

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of AEC Energy, LLC, d/b/a AEC Energy Management, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

AEC Energy, LLC  
d/b/a AEC Energy Management  
Primary address: One Metrotech Center North, Third Floor, Brooklyn, NY 11201  
Telephone: 347.799.1685

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Primary Address: 1 METROTECH CTR N, FL 3, BROOKLYN, NY 11201-3873  
Registered Agent within PA: Corporation Service Company, 2595 INTERSTATE DR, STE 103, HARRISBURG, PA 17110-9378

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application and future inquiries should be addressed.

**NOTE:** To ensure timely receipt of regulatory information, a contact employed directly by the Applicant, and not a consultant, is preferred.

Name: Ezra Doueck, Title: Managing Member, Address: One Metrotech Center North, Third Floor, Brooklyn, NY 11201, Telephone: 347.799.1685 x202, Email: Ezra@aecenergymgmt.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

AEC Energy, LLC is not using an attorney at this time.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Please see Attachment A in supporting document.

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as (“d/b/a”)

Provide a copy of the Applicant’s filing with Pennsylvania’s Department of State pursuant to 54 Pa.C.S. § 311, Form DSCB: 54-311.

**or**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.C.S. § 412 relating to Department of State filing requirements.

**or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa.C.S. § 8621)
- foreign general or limited partnership (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability partnership (15 Pa.C.S. §§ 8201 and 8221)
- foreign limited liability general partnership (15 Pa.C.S. §§ 411 and 412)
- foreign limited liability limited partnership (15 Pa.C.S. §§ 411 and 412)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant’s charter documentation.
- \* If a corporate partner in the Applicant’s domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant’s Department of State filing pursuant to 15 Pa.C.S. §§ 411 and 412.

**or**

The Applicant is a:

- domestic corporation (15 Pa.C.S. § 1308)
- foreign corporation (15 Pa.C.S. §§ 411 and 412)
- domestic limited liability company (15 Pa.C.S. § 8821)
- foreign limited liability company (15 Pa.C.S. §§ 411 and 412)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliates currently doing business and state whether the affiliates are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

AEC Energy, LLC has no affiliates.

- b. **PREDECESSORS:** Identify any predecessors of the Applicant and provide the names under which the Applicant has operated, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

AEC Energy has no predecessors.

- c. **RELATED DOCKET NUMBERS:** Provide the Docket Numbers for any previous Pennsylvania PUC licenses for the Applicant, all affiliates, and any predecessors. If the Applicant does not have any related Docket Numbers, explicitly state so.

There are no related Docket Numbers for any previous PUC licenses.

## 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

AEC Energy, LLC is a Broker and does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
  - electric cooperative
  - local gas distribution company
  - provider of electric generation, transmission or distribution services
  - broker/marketer engaged in the business of supplying electricity services
  - Other; Identify the nature of service being rendered.

**or**

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- |  |   |
|--|---|
| <input type="checkbox"/> Citizens' Electric          | <input type="checkbox"/> PECO                                 |
| <input type="checkbox"/> Duquesne Light              | <input type="checkbox"/> Pike                                 |
| <input type="checkbox"/> FirstEnergy PA - Met-Ed     | <input type="checkbox"/> PPL                                  |
| <input type="checkbox"/> FirstEnergy PA - Penelec    | <input type="checkbox"/> UGI Utilities                        |
| <input type="checkbox"/> FirstEnergy PA - Penn Power | <input type="checkbox"/> Wellsboro                            |
| <input type="checkbox"/> FirstEnergy PA - West Penn  | <input checked="" type="checkbox"/> Entire Commonwealth of PA |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- Residential and Small Commercial Customers in a Mixed Meter Capacity -  
This customer class reflects situations in which a large commercial, industrial, and/or governmental customer account also contains features of residential and/or small commercial customers. In this instance, the residential and/or small commercial portion must be an incidental portion of the larger account. **This customer class alone does not allow marketing targeted directly to residential and/or small commercial customers.** Further information may be found in the Requirements Applicable to Mixed Meter Scenarios Secretarial Letter served March 25, 2011, at Docket No. M-2009-2082042.

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

June 15th, 2025.

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

AEC Energy has no proceedings to list.

- b. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

AEC Energy has no actions or complains to list.

- c. **SUMMARY:** Provide a statement as to the resolution or present status of any proceedings or actions listed above. Additionally, provide details of any actions the applicant has undertaken that will prevent the items listed above from occurring if licensed in Pennsylvania.

AEC Energy has no proceedings or actions listed.

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
*(Example Certificate of Service is attached at Appendix C)*

- a. **STATUTORY AGENCIES:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission's Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

Office of Small Business Advocate  
Forum Place  
555 Walnut Street, 1st Floor  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57, 1.58, and 54.32(d) of the Commission's Regulations, 52 Pa. Code §§ 1.57, 1.58, and 54.32(d), provide Proof of Service of the Application and attachments upon each Electric Distribution Company the Applicant proposes to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code § 5.14. Contact information for each EDC is as follows.

<p><b>Citizens' Electric Company:</b>  Citizens' Electric Company  Attn: EGS Coordination  1775 Industrial Boulevard  Lewisburg, PA 17837</p>	<p><b>Duquesne Light Company:</b>  Duquesne Light Company  Regulatory Affairs  411 Seventh Street, MD 16-4  Pittsburgh, PA 15219</p>
<p><b>FirstEnergy Pennsylvania Electric Company:</b>  FirstEnergy Pennsylvania Electric Company  Legal Department  341 White Pond Drive  Akron, OH 44320</p>	<p><b>PECO:</b>  PECO Energy Company  Manager Energy Acquisition  2301 Market Street  Philadelphia, PA 19101-8699</p>
<p><b>Pike County Light &amp; Power Company:</b>  Corning Natural Gas Holding Corporation  Vice President – Energy Supply  330 West William Street  Corning, NY 14830</p>	<p><b>PPL:</b>  PPL  Office of General Counsel  Attn: Kimberly A. Klock  Two North Ninth Street (GENTW3)  Allentown, PA 18101-1179</p>
<p><b>UGI:</b>  UGI Utilities, Inc.  Attn: Rates Dept. – Choice Coordinator  1 UGI Drive  Denver, PA 17517</p>	<p><b>Wellsboro Electric Company:</b>  Wellsboro Electric Company  Attn: EGS Coordination  33 Austin Street  P. O. Box 138  Wellsboro, PA 16901</p>

## 7. FINANCIAL FITNESS

a. **FINANCIAL SECURITY:** In accordance with 66 Pa.C.S. § 2809(c)(1)(i) and 52 Pa. Code § 54.40(a), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

### **CRITICAL BONDING NOTES:**

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, EGS suppliers should email [pc-puc-tus-energy@pagov.onmicrosoft.com](mailto:pc-puc-tus-energy@pagov.onmicrosoft.com) or call (717)783-5242 to determine the appropriate bonding amount based on a percentage of suppliers gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, suppliers should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond (preferred), fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

**b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K) (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two-year period.
- Bank account statements (3-12 recent consecutive months), tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not applicable as AEC Energy, LLC is operating as a Broker.

**d. BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

AEC's fee will be added to the Supplier rate as a \$/kWh fee when charged to the Client. The Supplier will pass through AEC's fee for procurement services completed per the supply agreement of the Supplier's terms and conditions, generally the month proceeding a meter reading.

