.	6 Armstrong Rd.	Shelton	CT	06484
L8	Champion Energy Services, LLC	1500 Rankin Rd., Suite 200	Houston	TX	77073
M8	Choose Energy, Inc.	1423 Red Ventures Dr.	Fort Mill	SC	29707
N8	Gold Star Energy, LLC	4076 E Patterson Rd	Beavercreek	OH	45430
P8	Telco Pros LLC dba TPI Efficiency	2019 Center St., Suite 502	Cleveland	OH	44113
T8	Priority Power Management, LLC f/k/a Atlas Commodities II Retail Energy, LLC	2201 E Lamar Blvd, Suite 275	Arlington	TX	76006
Z8	The Energy Link, LLC	1000 Parkwood Circle, Ste 900	Atlanta	GA	30339
K9	Pursuit Energy Solutions LLC	460 Totten Pond Road, Suite 170	Waltham	MA	02451
M9	MP2 Energy NE LLC d/b/a Shell Energy Solutions Retail Services'	909 Fannin St., Suite 3500	Houston	TX	77010
O9	Navigate Power LLC	2211 N. Elston Ave, Suite 208	Chicago	IL	60614
P9	Noresco, LLC	One Research Dr., Suite 400C	Westborough	MA	01581
Q9	Solidified Energy LLC	PO Box 864	Wake Forest	NC	27588
R9	Albireo Energy, LLC	72 Glenmaura Blvd., Suite 106	Moosic	PA	18507
V9	Actual Energy Inc.	74 Route 6A	Sandwich	MA	02563
W9	SmartestEnergy US LLC	333 W. Washington St, Ste. 140	Syracuse	NY	13202
X9	Major Energy Electric Services, LLC	12140 Wickchester Ln, Suite 100	Houston	TX	77079
Y9	Octopus Energy LLC	114 Main Street, Ste. 500	Houston	TX	77002
B10	Sprague Operating Resources LLC	185 International Drive	Portsmouth	NH	03801
C10	Rhode Island Engine Genco, LLC	40 Shun Pike	Johnston	RI	02919
D10	Titan Gas, LLC dba CleanSky Energy	3355 W Alabama St., Suite 500	Houston	TX	77098
E10	Hampshire Power Corporation	33 Union Avenue	Sudbury	MA	01776
F10	Nordic Energy Services, LLC	One Tower Lane, Suite 300	Oakbrook Terrace	IL	60181

Contact Information: Please contact Donna Daigle at (401) 780-2137 or donna.daigle@dpuc.ri.gov concerning the above information.



Public Utility Commission of Texas

Broker Report

NORESCO LLC

BR230151

Type: Limited Liability Company, L.L.C

Date Registered: 5/10/2023

DBA Names

No DBA Records

Company Information

Customer Types:

Customer Details:

Contact Information

Company / Physical (Mailing Address)

NORESCO LLC
ONE RESEARCH DRIVE
SUITE 400C
WESTBOROUGH, MA 01581
Email: licensing@noresco.com
Phone: 508-614-1000

Authorized Rep

NORESCO LLC
ANDREW CABANA
FACILITY SECURITY OFFICE
ONE RESEARCH DRIVE
SUITE 400C
WESTBOROUGH, MA 01581
Email: acabana@noresco.com
Phone: 508-614-1053

Contact (Complaint)

NORESCO LLC
ANDREW CABANA
FACILITY SECURITY OFFICE
ONE RESEARCH DRIVE
SUITE 400C
WESTBOROUGH, MA 01581
Email: acabana@noresco.com
Phone: 508-614-1053

Docket/Project/Control Numbers

49779-3416

Type: PROJECT

Open Date: 5/5/2023

Close Date: 5/10/2023

Filings: New

Note: NEW BROKER REGISTRATION NUMBER
TO REPLACE EXPIRED REGISTRATION

End Report



Public Utility Commission of Texas

Aggregator Report

NORESCO LLC

AG120006

Type: AGG

Date Registered: 4/30/2012

DBA Names

No DBA Records

Company Information

Registering Party: Person

AGG Classification: Class I Class IIA

Customer Types: Commercial Industrial Municipal Political-Subdivision

Customer Details:

Contact Information

Company / Physical (Mailing Address)

NORESCO LLC
DEANNA SHERIDAN
CONTRACTS COUNCEL
ONE RESEARCH DRIVE
SUITE 400C
WESTBOROUGH, MA 01581
Email: dsheridan@noresco.com
Phone: 508-614-1058

Authorized Rep

CT CORPORATION SYSTEM
350 N ST PAUL STREET
SUITE 2900
DALLAS, TX 75201-4234
Email: tammy.tofteroo@wolterskluwer.com
Phone: 214-979-1172
Fax: 214-754-0921

Contact (Complaint)

NORESCO, LLC
KEN SISSINGH
3901 ARLINGTON HIGHLANDS
SUITE 220
ARLINGTON, TX 76018
Phone: 281-202-4697

Docket/Project/Control Numbers

26280-1259
Type: PROJECT
Open Date: 11/29/2018
Close Date: 11/29/2018
Filings: Reports
Note: AGGREGATOR ANNUAL REPORTS

40315
Type: PROJECT
Open Date: 4/12/2012
Close Date: 4/30/2012
Filings: New
Note: AGGREGATOR REGISTRATION APPLICATION

End Report

Attachment L

Resumes for Chief Officers Responsible for Operations



ERIK MILLER, CEM, CEP, LEED AP

Program Director, Energy Procurement

Erik Miller is a seasoned energy professional with more than 25 years of experience in a variety of roles, including business development, marketing, consulting, and management. With a degree in finance, and technical credentials including Certified Energy Manager, Certified Energy Procurement Professional and LEED Accredited Professional, Mr. Miller's subject-matter expertise includes performance contracting, energy studies, incentive programs, renewable energy and energy procurement consulting.

Mr. Miller is NORESKO's Program Director for supply-side energy management and consulting. In this role he provides analysis, advisory services, risk management and other services related to reducing energy costs and the procurement of retail electricity, natural gas, and renewable energy certificates. He is responsible for managing and overseeing NORESKO's portfolio of clients in almost every vertical market including K-12 schools, higher education, retail/office, manufacturing, housing, water/wastewater treatment plants and healthcare. Additionally, Mr. Miller is an expert in commercial sector sourcing requirements, as well as the regimented government-sector purchasing process.

Mr. Miller's skills in evaluating and establishing a procurement strategy, analyzing customer energy consumption and operations, managing energy contracts, and market reporting ensure his clients are informed and protected, and can make energy decisions with the utmost confidence.

SELECTED RELEVANT EXPERIENCE

STATE OF RHODE ISLAND

PROVIDENCE, RI
Project Director, Energy Procurement Consulting

DELAWARE RIVER PORT AUTHORITY

NEW JERSEY AND PENNSYLVANIA
Project Director, Energy Procurement Consulting

CITY OF WATERBURY

WATERBURY, CT
Project Director, Energy Procurement Consulting

CITY OF NORWALK

NORWALK, CT
Project Director, Energy Procurement Consulting

EMPLOYMENT HISTORY

2005 to Present

Program Director, Energy Procurement
NORESKO

1994 to 2005

Manager of Energy Services
PMK Group

ACCREDITATIONS

Certified Energy Manager

Association of Energy Engineers

Certified Energy Procurement Professional

Association of Energy Engineers



LEED® Accredited Professional

U.S. Green Building Council

AFFILIATIONS

Member, Association of Energy Engineers

EDUCATION

Bachelors of Science

Finance
University of Scranton



TOFIK SHILIWALA

Energy Analyst



Tofik Shiliwala has a Master's in Energy Management and has provided energy analysis to a wide variety of projects, including municipal, healthcare, manufacturing, and commercial clients. He credits one of his professors at Rutgers University with inspiring his initial fascination with energy analysis during the senior year of his undergraduate studies, and says his favorite part of what he does is the personal connection he is able to make with clients, when he can see that his work has made a positive impact. Tofik speaks three languages, and outside of work he is the Alumni Chair and National Director of Branding for Alpha Lambda Mu, the first Muslim fraternity in America, which champions services for women and refugees in Texas.

