

**CONSERVATION SERVICE PROVIDER (“CSP”) APPLICATION
FOR REGISTRATION IN THE COMMONWEALTH OF PENNSYLVANIA**

Conservation Service Providers (“CSPs”) have a specific role under Act 129, which requires a covered Electric Distribution Company’s Energy Efficiency and Conservation (EE&C) plan to include one or more CSPs to implement at least a portion of the EE&C Plan. 66 Pa.C.S. § 2806.1(b)(1)(i)(E). CSPs provide information and technical assistance to EDCs on *measures* that enable a person to increase energy efficiency or reduce energy consumption. CSPs must have no direct or indirect ownership, partnership or other affiliated interest with an EDC. 66 Pa.C.S. § 2806.1 (m). Only registered CSPs may advise an EDC and/or provide consultation, design, or administration or management services to an EDC related to the implementation of the EDC’s EE&C Plan. As such, registration of business entities as approved CSPs is required before entering into a contractual agreement with an EDC exclusively for the provision of consultation, design, administration, management or advisory services regarding that EDC’s EE&C plan. This registry is not intended as a resource for business, whose sole purpose is the installation of measures, supplying of equipment or other contracting work for use by the general public and EDC customers. If providing such services, registration as a CSP is not required for entering into an agreement with an EDC.

Any CSP subcontractor with an annual contract cost that equals or exceeds 10% of the CSP annual contract cost and is directly performing services pursuant to a contract with a CSP which has contracted with an EDC after Commission approval should also register as a CSP. This does not include third party contractors which participate in or support an EE&C Plan but are not directly contracted with a CSP which has a direct contractual relationship with the EDC subject to Act 129.

To qualify an applicant must have at least two years of experience in providing program consultation, design, administration, management or advisory services related to energy efficiency and conservation services. CSP registration is not required of entities that limit their services to the installation of energy efficiency measures or the provision of equipment or materials to EDC customers or the public in general.

1. IDENTITY OF THE APPLICANT

a. Legal Name of Applicant:

Resource Innovations, Inc.

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.¹

See Attachment A – Proof of Compliance.

¹ A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant’s Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant’s Pa. Dept. of State registration is “active.” Certified copies of Pa. Dept. of State documents are not required.

b. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a)—List all that apply.

- The Applicant will be using a fictitious name or doing business as (“d/b/a”). Identify names below. If more space is needed, list names on the back of this page or append list to completed application.

The Applicant will not be using a fictitious name.

c. Applicant Address:

Corporate Office/Headquarters: 400 N Michigan Ave., Suite S600, Chicago, IL 60611

d. Applicant Telephone No:

(312) 801-6002

e. Applicant Email Address:

RI.BD@resource-innovations.com

f. Contact Information for Applicant. PLEASE NOTE: Upon approval of this application, this Contact Information will be listed on the Commission’s CSP Registry.

- Name

Will Walker, Sales Principal

- Mailing Address

**400 N Michigan Ave., Suite S600
Chicago, IL 60611**

- Telephone

415.369.1000

- Email Address

RI.BD@resource-innovations.com

g. Predecessor(s) & Other Names used by Applicant for past five (5) years of date of this application. Provide Name(s), Address(es) and Telephone No.(s).

- Check Box if any Predecessor(s) is currently or was previously registered in Pennsylvania as a CSP. If affirmative, please provide Docket No(s). (A-[Year]-[Seven (7) Digits]) and names for all registered CSPs.

Docket No. A-2009-2103252

Applicant Name: Nexant, Inc.

(Note: Resource Innovations acquired Nexant, Inc. in May 2021.)

h. Parent & Subsidiary Companies & Affiliates:

- Parent Name and Contact Information. Provide name and contact information for parent company. Check Box if any parent company is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

Parent Company:

North Haven RI Topco, LP

1535 Broadway

New York, NY 10036

None

- Subsidiaries and Contact Information. Provide name and contact information for all subsidiary companies. Check Box if any subsidiary is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If "None," do not check the box and answer "None" below.

Subsidiaries:

1) Resource Innovations Canada, Inc.

Address: TD Canada Trust Tower

161 Bay Street, Suite 2765

Toronto, ON M5L 1B9

Phone: (416) 572-2764

2) Resource Innovations Puerto Rico, LLC

604 Hoare Street

San Juan, Puerto Rico 00907

- Affiliate(s) and Contact Information. Provide name and contact information for all affiliate companies. Check Box if any affiliate is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC). If “None,” do not check the box and answer “None” below.