**e. ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Jackson Lim, Controller  
One Metrotech Center North, Third Floor, Brooklyn, NY 11201  
Jlim@advancedenergycap.com  
347.799.1685

**f. TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

*All sections of the Tax Certification Statement must be completed. Submitting N/A on either the Sales Tax License Number or the Employer ID Number (items 7A and 7B) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Item 7A on the Tax Certification Statement is designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Please see attachment F in supporting document.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please see attachment G in supporting document.

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- Not applicable

## 9. **DISCLOSURE STATEMENTS:**

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

- c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer\\_info/electricity/alternative\\_energy.aspx](http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx). Scroll down to the Net Metering Standards Section.

- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(b)(4).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 of the Public Utility Code, 66 Pa.C.S. Chapt. 28, pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa.C.S. § 2809(D) and 52 Pa. Code § 54.41(a). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa.C.S. §§ 4902, 4903, and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

**Must be notarized before filing.**

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

## 12. NEWSPAPER PUBLICATIONS

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

**All Applicants MUST include a Commission issued Docket Number in their publications.** Docket Numbers are issued to new applicants when an application packet is submitted to the PUC's Secretary's Bureau. **Newspaper publications published without a Commission issued Docket No. will be rejected.** For more information, see 52 Pa. Code § 54.35.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in FirstEnergy PA - Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and **must be supplied to the Commission before the applicant is licensed.**

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News or Philadelphia Inquirer	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
FirstEnergy PA - Met Ed		X	X		X		
FirstEnergy PA - Penelec	X	X			X	X	X
FirstEnergy PA - Penn Power	X			X			
FirstEnergy PA -West Penn		X		X		X	X
PECO			X				
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
Entire Commonwealth	X	X	X	X	X	X	X

(Newspaper Publication Templates are provided at Appendices K and L)

### 13. SIGNATURE

Applicant: Ezra Doueck

By: *Ezra Doueck*

Title: Principal

**14. CHECKLIST**

For the applicant’s convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary’s Bureau will not accept an application unless each of the following sections are complete.

**Applicant:** AEC Energy, LLC

	<b>Signature</b>	
✓	<b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b>	
✓	<b>Application Affidavit</b>	
✓	<b>Operations Affidavit</b>	
✓	<b>Bond, Letter of Credit, or Parental/Affiliate Guarantee</b>	
✓	<b>Tax Certification Statement</b>	
✓	<b>Commonwealth Department of State Verification</b>	
	<b>Certificate of Service</b>	

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New York :

: ss.

County of Kings :

Ezra Doueck, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Principal (Office of Affiant) of AEC Energy, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein AEC Energy, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein AEC Energy, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein AEC Energy, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein AEC Energy, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

E. Doueck  
Signature of Affiant

Sworn and subscribed before me this 17<sup>th</sup> day of November, 2025

[Signature]  
Signature of official administering oath

My commission expires 3/31/2027.

KAMAL P. SONI  
Notary Public, State of New York  
No. 01SO6089949  
Qualified in Kings County  
Commission Expires March 31, 2027

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of New York :

SS.

County of Kings :

Ezra Dawick, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Principal (Office of Affiant) of AEC Energy, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That AEC Energy, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That AEC Energy, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That AEC Energy, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

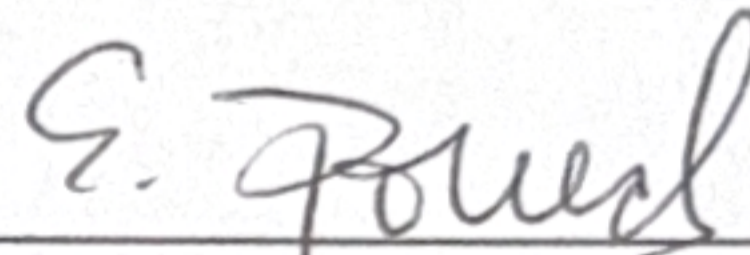
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That AEC Energy, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 7<sup>th</sup> day of November, 2025.



\_\_\_\_\_  
Signature of official administering oath

My commission expires 3/31/2027.

KAMAL P. SONI  
Notary Public, State of New York  
No. 01SO6089949  
Qualified in Kings County  
Commission Expires March 31, 2027

## Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

### Example CERTIFICATE OF SERVICE

On this the 19th day of November 2025, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom or a USB flash drive, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

Office of Small Business Advocate  
Forum Place  
555 Walnut Street, 1st Floor  
Harrisburg, PA 17101

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
1 UGI Drive  
Denver, PA 17517

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

  
\_\_\_\_\_  
Ezra Doueck, Principal AEC Energy, LLC

## Appendix M

### Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, all EGS suppliers, and any broker/marketers that wish to use the Electronic Data Interchange (EDI), must meet the EDI certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at [http://www.puc.pa.gov/filing\\_resources/issues\\_laws\\_regulations/electronic\\_data\\_exchange.aspx](http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx). The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

#### PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Jeff McCracken	717-783-6163	<a href="mailto:jmccracken@pa.gov">jmccracken@pa.gov</a>	Email
PA PUC	Lee Yalcin	717-787-6723	<a href="mailto:lyalcin@pa.gov">lyalcin@pa.gov</a>	Email
PA EDEWG EDC Co-Chair	Ernie Mathie	330-384-5757	<a href="mailto:mathiee@firstenergycorp.com">mathiee@firstenergycorp.com</a>	Email
PA EDEWG ESP Co-Chair	Monica Neibert	781-347-9039	<a href="mailto:mneibert@energyservicesgroup.net">mneibert@energyservicesgroup.net</a>	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	<a href="mailto:brandon.siegel@intelometry.com">brandon.siegel@intelometry.com</a>	Email

#### PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Citizens' Electric	John Kelchner	570-524-2231	<a href="mailto:kelchnerj@citizenselectric.com">kelchnerj@citizenselectric.com</a>	Email
	Chrissy DeLoughrey	781-347-9022	<a href="mailto:Implementation@EnergyServicesGroup.net">Implementation@EnergyServicesGroup.net</a>	Email
Duquesne Light Co	Supplier Service Center	412-393-6282	<a href="mailto:DLC_SSC@duqlight.com">DLC_SSC@duqlight.com</a>	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison	Supplier Support	330-761-4348	<a href="mailto:SupplierSupport@firstenergycorp.com">SupplierSupport@firstenergycorp.com</a>	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	<a href="mailto:egc@exeloncorp.com">egc@exeloncorp.com</a>	Email
PPL Electric Utilities	Supplier Support	610-774-6396	<a href="mailto:PPLUtilitiesSupplier@pplweb.com">PPLUtilitiesSupplier@pplweb.com</a>	Email
	EDI Team	610-774-5757	<a href="mailto:EDIUtilAdm@pplweb.com">EDIUtilAdm@pplweb.com</a>	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5784	<a href="mailto:edi@ugi.com">edi@ugi.com</a>	Email
Wellsboro Electric	Danette Kerestes	570-724-3516	<a href="mailto:danettek@ctenterprises.org">danettek@ctenterprises.org</a>	Email
	Chrissy DeLoughrey	781-347-9022	<a href="mailto:Implementation@EnergyServicesGroup.net">Implementation@EnergyServicesGroup.net</a>	Email

AEC Energy, LLC

Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania [Attachments]

