

Docket No. A-2026-3061395

ABOVE & BEYOND TRANSPORTATION SERVICES (ABT) LLC

Request for Information

- 1.) Regarding question #10 from the application, specifically regarding territory, will all of your trips originate in Westmoreland County? PUC Authority is typically granted based on the counties from which trips originate, and the territory must have clearly defined borders. Please explain in detail. If additional counties need to be named, now is the time to add them.

Regarding Territory, all trips will originate in Westmoreland County. At the moment, we do not plan to serve any other counties, if this should change in the future, as we scale, we will move accordingly and get necessary compliance from the PUC.

- 2.) Who are your intended clients? Where will they come from? What is your business plan for acquiring a clientele? Do you intend to work with brokers? If so, which ones? None of these questions have been addressed in your answers to the questions on the application.

The business will serve both human service organizations and private-pay individuals who require transportation to employment, training programs, medical appointments, and other essential services.

The primary strategy for acquiring clients is relationship-based. The business is actively working toward a Memorandum of Understanding (MOU) with Laurel Highlands

Workforce & Opportunity Center to provide transportation for program participants. The focus will be on contractual partnerships that ensure consistent and reliable service.

At this time, the business does not intend to work with transportation brokers but plans to scale through direct contracts, fleet expansion, and hiring additional drivers.

3.) The responses to questions 5(a), 5(b), 5(c), 5(d) and 5(e) of the verified statements are insufficient. Title 52 Pa. Code § 29 provides detailed requirements. Please provide a system that incorporates code-specified compliance requirements for frequency and retention of driver records. Each of your answers to sections of this question were insufficient:

- § 29.503. Age restrictions.
- § 29.504. Driver history
- § 29.505. Criminal history.

Even if the owner is the sole driver, a plan must be in place for adding drivers in the future. This question must be answered in a regulation-compliant manner.

5(a) § 29.503. Age Restrictions

Applicant will not permit any person to operate a vehicle in its authorized paratransit service unless that person satisfies the age requirements of 52 Pa. Code § 29.503. Applicants may permit a person who is at least 21 years of age to operate a vehicle in authorized service. If Applicant ever permits a person who is at least 18 years of age but under 21 years of age to operate a paratransit vehicle, Applicant will do so only in full compliance with § 29.503(b), including verifying that the person is registered as a certified emergency medical services vehicle operator (EMSVO) with the Department of Health, requiring the driver to carry the Department-issued EMSVO registration while operating the vehicle, verifying that the driver is in good standing with the Department, maintaining records for 4 years to prove the person's

EMSVO certificate registration, making those records available for inspection by Commission staff upon request, and providing any required notice to the Commission's Bureau of Technical Utility Services within 3 calendar days of a reportable event involving a paratransit driver under 21 years of age. At present, the owner is the sole driver and meets the applicable age requirement. These same procedures will apply to every future driver before that person is permitted to operate under the Applicant's authority.

5(b) § 29.504. Driver History — Initial Qualification System

Because Applicant will provide paratransit service, Applicant will follow 52 Pa. Code § 29.504(a), which applies to common or contract carriers except for call or demand and limousine drivers. Before permitting the owner or any future driver to operate a vehicle in authorized service, Applicant will obtain and review a driver history from the appropriate agency of every state in which that person held a motor vehicle operator's license or permit during the preceding 3 years. Applicant will also verify that each driver holds a current, valid driver's license before permitting that person to drive. No person will be scheduled, dispatched, or otherwise permitted to operate until this review is completed and documented in the driver qualification file.

5(c) § 29.504. Driver History — Frequency and Record Retention

Following receipt of the initial driver history report, Applicant will obtain and review a new driver history for each driver operating under its authority at least once every 12 months from the date of the last report. Applicants will maintain a compliance calendar or tickler system to track due dates for each annual driver-history review. A copy of each driver's history report will be maintained by the Applicant for at least 2 years in the applicable driver file. This system applies now to the owner as the sole driver and will apply identically to every driver added in the future.