SELECTED RELEVANT EXPERIENCE

BEAL BANK*

PLANO, TX

Parking Garage LED Light Retrofit

Energy Analyst/Project Manager, *Retro-commissioning; Cost-savings analysis, Utility Rebates*

MULTIPLE COMPANIES*

TEXAS AND CONNECTICUT

Demand Response Programs

Energy Analyst, *Commercial Load Management, Utility Rebates*

CHILDREN'S HEALTH*

DALLAS, TX

5MW Microgrid Project

Energy Analyst, *Financial Modeling; Energy Savings Forecasting*

DYNO NOBEL*

SIMSBURY, CT

1MW Microgrid Project

Energy Analyst, *Financial Modeling; Energy Savings Forecasting*

NEW YORK TRANSIT AUTHORITY/MTA*

ELIZABETH, NJ

NYCTA Environmental Clean-up

Accounts Receivable Specialist, *Invoicing; Bill Reconciliation*

EMPLOYMENT HISTORY

2022 to Present

Energy Analyst
NORESKO

2020

Energy Analyst II
Bridgevue Energy

2019

Accounts Receivable Specialist -
Contract
ACV Enviro

2015 - 2016

Finance & Business Analyst - Intern
AT&T

LANGUAGES

English, Gujarati, Spanish

EDUCATION

Master of Science

Energy Management
University of Texas

Bachelor of Arts

Financial Economics
Minor
Business Administration
Rutgers University

*Experience while with a previous employer



KEN SISSINGH

Program Director, Energy Procurement

Ken Sissingh has 19 years experience in the energy industry. Mr. Sissingh has the ability to identify solutions and provide clients with energy management cost-cutting strategies. Mr. Sissingh has detailed knowledge of demand and price response, power and gas commodities and has been an integral team member resolving complex solutions for clients. Mr. Sissingh developed a software program that examines energy usage providing clear insight in areas of consumption where large savings could be realized as well as improved equipment efficiency.

SELECTED RELEVANT EXPERIENCE

HINES INTERESTS

1585 Broadway
NEW YORK, NY

Project Manager, *Utility Bill and Interval Analysis*

750 Seventh Avenue
NEW YORK, NY

Project Manager, *Utility Bill and Interval Analysis*

522 5th Avenue
NEW YORK, NY

Project Manager, *Utility Bill and Interval Analysis*

One NY Plaza
NEW YORK, NY

Project Manager, *Utility Bill and Interval Analysis*

165 Halsey St
NEWARK NJ

Project Manager, *Utility Bill and Interval Analysis*

665 Howard Ave.
SOMERSET NJ

Project Manager, *Utility Bill and Interval Analysis*

UNIVERSITY OF ST. THOMAS

HOUSTON, TX

Project Manager, *Electricity Procurement*

ANGELO STATE UNIVERSITY

SAN ANGELO, TX

Project Manager, *Electricity Procurement*

EMPLOYMENT HISTORY

2012 to Present

Program Director, Energy Procurement
NORESKO

2010 to 2011

Senior Business Development Manager
Direct Energy

2004 to 2010

Director of Technical Sales
Constellation NewEnergy

2001 to 2004

Senior Manager of Sales
Reliant Energy

1996 to 2001

Manager
Enron

EDUCATION

Masters of Business Administration

University of Texas - San Antonio

Bachelors of Arts

Business Administration
Trinity University

*Experience while with a previous employer

Attachment M

APPLICATION AFFIDAVIT

[Commonwealth/State] of New Jersey :

: ss.

