



March 6, 2025

Pennsylvania Public Utility Commission
Attn: Secretary's Bureau
400 North Street
Keystone Building
Harrisburg, PA 17120

To Whom It May Concern:

Ref: Utiliz Services, LLC PA EGS Broker application – Docket # A-2024-3050636

In your data request letter dated 02/10/2025, the Pennsylvania Public Utility Commission requested the following:

1. Reference Application, Section 5.a, b, and c. Compliance. Section 5 requires Applicants to list and identify all such proceedings for the Applicant, affiliate, predecessor of either, or a person identified in this Application (active or closed within the last five years), by name, subject and citation; whether before an administrative body or in a judicial forum. The Applicant responded: "The applicant has no proceedings to list" in response to each of the three compliance related sections. Please note that non-compliance with section 5 of the application may result in the application being denied.

There appear to be numerous filings in at least one jurisdiction against the applicant and/or people named in the application, of note, is the proceeding mentioned below, found on the PACER1 (Public Access to Electronic Court Records) website:

In the United States District Court for the Southern District of New York at Docket No. 1:23-mc-51(VEC), filed by the NATIONAL LABOR RELATIONS BOARD v. CHARLES THOMAS SCHMIDT; § PARTY SHUTTLE TOURS, LLC; § CITY INFO EXPERTS, LLC; § UNIVERSAL SMART CONTRACTS, LLC. Order entered on April 5, 2024, by U.S. District Judge Valerie E. Caproni for judgement against Charles Thomas Schmidt in the amount of \$42,673.41.

A. If the case cited above involves the applicant, or a person working at your company, provide details of this proceeding with a statement as to the resolution or present status of this proceeding, as well as details on all other proceedings they may be involved in. If the proceeding above does not apply to the applicant and/or people named in the application, explicitly state this in your response.

B. Please explain what type of safeguards the applicant has implemented in order to avoid similar scenarios from the above occurring in the Pennsylvania marketplace.