**Attachment A** – Contacts for Consumer Service and Complaints

**Attachment B** – Description of services provided

**Attachment C** – Financial Security

**Attachment D** – Financial Records, Statements, and Tax Certification Statement

**Attachment F** – Oversight of Marketing

**Attachment G** – Technical Experience

**Attachment H** – Fictitious Name Registration

**Attachment I** – Proof of Licensing in Other Jurisdictions

**Attachment J** – Certificate of Good Standing

**Attachment K** - Newspaper Publication

**Attachment A – Contacts for Consumer Service and Complaints**

Primary Contact:

Ezra Doueck, Managing Member

1 Metrotech Center North, Third Floor, Brooklyn, NY 11201

(p) (347) 799-1685 x 202 (f) (347) 799-1686(e) [ezra@aecenergymgmt.com](mailto:ezra@aecenergymgmt.com)

Alternate Person 1:

Jonathan Soto, Director of Operations

1 Metrotech Center North, Third Floor , Brooklyn, NY 11201

(p) (347) 799-1685 (f) (347) 799-1686 (e) [Jsoto@advancedenergycap.com](mailto:Jsoto@advancedenergycap.com)

Alternate Person 2:

Jack Doueck, Principal

1 Metrotech Center North, Third Floor , Brooklyn, NY 11201

(p) (347) 799-1685 (f) (347) 799-1686 (e) [Jd@advancedenergycap.com](mailto:Jd@advancedenergycap.com)

## **Attachment B – Description of services provided**

AEC Energy, LLC was formed on December 28, 2017 under the laws of the state of New York as a limited liability company. The company's principal business interest is being a broker of retail energy for natural gas and electricity for large commercial, small commercial, and industrial consumers. AEC Energy, LLC is doing business in New York, Connecticut, New Jersey, Maryland, Massachusetts, Texas, and the District of Columbia

AEC Energy, LLC, in conjunction with its agents and consultants, will perform budgeting, forecasting, and consulting services for its customers. AEC Energy, LLC hopes to maintain high standards in every market that the company operates in.

The founding members of AEC Energy, LLC have been involved in the retail energy industry since 2005; including owning, operating and selling a Retail Energy Supplier. Experience includes:

- Managed over \$1.7 billion in credit strategies and transactions
- Funded and managed over \$600 million in direct loan transactions
- Financed over \$500 million in energy sales
- 20+ years in the private credit and investment transaction space
- \$250 million in signed energy efficiency finance deals
- Founded and runs the largest competitive energy conference in North America twice a year

**Attachment C – Financial Security**

Please see attached a surety bond in the amount of \$10,000 to satisfy the financial security requirements of the application.





**Attachment D – Financial Records, Statements, and Tax Certification Statement**

Please see attached below copies of the Tax Certification Statement, prior 2 years' worth of accounting statements, balance sheets and income statements, and bank account statements.

















**Attachment F – Oversight of Marketing**

## Ethical Marketing Principles

Our core principles for all marketing communications are:

- **Transparency:** All emails will clearly identify our company as an electric generation service broker. The purpose of the communication will be explicit, and all content will be accurate and easy to understand.
- **Accuracy:** All offers, terms, and conditions will be truthful, current, and free of misleading language. We will not use any language that suggests a false affiliation with a local electric distribution company or any government entity.
- **Compliance:** We will adhere to all applicable PA PUC regulations and federal laws governing commercial email marketing.

## Methods for Employees

We ensure our employees adhere to these principles through:

- **Mandatory Training:** All employees involved in marketing will complete required training upon hiring and at least annually thereafter. This training will cover our marketing code of conduct and relevant PA PUC regulations.
- **Written Policies:** We maintain a formal, written marketing code of conduct that employees must acknowledge and agree to follow.
- **Internal Oversight:** All marketing campaigns and email templates will be subject to a designated manager's review and approval before being sent to customers.

## Methods for Subcontractors

Should we engage any subcontractors for marketing activities, we will ensure their compliance by:

- **Contractual Requirements:** All agreements will include explicit clauses that legally bind subcontractors to our ethical marketing principles and code of conduct.
- **Vetting Process:** We will conduct due diligence on all potential subcontractors to evaluate their business reputation and ethical practices prior to any engagement.
- **Ongoing Audits:** We will regularly monitor subcontractor activities to ensure continuous compliance with our standards and contractual obligations.
- **Consequences:** The contract will stipulate clear and enforceable penalties for any violation of our ethical marketing standards, including immediate termination of the agreement.

**Attachment G – Technical Experience**

Please see attached below resumes of key management personnel highlighting experience in the electricity industry.

## **Jack Doueck**

[jd@advancedenergycap.com](mailto:jd@advancedenergycap.com)

347.799.1685 x 207 office

### **EXPERIENCE**

**AEC Energy, LLC**  
*Principal*

**New York NY**  
**Jan 2018-Present**

**Advanced Energy Capital, LLC**  
*Principal*

**New York NY**  
**Jan 1997-Present**

- Founded energy finance company that provides innovative financing solutions to the Retail Energy and Energy Efficiency industry
- Managed over \$1.7 billion in credit strategies and transactions, funding over \$600 million in direct loan transactions, and financing over \$500 million in energy sales (\$100 million annually)
- Factored tens of millions of dollars in retail energy factoring and energy efficiency transactions in North America
- Experience owning, operating and selling and financing multiple REPs since 2006. Helped grow REPs from zero to over \$200 million in sales and actively work with about 20 REPs
- Built strategic alliances with top industry wholesalers, aggregators, forecasting providers, regulators, brokers, technology providers, and energy attorneys

**Energy Marketing Conferences, LLC**  
*Principal*

**New York NY**  
**Oct 2009-Oct 2010**

- Founded and run largest conference company in North America catering to the retail competitive energy industry including retail energy companies, utilities, marketers, vendors and suppliers

### **Education**

Bernard Revel Graduate School  
*Philosophy*

**New York NY**  
**1984-1986**

Yeshivah University  
*Management Information Systems*  
Valedictorian, 4.0 GPA

**New York, NY**  
**1981-1985**

# Ezra Doueck

(917) 757-7973 • [EzraDoueck@gmail.com](mailto:EzraDoueck@gmail.com) • 433 Quentin Road, Brooklyn, NY 11223

## EXPERIENCE

---

### **AEC Energy**

*Principal*

New York, NY  
August 2017-Present

- Manage marketing & operations the company.

### **Bisnow Media**

*Account Executive, NYC Market Lead*

New York, NY  
January 2016-August 2017

- Oversaw all NY & NJ team sales of advertising and sponsorships of real estate conferences
- Lead generation through warm calls, cold calls, emails, follow up, meetings and proposals
- Became expert at aligning client marketing goals with Bisnow products & services; managing the growth and development of several strategic relationships with clients and organizations
- Generated over \$1mm in revenue in first 12 months, fastest in company history among reps
- Achieved 200% growth Q/Q and 52% growth Y/Y in NYC market in 2016
- Transitioned to New York market lead and paced to nearly double personal sales in 2017 Y/Y
- Master of Ceremonies for over 50 conferences and represented company at industry events

### **Disney-ABC Television Group**

*Marketing Intern*

New York, NY  
September 2015-December 2015

- Spearheaded digital sales expansion to maximize revenue opportunities from advertisers
- Synthesized editorial and video content of multimedia campaigns for Fortune 500 clients
- Conceptualized and presented integrated marketing strategies for digital, on-air, and mobile

### **Undertone**

*Publisher Development*

New York, NY  
June 2015-September 2015

- Managed consultative client relationships in strategy of monetization and ad functionality
- Executed key reports utilizing aggregated research metrics to make informed decisions
- Designed holistic prospecting tool assessing inventory quality, viewability, fraud & ad clutter