None

i. Contracts & Business Partnerships:

- Check Box if Applicant intends to or has operated under contract with or has partnered with an EDC within the past five (5) years. If “None,” do not check the box and answer “None” below.
- Check Box if Applicant intends to or has operated under contract (subcontractor) with or has partnered with a CSP within the past five (5) years. If “None,” do not check the box and answer “None” below.

If any box above is checked, please provide name(s) of EDC(s) and CSP(s) and contact information for each and briefly describe the nature of business services associated with each contract and/or partnership.

Duquesne Light Company
CSP for Phase III DLC Industrial Efficiency Program
Contact: Dave Defide, ddefide@duqlight.com, 412-335-1199

1. Resource Innovations’ acquired company, Nexant, partnered with Duquesne Light Company as a CSP providing Industrial Program delivery services to align with their energy efficiency / conservation plan.

2. Nexant partnered with PECO Energy Co. to provide customer service, call center, and energy advisor support for the Smart Ideas Programs. Nexant also provided PECO and Exelon with grid software / support (to analyze power optimization, fault, general state, etc.).

3. Until withdrawing from the partnership in 2015, Nexant was a subcontractor to GDS on the Pennsylvania Statewide Evaluator (SWE) contract administered by the PA PUC.

j. Identify principal officers (owners, executives, partners and/or directors), as

appropriate for Applicant's organizational structure. Provide an organizational chart and the names, titles, business addresses and telephone numbers for each office.

Resource Innovations Leadership Team

- **Lauren Casentini, Chief Executive Officer**
- **Shaun Dentice, Chief Revenue Officer**
- **Kevin Hadlock, Chief Financial Officer**
- **Jennifer Ballard Croft, General Counsel**
- **Steve McGrory, Chief Human Resources Officer**
- **Alex Black, Chief of Staff**

See Attachment B – Leadership Team Org Chart.

See Attachment C – Office Locations.

k. Attach to this Application a brief biography or single page professional resume for all principal officers and management directly responsible for Applicant's operations.

See Attachment D - Leadership Team Bios.

l. Provide Applicant's Employer Identification No. (EIN): **94-3342927**

2. ***REGISTERED AGENT***

a. If the Applicant does not maintain a principal office in the Commonwealth, the Applicant is required by the Pennsylvania Department of State to designate an approved Registered Agent as its representative in the Commonwealth. Check one of the Boxes below, as applicable:

YES, the Applicant has registered its business with the Pennsylvania Department of State. Following is the Name and Contact information for the Applicant's Department-Approved Registered Agent.

▪ Registered Agent's Name

CT Corporation

▪ Registered Agent's Mailing Address

**600 N. 2nd Street, Suite 401
Harrisburg, PA 17101-1071**

▪ Registered Agent's Telephone

717-234-2300

- Registered Agent’s Email Address

None

NO, the Applicant has not registered its business with the Pennsylvania Department of State. STOP—To avoid denial of your application and forfeiture of your application fee, you should contact the Pennsylvania Department of State Bureau of Corporations to register as a business entity within the Commonwealth PRIOR TO completion and filing of this application with the Pennsylvania Public Utility Commission.

b. Applicant has registered its business with the Pennsylvania Department of State. Please check appropriate registration type for Applicant as designated with the Department.

- Sole proprietor (15 Pa. C.S. §4124)
- Domestic corporation (none)
- Domestic general partnership (15 Pa. C.S. §4124)
- Domestic limited liability company (15 Pa. C.S. §8913)
- Domestic limited liability partnership (15 Pa. C.S. §8201)
- Foreign corporation (15 Pa. C.S. §4124)**
- Foreign general or limited partnership (15 Pa. C.S. §4124)
- Foreign limited liability company (15 Pa. C.S. §8981)
- Foreign limited liability general partnership (15 Pa. C.S. §8211)
- Foreign limited liability limited partnership (15 Pa. C.S. §8211)

c. If Applicant is not domiciled in the Commonwealth of Pennsylvania and is registered as a “foreign” entity as identified in Question 2.b., please identify all other states where applicant is registered and name the appropriate state department(s):

Other states where Resource Innovations is registered to do business and the state departments are as follows:

Alabama	Secretary of State
Arizona	Corporation Commission, Corporations Division
Arkansas	Secretary of State
California	Secretary of State
Colorado	Secretary of State
Connecticut	Secretary of State

Delaware	Secretary of State
District Of Columbia	Dept. of Consumer and Regulatory Affairs, Bus. and Prof. Lic. Admin., Corporations Division
Florida	Department of State
Georgia	Secretary of State
Hawaii	Dept. of Commerce and Consumer Affairs
Idaho	Secretary of State
Illinois	Secretary of State
Indiana	Secretary of State
Iowa	Secretary of State
Kansas	Secretary of State
Kentucky	Secretary of State
Louisiana	Secretary of State
Maryland	State Dept. of Assess. and Taxation
Massachusetts	Secretary of the Comm.
Michigan	Securities & Licensing Division
Minnesota	Secretary of State
Missouri	Secretary of State
Nevada	Secretary of State
New Jersey	Dept. of Treasury
New York	Dept. of State, Division of Corp.
North Carolina	Secretary of State
North Dakota	Secretary of State
Ohio	Secretary of State
Oklahoma	Secretary of State
Oregon	Secretary of State
South Carolina	Secretary of State
Tennessee	Dept. of State

Texas	Secretary of State
Utah	Dept. of Commerce
Virginia	State Corp. Comm'n
Washington	Secretary of State
Wisconsin	Dept. of Financial Institutions
Wyoming	Secretary of State

3. **APPLICANT'S OPERATIONS**

Respond to each of the following four questions relating to Applicant's operations and its technical and financial fitness. Respond to each item and attach any additional information and/or statements to this Application as appropriate.

a. Describe nature of business:

Resource Innovations is an energy transformation firm. Women-led, purpose built, and driven by diversity, we're expanding on our expertise in demand-side management and energy efficiency with new capabilities to deliver a more sustainable and equitable future. For customers and communities across North America, we bring a fundamentally different approach to the challenge.

We offer a range of services designed to help our clients achieve their sustainability goals. Our advisory services, solutions delivery, decarbonization, and software and analytics offerings are built around our deep expertise in energy efficiency, delivering results that mark real progress in the clean energy transition.

b. Current status of business (check one):

- Applicant is presently doing business in Pennsylvania**
- Applicant is presently not doing business in Pennsylvania

c. The Applicant proposes to provide the following information and technical services to an EDC. Check all services that apply and identify all EDCs with whom Applicant intends to conduct business.

- Consultation** EDCs:
- Design** All Eleven (11) EDCs identified below
- Administration** All Eleven (11) EDCs identified below
- Management** All Eleven (11) EDCs identified below
- Advisory** All Eleven (11) EDCs identified below

1. **Citizens' Electric Company**
2. **Duquesne Light Company (DQE)**
3. **PPL Electric Utilities**
4. **PECO Energy Company – An Exelon Company**
5. **Pike County Light & Power Company – A subsidiary of Corning Natural Gas Holding Corp.**
6. **UGI Utilities, Inc.**
7. **Wellsboro Electric Company**
8. **Penn Power – A FirstEnergy Company**
9. **Met-Ed – A FirstEnergy Company**
10. **Penelec – A FirstEnergy Company**
11. **West Penn Power – A FirstEnergy Company**

12. Columbia Gas of Pennsylvania

- d. Attach to this Application a copy of any certification(s) or similar documentation that would demonstrate the technical fitness of Applicant, such as membership in trade associations, professional licenses, technical certifications, and/or names of current or past clients with a description of dates and types of services provided by Applicant.

See Attachment E – Technical Fitness.

- e. Attach to this Application proof of current liability insurance coverage.

See Attachment F – Current Liability Insurance Coverage.

4. **COMPLIANCE**

Respond to each of the following Questions. Provide a statement as to the resolution or present status of any such proceedings, if applicable.

- a. In the past three years has the Applicant, the parent company, an affiliate or predecessor or partner (contractor/subcontractor business relationship) of the Applicant, or any person identified by the Applicant in this Application, been investigated or convicted of a crime involving fraud, theft, larceny, deceit, violation of consumer protection law, violation of deceptive trade law or similar activity, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent?

- No**
 Yes. Provide explanation:

- b. Is the Applicant, the parent company, an affiliate, or a predecessor of either, currently delinquent with any state or federal taxing authority?

