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VIA eFiling

January 21, 2026

Matthew L. Homsher, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street
Harrisburg, PA 17105-3265

In re: Application of Pennsylvania-American Water Company under Sections 1102(a) and 1329 of the Pennsylvania Public Utility Code, 66 Pa C.S. §§ 1102(a) and 1329, for approval of (1) the transfer, by sale, to Pennsylvania-American Water Company, of substantially all of the assets, properties and rights related to the water treatment and distribution system owned and operated by the Indian Creek Valley Water Authority, and (2) the rights of Pennsylvania-American Water Company to begin to offer or furnish water service to the public in all of the Borough of Ohiopyle and portions of the Townships of Saltlick, Springfield, Bullskin, Connellsville and Stewart, Fayette County and all of the Borough of Donegal and portions of the Townships of Donegal and Mount Pleasant, Westmoreland County, Pennsylvania

Docket No: A-2025-3055741

Dear Secretary Homsher:

On November 3, 2025, Pennsylvania-American Water Company filed the above-referenced Application and related filings for the Company's acquisition of substantially all of the assets, properties and rights related to the water system owned and operated by the Indian Creek Valley Water Authority. This filing was made pursuant to Sections 507 (related to filing of contracts with municipal corporations), 1102 (related to certificates of public convenience), and 1329 (determination of fair market value for ratemaking purposes) of the Pennsylvania Public Utility Code ("Code"), 66 Pa. C.S. §§ 507, 1102, 1329.

On January 13, the Company filed an Amended Application and appendices in response to the Bureau of Technical Utility Services Deficiencies

Matthew L. Homsher, Secretary

January 21, 2026

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Re: Pennsylvania-American Water Company Responses to the
Bureau of Technical Utility Services Deficiencies dated December 16, 2025
Docket No. A-2025-3055741

Set 1, 66 Pa. C.S. Section 1329 Application Completeness Review
Pennsylvania-American Water Company – Acquisition of Indian Creek Valley
Water Authority Water System Assets at Docket No. A-2025-3055741.

At the time of the November 3, 2025 Application filing, the Company filed a confidential response to Appendix A-4, Standard Data Request 1. Within the confidential filing, the Company mentioned it would supplement the Application with data regarding proposed rates. Attached is a supplement to Standard Data Request 1.

Accompanying the filing is an amended 66 Pa.C.S. § 1329 Application Filing Checklist labeled Second Amended Appendix A.

Copies are being served upon the advocates in accordance with the attached Certificate of Service and in accordance with the Commission's Final Supplemental Implementation Order entered February 28, 2019 at Docket No. M-2016-2543193.

With this filing, the Company believes its Amended Application is complete and hopes the Commission will conditionally accept its Amended Application.

Thank you for your attention to this matter. If you have any questions, please do not hesitate to contact me.

Sincerely,



Elizabeth Rose Triscari

Enclosures

cc: Certificate of Service
Sean Donnelly, Bureau of Technical Utility Services w/Enc.
Adeolu A. Bakare, Esq. w/Enc.

**Application of Pennsylvania-American Water Company for the
Acquisition of the Water System Owned and Operated by
Indian Creek Valley Water Authority (“ICVWA”)**

Docket No. A-2025-3055741

Section 1329 Application Standard Data Requests

Rates/Ratemaking

1. Estimate the potential monthly incremental cost impact on existing and acquired customers following the actual results of the Buyer’s most recently adjudicated base rate proceeding, whether litigated or settled, allocating the fair market value of the acquired system according to the Buyer’s previously approved single-tariff pricing model.
 - a. In the case of a wastewater acquisition, a Buyer that employs a combined revenue requirement pursuant to 66 Pa. C.S. § 1311 will provide information assuming a combined water and wastewater revenue requirement consistent with its most recent adjudicated base rate proceeding.
 - b. If a Buyer has filed the thirty-day notice of 52 Pa. Code § 53.45(a), or has filed a rate case, it should calculate the above using data as proposed in its upcoming or filed rate case.

Response:

- a-b. Please see SDR 1 Attachment_ **CONFIDENTIAL**.

Supplemental Response:

- a. Not applicable.
- b. Please see **SDR 1 Attachment 2**, which reflects the rates proposed in Buyer’s current base rate filing for water operations before the Pennsylvania Public Utility Commission at Docket No. R-2025-3057983.

Provided by: **Dr. Christina Chard, American Water Works Service Company
Senior Director, Rates and Regulatory
Pennsylvania-American Water Company**

Pennsylvania American Water Company
Acquisition of Water Assets of the Indian Creek Valley Water Authority
Docket No. A-2025-3055741

Indian Creek Valley Water Authority Customers

Water

Rate Class	Average Usage	Average Monthly Bill at Indian Creek Valley Water Authority Rates at Closing	Potential Average Monthly Bill	Potential Increase
Residential	3,263 gal/month	\$70.73	\$151.79	\$81.06 or 114.6%
Commercial	20,802 gal/month	\$404.44	\$867.93	\$463.49 or 114.6%
Industrial	685,947 gal/month	\$11,755.57	\$25,227.45	\$13,471.88 or 114.6%

PAWC Current Water Customers

Rate Class	Average Usage	Average Monthly Bill at Proposed PAWC Zone 1 Rates at Docket No. R-2025-3057983	Average Monthly Bill at Proposed PAWC Zone 1 Rate Adjusted for Potential Impact of Acquisition	Potential Increase
Residential	3,263 gal/month	\$95.27	\$95.56	\$0.29 or 0.3%
Commercial	20,802 gal/month	\$475.81	\$477.24	\$1.43 or 0.3%
Industrial	685,947 gal/month	\$9,759.49	\$9,788.77	\$29.28 or 0.3%

Pennsylvania American Water Company
Acquisition of Water Assets of the Indian Creek Valley Water Authority
Docket No. A-2025-3055741

Indian Creek Valley Water Authority

Proposed PAWC - Water

Residential		
5/8"	Rate	\$12.00
32.63	1.8000	58.73
		\$70.73

Zone 1 - Residential		
5/8"	Service Charge	\$20.00
32.63	2.3069	75.27
		\$95.27

Rate Impact 114.6%
 \$81.06
 Total \$151.79

Rate Impact 0.3%
 \$0.29
 Total \$95.56

Commercial		
1"	Rate	\$30.00
208.02	1.8000	374.44
		\$404.44

Zone 1 - Commercial		
1"	Service Charge	\$50.20
160.00	2.1713	347.41
48.02	1.6285	78.20
208.02		
		\$475.81

Rate Impact 114.6%
 \$463.49
 Total \$867.93

Rate Impact 0.3%
 \$1.43
 Total \$477.24

Industrial		
2"	Rate	\$96.00
6,477.54	1.8000	11,659.57
		11,755.57

Zone 1 - Industrial		
2"	Service Charge	\$224.00
160.00	1.9290	308.64
5,840.00	1.4853	8,674.15
477.54	1.1574	552.70
6,000.00		
		9,759.49

Rate Impact 114.6%
 \$13,471.88
 Total \$25,227.45

Rate Impact 0.3%
 \$29.28
 Total \$9,788.77

Pennsylvania American Water Company
Acquisition of Water Assets of the Indian Creek Valley Water Authority
Docket No. A-2025-3055741

Estimated Rate Increase

Increase applied to Acquired System Customers	
Revenue Requirement Increase	\$ 2,951,000
Current Indian Creek Valley Water Authority revenues	2,576,000
Estimated Increase to water customers	114.6%

Amount of increase allocated to water customers:	
Revenue Requirement Increase	\$ 2,951,000
Proposed PAWC Water Revenues (R-2025-3057983 Proof of Revenues excl. Other Operating Revenues & Contract Sales)	1,081,757,625
Estimated Increase to Water Customers	0.3%

Pennsylvania American Water Company
Acquisition of Water Assets of the Indian Creek Valley Water Authority
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Revenue Requirement Increase

Rate Base	\$	32,800,000	Appendix A-11
Rate of Return %		8.23%	See Calculations
Rate of Return		<u>2,699,000</u>	
O&M		1,362,000	Appendix K
Taxes Other		85,000	Appendix K
Depreciation		650,000	Appendix K
Taxes		731,000	See Calculations
Revenue Requirement		<u>5,527,000</u>	
Indian Creek Valley Water Authority Year-1 Revenues		2,576,000	Appendix K
Revenue Requirement Increase		2,951,000	

Pennsylvania American Water Company
Acquisition of Water Assets of the Indian Creek Valley Water Authority
Docket No. A-2025-3055741