### **Columbia University**

*Program Coordinator*

New York, NY  
May 2014- May 2015

- Built dynamic academic schedule, conducting lectures and leading student exercises daily
- Directed staff of five TA's responsible for overseeing 25 students in activities & site visits

## EDUCATION

---

**CUNY Baruch College**, *New York, New York*

Graduated December 2011

## **Richard Rudy**

[rrudy@advancedenergycap.com](mailto:rrudy@advancedenergycap.com)

347.799.1685 x 205 office

### **EXPERIENCE**

**AEC Energy, LLC**  
**Chief Financial Officer**

**New York NY**  
**Jan 2018-Present**

**Advanced Energy Capital, LLC**  
**Principal**

**New York NY**  
**Jan 1997-Present**

- Founded energy finance company that provides innovative financing solutions to the Retail Energy and Energy Efficiency industry
- Managed over \$1.7 billion in credit strategies and transactions, funding over \$600 million in direct loan transactions, and financing over \$500 million in energy sales (\$100 million annually)
- Factored tens of millions of dollars in retail energy factoring and energy efficiency transactions in North America
- Experience owning, operating and selling and financing multiple REPs since 2006. Helped grow REPs from zero to over \$200 million in sales and actively work with about 20 REPs
- Built strategic alliances with top industry wholesalers, aggregators, forecasting providers, regulators, brokers, technology providers, and energy attorneys

**Energy Marketing Conferences, LLC**  
**Principal**

**New York NY**  
**Oct 2009-Oct 2010**

- Founded and run largest conference company in North America catering to the retail competitive energy industry including retail energy companies, utilities, marketers, vendors and suppliers

**Conway Stores**  
**Chief Financial Officer**

**New York NY**  
**1988-1997**

**EF Hutton**  
**Associate**

**New York NY**  
**1985-1987**

- Advised high net worth clients with regard to alternatives to traditional equity and credit investments, including energy and real estate-related investments

**Drexel, Burham & Lambert**  
**Associate**

**New York NY**  
**1980-1982**

### **Education**

New York University Vanderbilt School of Law  
*Juris Doctor Degree*

**New York NY**  
**1982-1985**

CUNY Brooklyn College  
*B.S, Accounting & Investments*

**New York, NY**  
**1976-1980**

## **Jackson Lim, CPA**

[jlim@advancedenergycap.com](mailto:jlim@advancedenergycap.com)

347.799.1685 x 201 office

### **EXPERIENCE**

**AEC Energy, LLC**  
*Financial Controller*

**New York NY**  
Jan 2018-Present

**Advanced Energy Capital, LLC**  
*Financial Controller*

**New York NY**  
May 2016-Present

**West Chin Architect, LLC**  
*Financial Controller*

**New York NY**  
Aug 2011-May 2016

**L&J Marketplace & Lei Restaurant Inc**  
*Managing Partner*

**New York NY**  
Jun 2008-Aug 2011

**Moshkovsky CPA**  
*Senior Associate*

**New York NY**  
Apr 2003-Jun 2008

### **Education**

Marist College  
Master of Business Administration

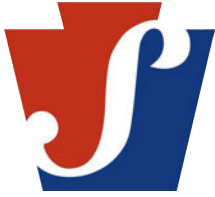
New York NY  
2019

CUNY Baruch College  
Accounting

New York NY  
1999-2003

**Attachment H – Fictitious Name Registration**

Please see attached acknowledgment from the Pennsylvania Department of State of AEC Energy, LLCs fictitious name registration.



Pennsylvania Department of State  
Bureau of Corporations and Charitable Organizations  
PO Box 8722 | Harrisburg, PA 17105-8722  
T: 717.787.1057  
[dos.pa.gov/BusinessCharities](https://dos.pa.gov/BusinessCharities)

---

May 15, 2025

AEC Energy Management  
1 METROTECH CENTER N  
3RD FLOOR  
BROOKLYN, NY 11201

Entity Name:	AEC Energy Management
Entity File Date:	May 13, 2025
Entity Number:	0014401229
Filing Type:	Fictitious Name

The Bureau of Corporations and Charitable Organizations is happy to send your filed document. The Bureau is here to serve you and we would like to thank you for doing business in Pennsylvania.

**Attachment I – Proof of Licensing in Other Jurisdictions**

AEC Energy, LLC is currently licensed in New York, Connecticut, New Jersey, Maryland, D.C, Massachusetts, Ohio, and Texas to broker electricity.

Please see attached proof licensing in these jurisdictions.



October 7, 2024

Jonathan Soto  
AEC Energy LLC  
One Metrotech Center North, Floor 3  
Brooklyn, NY 11201

Re: AEC Energy LLC

Dear Sir/Madam,

Thank you for your recent submittal pursuant to §11.B of the New York State Department of Public Service's Uniform Business Practices (UBP) and §4.B of the Uniform Business Practices for Distributed Energy Resource Suppliers (UBP-DERS), which were adopted by the Commission in Case 23-M-0106. This letter is to inform you that the documentation submitted has been reviewed by DPS Staff and determined to be in compliance with the UBP and UBP-DERS.

YOUR COMPANY HAS RECEIVED ELIGIBILITY TO BE A  
Consultant  
IN THE STATE OF NEW YORK.

Be advised that to maintain your Energy Broker and/or Energy Consultant eligibility, and per the UBP and UBP-DERS, you must notify Staff of any contact changes and material legal, financial or business-related changes to your company as they occur. If your business plan changes, you must immediately submit the appropriate documentation for Staff review and determination of if and how it may affect your current registration.

Additional requirements to maintain your registration include the annual fee and reporting requirements. More specifically, by August 31st of every year, you must provide a statement that the information and attachments in your registration form and registration package are current, or a description of revisions to the registration form and registration package and a copy of the revised portions. The \$500 non-refundable fee must be sent by August 31, 2025, to the following address:

Department of Public Service  
Attn: Finance and Budget Section  
New York State Department of Public Service  
3 Empire State Plaza, 16th Floor  
Albany, NY 12223-1350



**Please note, at this time, the requirement that Energy Brokers and Energy Consultants provide a method of financial accountability is extended indefinitely for all entities in accordance with a preliminary injunction issued on September 12, 2024, by the New York State Supreme Court, Albany County. Energy Brokers and Energy Consultants should monitor the administrative docket in Case 23-M-0106 to receive updates on any changes regarding the financial accountability requirement that are related to this ongoing litigation.**

You can obtain copies of the Order Adopting Energy Broker and Energy Consultant Registration Requirements in Case 23-M-0106 as well as the Uniform Business Practices and Uniform Business Practices for Distributed Energy Resource Suppliers at the following link: <https://dps.ny.gov/energy-broker-and-energy-consultant-registration>.

If you have any questions, please contact us at [brokerconsultantquestions@dps.ny.gov](mailto:brokerconsultantquestions@dps.ny.gov).

Regards,

The Retail Access Unit  
Office of Consumer Services



**STATE OF CONNECTICUT**  
**PUBLIC UTILITIES REGULATORY AUTHORITY**  
**OFFICE OF EDUCATION, OUTREACH, & ENFORCEMENT**

July 26, 2024

Ezra Doueck  
AEC Energy LLC  
One Metrotech Center North  
Third Floor  
Brooklyn, NY 11201

Re: Docket No. 23-05-12 – Application of AEC Energy LLC for a Connecticut Electric Aggregator Certificate of Registration

Dear Mr. Doueck:

By Decision dated July 26, 2023, the Public Utilities Regulatory Authority (Authority) granted AEC Energy LLC (AEC) an electric aggregator certificate to serve non-residential customers. AEC is obligated to comply with all relevant statutes, regulations, and Authority Decisions.