County of Middlesex :

Troy Walters, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Chief Operating Officer & SVP (Office of Affiant) of NORESKO, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein NORESKO, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa.C.S. § 2809 (b) and 52 Pa. Code § 54.37.

That the Applicant herein NORESKO, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein NORESKO, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

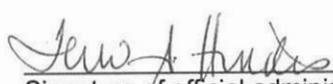
That the Applicant herein NORESKO, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

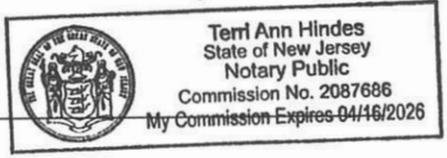


Signature of Affiant

Sworn and subscribed before me this 13th day of September, 2024.



Signature of official administering oath

My commission expires _____




Attachment N

OPERATIONS AFFIDAVIT

[Commonwealth/State] of NJ :
: ss.
County of Middlesex :

Troy Walters, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the Chief Operating Officer & SVP (Office of Affiant) of NORESCO, LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That NORESCO, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That NORESCO, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

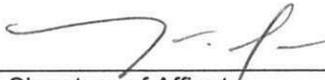
That NORESCO, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Code of 1971, 72 P.S. §§ 7101 et seq., and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa.C.S. § 506 (relating to the inspection of facilities and records).

As provided by 66 Pa.C.S. § 2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That NORESCO, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa.C.S. § 506, § 2807(c), § 2807(d)(2), § 2809(b) and the standards and billing practices of 52 Pa. Code Chapter 56.

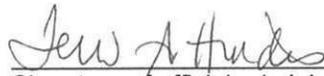
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



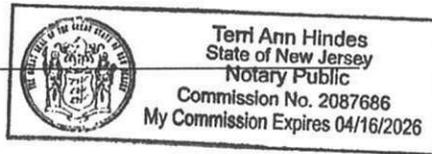
Signature of Affiant

Sworn and subscribed before me this 13th day of September, 2024.



Signature of official administering oath

My commission expires _____



Attachment O
Corporate Brochure



NORESICO

**SUSTAINABILITY
SERVICES**

Pictured on this page:
Pierce Boston Luxury Apartments
Boston, MA
LEED Silver®
Photo Credit: Jeremy Bittermann

“
Our mission is to be our customers’ first choice for energy efficiency and sustainability solutions by identifying and implementing energy and water saving measures, infrastructure upgrades, and sustainable design strategies for high-performance environments.
”

ABOUT US

Founded in 1984, NORESKO is a leading energy services company that provides demand-side management and sustainability consulting services for the built environment.

NORESKO influences many levels of the built environment—from optimizing new and existing buildings, to improving regional utility programs, to developing national energy codes and standards. We bring thought leadership and hands-on engineering expertise to every project. Our high-performance building solutions draw on cutting-edge innovations and proven technologies. We offer services for new and existing buildings including:

Sustainable Design Consulting

- Healthy Building Assessments
- Green Building Certification and Wellness Consulting
- Energy and Daylight Modeling
- Life Cycle Assessment Modeling
- Energy Master Planning

Building Performance and Science

- Building Performance Testing
- New Building Commissioning and Retro-Commissioning
- Building Enclosure Commissioning
- Testing, Adjusting and Balancing
- Codes and Standards Development

Energy Efficiency Consulting

- Energy Savings Performance Contracts
- Energy Audits and Retro-Commissioning
- Renewable Energy Consulting
- Retrofit Implementation
- Measurement and Verification



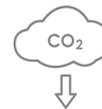
500+
employees
nationwide



36 years
of industry
experience



10,000+
facilities served in the
U.S. and worldwide



25 million
metric tons of CO₂
emissions reduction



1.4 million
acres of forest worth
of CO₂ reductions



50 billion
gallons of
water savings

*Pictured on the right:
Hines Parcel F
Photos courtesy of Hines/HKS
Architects*

CERTIFICATION CONSULTING

CERTIFICATION CONSULTING starts by gaining a thorough understanding of the client's goals so that we select the green-building rating system that best aligns with their desired outcomes. Our team helps clients and project teams prioritize sustainability goals based on priorities, available resources, and project constraints.





