



SmartEnergy Holdings LLC
400 Madison Avenue Suite 9A
New York, New York 10017
www.smartenergy.com

October 13th, 2025

Rosemary Chiavetta
Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

RE: Annual Compliance Filing of SmartEnergy Holdings, LLC for Continuation of 5% Reduction in Security Requirements; Docket No. A-2014-2416214 | Utility Code: 1116480

Dear Secretary Chiavetta:

SmartEnergy Holdings, LLC ("SmartEnergy") previously received authority to reduce its security with the Pennsylvania Public Utility Commission from 10% to 5% of SmartEnergy's most recent twelve months of revenue. SmartEnergy requests to maintain its 5% financial security reduction of its most recent 12 months (or 4 quarters) of gross receipts, in accordance with the Commission Order deemed final on October 16, 2018, at Docket No. P-2017-2608078.

SmartEnergy provides the following information and documentation in support of its Annual Compliance Filing:

1. Certificate of Service.
2. Department of Revenue Tax Status of Good Standing confirmation.
3. Department of Revenue REV 423 PA Corporation Specialty Tax Estimated Payment confirmation.
4. AEP Obligations email confirmation.
5. SmartEnergy's current financial security has an expiration date of January 17, 2025.
6. SmartEnergy's most recent 12-months of gross receipts is [REDACTED]
7. 5% of SmartEnergy's gross receipts for the most recent 12 months is [REDACTED]
8. Continuation Certificate for 2025.

Please note this filing contains or reflects commercially or financially sensitive information or trade secrets that are being provided to the Commission on a confidential basis. Accordingly, the filing is marked confidential. The confidential information contains information that is customarily treated as confidential and proprietary, not available to the public, and that SmartEnergy has used its best efforts to maintain as secret. Public dissemination of this Confidential Information would subject SmartEnergy to risk of competitive disadvantage or other business injury. A redacted version of this filing was made via e-filing.

If you have any questions or concerns, please do not hesitate to reach out to me.

Regards,

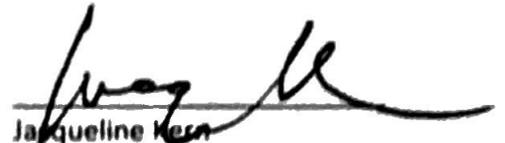
A handwritten signature in black ink, appearing to read "Jackie Kern".

Jackie Kern

CMO
212-779-7000
regulatory@smartenergy.com

VERIFICATION

I, Jacqueline Kern, hereby state that the facts above set forth are true and correct to the best of my knowledge, information, and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).



Jacqueline Kern
Chief Marketing Officer

CERTIFICATE OF SERVICE

On this the 13 day of October 2025, I certify that a true and correct copy of the foregoing filing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, or a USB flash drive, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of Small Business Advocate
Forum Place
555 Walnut Street, 1st Floor
Harrisburg, PA 17101

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Duquesne Light Company
Regulatory Affairs
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

FirstEnergy Pennsylvania Electric Company
Legal Department
341 White Pond Drive
Akron, OH 44320

PECO Energy Company
Manager Energy Acquisition
2301 Market Street
Philadelphia, PA 19101-8699

Pike County Light & Power Company
C/O Corning Natural Gas Holding Corporation
Vice President – Energy Supply
330 West William Street
Corning, NY 14830

PPL
Office of General Counsel
Attn: Kimberly A. Klock
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901



Jacqueline Kern
Chief Marketing Officer



< Submissions

Make an ACH Debit Payment

Submitted

> Delete



Make an ACH Debit Payment



Payment Type



Payment Information



< Previous



[ACCESSIBILITY](#)

[PRIVACY & DISCLAIMERS](#)

[SECURITY](#)

Copyright © 2025 Commonwealth of Pennsylvania. All Rights Reserved.



SMARTENERGY HOLDINGS, LLC
400 MADISON AVE
NEW YORK NY 10017-1909

Date Issued 10/09/2025

Letter ID [REDACTED]

FEIN [REDACTED]

Account ID [REDACTED]

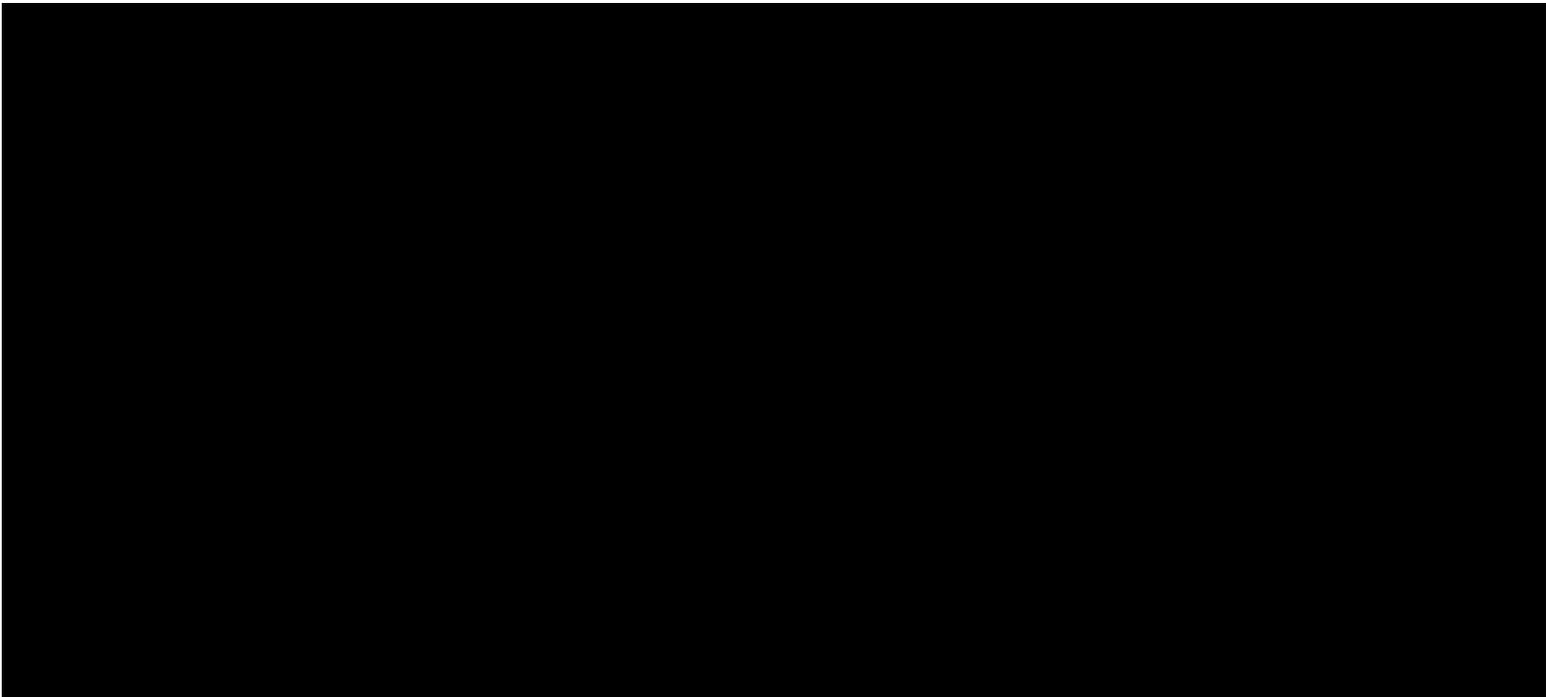
Gross Receipts Electric, Hydro-Electric and Water Power Statement of Account