Order No. 6 of the Decision required AEC to file as compliance on or before September 6, 2023, contracts that comply with Orders No. 2, 3, and 5. To date, AEC has not made such a compliance filing in its licensing docket.

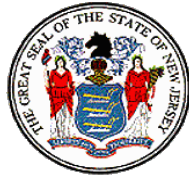
General Statutes § 4-182(c) states:

“No revocation, suspension, annulment or withdrawal of any license is lawful unless, prior to the institution of agency proceedings, the agency gave notice by mail to the licensee of facts or conduct which warrant the intended action, and the licensee was given an opportunity to show compliance with all lawful requirements for the retention of the license.”

Based on the foregoing, AEC is directed on or before **August 9, 2024**, to file compliance associated with Order No. 6 of the Decision. Pursuant to General Statutes §§ 16-41 and 16-245(l)(6), the Authority may pursue penalties, including but not limited to certificate revocation, if AEC fails to meet its obligations.

Sincerely,

PUBLIC UTILITIES REGULATORY AUTHORITY  
Office of Education, Outreach, and Enforcement



**PHIL MURPHY**  
**GOVERNOR**

**SHEILA OLIVER**  
**LT. GOVERNOR**

**State of New Jersey**  
**BOARD OF PUBLIC UTILITIES**  
44 South Clinton Avenue  
Post Office Box 350  
Trenton, New Jersey 08625-0350  
[www.nj.gov/bpu/](http://www.nj.gov/bpu/)  
(609)777-3300

**Joseph L. Fiordaliso**  
**President**

Mary-Anna Holden  
**Commissioner**

Dianne Solomon  
**Commissioner**

Bob Gordon  
**Commissioner**

Dr. Zenon Christodoulou  
**Commissioner**

February 17, 2023

Ezra Doueck  
Principal  
AEC Energy LLC  
1 Metrotech Center North, 3<sup>rd</sup> Floor  
Brooklyn, NY 11201

Re: **Energy Agent Initial Registration**  
Dkt. No. EE 22100620L

Dear Mr. Doueck:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its February 17, 2023 Agenda meeting, the New Jersey Board of Public Utilities ("Board") voted to issue an Energy Agent Registration to AEC Energy LLC. The company's registration number is EA-0697. Pursuant to N.J.A.C. 14:4-5.8, this registration shall not expire so long as a registration renewal fee accompanied by an annual information update form is submitted to the Board within 30 days prior to the annual **anniversary date of February 17<sup>th</sup>**.

This registration is effective February 17, 2023. This registration and the rights thereunder are **Non-Transferable**.

***This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.***

If you have any questions, please contact Matthew Holman at 609-332-9603.

Sincerely,

*Carmen D. Diaz*

Carmen D. Diaz  
Acting Board Secretary

CDD/mvh

JASON M. STANEK  
CHAIRMAN

MICHAEL T. RICHARD  
ANTHONY J. O'DONNELL  
ODOGWU OBI LINTON  
KUMAR P. BARVE

**CORRECTED****PUBLIC SERVICE COMMISSION**

**#1, 6/21/23 AM; ML# 301025**  
**License Reference No.: IR-5873**

June 21, 2023

Ezra Doueck, Managing Partner  
AEC Energy, LLC  
One Metrotech Center North, Floor 3  
Brooklyn, NY 11201  
[ezra@AECenergymgmt.com](mailto:ezra@AECenergymgmt.com)

Dear Mr. Doueck:

On January 25, 2023, AEC Energy, LLC (“Company”) filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the June 21, 2023 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number **IR-5873**). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker services for the customer classes and service territories applied for and recommended by Staff.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People’s Counsel.

By Direction of the Commission,

*/s/ Andrew S. Johnston*

Andrew S. Johnston  
Executive Secretary

ASJ/st

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice) • Website: [www.psc.state.md.us](http://www.psc.state.md.us)

**PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA  
1325 G STREET N.W., SUITE 800  
WASHINGTON, D.C. 20005**

**ORDER**

**March 29, 2023**

**FORMAL CASE NO. EA2023-02, IN THE MATTER OF THE APPLICATION OF  
AEC ENERGY, LLC D/B/A AEC ENERGY MANAGEMENT FOR AN  
ELECTRICITY SUPPLIER LICENSE, Order No. 21590**

**I. INTRODUCTION**

1. By this Order, the Public Service Commission of the District of Columbia (“Commission”) grants a license to AEC Energy, LLC d/b/a AEC Energy Management (“AEC” or “Applicant”) to function as an electricity supplier in the District of Columbia.<sup>1</sup> The license for AEC Energy, LLC d/b/a AEC Energy Management is effective upon issuance of this Order.

**II. BACKGROUND**

2. On January 31, 2023, AEC filed its license application.<sup>2</sup> Specifically, AEC intends to operate as a broker of electricity pursuant to § 4601 in Chapter 46 (Electricity Suppliers) of Title 15 of the District of Columbia Municipal Regulations.<sup>3</sup> The Applicant represents that it intends to serve commercial and industrial customers in the District of Columbia. AEC filed supplemental information on February 27 and March 23, 2023.<sup>4</sup>

**III. DISCUSSION**

3. Our review of the information contained in AEC’s application and supplemental filings demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and financial integrity to serve

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<sup>1</sup> D.C. Official Code § 34-1501(17) (2001) defines, in part, an electricity supplier as “a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers.”

<sup>2</sup> *Formal Case No. EA2023-02, In the Matter of the Application of AEC Energy, LLC d/b/a AEC Energy Management for an Electricity Supplier License (“Formal Case No. EA2023-02”)*, Application of AEC Energy, LLC d/b/a AEC Energy Management, filed January 31, 2023.

<sup>3</sup> *See* 65 DCR 9970-9989 (September 28, 2018).

<sup>4</sup> *Formal Case No. EA2023-02*, AEC Energy, LLC d/b/a AEC Energy Management filed supplemental information on February 27 and March 23, 2023.

electricity customers in the District of Columbia. The Commission also finds that granting AEC's application will serve the public interest by fostering competition in the District's energy market. Accordingly, AEC's application is approved.

**THEREFORE, IT IS ORDERED THAT:**

4. The application of AEC Energy, LLC d/b/a AEC Energy Management for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**. The license for AEC Energy, LLC d/b/a AEC Energy Management is effective upon issuance of this Order.

**A TRUE COPY:**

**BY DIRECTION OF THE COMMISSION:**

A handwritten signature in black ink, appearing to read "Brinda Westbrook-Sedgwick". The signature is written in a cursive, flowing style.

**CHIEF CLERK:**

**BRINDA WESTBROOK-SEDGWICK  
COMMISSION SECRETARY**



THE COMMONWEALTH OF MASSACHUSETTS  
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER  
GOVERNOR

KARYN E. POLITO  
LIEUTENANT GOVERNOR

BETHANY A. CARD  
SECRETARY OF ENERGY  
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION  
BOSTON, MA 02110  
(617) 305-3500

MATTHEW H. NELSON  
CHAIR

ROBERT E. HAYDEN  
COMMISSIONER

CECILE M. FRASER  
COMMISSIONER

November 22, 2022

Jonathan Soto, Operations Manager  
AEC Energy, LLC  
One Metrotech Center North, Floor 3  
Houston, TX 77043

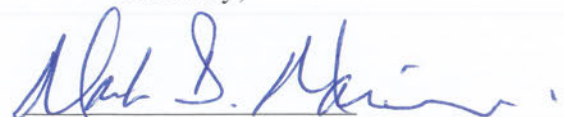
Mr. Soto

The Department of Public Utilities has reviewed AEC Energy, LLC's (AEC Energy) application for a Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Utility Services license number is **RA-295**.