NORESKO's certification consulting services identify and integrate viable sustainable design strategies into new construction and existing buildings. We advise and work with the building owner and design team each step of the way to ensure our clients obtain their sustainability certifications goals.

Our approach addresses the need to ensure all members of the project team have a clear understanding of the certification process and the project's certification goals whether it be LEED, WELL, Green Globes, or Fitwel, among others.

Our dedicated certification consulting team members are exemplary professionals at the top of their field and possess the highest level of knowledge and experience to every project in which they participate. NORESKO consultants take pride in sharing their skills and lessons learned with our clients in a concentrated effort to develop projects that will ultimately serve as models for innovative sustainability features, time efficiency, and cost effectiveness.

500+
buildings certified under the
LEED Green Building Program

75+
LEED Accredited Professionals
on staff nationwide

RATING SYSTEMS:

- LEED® Green Building Program
- WELL Building Standard®
- Enterprise Green Communities
- Green Globes
- Living Building Challenge
- Fitwel™
- National Green Building Standard (NGBS)
- Collaborative for High Performance Schools (CHPS)
- Building Research Establishment's Environmental Assessment Method (BREEAM)
- ENERGY STAR Residential New Construction Program



Smoothly navigate
complex certification
requirements

*Pictured on the right:
Toyota York Supplier Center
York, MI
LEED Platinum® and Net-Zero Energy
photos © Cory Klein Photography*

ENERGY & DAYLIGHT MODELING

ENERGY & DAYLIGHT MODELING provides a detailed simulation of a building's proposed design to predict the building's anticipated energy use. Models also help design teams understand how design decisions can further impact natural light and thermal comfort, as well as maximize the opportunity for passive design strategies.





 Our team provides integrated, whole-building modeling to identify architectural, mechanical, and electrical energy-saving design strategies, taking into account the complex interactions between building components and management systems.

Our building performance experts help design teams weigh strategies for:

- improving building performance
- achieving building energy goals
- reducing potential glare issues
- complying with local energy requirements
- demonstrating savings to qualify for incentives
- maximizing passive design measures

NORESCO regular provides early-stage modeling for projects during the conceptual and schematic design phases, which more easily translates our modeling services into real energy savings.

Working with a design team from the start of a project provides an opportunity to explore a plethora of design parameters and alternatives that might not otherwise be considered, including passive design elements such as building orientation, size of facility, function of the design, geometry/shape, building envelope materiality, window-to-wall ratio, shading and daylighting, and more.



Approved Xcel Energy
Energy Design
Assistance Provider



Help project teams
weigh alternative
strategies



Approved NYSERDA
Primary Energy
Consultant

*Pictured on the right:
City of New York -
Public Safety Answering Center II
New York, NY
LEED Gold®*

NEW BUILDING COMMISSIONING

COMMISSIONING is the process of verifying and documenting that the facility and all of its systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the owner's project requirements. Commissioning efforts result in improved building performance and occupant comfort.





As one of the largest energy services companies in the United States, NORESKO guarantees the efficiency and performance of many of the facilities and equipment we commission. Thus, commissioning isn't a secondary service offering; it is essential to our success as it directly impacts building performance and ultimately our bottom line.

With more than three decades of commissioning experience, NORESKO has pioneered diagnostic methods and tools that form the basis of today's building commissioning best practices. Our staff is highly experienced in identifying and correcting potential problems encountered during commissioning, including equipment failure modes, controls systems issues, and human error. Our focus is always on working proactively to prevent problems and implement solutions. The benefits of a properly commissioned building include:

- Confidence that installed systems and equipment are operating at peak performance
- Improved energy efficiency and healthier workplace environments leading to greater productivity
- Lower costs to owner over the life-cycle of the building and building systems
- Confirmation that systems will perform more efficiently as a result of proper operations and maintenance personnel training
- Satisfaction of code requirements, sustainability guidelines, and/or the achievement of certification credits if applicable

\$17.5 Million
Commissioning revenue
since 2017

250+
Commissioning projects
since 2017

100+
person team dedicated to
providing MEP commissioning

*Pictured on the right:
Hines - 3500 Blake Street
Denver, CO
photo courtesy of Hines/DLR Group*

BUILDING ENCLOSURE COMMISSIONING

BUILDING ENCLOSURE COMMISSIONING (BECx) is the process of ensuring that the building enclosure is designed, constructed and maintained in a way that meets project requirements. Ideally, BECx starts early in the design process and continues through to occupancy.





The building enclosure is a unique and complex assemblage of materials and systems intended to separate the inhabited building interior from the exterior environment. A successful BECx process requires a thorough understanding of materials properties and interactions between various components and systems. As such, satisfactory building enclosure performance is most effectively achieved through diligent attention during the design and construction stages of the project.

The benefits of a high performing building enclosure resulting from successful BECx include:

- lower construction costs as a result of fewer change orders and appropriately sized equipment
- improved occupant comfort
- reduced energy consumption
- improved indoor air quality
- lower operating and maintenance costs

NORESCO has worked with a wide range clients and building types to provide building enclosure commissioning. Our recent experience includes projects with:

- Toyota Motor Corporation
- The City of New York
- Hines
- LG Electronics
- The City and County of Denver
- West Chester University



Certified BECx Process Providers

800+
BECx projects completed nationwide by our current team



Improved durability and water leakage resistance

*Pictured on the right:
New Mexico Junior College - Allied Health Building
Hobbs, NM
LEED Gold®*

TESTING, ADJUSTING, AND BALANCING

TESTING, ADJUSTING, AND BALANCING is a method of regulating HVAC air supply, return, and exhaust, or the flow of water, to achieve a neutrally balanced, comfortably conditioned building. By utilizing specialized equipment to test, adjust, and balance systems, buildings can achieve optimal performance and increase occupant comfort.





NORESKO is NEBB-certified testing, adjusting and balancing firm for both airside and water side systems. To assist with performing these activities, NORESKO has made an investment in more than \$375,000 of company-owned equipment and testing instruments that meet NIST traceable and NEBB calibration standards.

Our field staff is made up of experienced controls engineers and test, adjust and balance technicians. We are experienced in using direct digital controls as trending systems and also have our own extensive inventory of data logging equipment to measure sustained correct performance over time. If a building is malfunctioning, trend logging is one of the best ways to identify and diagnose less than optimal performance.

Additionally, NORESKO has long assisted building owners, especially hospitals and their engineering staff, troubleshoot HVAC systems to optimize efficiency and maintain compliance with regulatory requirements such as those from the Joint Commission (JACO), Centers for Medicare & Medicaid services (CMS), and the Department of Health to reduce the risk of spreading airborne contaminants.



NEBB Certified
Testing, Adjusting and
Balancing Firm



air and water
balancing for
optimal
performance

\$375,000
worth of NIST-calibrated
testing equipment

*Pictured on the right:
West Edge Mixed-Use Project
Los Angeles, CA
photo courtesy of Hines*

PERFORMANCE TESTING

PERFORMANCE TESTING measures actual space conditions within a building and provides quantitative data to ensure the building is performing as intended. Our engineers use the data collected to develop recommendations for building strategies that increase desired health benefits for occupants.





NORESKO's building performance testing services are a comprehensive way of evaluating if sustainability and wellness strategies are operating as intended.