- No**
 Yes. Provide explanation:

- c. Identify all bankruptcy or liquidation proceedings for the prior three years. If none, state "None" below.

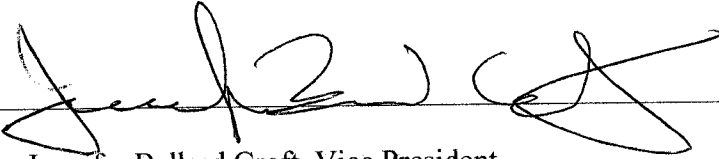
None

- d. Identify all customer complaints filed with a regulatory or prosecutor agency for the prior three years of the date of this application. In none, state "None" below.

Customer complaints for a program offered in the tri-state area has been filed with the Attorney General's office which have all been resolved without further inquiry.

5. **FALSIFICATION**

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

Signature of Principal Official: 

Official's Name & Title : Jennifer Ballard Croft, Vice President

(Please Print)

Date : April 14, 2026

APPENDIX A - AFFIDAVIT

State of Illinois :
:
County of Cook :

Jennifer Ballard Croft, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the Vice President (Office of Affiant) of Resource Innovations, Inc. (Name of Applicant);

That she is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Resource Innovations, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be registered as a conservation service provider pursuant to Act 129 of 2008.

That the Applicant herein Resource Innovations, Inc. acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That the Applicant herein Resource Innovations, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Resource Innovations, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Handwritten Signature]
Signature of Affiant

Sworn and subscribed before me this 14th day of April, 20 20

[Handwritten Signature]
Signature of official administering oath

My commission expires: 9/13/27



As proof of compliance with Pennsylvania Department of State filing requirements, Resource Innovations is registered and listed on the Pennsylvania Department of State website. The Resource Innovations company listing is available through business entity search at <https://file.dos.pa.gov/search/business> to view the following information. If further information is desired, please contact us and additional details can be provided.

Business Search

As of 04/09/2026 we have processed all business filings received in our office through 04/07/2026.

Business Search Info: ▼

Resource Innovations 🔍 *

Advanced ▼

Results: 1

Filing Information ⬇	Initial Filing Date ⬇	Status ⬇	Entity Type ⬇	Formed In ⬇	Address ⬇
RESOURCE INNOVATIONS, INC. (3286135) ➤	02/24/2005	Active	Foreign Business Trust	DELAWARE	CT Corporation System

History



Expand All

Annual Report - 4/3/2026

Amendment Type	Field Name	Changed From	Changed To
Annual Report	Principal Address 1	400 N. MICHIGAN AVENUE	400 N. Michigan Avenue
Control ID 0015323367	Principal City	CHICAGO	Chicago
Date 4/3/2026	Principal Country	United States	USA
	Annual Report Due Date	12/31/2026 12:00:00 AM	12/31/2027 12:00:00 AM

Annual Report - 4/4/2025

Amendment Type	Field Name	Changed From	Changed To
Annual Report	Principal Address 1		400 N. MICHIGAN AVENUE
Control ID 0014294362	Principal Address 2		S600
Date 4/4/2025	Principal City		CHICAGO
	Principal State		IL
	Principal Postal Code		60611
	Principal Country		United States
	Annual Report Due Date	12/31/2025 12:00:00 AM	12/31/2026 12:00:00 AM

Amendment of Foreign Registration Statement - 6/5/2024

Amendment Type	Field Name	Changed From	Changed To
Amendment of Foreign Registration Statement	Legal Address Country		United States
Control ID 0013871861	CROP Changed	Corporation Service Company 2595 Interstate Drive, Suite 103 Harrisburg, PA 17110	CT Corporation System 600 North Second Street, Suite 401 Harrisburg, PA 17101
Date 6/5/2024			

Amendment of Foreign Registration Statement - 8/13/2021

Amendment Type	Field Name	Changed From	Changed To
Amendment of Foreign Registration Statement	Filing Name		RESOURCE INNOVATIONS, INC.
Control ID 0010943243			