As a condition of maintaining its license, AEC Energy, LLC, must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00. If you decide to request renewal of AEC Energy, LLC's license next year, please submit a renewal application no later than July 1, 2023.



Sincerely,

  
Mark D. Marini, Secretary



THE COMMONWEALTH OF MASSACHUSETTS  
DEPARTMENT OF PUBLIC UTILITIES

MAURA T. HEALEY  
GOVERNOR

KIMBERLEY DRISCOLL  
LIEUTENANT GOVERNOR

REBECCA L. TEPPER  
SECRETARY OF ENERGY  
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION  
BOSTON, MA 02110  
(617) 305-3500

CECILE M. FRASER  
ACTING CHAIR

ROBERT E. HAYDEN  
COMMISSIONER

March 13, 2023

Jonathan Soto, Director of Operations  
AEC Energy, LLC  
One Metrotech Center North, FL 3  
Brooklyn, NY 11201

Mr. Soto,

The Department of Public Utilities has reviewed AEC Energy, LLC's ("AEC") application for an Electricity Broker license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. AEC Energy, LLC's license number is **EB-559**.

Consistent with the information provided in the Company's application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval.

As a condition of maintaining this license, the Company must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00 et seq. In addition, within 30 days of any material change in the information required by 220 CMR 11.05(2), the Company must file updated information

with the Department. If the Company requests a renewal of its license next year, please submit the renewal application no later than **July 1, 2023**.

Sincerely,

\_\_\_\_\_/s/\_\_\_\_\_  
Mark D. Marini, Secretary



## Competitive Retail Electric Service Provider Certificate

Certified Entity:

AEC Energy, LLC

DBA: AEC Energy Management

One Metrotech Center North

Brooklyn, 11201

Certificate Number: **25-143331E**

**Effective Date: August 31, 2025**

**Expiration Date: August 31, 2027**

Issued Pursuant to Case Number(s):

**25-0777-EL-AGG**

The above referenced entity is hereby certified to provide competitive retail electric **Power Broker** services within the State of Ohio.

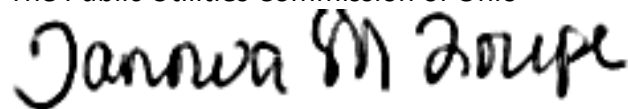
The certification of competitive retail electric service providers is governed by Chapters 4901:1-24 and 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code. This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met. The certified entity is subject to all rules and regulations of the Public Utilities Commission of Ohio now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **31 day of August, 2025.**



By Order of  
The Public Utilities Commission of Ohio



Tanowa M. Troupe, Secretary  
Michelle Green, Acting Secretary  
Brian James, Acting Secretary  
Robert Fadley, Acting Secretary

## **Competitive Retail Natural Gas Service Provider or Governmental Aggregator Certificate**

Certified Entity:  
AEC Energy, LLC

One Metrotech Center North  
Brooklyn, 11201

Certificate Number: **25-143543G**

**Effective Date: September 14, 2025**

**Expiration Date: September 14, 2027**

Issued Pursuant to Case Number(s):  
**25-0807-GA-AGG**

The above-referenced entity is hereby certified to provide competitive retail natural gas Retail Natural Gas Broker services within the State of Ohio.

The certification of competitive retail natural gas service providers is governed by Chapters 4901:1-27, 4901:1-28, and 4901:1-29 of the Ohio Administrative Code, and Section 4929.20 of the Ohio Revised Code. This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

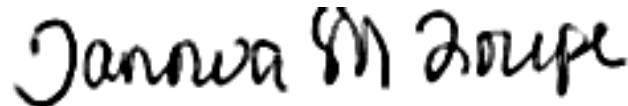
The certified entity is subject to all rules and regulations of the Public Utilities Commission of Ohio now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **14 day of September, 2025.**



By Order of  
The Public Utilities Commission of Ohio



Tanowa M. Troupe, Secretary  
Michelle Green, Acting Secretary  
Brian James, Acting Secretary  
Robert Fadley, Acting Secretary

**Commissioners**

Daniel R. Conway  
Dennis P. Deters  
Lawrence K. Friedeman  
John D. Williams

180 East Broad Street  
Columbus, OH 43215 U.S.A. 800 | 686 7826  
PUCO.Ohio.gov

**Attachment J – Certificate of Good Standing**

Please see attached Certificate of Registration with the commonwealth of Pennsylvania.

**Pennsylvania Department of State**  
Bureau of Corporations and Charitable Organizations  
PO Box 8722 | Harrisburg, PA 17105-8722  
T: 717-787-1057  
dos.pa.gov/BusinessCharities

**Regarding:** AEC Energy, LLC  
**Request Type:** Certificate of Registration  
**Request No.:** 056488939  
**Receipt No.:** 1678248  
**Filing Type:** Foreign Limited Liability Company  
**Filing Subtype:** Limited Liability Company  
**Initial Filing Date:** November 23, 2022  
**Status:** Active

**Issuance Date:** May 13, 2025  
**File No:** 0003568114

**TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:**

I DO HEREBY CERTIFY THAT

AEC Energy, LLC

is a foreign association duly registered to do business in this Commonwealth as of the issuance date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the seal of my office to be affixed, the day and year above written.

A handwritten signature in cursive script, appearing to read "Albert Schmidt".

**Albert Schmidt**  
Secretary of the Commonwealth

Verify this certificate online at [www.file.dos.pa.gov](http://www.file.dos.pa.gov)

**Attachment K - Newspaper Publication**

Please see attached Newspaper Publication with Notarized Proofs of Publication.

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of AEC Energy, LLC d/b/a AEC Energy Management for Approval to Offer, Render, Furnish or Supply Natural Gas Supply Services at Docket No. [A-20xx-xxxxxxx] and Electricity or Electric Generation Services at Docket No. [A-20xx-xxxxxxx] to the public in The Commonwealth of Pennsylvania.

AEC Energy, LLC has filed an application with the Pennsylvania Public Utility Commission (“PUC”) for a license to provide natural gas supply services as a broker engaged in the business of supplying natural gas services. Additionally, AEC Energy, LLC has filed an application with the PUC for a license to supply electricity or electric generation services as a broker engaged in the business of supplying electricity services. AEC Energy, LLC proposes to sell electricity, natural gas, and related services in the entire Commonwealth of PA under the provisions of the Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of AEC Energy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. Please include the respective NGS or EGS Docket Nos. above on the protest.

Additionally, please send copies of any protest to AEC Energy, LLC at the address listed below.