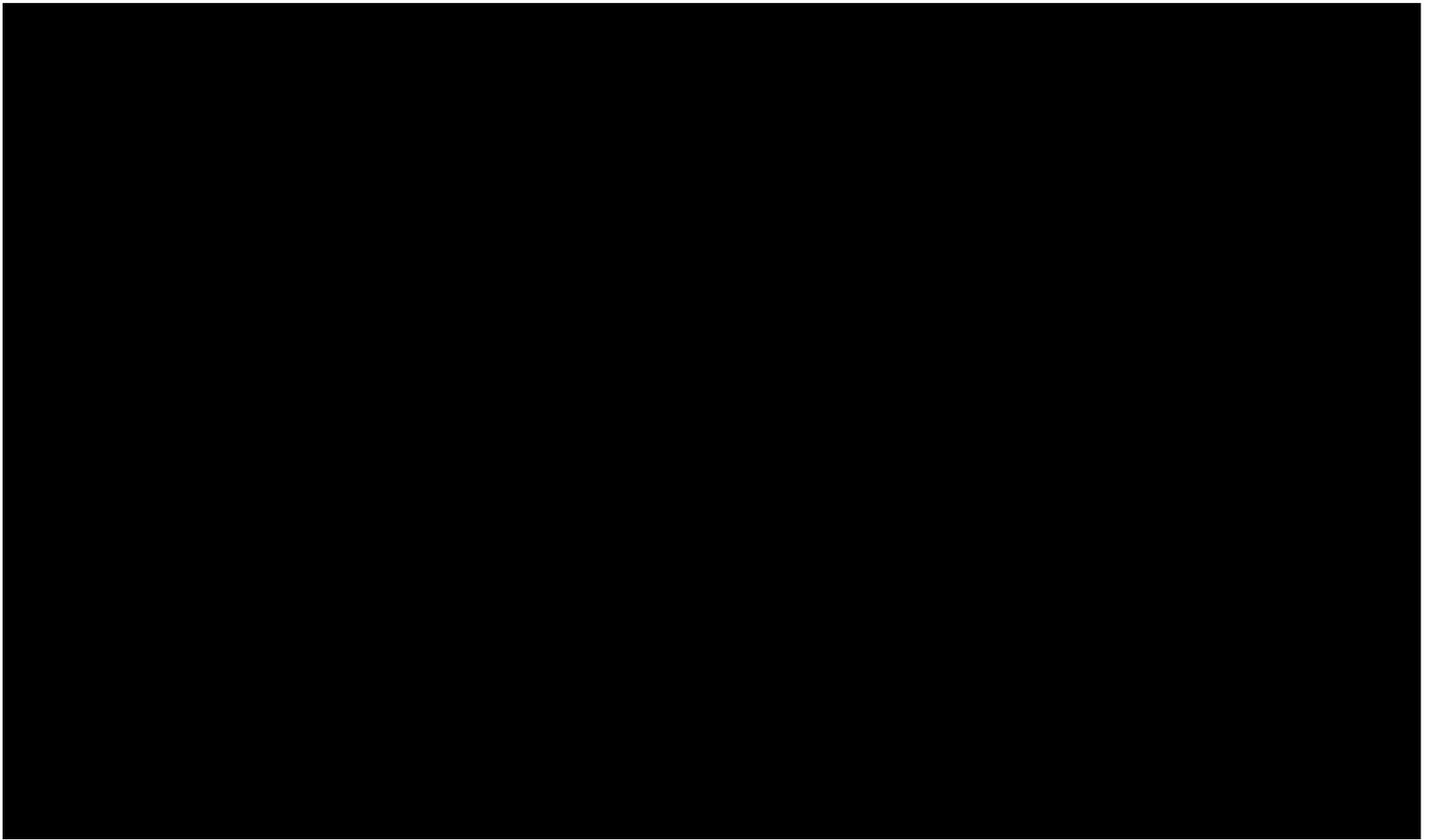
This is a Statement of Account for the Gross Receipts Electric, Hydro-Electric and Water Power account for the above-listed taxpayer.

What information is included in the Statement of Account?

Tax periods shown below include a summary and details of the three most recent periods that have been filed, periods that do not have a zero balance and periods for which we have not yet received your return.