Building performance testing can help identify issues in existing buildings and inform owners and building managers of the level of improvements required to meet optimum health levels.

NORESKO staff has been conducting WELL Performance Verification since 2016 and has provided these services on more than 25 projects around the globe. As an International WELL Building Institute™ (IWBI) approved WELL Performance Testing Organization, our team has unmatched experience in providing this service. We will work with project teams to coordinate the performance verification schedule with consideration of the project close-out process and projected building occupancy to provide efficient and accurate results.

SERVICES AVAILABLE:



Indoor Air Quality Testing



Light Quality Testing



Acoustic Quality Testing



Water Quality Testing



approved WELL
Performance Testing
Organization



healthy
lighting



increased
productivity

*Pictured on this page:
Pierce Boston Luxury Apartments
Boston, MA
LEED Silver®
Photo Credit: Jeremy Bittermann*

ENERGY AUDITS & IMPLEMENTATION

ENERGY AUDITS are key to identifying and developing energy conservation measures that can reduce a building's energy use and operating expenses. These analyses break down the total energy use and cost for the facility into various end uses, and provides the client with the potential for savings.





We have performed energy audits on more than 20,000 facilities worldwide, including federal courthouses, police and emergency personnel facilities, corporate headquarters, data centers, and municipal office complexes. Whether we are helping to improve the performance of a multi-building portfolio or auditing a single facility, our diagnostic testing comprehensively investigates the dynamic interaction between building system components to uncover wasted energy and identify operational performance problems.

Our energy audits and analyses range from preliminary walk-throughs to detailed investment-grade audits, which identify energy conservation measures and quantify energy cost savings. Our levels of auditing and analyses are based on the recommended practices of ASHRAE:

- Walk-Through Energy Audit/ ASHRAE Level I Energy Audit
- ASHRAE Level II Energy Audit
- ASHRAE Level III Energy Audit (Investment Grade Audit with calibrated energy model)

An important component of many energy projects is the ability to secure creative sources of funding, such as utility rebates, incentives, environmental credits, and state/federal grants. NORESKO has helped our customers secure more than \$100 million in rebates and incentives, and regularly works on their behalf to secure all financial incentives to help offset as much of the project cost as possible.

\$5+ Billion

In guaranteed energy savings since our founding

75+

Certified energy managers firm-wide



Keep energy use at the lowest possible level

*PHOTOS - from left to right:
City of New York - Bronx Hall of Justice
photo courtesy:
Paul Warchol Photography Inc.*

RETRO- COMMISSIONING

RETRO-COMMISSIONING is the process of assessing, analyzing, and improving the operational performance and occupant comfort of an existing building. Retro-commissioning produces energy savings, increased occupant comfort, and short-term economic paybacks that are generally less than three years.





NORESKO delivers an industry-leading approach to retro-commissioning. We blend activities from prescriptive energy audits, such as those defined by ASHRAE, with best practices and project-proven approaches to deliver comprehensive building analyses at a cost-effective price.

NORESKO analyzes all the components that can have an effect energy consumption and demand, occupant comfort, and operations and maintenance. We take an approach to follow the systems from beginning to end, starting with the building automation system (BAS) or on-board controls.

Due to our diverse background in design, construction, and operations, we are capable of implementing advanced controls strategies, aggressive energy-savings algorithms, sensor calibrations, and data trending and tracking in the field during our assessment. This provides the added value of integrating multiple services into one and ensuring that once problems are identified effective solutions can be implemented quickly and accurately with monitored results.