5(d) § 29.505. Criminal History — Initial Qualification System

Because Applicant will provide paratransit service, Applicant will follow 52 Pa. Code § 29.505(a), which applies to common or contract carriers except for call or demand and limousine drivers. Before

permitting the owner or any future driver to operate a vehicle in authorized service, Applicant will obtain and review a criminal history record from the Pennsylvania State Police and from every other state in which the person resided during the last 12 months. No person will be permitted to operate until the required criminal history review is completed and documented in the driver qualification file.

Applicant will not permit a person to operate a vehicle in authorized service when that person has been convicted of a felony or misdemeanor under Pennsylvania law or the law of another jurisdiction to the extent that conviction relates adversely to that person's suitability to provide service safely and legally.

5(e) § 29.505. Criminal History — Frequency, Disqualification, and Record Retention

Following receipt of the initial criminal history record, Applicant will obtain and review a criminal history record from the Pennsylvania State Police for each driver operating under its authority every 2 years from the date of the last criminal history check. Applicants will maintain a compliance calendar or tickler system to track each biennial criminal-history review. A copy of each criminal history record will be maintained by Applicant for at least 3 years in the applicable driver file. This monitoring and retention system applies immediately to the owner as the current sole driver and will apply to every future driver before and during service under Applicant's authority.

***Applicants maintain and will continue to maintain a written driver qualification and monitoring system for the owner and all future drivers used in paratransit service, including age verification, current license verification, initial and recurring driver-history checks, initial and recurring criminal-history checks, disqualification review, and record retention tracking in accordance with**

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Pa. Code §§ 29.502, 29.503, 29.504(a), and 29.505(a).

4.) Note that a vehicle must be acquired, owned by the applicant, and insured BEFORE certification can take place, so that must be a part of your plan to move forward.

As stated in a phone conversation with PUC representative Jerome, we have already agreed on a vehicle, A 2020 or newer Toyota Sienna. Upon confidence of application approval by the PUC,

the applicant will acquire this vehicle and required insurance. The applicant has already received a quote for insurance and will present it to the PUC upon request.

5.) You must fund your LLC to be able to be certificated by the PUC. There must be operating funds, and funds available for operating expenses to include possible vehicle repairs, liability insurance, etc. Without proof of financial fitness, the application will be denied. Please provide documentation of available funds. Note that your submission, with this application printed over the top of other text, was entirely illegible. Please double check before you submit further documents, that they can be read.

6.)

Furthermore, please be aware that all PUC-certified revenue is subject to annual reporting and billing.

The purpose of the verified statement questions is to determine your ability to provide safe, efficient, and reasonable transportation. It is in your best interest to provide accurate, complete, and timely responses. Failure to do so is sufficient grounds to justify the denial of your application because you have failed to provide sufficient evidence of your fitness to operate. Be advised that additional corrections may not always be requested; therefore, prior to submitting your responses, your consultation with an attorney or financial expert familiar with Commission-regulated Motor Carrier-related proceedings is highly encouraged.

***I have attached a screenshot of the organization's business bank account containing funding, in addition to a small business loan**

OPERATING *0010



Number *0010 

Routing number 243083237 

ACH number 854613207 

MICR 854613207 

Available balance \$5,200.01

Current balance \$5,200.01

Account nickname OPERATING

Type Checking

YTD dividend \$0.00

Prior year dividend \$0.00

Hi, how can I help you today?




We're glad you're here!


Messages for you


New: Certificate Maintenance


[Learn more](#)



Make a transfer



Pay my loan or credit card


 My Pre Approved Offers

 Bill Pay

 Purchase Rewards

 Skip A Payment

 Money Management - Budgets

 Money Management - Spending

Internal Accounts

Total Deposit Accounts

\$5,206.01

OPERATING *0010
Available balance **\$5,200.01**
Current balance \$5,200.01
[Show More](#) [Make a transfer](#)

PAYROLL *0012
Available balance **\$0.00**
Current balance \$0.00
[Show More](#) [Make a transfer](#)

TAXES *0011
Available balance **\$0.00**
Current balance \$0.00
[Show More](#) [Make a transfer](#)

BUS MEMBERSHIP SAVINGS *0000
Available balance **\$6.00**
Current balance \$16.00
[Show More](#) [Make a transfer](#)

VIOTEK