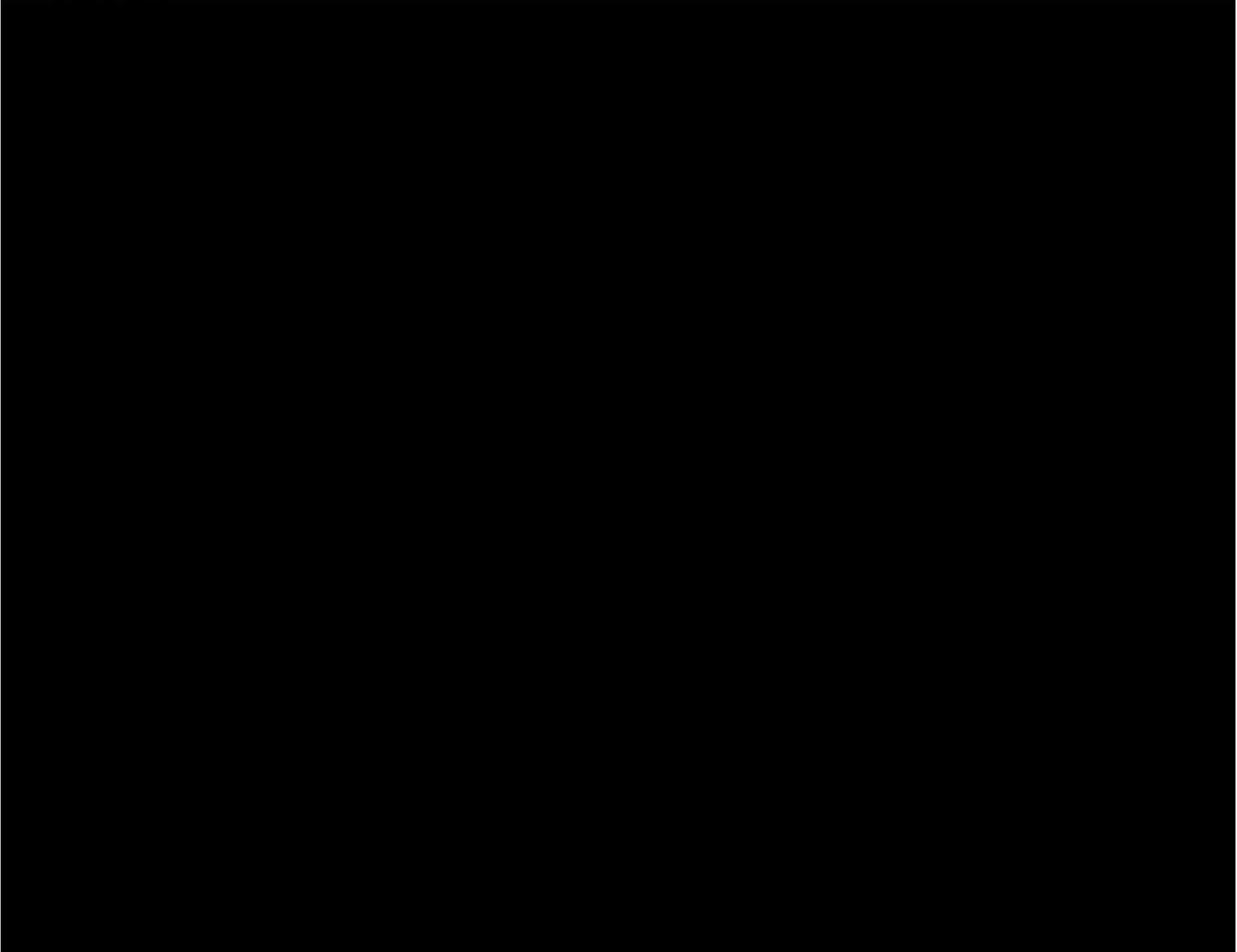
This Summary of Periods includes interest as of the Date Issued above.





The sections below contain the Tax Period Details that were used to calculate the totals shown in the previous Summary of Periods sections.

Period Details - Gross Receipts Electric, Hydro-Electric and Water Power



Period Total: 



Date Issued 10/09/2025



SMARTENERGY HOLDINGS, LLC
400 MADISON AVE
NEW YORK NY 10017-1909

Letter ID [REDACTED]

FEIN [REDACTED]

Account ID [REDACTED]

Pass-through Entity Statement of Account

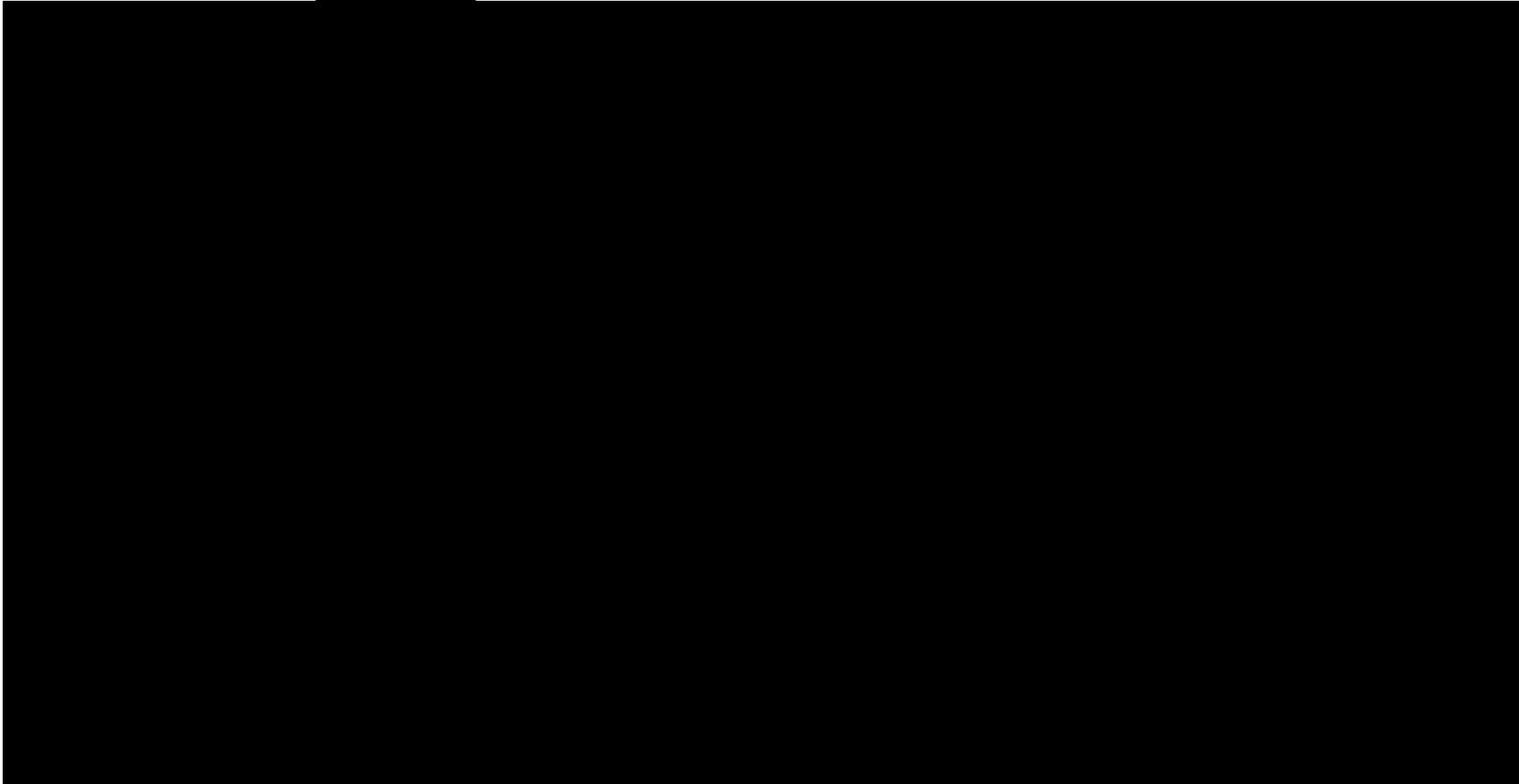
This is a Statement of Account for the Pass-through Entity account for the above-listed taxpayer.

What information is included in the Statement of Account?

Tax periods shown below include a summary and details of the three most recent periods that have been filed, periods that do not have a zero balance and periods for which we have not yet received your return.

This Summary of Periods includes interest as of the Date Issued above.

Summary of Periods - Pass-through Entity



[REDACTED]

[REDACTED]

[REDACTED]



SMARTENERGY HOLDINGS LLC
575 LEXINGTON AVE FL 4
NEW YORK NY 10022-6146

Date Issued [REDACTED]

Letter ID [REDACTED]

FEIN [REDACTED]

Sales License ID [REDACTED]

Sales and Use Tax Statement of Account

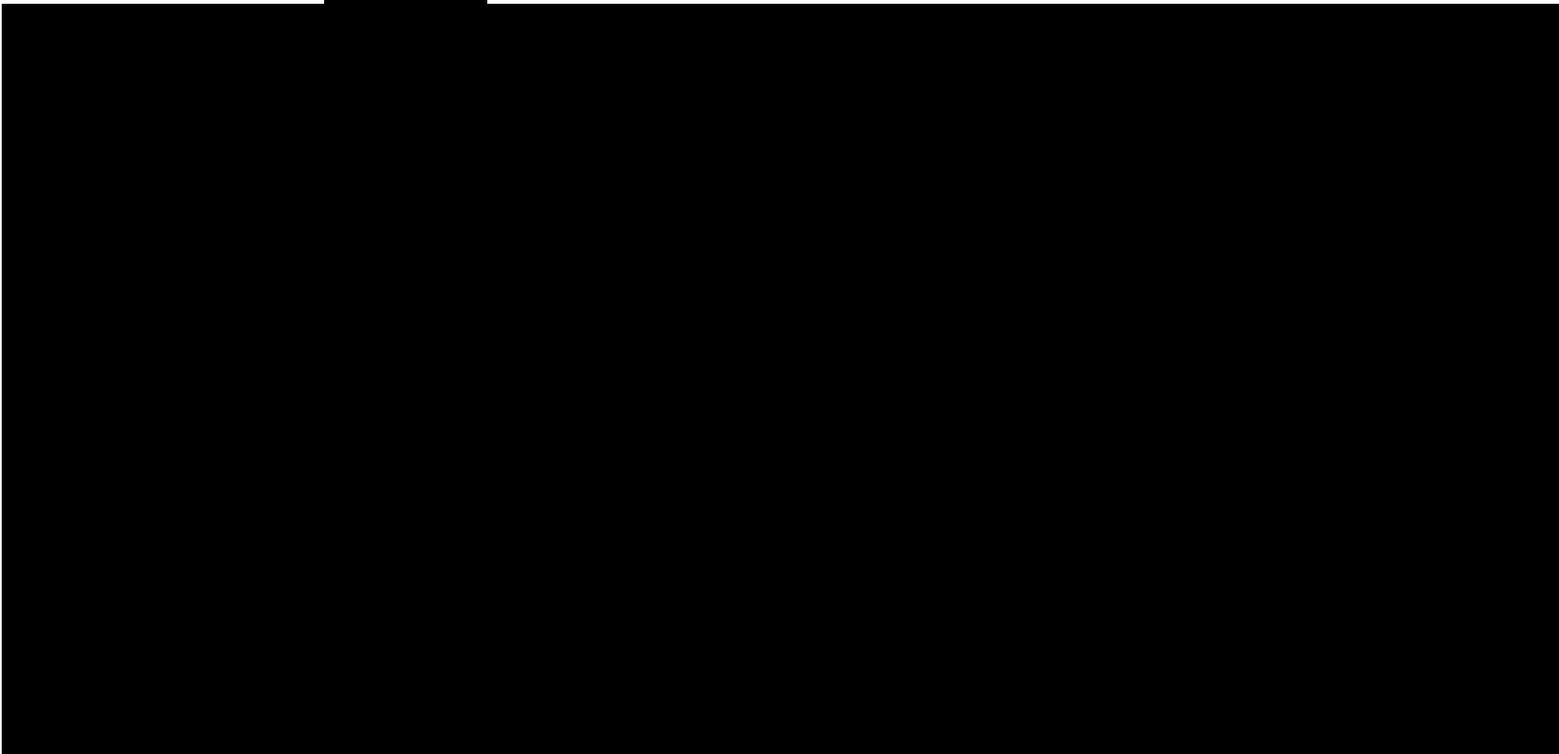
This is a Statement of Account for the Sales and Use Tax account for the above-listed taxpayer.

What information is included in the Statement of Account?

Tax periods shown below include a summary and details of the three most recent periods that have been filed, periods that do not have a zero balance and periods for which we have not yet received your return.

This Summary of Periods includes interest as of the Date Issued above.

Summary of Periods - Sales and Use Tax

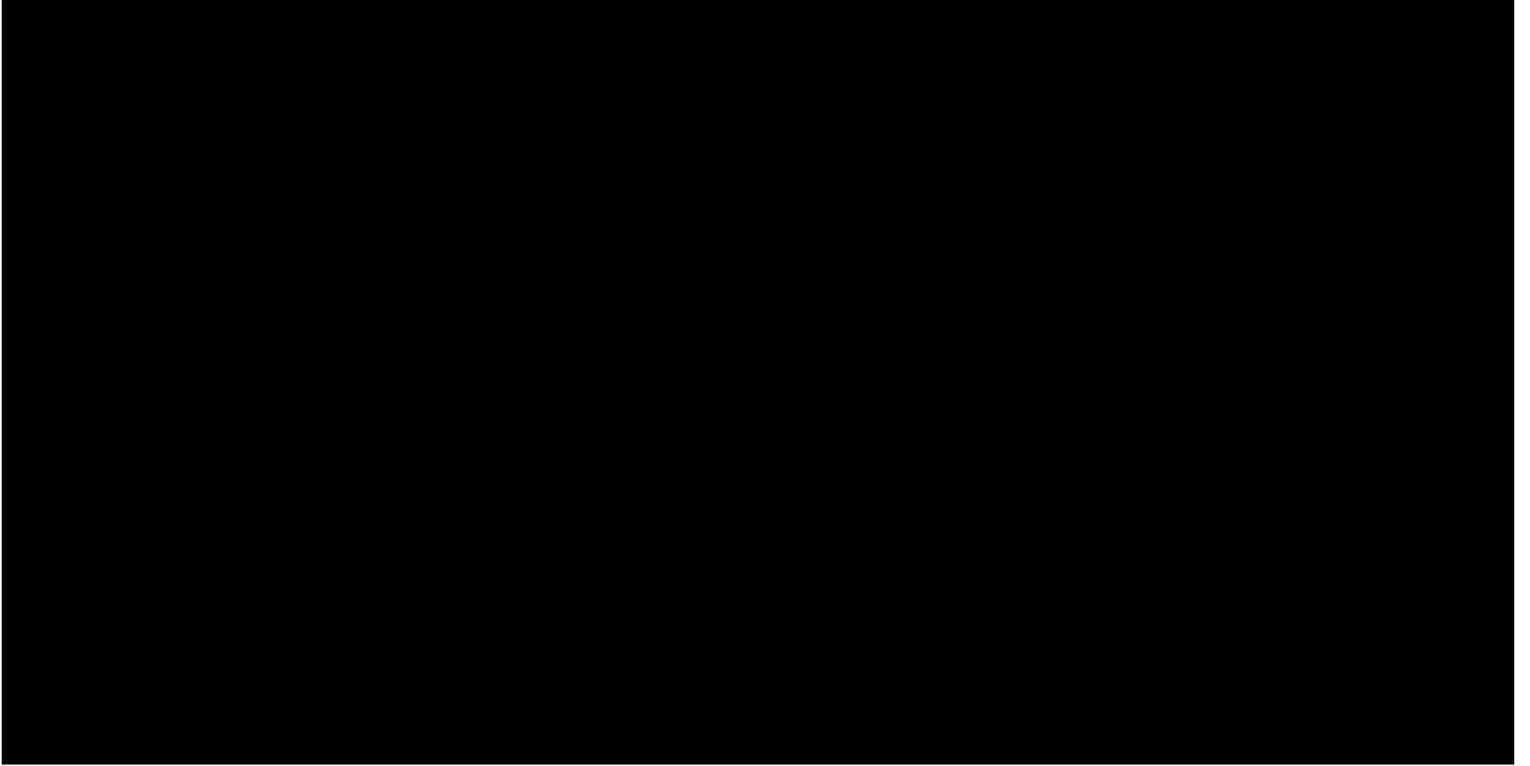


Total Balance of Filed Periods:

\$0.00

The sections below contain the Tax Period Details that were used to calculate the totals shown in the previous Summary of Periods sections.

Period Details | Sales and Use Tax





SMARTENERGY HOLDINGS, LLC
400 MADISON AVE
NEW YORK NY 10017-1909

Date Issued [REDACTED]

Letter ID [REDACTED]

FEIN [REDACTED]

Account ID [REDACTED]

Nonresident Consolidated Statement of Account

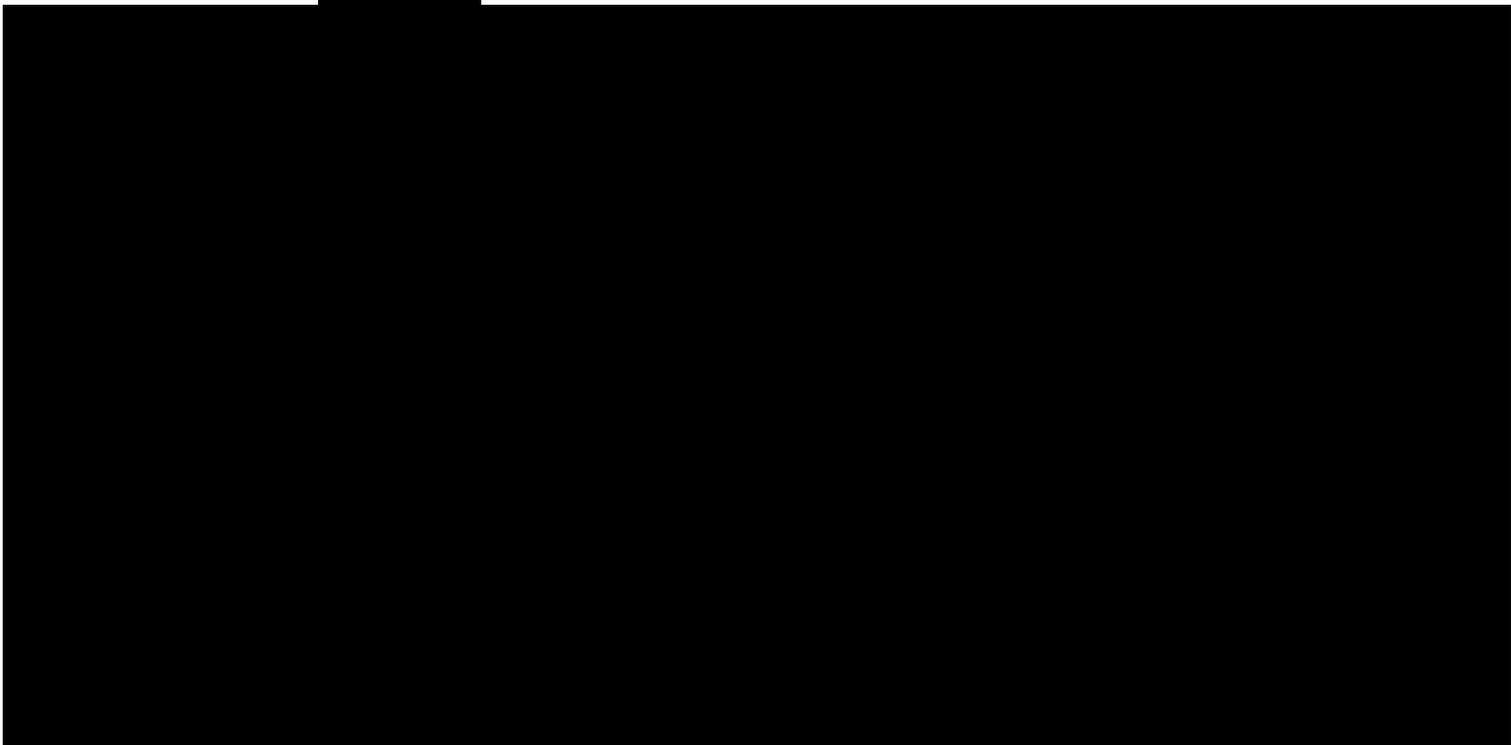
This is a Statement of Account for the Nonresident Consolidated account for the above-listed taxpayer.