Additionally, NORESKO is pre-qualified to receive rebates under numerous incentive programs including:

- NYSERDA - Flextech Consultant
- Xcel Energy - Recommissioning Trade Partner
- ComEd - RCx Service Provider
- Centerpoint Energy - RCx Service Provider

5-15%

Savings of a building's annual energy cost

10,000+

RCx and energy assessments worldwide



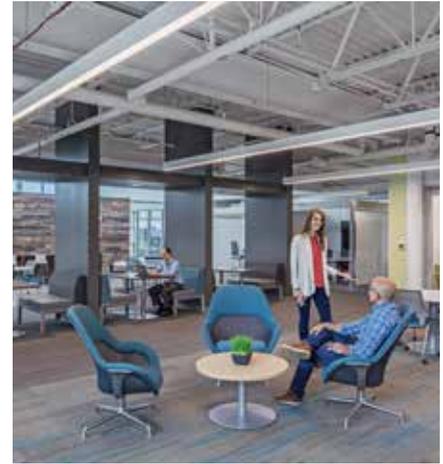
Optimize building performance

*Pictured on the right:
Toyota Georgetown Production Facility
Georgetown, KY
LEED Platinum® and Net-Zero Energy
photos © Cory Klein Photography*

RENEWABLE ENERGY CONSULTING

RENEWABLE ENERGY CONSULTING helps investigate, analyze, prioritize, and recommend different renewable energy technologies. Our focus is on developing solutions that not only offer substantial utility cost savings, but also increase reliability and resilience without impacting operations.





NORESKO is committed to making renewable energy a viable option to businesses and is leading the way in the creative use of wide-scale development and implementation of renewable technologies. Together with our customers, we have had the privilege of developing and implementing a dynamic array of projects, including solar and wind technologies and an advanced biomass boiler plant, and have made a commitment to promote and seek out more renewable energy projects in the future.

NORESKO's renewable energy portfolio includes:

- Photovoltaics (roof and ground mounted)
- Biomass conversion systems
- Micro-grids/Battery storage
- Geothermal/Ground source heat pumps
- Wind
- PPA consulting and management

Many of our projects have taken advantage of rebates and grants that promote renewables. For example, NORESKO helped secure \$2.35 million in grants from the Department of Energy and the Massachusetts Renewable Energy Trust to help make two New England projects a reality. We review all opportunities to apply other funding sources, renewable energy credits, emission reduction credits, and tax incentives, in order to help improve the economics of renewable technologies.

22,700 kW+
of solar photovoltaic arrays and
renewable sources installed

477 MW+
in distributed generation and
cogeneration projects installed



Complement existing
systems or achieve
energy independence

*Pictured from left to right:
Mariposa Apartment Complex
LEED Platinum®
Photos courtesy of Daniel O'Connor
Photography*

ENERGY PROCUREMENT

ENERGY PROCUREMENT is the process of planning, developing, and executing an energy procurement strategy in the face of constantly changing markets. The goal of a successful energy procurement project is to secure the lowest possible pricing and develop contract terms that align with our client's long-term goals.





Our team has built an energy procurement portfolio of more than 150 public and private-sector entities, which in turn have facilitated the delivery of more than \$400 million in retail energy transactions. Our process centers on an in-depth analysis of each client's unique energy use and relies on NORESKO's market intelligence and resources including:

- Expertise with understanding available product options and indicative pricing
- Proficiency with energy contract terms and conditions to maximize the interests of our clients
- A thorough analysis and engineering-based understanding of a facility's load factor and operating capability to perform
- A keen understanding of retail supply companies, including their respective strengths, staff, offerings, processes, and competitiveness in different markets
- Access to available market data that we can translate and communicate to owners

150+
clients in public and private-
sector entities



Weekly Energy
Market Updates

OTHER SUPPLY SIDE SERVICES AVAILABLE:

- Renewable Energy Certificates (RECs)
- Rate & Tariff Analysis
- Utility Bill Auditing
- Off-Tariff Rate Negotiation
- Demand Response and Price Response Consulting

400+ Million
in retail electricity and natural
gas transactions

Corporate Headquarters

One Research Drive
Westborough, MA 01581

1.888.NOESCO

Find an office near you:
www.noesco.com

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