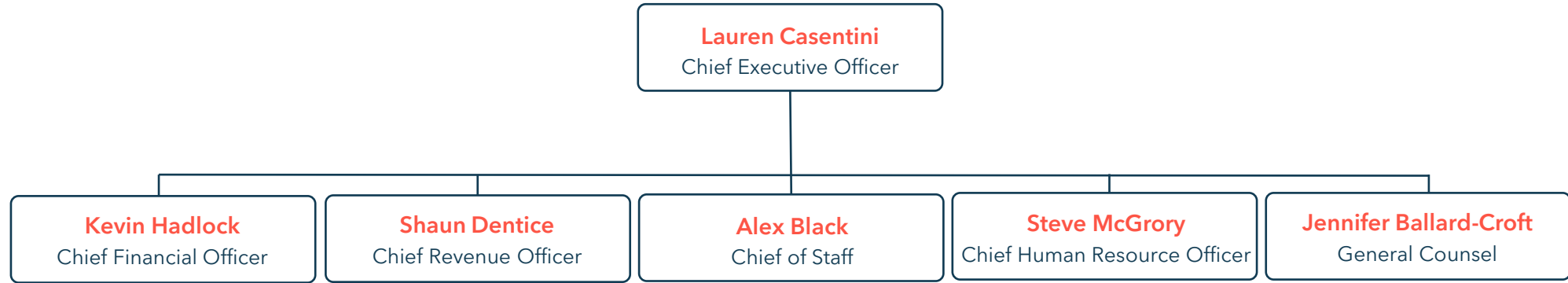
History

<i>Amendment Type</i>	Field Name	Changed From	Changed To
Annual Report	Principal Address 1	400 N. MICHIGAN AVENUE	400 N. Michigan Avenue
<i>Control ID</i> 0015323367	Principal City	CHICAGO	Chicago
<i>Date</i> 4/3/2026	Principal Country	United States	USA
	Annual Report Due Date	12/31/2026 12:00:00 AM	12/31/2027 12:00:00 AM

<i>Amendment Type</i>	Field Name	Changed From	Changed To
Annual Report	Principal Address 1		400 N. MICHIGAN AVENUE
<i>Control ID</i> 0014294362	Principal Address 2		S600
<i>Date</i> 4/4/2025	Principal City		CHICAGO
	Principal State		IL
	Principal Postal Code		60611
	Principal Country		United States
	Annual Report Due Date	12/31/2025 12:00:00 AM	12/31/2026 12:00:00 AM

<i>Amendment Type</i>	Field Name	Changed From	Changed To
Amendment of Foreign Registration Statement	Legal Address Country		United States
<i>Control ID</i> 0013871861	CROP Changed	Corporation Service Company 2595 Interstate Drive, Suite 103 Harrisburg, PA 17110	CT Corporation System 600 North Second Street, Suite 401 Harrisburg, PA 17101
<i>Date</i> 6/5/2024			

<i>Amendment Type</i>	Field Name	Changed From	Changed To
Amendment of Foreign Registration Statement	Filing Name		RESOURCE INNOVATIONS, INC.
<i>Control ID</i> 0010943243			



Resource Innovations Office Locations

Resource Innovations has 18 offices and three distribution centers throughout North America, with company headquarters in Chicago, Illinois.

Offices	State	Address	Admin Phone
Boulder (Louisville)	CO	1025 Cannon Street, Suite 200 Louisville, CO 80027	303-402-2480
Chandler	AZ	3100 West Ray Rd, Suite 230 Chandler, AZ 85226	480-768-6984
Chicago	IL	400 North Michigan Avenue, Suite 600 Chicago, IL 60611	872-272-6614
Des Moines	IA	1312 Locust Ave, Suite 200 Des Moines, IA 50309	515-280-0719
Fresno	CA	590 W. Locust Ave., Suite 103 Fresno, CA 93650	559-447-7000
Half Moon Bay	CA	719 Main Street, Suite A Half Moon Bay, CA 94019	408-813-7633
Honolulu	HI	643 Ilalo St #203 Honolulu HI, 96813	
Madison	WI	1232 Fourier Drive, Suite 125 Madison, WI 53717	608-824-1257
Salt Lake City	UT	5101 S. Commerce Dr. Suite 200 Salt Lake City, UT 84107	801-290-4263
Dunellen	NJ	205 US Highway 22 Dunellen, NJ 08812	
San Diego	CA	4235 Ponderosa Ave San Diego, CA 92123	858-514-4030
Seattle	WA	2200 6th Ave, #513 Seattle, Washington 98121)	
Co-Work / Shared Space			
St. Louis	MO	401 Pine St., St. Louis, MO 63102	618-225-1049
Oak Brook	IL	Oak Brook Pointe, 700 Commerce Drive, Suite 500 Oak Brook, Illinois 60523	630-480-8148, 630-489-6212
Peoria (client office)	IL	300 Liberty St., 4th Fl. Peoria, IL 61602	309-253-7100