AEC Energy, LLC  
One Metrotech Center North, Third Floor  
Brooklyn, NY 11201  
(347) 799-1685  
Ezra@aecenergymgmt.com

# LOCALiQ

Erie Times-News | The Intelligencer  
Bucks County Courier Times  
The Daily American | Beaver County Times  
Pocono Record | Burlington County Times

PO Box 630531 Cincinnati, OH 45263-0531

## AFFIDAVIT OF PUBLICATION

AEC Energy, LLC  
One Metrotech Center North Third Floor  
Brooklyn NY 11201

STATE OF PENNSYLVANIA, COUNTY OF ERIE

The Erie Times-News is a newspaper of general circulation, whose principal place of business is at 205 W 12<sup>th</sup> Street, Erie, Pennsylvania. That a copy of the printed notice, hereto attached, is exactly as the same was printed and published in the regular edition of the Erie Times-News, published in the issue dated:

09/17/2025

Sworn to and subscribed before on 09/17/2025

*D. Roberts*

Legal Clerk

*Nicole Jacobs*

Notary, State of WI, County of Brown

*8-21-26*

My commission expires

Publication Cost:	\$259.78	
Tax Amount:	\$0.00	
Payment Cost:	\$259.78	
Order No:	11670594	# of Copies:
Customer No:	1547259	0
PO #:	LSOM0371184	

**THIS IS NOT AN INVOICE!**

*Please do not use this form for payment remittance.*

NICOLE JACOBS  
Notary Public  
State of Wisconsin

PENNSYLVANIA PUBLIC  
UTILITY COMMISSION  
NOTICE



Applications of AEC Energy, LLC d/b/a AEC Energy Management for Approval to Offer, Render, Furnish or Supply Electricity or Electric Generation Services at Docket No. **[A-2025-3056805]** to the public in The Commonwealth of Pennsylvania. AEC Energy, LLC has filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker engaged in the business of supplying natural gas services.

Additionally, AEC Energy, LLC has filed an application with the PUC for a license to supply electricity or electric generation services as a broker engaged in the business of supplying electricity services. AEC Energy, LLC proposes to sell electricity, natural gas, and related services in the entire Commonwealth of PA under the provisions of the Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of AEC Energy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. Please include the respective NGS or EGS Docket Nos. above on the protest. Additionally, please send copies of any protest to AEC Energy, LLC at the address listed below.

AEC Energy, LLC  
One Metrotech Center  
North, Third Floor  
Brooklyn, NY 11201  
(347) 799-1685  
Ezra@aecenergymgmt.com

September 17 2025  
LSOM0371184

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss D. Rullo, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

02 of October, 2025

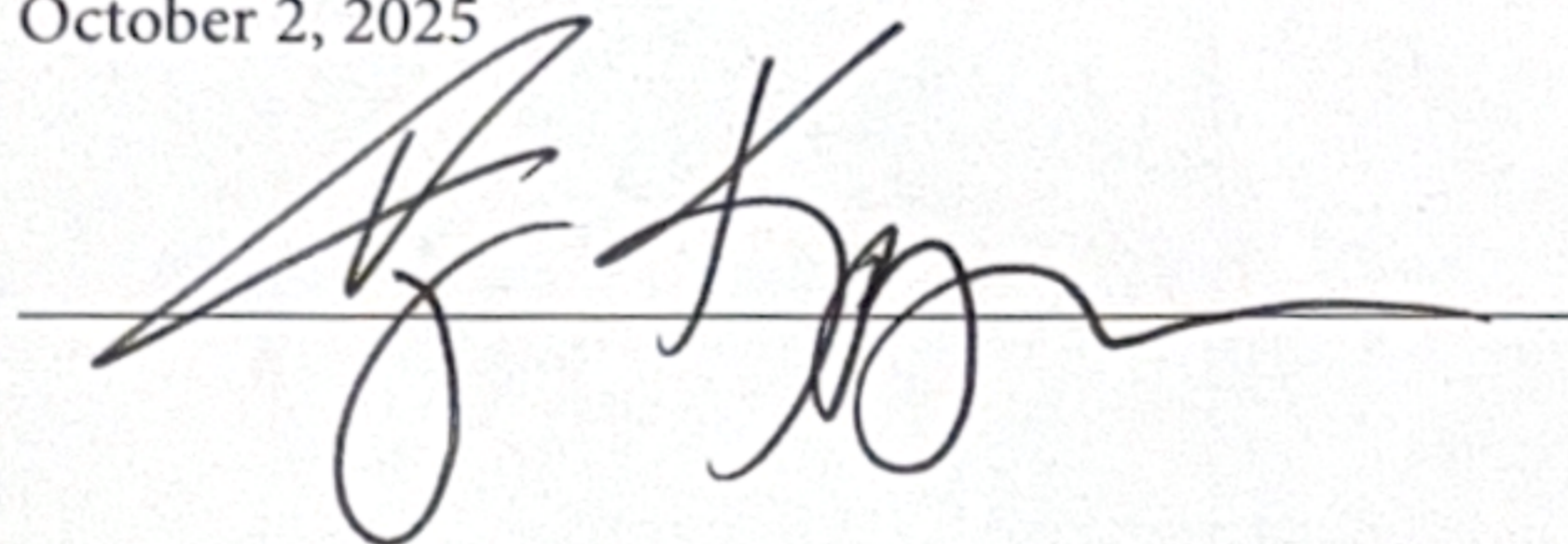
Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:

October 2, 2025



Commonwealth of Pennsylvania - Notary Seal  
Tracy Kopyar, Notary Public  
Allegheny County  
My commission expires August 18, 2029  
Commission number 1458478

Member, Pennsylvania Association of Notaries

#### STATEMENT OF ADVERTISING COSTS

AEC Energy Management, LLC  
1 Metrotech Center North, Suite 301  
Brooklyn, NY 11201

To PG Publishing Company

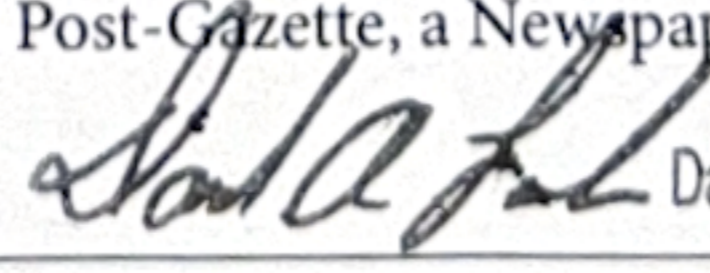
Total ----- \$518.50

#### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office:  
358 North Shore Drive, Suite 300,  
Pittsburgh, PA 15212  
Email: PublicNotices@post-gazette.com  
Phone: 412-263-1440

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  David A. Lockerbie

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

\_\_\_\_\_  
Attorney For

#### COPY OF NOTICE OR PUBLICATION

##### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of AEC Energy, LLC d/b/a AEC Energy Management for Approval to Offer, Render, Furnish or Supply Natural Gas Supply Services at Docket No. [A-2025-3056805] and Electricity or Electric Generation Services at Docket No. [A-2025-3056805] to the public in The Commonwealth of Pennsylvania. AEC Energy, LLC has filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker engaged in the business of supplying natural gas services. Additionally, AEC Energy, LLC has filed an application with the PUC for a license to supply electricity or electric generation services as a broker engaged in the business of supplying electricity services. AEC Energy, LLC proposes to sell electricity, natural gas, and related services in the entire Commonwealth of PA under the provisions of the Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of AEC Energy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. Please include the respective NGS or EGS Docket Nos. above on the protest. Additionally, please send copies of any protest to AEC Energy, LLC at the address listed below.

AEC Energy, LLC  
One Metrotech Center North,  
Third Floor Brooklyn, NY  
11201  
(347) 799-1685  
Ezra@aecenergymgmt.com

**PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of AEC Energy, LLC d/b/a AEC Energy Management for Approval to Offer, Render, Furnish or Supply Natural Gas Supply Services at Docket No. [A-2025-3056805] and Electricity or Electric Generation Services at Docket No. [A-2025-3056805] to the public in The Commonwealth of Pennsylvania.

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Additionally, please send copies of any protest to AEC Energy, LLC at the address listed below.

AEC Energy, LLC  
One Metrotech Center North, Third Floor Brooklyn, NY 11201  
(347) 799-1685  
Ezra@aecenergyllc.com

**OF PENNSYLVANIA } SS**  
**Cambria**

On this 20th day of September A.D. 2025, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Advertising Director of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7 1853; and of the Johnstown Democrat, established March 5, 1863, in the County of Cambria, and the annexed is a true copy of a notice in circulation in the regular issues of The Tribune-Democrat, PA, on

09/20/2025

and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

Signed and sworn to before me on 20th day of September, 2025 by Christine Marhefka making the statement.

Commonwealth of Pennsylvania - Notary Seal  
Katrina A. Penrod, Notary Public  
Cambria County  
My commission expires November 7, 2028  
Commission number 1454875

Member, Pennsylvania Association of Notaries

7.00 Inches @ \$27.00 per inch  
Notary Fee \$5.00  
Clerical Fee \$2.50  
Total Cost \$196.50

*Katrina A. Penrod*  
(Notary Public)

To The Tribune-Democrat, Johnstown, PA  
For publishing the notice or publication  
attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

*Christine Marhefka* for publisher of The Tribune-Democrat a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

The Tribune-Democrat  
(Name of Newspaper)

By Christine Marhefka

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)  
Commonwealth of Pennsylvania, County of Lackawanna

Account # 654957  
Order # 82876787  
Ad Price: 309.22

AEC ENERGY MANAGEMENT, LLC  
C/O ADVANCED ENERGY CAPITAL, L  
SUITE 301 1 METROTECH CENTER NORTH  
BROOKLYN NY 11201

PA PUC NOTICE APPLICATION

Rebecca Reynolds

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

09/17/2025

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Rebecca Reynolds

Sworn and subscribed to before me  
this 10th day of November A.D., 2025

Danielle Campbell  
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal  
Danielle Campbell, Notary Public  
Lackawanna County  
My commission expires December 18, 2027  
Commission number 1441244  
Member, Pennsylvania Association of Notaries

**PA PUC NOTICE**

Applications of AEC Energy, LLC d/b/a AEC Energy Management for Approval to Offer, Render, Furnish or Supply Natural Gas Supply Services at Docket No. [A-2025-3056805] and Electricity or Electric Generation Services at Docket No. [A-2025-3056805] to the public in The Commonwealth of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of AEC Energy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. Please include the respective NGS or EGS Docket Nos. above on the protest.

Additionally, please send copies of any protest to AEC Energy, LLC at the address listed below.

AEC Energy, LLC  
One Metrotech Center North,  
Third Floor Brooklyn, NY 11201  
(347)799-1685  
Ezra@aecenergymgmt.com

# The Philadelphia Inquirer

100 S. INDEPENDENCE MALL W, STE 600, PHILADELPHIA, PA 19106

## Affidavit of Publication

On Behalf of:

ADVANCED ENERGY CAPITAL  
1 MICROTECH CENTER NORTH  
SUITE 301  
BROOKLYN, NY 11201

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA:

Before the undersigned authority personally appeared the undersigned who, on oath represented a and say: that I am an employee of The Philadelphia Inquirer, LLC, and am authorized to make this affidavit of publication, and being duly sworn, I depose and say:

1. The Philadelphia Inquirer, LLC is the publisher of the Philadelphia Daily News, with its headquarters at 100 S. Independence Mall West, Suite 600, Philadelphia, PA 19106.
2. The Philadelphia Daily News is an edition of The Philadelphia Inquirer. The Philadelphia Daily News is continuously published and distributed Sunday-Friday in the City of Philadelphia, count and state aforesaid.
3. The printed notice or publication attached hereto set forth on attached hereto was published in all regular print editions of the Philadelphia Daily News on

### Legal Notices

as published in Daily News Legals in the issue(s) of:

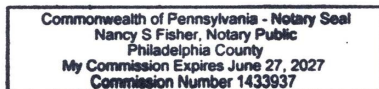
10/3/2025

4. Under oath, I state that the following is true and correct, and that neither I nor The Philadelphia Inquirer, LLC have any interest in the subject matter of the aforesaid notice or advertisement.



  
Notary Public

My Commission Expires:



Ad No: 194074

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### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of AEC Energy, LLC d/b/a AEC Energy Management for Approval to Offer, Render, Furnish or Supply Natural Gas Supply Services at Docket No. [A-2025-3056805] and Electricity or Electric Generation Services at Docket No. [A-2025-3056805] to the public in The Commonwealth of Pennsylvania.

AEC Energy, LLC has filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker engaged in the business of supplying natural gas services.

Additionally, AEC Energy, LLC has filed an application with the PUC for a license to supply electricity or electric generation services as a broker engaged in the business of supplying electricity services. AEC Energy, LLC proposes to sell electricity, natural gas, and related services in the entire Commonwealth of PA under the provisions of the Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of AEC Energy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. Please include the respective NGS or EGS Docket Nos. above on the protest.

Additionally, please send copies of any protest to AEC Energy, LLC at the address listed below.

**AEC Energy, LLC**  
One Metrotech Center North, Third Floor  
Brooklyn, NY 11201  
(347) 799-1685  
Ezra@aecenergymgmt.com

**AFFIDAVIT OF PUBLICATION**

State of Florida, County of Orange, ss:

Edmar Corachia, being first duly sworn, deposes and says: That (s)he is a duly authorized signatory of Column Software, PBC, duly authorized agent of Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

Sep. 17, 2025

**NOTICE ID:** ZtMzPuLW2pDPj54V26VA  
**PUBLISHER ID:** L1Z001  
**NOTICE NAME:** A-2025-3056805  
**Publication Fee:** 335.50

The affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true

*Edmar Corachia*

(Signed) \_\_\_\_\_

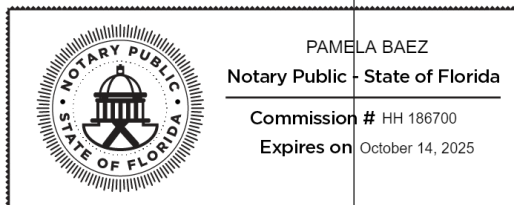
**VERIFICATION**

State of Florida  
County of Orange

Subscribed in my presence and sworn to before me on this: **09/17/2025**

*[Signature]*

Notary Public  
Notarized remotely online using communication technology via Proof.



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UTILITY COMMISSION  
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AD#: 0011029319

State of New Jersey,) ss  
County of Hunterdon)

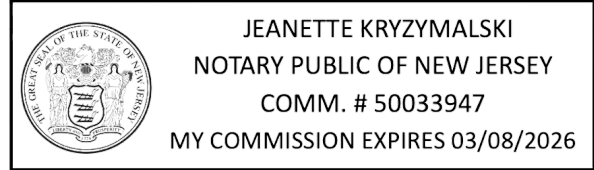
Maria Nunez being duly sworn, deposes that he/she is principal clerk of PA Media Group; that Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

**Patriot News 09/18/2025**

\_\_\_\_\_  
Principal Clerk of the Publisher

Sworn to and subscribed before me this 19th day of September 2025

\_\_\_\_\_  
Notary Public



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