What information is included in the Statement of Account?

Tax periods shown below include a summary and details of the three most recent periods that have been filed, periods that do not have a zero balance and periods for which we have not yet received your return.

This Summary of Periods includes interest as of the Date Issued above.

Summary of Periods - Nonresident Consolidated [REDACTED]



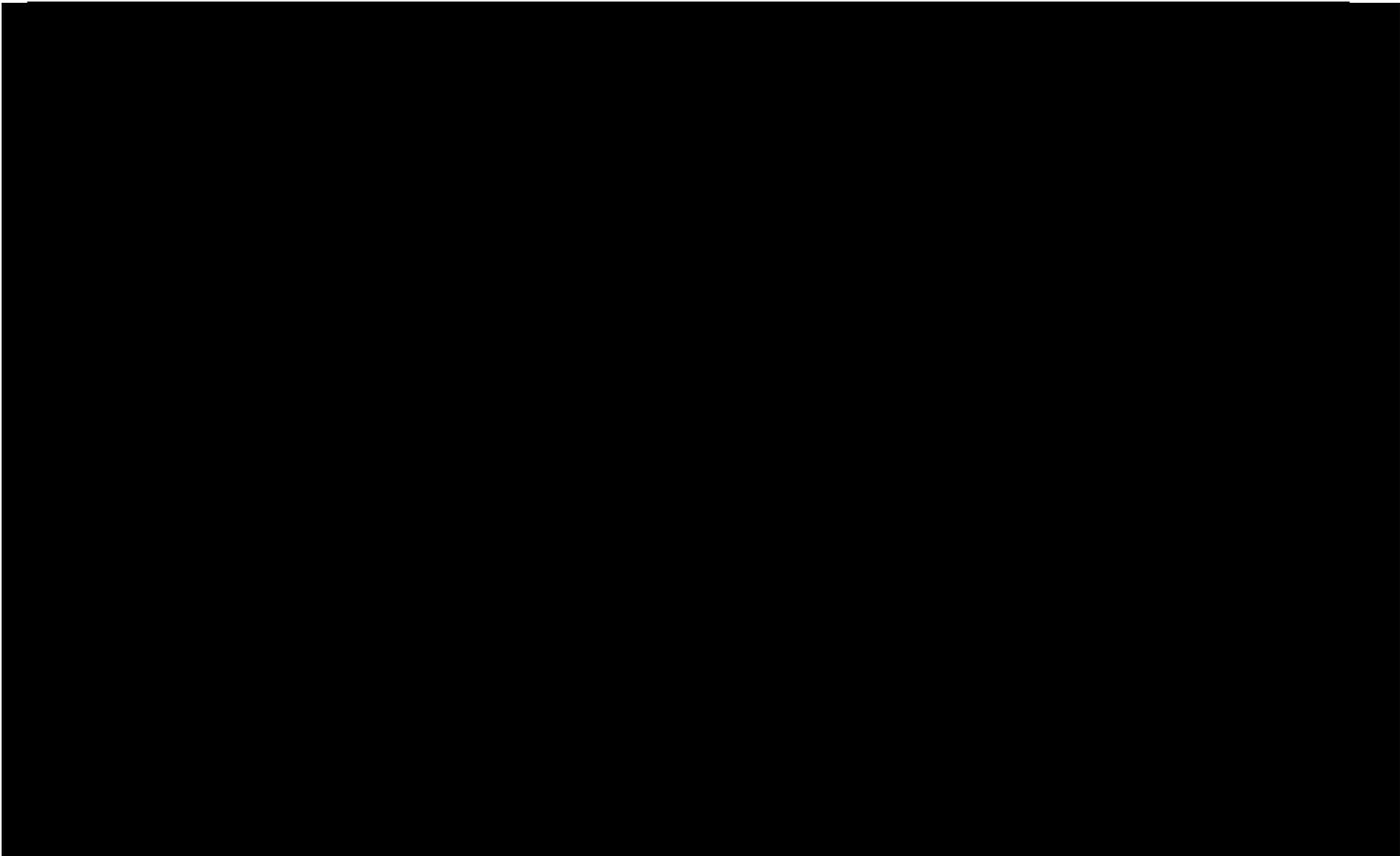
Total Balance of Filed Periods:

\$0.00

The sections below contain the Tax Period Details that were used to calculate the totals shown in the previous Summary of Periods sections.

Period Details - Nonresident Consolidated

[REDACTED]





Via Email to: SmartEnergy Holdings, LLC (Carlos.Espinosa@smartenergy.com, geovane.martins@smartenergy.com, victoria.los@smartenergy.com)

September 1, 2025

Dear Pennsylvania Electric Supplier:



Best,

Pennsylvania AEPS Administration Team

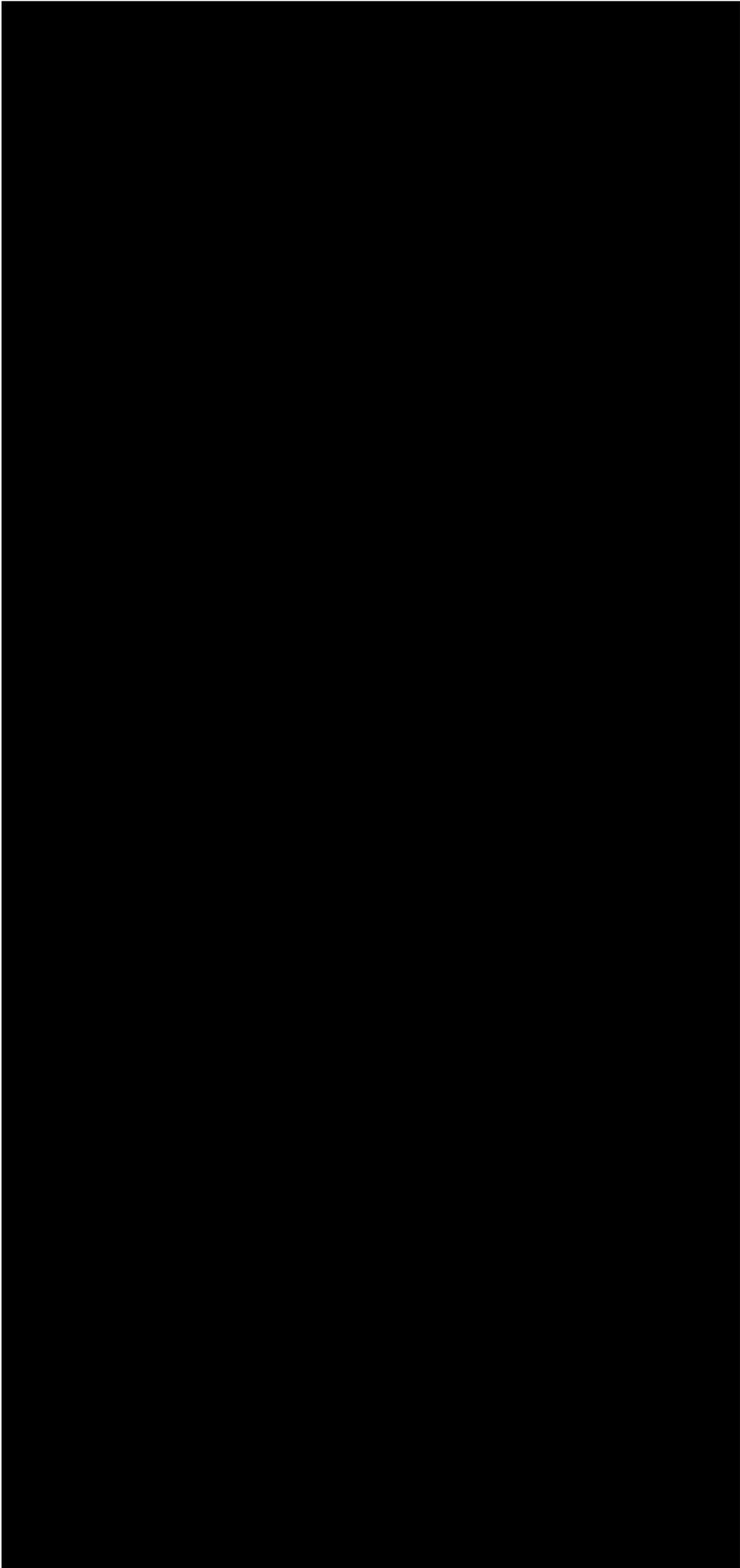
Year of month_year	Month of month_year	State
Grand Total		
2025	September	PA

	August	PA
--	--------	----

	July	PA
--	------	----

	June	PA
--	------	----

	May	PA
--	-----	----



April PA

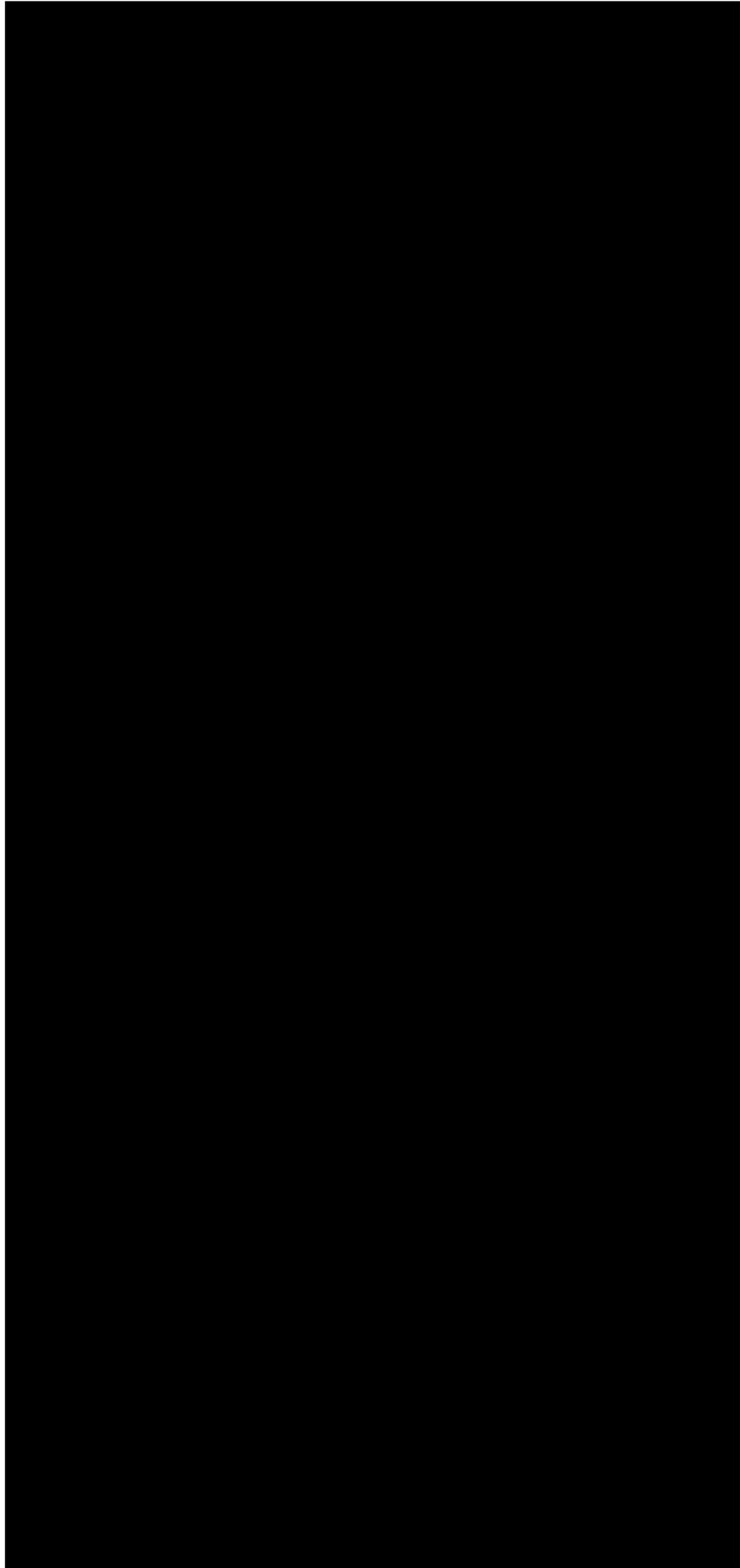
March PA

February PA

January PA

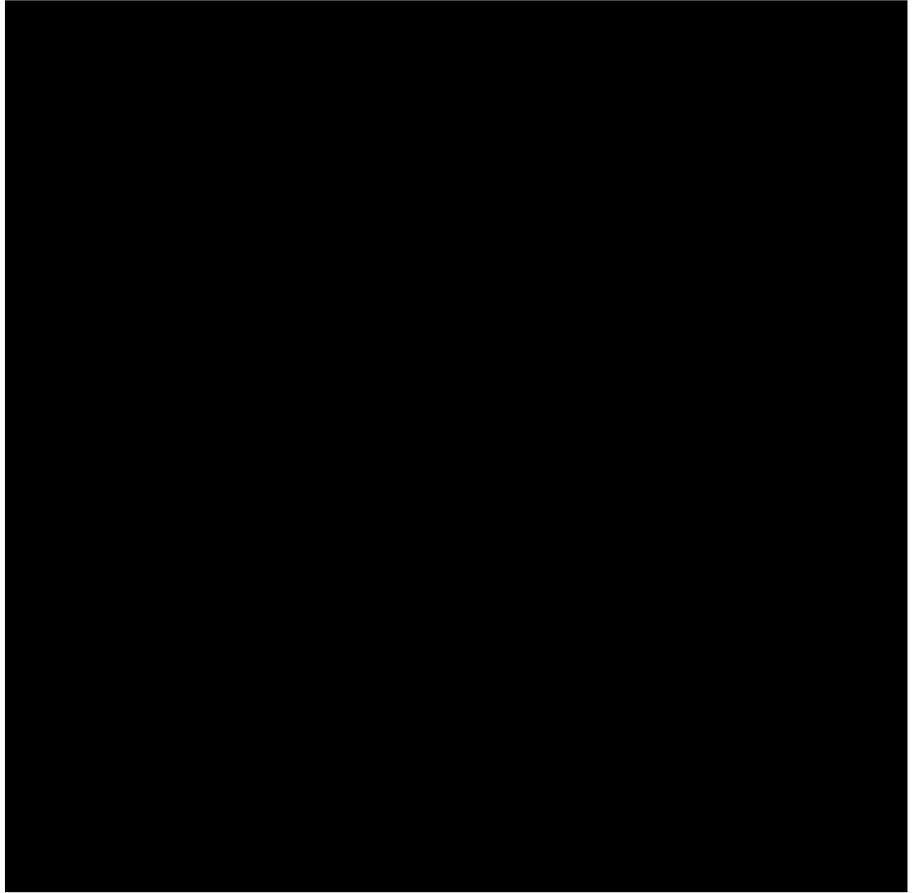
2024 December PA

November PA



October

PA



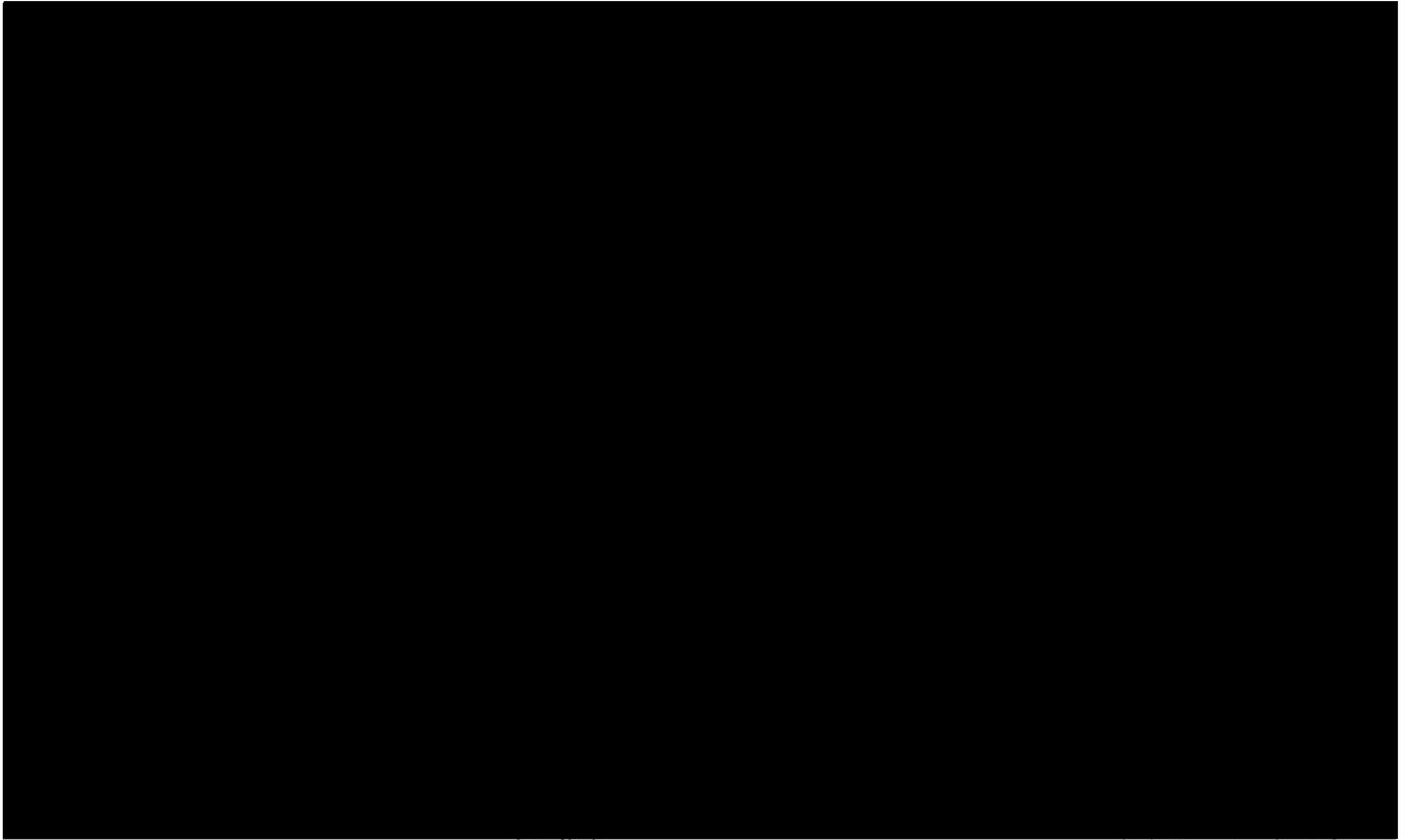


PHILADELPHIA INSURANCE COMPANIES

A Member of the Tokio Marine Group

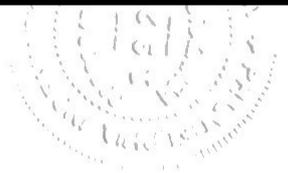


BOND RIDER DECREASE



By: _____

PA PUC: Please sign endorsement and return to our office.



DIRECT CORRESPONDENCE TO:

Philadelphia Indemnity Insurance Companies 1125 Sanctuary Parkway, Suite 450, Alpharetta, GA 30009