Offices	State	Address	Admin Phone
Toronto	ON	TD Canada Trust Tower, 161 Bay St. Suite 2765 Toronto, Ontario, M5J 2 S1 Canada	416-572-2327
Cary	NC	2500 Regency Parkway Cary, NC 27518	404-803-0553
Needham	MA	117 Kendrick St., Suite 300 02494	

Warehouses (TechniArt distribution centers)

Offices	State	Address	Admin Phone
Farmington	CT	1451 New Britain Ave Farmington, CT 06032	860-919-7069
Green Brook	NJ	205 US Hwy 22 Green Brook, NJ 08812	732-208-0025
Kent	WA	18866 72nd Ave S Kent, WA 98032	206-579-6928



Lauren Casentini, Chief Executive Officer

Lauren Casentini has been at the forefront of transforming the energy landscape for over three decades, leading some of the first and most successful clean energy programs in the nation. As Founder and CEO of Resource Innovations, and former executive and board member for the largest energy efficiency firm in the U.S., she's changing how utilities navigate the clean energy transition. With a proven track record of innovation, scale, and impact, Lauren has been recognized four times on the Inc. 5000 list of fastest-growing private companies, a recognition awarded to less than 6% of companies.



Shaun Dentice, Chief Revenue Officer

Shaun Dentice spearheads growth and strategy at Resource Innovations, which includes corporate development, product development, market strategy, and marketing. With 20+ years of energy industry experience in operations, leadership, and business development, he brings a passion for sustainability and innovation to every client relationship. A former board member of the Midwest Energy Efficiency Alliance, he has demonstrated his success in leading operational excellence while growing effective teams that drive impact.



Jennifer Ballard Croft, General Counsel

Jennifer Ballard Croft is General Counsel at Resource Innovations, overseeing legal, compliance, and risk management functions. She leads contract negotiation, insurance programs, regulatory compliance, corporate governance, litigation, intellectual property, real estate, cybersecurity, and ESG initiatives. Jennifer's strategic guidance helps drive value and protect the company's interests in a dynamic industry. A Leadership Greater Chicago Fellow since 2019, Jennifer is proud to combine her business experience and legal expertise to deliver a measurable impact on the future of energy.



Alex Black, Chief of Staff

Alex Black leads the delivery of strategic organizational management planning and initiatives. A licensed attorney and certified project management professional with over 15 years of experience, she is committed to driving efficiency and effectiveness across all levels of the organization. Alex was a 2023 Impact Fellow with the prestigious University of Chicago Booth School of Business and Chicago Urban League Impact Leadership Development Program.



Kevin Hadlock, Chief Financial Officer

Kevin Hadlock is a member of Resource Innovations' executive leadership team and serves as Chief Financial Officer. He brings more than 25 years of experience spanning public and private equity-backed companies in the energy, utility, and technology sectors. Kevin oversees accounting, financial planning and analysis, treasury, tax, project management, corporate development, enterprise IT, and client services. He has been nationally recognized as a two-time 40 Under 40 honoree by Treasury & Risk and named among the Top CFOs in Software by Finance & Investing.



Steve McGrory, Chief Human Resources Officer

Steve McGrory is an accomplished human resources executive, leading our people strategy and initiatives to enhance employee engagement at Resource Innovations. With more than 30 years of experience across global organizations, he has a proven track record of driving strategic change and improving business performance. Steve's experience also includes senior leadership roles at PepsiCo, AlliedSignal/Honeywell, Lucent Technologies, Barclays PLC, and ERM, as well as a decade of consulting at Ernst & Young and KPMG.