Income Tax Calculation

<u>Revenue Requirement</u>	
Rate Base	\$ 32,800,000
Pretax ROR %	10.46%
Pretax ROR	<u>3,431,000</u>
Plus: O&M Expense	1,362,000
Taxes Other	85,000
Depreciation	<u>650,000</u>
Total Revenue Requirement	<u><u>5,528,000</u></u>
 <u>Income Tax Calculation</u>	
Revenues	\$ 5,528,000
Less: O&M Expense	1,362,000
Taxes Other	85,000
Depreciation	650,000
Interest	<u>676,000</u>
SIT Taxable Income	2,755,000
State Income Tax Rate	6.99%
State Income Tax	<u>193,000</u>
FIT Taxable Income	2,562,000
Federal Income Tax Rate	21.00%
Federal Income Tax	<u>538,000</u>
Total Income Taxes	<u><u>731,000</u></u>
 <u>Interest</u>	
Rate Base	\$ 32,800,000
Weighted Cost of Debt (2.06%)	<u>2.06%</u>
	\$ 676,000

Water	Capital Structure	Cost Rate	Weighted Cost	Revenue Multiplier	Revenue Requirement
Long Term Debt	43.68%	4.71%	2.06%		2.06%
Short Term Debt	0.00%	0.00%	0.00%		0.00%
Preferred Stock	0.01%	9.71%	0.00%	1.36095	0.00%
Common Equity	56.31%	10.95%	<u>6.17%</u>	1.36095	<u>8.40%</u>
			8.23%		10.46%

Capital Structure, Cost of Debt, and Cost of Equity as proposed in PAWC's pending base rate case.
Docket No. R-2025-3057983, Filed November 14, 2025.
State Income Tax Rate of 6.99% in effect 1/1/2027

Revenue 'Multiplier

Statutory State Tax Rate	0.0699
Statutory Federal Tax Rate	0.21
1- State Tax Rate	0.9301
Fed Rate Times (1-State Tax Rate)	0.195321
Effective Tax Rate	0.265221
1-Eff Tax Rate	0.734779
Reciprocal	1.36095

**Pennsylvania American Water Company
Acquisition of Water Assets of the Indian Creek Valley Water Authority
Docket No. A-2025-3055741**

Operating Revenue Assumptions

Service Charge	Customers	Units	Rate	Revenue
Residential Customers	2150	25,800	12.000	310,000
Commercial 1"	203	2,436	30.000	73,000
Industrial 2"	1	12	96.000	1,000
OPA 1"	25	300	30.000	9,000
Public Fire - Rate 1	2	369	60.000	22,000
Public Fire - Rate 2	5	102	100.000	10,000
				425,000

Usage Charge	Customers	Units	Rate	Revenue
Residential Customers	2150	705,035	1.8000	1,269,000
Commercial	203	236,273	1.8000	425,000
Industrial	1	6,955	1.8000	13,000
OPA	25	222,428	1.8000	400,000
Bulk Resale	3	23,251	1.9000	44,000
				2,151,000

Total Revenues		2,576,000
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Pennsylvania American Water Company
Acquisition of Water Assets of the Indian Creek Valley Water Authority
Docket No. A-2025-3055741

O&M and General Tax Assumptions

Operating and Maintenance Expenses

Labor and Labor Related	\$501,000
Purchased Water	39,000
Fuel & Power	64,000
Chemicals	35,000
Waste Disposal	19,000
Management Fees	156,000
Contracted Services	44,000
Insurance Other Than Group	85,000
Maintenance	300,000
Customer Accounting	79,000
General Office Expense	7,000
Miscellaneous	33,000
Total O&M	\$1,362,000

General Taxes

Property Tax	\$35,000
Regulatory Assessment	20,000
Payroll Taxes	30,000
Total General Taxes	\$85,000

SECOND AMENDED APPENDIX A

**Pennsylvania Public Utility Commission
66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater
2024 Final Supplemental Implementation Order**

In re: Application of Pennsylvania-American Water Company under Sections 1102(a) and 1329 of the Pennsylvania Public Utility Code, 66 Pa C.S. §§ 1102(a) and 1329, for approval of (1) the transfer, by sale, to Pennsylvania-American Water Company, of substantially all of the assets, properties and rights related to the water treatment and distribution system owned and operated by Indian Creek Valley Water Authority, and (2) the rights of Pennsylvania-American Water Company to begin to offer or furnish water service to the public in all of the Borough of Ohiopyle and portions of the Townships of Saltlick, Springfield, Bullskin, Connellsville and Stewart, Fayette County and all of the Borough of Donegal and portions of the Townships of Donegal and Mount Pleasant, Westmoreland County

Docket No. A-2025-3055741, et al.

Circle No or Yes for each item. If yes, identify the document, section, and page number containing the item as found within the filing including appropriate cross-reference of duplicate documents. If no, provide a detailed explanation of why the information is not included in the filing.

Red Font indicates all, or some parts of the Response are **CONFIDENTIAL**

1.	Transmittal letter with caption and statement that the filing is pursuant to 66 Pa.C.S. § 1329.	No	<input checked="" type="radio"/> Yes	Appendix A-1
2.	Verification form that is signed by an officer or authorized employee of the company, is dated, and accurately references the case.	No	<input checked="" type="radio"/> Yes	Appendix A-2
3.	Certificate of Service indicating that a complete copy of the application with exhibits was served by registered or certified mail, return receipt requested, or by hand delivery, upon the statutory advocates (OCA, OSBA) and the Bureau of Investigation and Enforcement. ¹	No	<input checked="" type="radio"/> Yes	Appendix A-3

¹ See Notes on last page of this Checklist; filers will be instructed to also serve other parties when the application is accepted.

SECOND AMENDED APPENDIX A

<p align="center">Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order</p>				
4.	Provide responses to Section 1329 Application Standard Data Requests, including electronic working documents (i.e., Excel spreadsheets) for all the filing’s schedules, studies, and working papers to the extent practicable.	No	<input checked="" type="radio"/>	<p>Appendix A-4 Appendix A-4.1 (SDR) SDR 1 Attachment Supplement to SDR 1 SDR 1 Attachment 2 Appendix A-4.2 (WADS Consultants) Appendix A-4.3 (Gannett Fleming) SDR 17 Attachment</p>
5.	Provide copies of two independent appraisals by separate utility valuation experts for use in establishing the fair market value of the Selling Utility.	No	<input checked="" type="radio"/>	<p>Appendix A-5 Appendix A-5.1 (WADS Consultants) Appendix A-5.2 (Gannett Fleming)</p>
6.	State the purchase price of the seller as agreed to by the buyer and seller. ²	No	<input checked="" type="radio"/>	Appendix A-6
7.	State the total fees paid to the utility valuation experts for providing the completed appraisals for the acquisition and provide documentation, i.e., the valuation service agreement and all associated invoices, supporting the subject fee amounts.	No	<input checked="" type="radio"/>	Appendix A-7
8.	Buyer and Seller Verification Statements:			Appendix A-8
	a. Provide a verification statement of the Buyer that its utility valuation expert was selected by the Buyer.	No	<input checked="" type="radio"/>	Appendix A-8-a
	b. Provide a verification statement of the Seller that its utility valuation expert was selected by the Seller.	No	<input checked="" type="radio"/>	Appendix A-8-b
9.	Utility Valuation Expert Verification Statements:			Appendix A-9
	a. Buyer Utility Valuation Expert has no affiliation with the buyer or seller.	No	<input checked="" type="radio"/>	Appendix A-9-a
	b. Buyer Utility Valuation Expert determined fair market value in compliance with the most recent edition of the Uniform Standards of Professional Appraisal Practice as of the date of the report employing the cost, market, and income approaches.	No	<input checked="" type="radio"/>	Appendix A-9-b

² “Seller” refers to the selling municipality; “buyer” refers to the acquiring entity.

SECOND AMENDED APPENDIX A

Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order				
	c. Buyer Utility Valuation Expert applied applicable jurisdictional exceptions to the submitted appraisal.	No	<input checked="" type="radio"/>	Appendix A-9-c
	d. Seller Utility Valuation Expert has no affiliation with the buyer or seller.	No	<input checked="" type="radio"/>	Appendix A-9-d
	e. Seller Utility Valuation Expert determined fair market value in compliance with the most recent edition of the Uniform Standards of Professional Appraisal Practice as of the date of the report employing the cost, market, and income approaches.	No	<input checked="" type="radio"/>	Appendix A-9-e
	f. Seller Utility Valuation Expert applied applicable jurisdictional exceptions to the submitted appraisal.	No	<input checked="" type="radio"/>	Appendix A-9-f
10.	Estimated or, if available, actual transaction and closing costs incurred by the buyer that will be included in its rate base.	No	<input checked="" type="radio"/>	Appendix A-10
11.	State the ratemaking rate base as required in 66 Pa.C.S. § 1329 and specify whether it is based on either the fair market value determined by the valuation experts or the asset purchase price.	No	<input checked="" type="radio"/>	Appendix A-11
12.	Provide a proposed tariff containing a rate equal to the existing rates of the seller at the time of the acquisition and a rate stabilization plan, if applicable to the acquisition.	No	<input checked="" type="radio"/>	Amended Appendix A-12
13.	Seller Testimony:			Appendix A-13
	a. Provide seller direct testimony supporting the application, if any.	No	<input checked="" type="radio"/>	Appendix A-13-a • ICVWA St. No. 1
	b. Provide seller UVE direct testimony.	No	<input checked="" type="radio"/>	Appendix A-13-b • ICVWA St. No. 2
14.	Buyer Testimony:	No	<input checked="" type="radio"/>	Appendix A-14
	a. Provide buyer direct testimony supporting the application.	No	<input checked="" type="radio"/>	Appendix A-14-a • PAWC St. No. 1 • Amended PAWC St. No. 2 • PAWC St. No. 3
	b. Provide buyer UVE direct testimony.	No	<input checked="" type="radio"/>	Appendix A-14-b • PAWC St. No. 4
	c. Identify in Buyer direct testimony the ways in which the buyer has responded to input received at the public hearings referenced in Checklist Item 26, if any.	No	<input checked="" type="radio"/>	Appendix A-14-c

SECOND AMENDED APPENDIX A

<p align="center">Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order</p>				
15.	Plant in Service:			
	a. Provide an inventory of the used and useful plant assets to be transferred. Identify separately any utility plant that is held for future use. ³	No	<input checked="" type="radio"/>	Amended Appendix A-15-a Exhibit B-Map
	b. Provide a list of all non-depreciable property such as land and rights-of-way.	No	<input checked="" type="radio"/>	Appendix A-15-b
	c. State the DEP-permitted productive or treatment capacity of sources or treatment facility and the pipe sizes and material used for construction for all transmission and distribution or collection facilities.	No	<input checked="" type="radio"/>	Appendix A-15-c
	d. State the elevations of major facilities and service areas.	No	<input checked="" type="radio"/>	Appendix A-15-d
	e. State the approximate time schedule for installation of the various component facilities.	No	<input checked="" type="radio"/>	Appendix A-15-e
	f. State the tentative journal entries for booking the acquisition.	No	<input checked="" type="radio"/>	Appendix A-15-f
16.	Map of Service Area. Provide a scalable map or plan of suitable scale highlighting the boundaries of the proposed service area that includes:	No	<input checked="" type="radio"/>	Amended Appendix A-16
	a. The extent of the proposed service area with any existing adjoining service areas identified.	No	<input checked="" type="radio"/>	Amended Appendix A-16-a through e
	b. A north arrow depicting map orientation.	No	<input checked="" type="radio"/>	Amended Appendix A-16-a through e
	c. A written description of the boundaries for the service territory utilizing bearing angles and distances.	No	<input checked="" type="radio"/>	Amended Appendix A-16-a through e
	d. Size of the service territory area in terms of acres or square miles.	No	<input checked="" type="radio"/>	Amended Appendix A-16-a through e
	e. Identification and depiction of all municipal boundaries relative to the service area.	No	<input checked="" type="radio"/>	Amended Appendix A-16-a through e
	f. Depiction of the location or route of the waterworks or wastewater collection, treatment, or disposal facilities.	No	<input checked="" type="radio"/>	Amended Appendix A-16-f

³ The inventory is to be developed from available records, maps, work orders, debt issue closing documents funding construction projects, and other sources to ensure an accurate listing of utility plant by utility account.

SECOND AMENDED APPENDIX A

Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order			
17.	Customers:		
	a. State the seller’s actual number of customers by class and quantify the related consumption or gallons treated in the current calendar year and future number of connections anticipated for the next 5 years and, if available, the next 10 years.	No	<input checked="" type="radio"/> Yes Appendix A-17-a
	b. Buyer shall demonstrate its ability to provide adequate water supply, treatment, storage and distribution or adequate wastewater collection, treatment or disposal capacity to meet present and future customer demands.	No	<input checked="" type="radio"/> Yes Appendix A-17-b
	c. For water system acquisitions, quantify the number of public and private fire hydrants.	No	<input checked="" type="radio"/> Yes Appendix A-17-c
18.	Rates:		
	a. State the current rates of the seller.	No	<input checked="" type="radio"/> Yes Amended Appendix A-18-a
	b. Provide a copy of the seller’s current rules and regulations for service.	No	<input checked="" type="radio"/> Yes Appendix A-18-b
	c. Provide a proposed tariff or tariff supplement showing the rates, proposed rules, and conditions of service.	No	<input checked="" type="radio"/> Yes Appendix A-18-c
	d. Provide a copy of the notification which will be sent by the Buyer to affected customers describing the filing and the anticipated effect on rates, including:	No	<input checked="" type="radio"/> Yes Amended Appendix A-18-d
	i. For the Seller’s customers, the overall dollar and percentage rate impacts implicated from stand-alone rates that recover the Buyer’s estimated annual gross revenue requirement for the acquired system from the Seller's customers.	No	<input checked="" type="radio"/> Yes Appendix A-18-d.i, d.iii through d.iv
	ii. For the Buyer’s customers, the overall dollar and percentage rate impacts implicated from the following rates: (1) for water applications, rates that recover the Buyer’s estimated annual net revenue requirement for the acquired system from the Buyer’s existing water customers, or (2) for wastewater applications, both water and wastewater rates that recover the Buyer’s estimated annual net revenue requirement for the acquired system from the Buyer’s existing water and wastewater customer, respectively.	No	<input checked="" type="radio"/> Yes Appendix A-18-d.ii through d.iv
	iii. Clear, plain language information about rate impacts.	No	<input checked="" type="radio"/> Yes See Appendices A-18-d.i and A-18-d.ii
	iv. A statement that any promise to freeze rates may be unenforceable.	No	<input checked="" type="radio"/> Yes See Appendices A-18-d.i and A-18-d.ii

SECOND AMENDED APPENDIX A

Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order				
	e. Provide a verification statement addressing <i>whether</i> the Buyer provided the Seller with estimates and supporting working papers, including the potential rate impacts that the transaction may have on the Seller’s customers, assuming the Buyer’s highest proposed purchase price and stand-alone rates that recovery the Buyer’s estimated gross revenue requirements for the acquired system from the Seller’s customers, prior to execution of the APA.	No	<input checked="" type="radio"/> Yes	Appendix A-18-e
19.	Cost of Service:			
	a. Provide a copy of the seller’s two most recent audited financial statements.	No	<input checked="" type="radio"/> Yes	Appendix A-19-a
	b. Provide a copy of the seller’s two most recent adopted budgets.	No	<input checked="" type="radio"/> Yes	Appendix A-19-b
	c. Provide a copy of the seller’s most recent annual report filed with the Commonwealth’s Department of Community and Economic Development.	No	<input checked="" type="radio"/> Yes	Appendix A-19-c
	d. Provide calculations quantifying the projected revenues and expenses for the acquisition.	No	<input checked="" type="radio"/> Yes	Appendix A-19-d
	e. State whether the seller has any outstanding loans on the utility plant and identify the nature, terms, and payment history.	No	<input checked="" type="radio"/> Yes	Appendix A-19-e
20.	Proof of Compliance. Provide proof of compliance with applicable design, construction and operation standards of DEP or of the county health department, or both, including:			
	a. For water system acquisitions, provide copies of the public water supply/water quality management permits for the utility plant.	No	<input checked="" type="radio"/> Yes	Appendix A-20-a
	b. For wastewater system acquisitions, provide copies of the water quality management and National Pollution Discharge Elimination System (NPDES) permits for the utility plant.	No	<input checked="" type="radio"/> Yes	Appendix A-20-b
	c. For wastewater system acquisitions, provide a copy of the Chapter 94 Municipal Wasteload Management Report that was most recently submitted to DEP.	No	<input checked="" type="radio"/> Yes	Appendix A-20-c
	d. Valid buyer’s certified operators’ certificates appropriate to the facilities being acquired.	No	<input checked="" type="radio"/> Yes	Appendix A-20-d
	e. Provide documentation evidencing a 5-year compliance history with DEP with an explanation of each violation for the seller’s utilities that have been providing service as well as provide a copy of any DEP-approved corrective action plans.	No	<input checked="" type="radio"/> Yes	Appendix A-20-e

SECOND AMENDED APPENDIX A

Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order				
	f. Provide documentation of all Notices of Violation issued to seller by DEP for the last 5 years, an explanation of each, including a description of any corrective or compliance measures taken.	No	<input checked="" type="radio"/> Yes	Appendix A-20-f
	g. Provide documentation evidencing a 5-year compliance history with DEP of other utilities owned or operated, or both, by the buyer, including affiliates, and their officers and parent corporations with regard to the provision of utility service. ⁴	No	<input checked="" type="radio"/> Yes	Appendix A-20-g
	h. Provide a statement clarifying whether the acquired plant will be physically interconnected to the buyer’s system or be operated as a standalone system.	No	<input checked="" type="radio"/> Yes	Appendix A-20-h
	i. Provide a statement that explains how the acquisition will fit into the current operations of the buyer.	No	<input checked="" type="radio"/> Yes	Appendix A-20-i
	j. Provide a statement that identifies the staff, district or division of the buyer that will operate and manage the acquisition.	No	<input checked="" type="radio"/> Yes	Appendix A-20-j
	k. Provide a statement quantifying the distance in miles the acquisition is from the buyer’s existing system or facilities.	No	<input checked="" type="radio"/> Yes	Appendix A-20-k
	l. Provide a statement that identifies all planned physical, operational and managerial changes of the buyer that will occur after closing and state the timeframe and cost for each.	No	<input checked="" type="radio"/> Yes	Appendix A-20-l
21.	Affected Persons. State the identity of all public utilities, municipalities, municipal authorities, cooperatives and associations which provide public water service or wastewater collection, treatment or disposal service within each municipality, or a municipality directly adjacent to the municipality(ies), in which the applicant seeks to provide service that abuts or is situated within one mile of the applicant’s proposed facilities.	No	<input checked="" type="radio"/> Yes	Appendix A-21
22.	Other requirements. Demonstrate compliance with the following:			
	a. For wastewater system acquisitions, demonstrate compliance with the DEP-approved Act 537 Official Sewage Facilities Plans for the affected municipalities (including the extent of the requested service territory).	No	<input checked="" type="radio"/> Yes	Appendix A-22-a

⁴ Regarding Checklist Item 20(g), Class A public utilities need only submit compliance history for operations in the neighboring areas or a statement attesting to their compliance with this item.

SECOND AMENDED APPENDIX A

Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order				
	b. For wastewater system acquisitions, provide a copy of the DEP-approved Act 537 Official Sewage Facilities Plans for the affected municipalities.	No	<input checked="" type="radio"/> Yes	Appendix A-22-b
	c. For wastewater system acquisitions, state the method of water service being provided in the requested wastewater service territory (i.e., public water or private wells) and identify the name of water utility, if applicable.	No	<input checked="" type="radio"/> Yes	Appendix A-22-c
	d. For water system acquisitions, state the method of wastewater service being provided in the requested water service territory (i.e., public wastewater or private on-lot) and identify the name of wastewater utility, if applicable.	No	<input checked="" type="radio"/> Yes	Appendix A-22-d
	e. Provide evidence the filing is consistent with the affected municipality and county comprehensive plans if the filing proposes to expand service beyond the existing plant footprint.	No	<input checked="" type="radio"/> Yes	Amended Appendix A-22-e
23.	Additional Verification Statements:			
	a. For water system acquisitions, provide a verification that the water sources and customers are metered in accordance with 52 Pa. Code § 65.7 (relating to metered service). If unmetered water service is currently provided, the applicant shall provide a metering plan to the Commission.	No	<input checked="" type="radio"/> Yes	Appendix A-23-a
	b. Include a statement that there is no affiliation between the buyer and seller.	No	<input checked="" type="radio"/> Yes	Appendix A-23-b
	c. Include a statement that the agreement was conducted at arm’s length.	No	<input checked="" type="radio"/> Yes	Appendix A-23-c
	d. Include a statement explaining how the customers will benefit from the Buyer’s ownership.	No	<input checked="" type="radio"/> Yes	Appendix A-23-d
	e. Provide a verification statement that the Seller is aware of the potential rate impacts the transaction may have on the Seller’s customers, including the overall dollar and percentage rate impacts implicated from stand-alone rates that recover the Buyer’s estimated annual gross revenue requirement for the acquired system from the Seller’s customers.	No	<input checked="" type="radio"/> Yes	Appendix A-23-e
	f. Provide a verification statement that the Buyer and Seller understand the Commission may shift rate allocations in a manner different from any commitments made in the underlying application.	No	<input checked="" type="radio"/> Yes	Appendix A-23-f

SECOND AMENDED APPENDIX A

<p align="center">Pennsylvania Public Utility Commission 66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater 2024 Final Supplemental Implementation Order</p>				
24.	Asset Purchase Agreement (APA):			
	a. Provide a copy of the APA that is signed by all parties.	No	<input checked="" type="radio"/> Yes	Amended Appendix A-24-a
	b. APA clearly states the purchase price and terms.	No	<input checked="" type="radio"/> Yes	Appendix A-24-b
	c. APA clearly states whether all assets or only a portion of the assets are to be purchased (e.g., water treatment and distribution or wastewater collection and treatment).	No	<input checked="" type="radio"/> Yes	Appendix A-24-c
	d. APA adequately describes the assets to be acquired.	No	<input checked="" type="radio"/> Yes	Appendix A-24-d
	e. APA adequately describes the assets to be excluded.	No	<input checked="" type="radio"/> Yes	Appendix A-24-e
25.	Provide a copy of all municipal and affiliate contracts to be assumed by buyer as part of the acquisition and a list and annual dollar value of other contracts.	No	<input checked="" type="radio"/> Yes	Amended Appendix A-25
26.	Public Hearings. Provide a verification statement that at least two in-person public hearings were scheduled and conducted prior to executing the APA, and that:	No	<input checked="" type="radio"/> Yes	Appendix A-26
	a. The public hearings addressed the proposed acquisition, described the potential rate impacts, provided the opportunity for public comment, and were held at venues within the municipal boundaries of the Seller, or at the nearest reasonable venues, with Commission notification.	No	<input checked="" type="radio"/> Yes	Appendix A-26-a
	b. The Buyer or Seller notified the Seller’s customers of the public hearings.	No	<input checked="" type="radio"/> Yes	Appendix A-26-b
27.	If seeking a deviation from the default weights established in the <i>2024 Final Supplemental Implementation Order</i> , provide the request supported by good cause.	No	<input checked="" type="radio"/> Yes	Appendix A-27

**Pennsylvania Public Utility Commission
66 Pa.C.S. § 1329 Application Filing Checklist – Water/Wastewater
2024 Final Supplemental Implementation Order**

Notes:

*All information disclosed within this application is considered public information unless specifically labeled confidential. Applicants are responsible for disclosing to the Secretary's Bureau that which is privileged or confidential information and not otherwise available to the public. **Submit one copy of all confidential information, on documents stamped CONFIDENTIAL at the top in clear and conspicuous letters, in a separate envelope (but still attached to the application) to the Secretary's Office along with the Application.***

If you e-file your application, separately mail any confidential information specifically identifying that you have e-filed the application. Be sure to specify the Applicant's name, and provide the e-filing confirmation page.

Once the application is reviewed and accepted as filed, the Commission will issue a secretarial letter instructing the Applicant to publish notice in local newspapers of general circulation and to serve (electronically if acceptable to recipient) the following:

- *each city, borough, town, township, county and related planning office which is included whole or in part in the proposed service area;*
- *a water or wastewater utility, municipal corporation or authority which provides water or wastewater collection, treatment or disposal service to the public and whose service area abuts or is within 1 mile of the service area proposed in the application; and*
- *the Department of Environmental Protection's central and regional offices.*

**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

In re: Application of Pennsylvania-American Water :
Company under Sections 1102(a) and 1329 of the :
Pennsylvania Public Utility Code, 66 Pa C.S. §§ 1102(a) :
and 1329, for approval of (1) the transfer, by :
sale, to Pennsylvania-American Water Company, of :
substantially all of the assets, properties and rights :
related to the water treatment and distribution system :
owned and operated by the Indian Creek Valley Water : Docket No. A-2025-3055741
Authority, and (2) the rights of Pennsylvania-American :
Water Company to begin to offer or furnish water service :
to the public in all of the Borough of Ohiopyle and :
portions of the Townships of Saltlick, Springfield, :
Bullskin, Connellsville and Stewart, Fayette County and :
all of the Borough of Donegal and portions of the :
Townships of Donegal and Mount Pleasant, :
Westmoreland County :

CERTIFICATE OF SERVICE

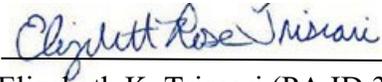
I hereby certify that I have served a true copy of Pennsylvania-American Water Company's
Second Amended Checklist and Supplement to Appendix A-4, Standard Data Request 1, regarding
the acquisition of the Indian Creek Valley Water Authority's water system upon the parties, listed
below in accordance with the requirements of 52 Pa. Code §1.54 (relating to service by a party).

PARTIES SERVED ON JANUARY 21, 2026, VIA ELECTRONIC MAIL

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