Staff Certifications

Resource Innovations has highly trained and experienced staff. Our staff hold several professional certifications, including:

- Air Conditioning Contractors of America (ACCA)
- Building Operator Certification (BOC)
- Building Performance Institute (BPI) certified professionals
- Certified Demand Side Manager (CDSM)
- Certified Energy Manager (CEM)
- Certified Measurement & Verification Professional (CMVP)
- Certified Practitioner in Energy Management Systems (CPEnMS)
- Certified Scrum Master (CSM)
- Energy Manager in Training (EMIT)
- Engineer in Training (EIT)
- LEED Green Associate (LEED GA)
- LEED Accredited Professional (LEED AP)
- Lean Six Sigma Black Belt (CSSBB)
- Professional Engineer (PE)
- Project Management Professional (PMP)
- Qualified Commissioning Process Provider (QCxP)
- Residential Energy Services Network (RESNET)

Professional and Business Association Memberships

Resource Innovations is an active participant in the energy industry both through extensive project work and participation in relevant energy and engineering associations. Resource Innovations and our staff hold memberships in a variety of national and regional industry organizations, including:

- Advanced Energy United
- American Council for an Energy-Efficient Economy (ACEEE)
- American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE)
- American Society of Mechanical Engineers
- Association of Energy Engineers (AEE)
- Association of Energy Service Professionals (AESP)
- Building Performance Institute
- California Efficiency + Demand Management Council (CEDMC)
- Illinois Green Alliance (IGA)

- Keystone Energy Efficiency Alliance (KEEA)
- Forth Mobility
- Midwest Energy Efficiency Alliance (MEEA)
- National Association of State Energy Offices (NASEO)
- Northwest Energy Efficiency Council (NEEC)
- Peak Load Management Alliance (PLMA)
- Project Management Institute (PMI)
- Residential Energy Services Network (RESNET)
- Southeast Energy Efficiency Alliance (SEEA)
- Southwest Energy Efficiency Project (SWEEP)
- U.S. Green Building Council (GBCI)
- Southwest Energy Efficiency Project (SWEEP)
- Southeast Energy Efficiency Alliance (SEEA)
- Keystone Energy Efficiency Alliance
- Energy Efficiency Association of New Jersey
- South-central Partnership for Energy Efficiency as a Resource
- Alliance for Climate Transition
- Advanced Energy United



CERTIFICATE OF LIABILITY INSURANCE

5/11/2026

DATE (MM/DD/YYYY)
5/12/2025

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Lockton Companies, LLC 444 W. 47th St., Ste. 900 Kansas City MO 64112-1908 (816) 960-9000 kcasu@lockton.com	CONTACT NAME:		FAX (A/C No.):	
	PHONE (A/C No. Ext):		E-MAIL ADDRESS:	
INSURER(S) AFFORDING COVERAGE			NAIC #	
INSURER A: Hartford Fire Insurance Company			19682	
INSURER B: Trumbull Insurance Company			27120	
INSURER C: Hartford Casualty Insurance Company			29424	
INSURER D: Twin City Fire Insurance Company			29459	
INSURER E: Allied World National Assurance Company			10690	
INSURER F: Arch Specialty Insurance Company			21199	

INSURED
1541044 RESOURCE INNOVATIONS, INC
RI INTERMEDIATE SUBSIDIARY, LLC
400 N MICHIGAN AVE, SUITE 600
CHICAGO IL 60611

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER: XXXXXXXX

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> GL DEDUCTIBLE <input type="checkbox"/> NO DEDUCTIBLE GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			350UNBL7K9T	05/11/2025	05/11/2026	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COM/PROP AGG \$ 2,000,000 \$
B	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY			35UENAE1848	05/11/2025	05/11/2026	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ XXXXXXXX BODILY INJURY (Per accident) \$ XXXXXXXX PROPERTY DAMAGE (Per accident) \$ XXXXXXXX Comp/Coil Deductible \$ 1,000
C	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000			35XHUBN3UP3	05/11/2025	05/11/2026	EACH OCCURRENCE \$ 15,000,000 AGGREGATE \$ 15,000,000 \$ XXXXXXXX
D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A	35WBBJ4APG	05/11/2025	05/11/2026	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
E	CYBER LIABILITY / PROF LIABILITY			0313-3616	05/11/2025	05/11/2026	\$10M AGG / \$200K DED
F	POLL LIAB			PDCPP0000610	05/11/2025	05/11/2026	\$2M AGG / \$10K RETENTION

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 "NAMED INSURED SCHEDULE" NORTH HAVEN RI INTERMEDIATE, LLC, NORTH HAVEN RI TOPCO, LP, RESOURCE INNOVATIONS, INC., RESOURCE INNOVATIONS, LLC, TECHNIART, INC., RESOURCE INNOVATIONS PUERTO RICO, LLC, CADEO GROUP, LLC, RICHARD HEATH & ASSOCIATES, INC.

CERTIFICATE HOLDER**CANCELLATION**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE