

for Affordable Utility Services and Energy Efficiency in Pennsylvania (CAUSE-PA), the Chester Water Authority (CWA), and Upland Borough, Delaware County, filed protests. The County of Delaware; East Whiteland Township, Chester County; Manchester Township, York County; Spring Garden Township, York County; West Manchester Township, York County; York Township, York County; City of Butler, Butler County; East Norriton Township; the Township of Lower Makefield, Bucks County; and North York Borough, York County; and the Utility Workers Union of America, AFL-CIO, Local 612 (UWUA) filed petitions to intervene.

The Joint Applications were assigned to Administrative Law Judge (ALJ) Mary D. Long and scheduled for a Prehearing Conference on January 22, 2026. By Prehearing Conference Order dated January 7, 2026, the Parties were directed to file prehearing memoranda.

On January 20, 2026, the Parties filed their prehearing memoranda as directed. The Joint Applicants also filed Answers opposing the Petitions to Intervene of Township of Lower Makefield, the City of Butler, Manchester Township, North York Borough, Spring Garden Township, West Manchester Township, and York Township. The Joint Applicants also filed preliminary objections to the protests of Upland Borough and the CWA.

The Prehearing Conference was held as scheduled by ALJ Long. Upland Borough did not attend. No party objected to the petitions to intervene of the County of Delaware, East Whiteland Township, East Norriton Township or UWUA. These petitions to intervene were granted.

The Joint Applicants objected to the petitions to intervene of Township of Lower Makefield, the City of Butler, Manchester Township, North York Borough, Spring Garden Township, West Manchester Township, and York Township. OSBA and OCA supported the intervention of the Municipalities. These petitions to intervene were granted.

On February 5, 2026, a Judge Change – Assignment Notice was issued, indicating the Presiding ALJ had been changed from ALJ Mary D. Long to ALJs Emily I. DeVoe and Ann Quimby.

On February 18, 2026, the County of Montgomery (Montgomery) filed a Petition to Intervene (Petition) that included a Notice to Plead.

On March 3, 2026, the undersigned ALJs issued an Interim Order dismissing the Joint Applicants' Preliminary Objections to the Formal Protests of the CWA and Upland Borough Delaware County.

On March 6, 2026, Essential Utilities, Inc. (Essential) filed an Answer in response to the County of Montgomery's Petition to Intervene.

On March 9, 2026, Essential filed a Corrected Answer (Answer) in response to the County of Montgomery's Petition to Intervene.

On March 10, 2026, American Water Works Company, Inc. and Alpha Merger Sub, Inc. filed a letter with the Secretary of the Commission indicating they did not oppose the County of Montgomery's Petition to Intervene.

On March 12, 2026, Luis Francisco filed a document with the Commission's Secretary's Bureau, which was attached to the docket by the Secretary's Bureau as a Petition to Intervene. The document filed by Mr. Francisco included a certificate of service, but it did not list all parties to this proceeding. Additionally, the document did not include a notice to plead.

On April 14, 2026, the undersigned ALJs issued an Interim Order to ensure the document filed by Luis Francisco on March 12, 2026, was served on all parties, and providing a deadline for answers and objections to Mr. Francisco's filing – May 4, 2026.

On April 21, 2026, Mr. Francisco testified at a public input hearing and presented exhibits 1-5, which were admitted without objection. As of the date of this Order, the transcript of the public input hearing is not yet filed. As such, the admitted exhibits (Francisco 1-5) are attached to this Order as Attachment A.

On April 24, 2026, Mr. Francisco emailed our legal assistant. This email is attached to this Order as Attachment B. In the body of the email, lists the subject of his email as “Urgent Motion for Ruling on Petition to Intervene.”

The April 24, 2026, email was not sent to or filed with the Secretary’s Bureau, nor was it emailed to the active parties to this proceeding. As such, we forwarded the *ex parte* email to all counsel.

On May 4, 2026, Joint Applicants filed an Answer to the Petition to Intervene file by Mr. Francisco.

The instant Order only addresses the Petition to Intervene filed by Luis Francisco.

DISCUSSION

A petition to intervene may be brought when there is a claim of a right or interest of such a nature that intervention is necessary or appropriate to the administration of the statute under which the proceeding is brought.¹ Such circumstances exist when there is a right conferred by statute, when an interest is present that may be directly affected and is not adequately represented by existing participants when the petitioner may be bound by the action of the Commission in the proceeding, or when another interest of such nature that participation of the participant may be in the public interest exists.²

Petitions to intervene must set out clearly and concisely the following: (1) the facts from which the alleged intervention right or interest of the petitioner can be determined; (2) the grounds of the proposed intervention; (3) the petitioner’s position regarding the issues in the proceeding.³

In his Petition, Mr. Francisco avers he is “a primary bondholder under the **Mortgage of 1941 (Exhibit 4.1.17)** and **Supplement No. 63 (May 2025)**.”⁴ Neither of these documents are attached to the Petition. He further writes,

¹ 52 Pa. Code § 5.72 (a).
² *Id.*
³ 52 Pa. Code § 5.73 (a).
⁴ Petition ¶ 2 (emphasis in original).

Petitioner clarifies that his intent is **not to obstruct or block** the proposed \$63 Billion Change of control. Rather, Petitioner seeks to ensure that unreported debt obligations identified in the 1941 Indenture are legally validated and addressed as part of the financial restructuring of the resulting entity.⁵

As relief, Mr. Francisco writes,

Petitioner demands a full **Forensic Audit** of the 63 Supplements to verify the total outstanding liability. Following validation, Petitioner proposes a **Settlement via Conversion**: the exchange of validated bond debt into **Preferred Shares** of the surviving corporation. These shares must carry:

- **Fixed Dividends** for perpetual family maintenance
- **Full Voting Rights** to protect Petitioner’s interest in corporate governance....

Petitioner requests that the Commission grant intervention and facilitate a stay for the specific purpose of allowing Applicants to engage in a validation process and a debt-for-equity conversion agreement, ensuring the transaction proceeds with clean financial records.”⁶

Attached to the Petition is a document entitled, “Schedule of Supplemental Indentures Substantially Identical to Form of Supplemental Indenture During and After 2014,” which lists fifteen “Supplemental Indentures” with dates ranging from December 1, 2014, to May 1, 2025.

In his April 24, 2026, email, he identifies himself as a “Senior Lienholder of the 1911 Rutherford Heights Heritage Trust,” and references his testimony at the public input hearing regarding “an outstanding debt liability under the 1941 Indenture.” He further writes,

A formal Forensic Audit is required to determine the exact valuation of these senior liens. I have demanded this audit from the Corporate

⁵ *Id.* (emphasis in original).

⁶ Petition ¶¶ 3-4 (emphasis in original).

Trustee (BNY Mellon). Until the results are disclosed, the Joint Applicants' financial liability remains unverified in this proceeding....

[C]ounsel for the Joint Applicants continues to withhold discovery, citing my "pending" status. This prevents me from fulfilling my duty to the Trust and the public interest.

The exhibits admitted into the record at the public input hearing appear to be documents prepared by Mr. Francisco, both Word documents and copies of emails. No exhibit bears the signature of any individual other than Mr. Francisco or purports to be issued by any other individual or entity. In these documents, Mr. Francisco alleges the existence of "First Mortgage Gold Bonds" issued and payable by Rutherford Heights Water Supply Company (Rutherford). These bonds allegedly reached maturity in 1941, and, along with "53 unredeemed interest coupons" remain unredeemed and in Mr. Francisco's possession.

After careful review and consideration, we deny Mr. Francisco's Petition to Intervene finding he has failed to sufficiently set forth the requirements of 52 Pa. Code § 5.73. While the Commission's rules provide for liberal construction, especially in cases involving *pro se* litigants, the facts averred in the Petition – even when considered in conjunction with and supplemented by the averments in the April 24, 2026 email and the exhibits admitted at the public input hearing on April 21, 2026 – fail to sufficiently establish that Mr. Francisco has an interest in this merger proceeding. Mr. Francisco fails to clearly and concisely demonstrate a link between the Rutherford bonds he allegedly holds and the proposed merger between Joint Applicants.

and similar tenor, all equally secured by mortgage, bearing even date herewith, executed and delivered by said Rutherford Heights Water Supply Company to the said Commonwealth Trust Company, Trustee, and recorded in the office of the Recorder of Deeds in **Dauphin County, Pennsylvania**, upon all the property, corporations, rights, franchises, privileges and contracts of said Company, **now owned or hereafter acquired**; the execution and delivery of said bonds and mortgage having been authorized by resolution of the stockholders and of the Board of Directors of said Company.

This bond shall not be valid until authenticated by the certificate hereon, signed by the Trustee. This bond, if not registered, shall pass by delivery. This bond may be registered in the books kept for that purpose at the office of the Company in Newport, Pennsylvania...

Strategic Analysis of this Translation:

1. **"Or its equivalent"**: This phrase in the Gold Clause is your most powerful tool. It demands that the company pays the **current dollar value** of the gold weight, bypasses old restrictions, and justifies your multi-million dollar claim.
2. **"Now owned or hereafter acquired"**: This confirms the lien "floats" and attaches to all new assets purchased by the company after 1911. This directly affects **Essential Utilities'** current infrastructure.
3. **"Ninety (90) days" Default**: Since your coupons from the 1930s and 1940s are still attached, the "acceleration" happened long ago. The debt is **fully matured and in total default**.

Proactive Step: I recommend you print this English version and the Spanish version side-by-side. When you mail your certified letters to **BNY Mellon** and **Essential Utilities**, include both to ensure there is no "language barrier" excuse.

Francisco

FRANCISCO EX. 1

OFFICIAL TRANSLATION: FIRST MORTGAGE FIVE PERCENT GOLD BOND

Issuer: RUTHERFORD HEIGHTS WATER SUPPLY COMPANY

Rutherford Heights Water Supply Company, a corporation of the State of Pennsylvania, hereinafter called the Company, acknowledges itself to be indebted to the bearer, or if registered, to the registered holder hereof, in the sum of

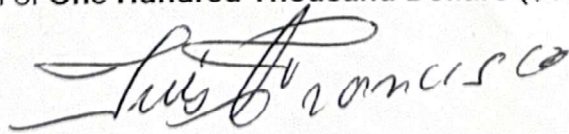
ONE HUNDRED DOLLARS

in lawful money of the United States of America, which it promises to pay on or before the first day of May, A.D. 1941, at the office of the **Commonwealth Trust Company**, the Trustee in the City of Harrisburg, Pennsylvania, hereinafter called Trustee, with interest at the rate of **five (5) per cent per annum**, payable semi-annually on the first days of May and November in each year at said office, upon presentation and surrender of the annexed coupons, as they severally mature, **both principal and interest in gold coin of the United States of the present standard of weight and fineness or its equivalent**, and free and discharged from all taxes now assessed or levied upon all or any part of the property, rights, franchises or evidence of debt of said Company by the United States or any State, Territory or Municipality, which said Company agrees and promises to pay.

The right is reserved by said Company to pay off the entire issue of bonds or any part thereof, at par and accrued interest, on the first day of May, A.D. 1941 or at any interest-paying time thereafter, although the same may not be due until the first day of May, A.D. 1941, first giving sixty days' notice of such intention, as provided in the mortgage securing the same.

In case the interest coupons or any part thereof are not paid when they respectively become due and such default shall continue for ninety (90) days thereafter, the principal shall become due notwithstanding the terms hereof, and the bondholders and the Trustee may thereafter exercise all rights and powers granted to them or any of them under and by the terms and conditions of the mortgage bearing even date herewith.

This bond is one of a series of **four hundred (400) bonds** issued by said Company, two hundred and fifty (250) of which are for **One Hundred Dollars (\$100.00)** each, numbered consecutively from one to two hundred and fifty (250) inclusive, and one hundred and fifty (150) of which are for **Five Hundred Dollars (\$500.00)** each, numbered from two hundred and fifty-one (251) to four hundred (400) inclusive, aggregating a total of **One Hundred Thousand Dollars (\$100,000.00)** of the same date



FRANCISCO EX. 1

[EXT]:Fron Luis francisco

From Luis Francisco <providence1133@gmail.com>

Date Fri 2/20/2026 6:54 PM

To Print & Marketing Services 541 <print.marketing0541@staples.com>

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OFFICIAL NOTICE OF ADVERSE CLAIM & DEMAND FOR AUDIT

DATE: February 20, 2026

RE: UNREDEEMED SENIOR FIRST MORTGAGE GOLD BONDS (1941 INDENTURE)

ISSUER: Rutherford Heights Water Supply Co. (Successor: Essential Utilities / Aqua PA)

TRUSTEE: The Bank of New York Mellon Trust Company, N.A.

TOTAL PRINCIPAL: \$12,000.00 USD (Plus 85 years of compounded interest)

MERGER DOCKET (PA PUC): A-2025-3058927

TO THE RELEVANT PARTIES:

This letter serves as formal legal notice of an outstanding **Senior First Mortgage Lien** on the infrastructure assets currently involved in the **\$63 Billion merger** between **Essential Utilities, Inc.** (f/k/a Aqua America) and **American Water**.

1. STANDING OF THE CLAIMANT

I, **Luis Francisco**, am the legal holder in physical possession of **52 original First Mortgage Gold Bonds** (Serial Nos. 21–676). These instruments reached maturity in **1941** but were never redeemed. Each certificate remains in my possession with **53 unredeemed interest coupons**, confirming the debt is **Outstanding and Unsatisfied**.

2. CONTINUITY OF THE 1941 INDENTURE

The validity of this debt is confirmed by the **Forty-Eighth Supplemental Indenture dated October 1, 2013**, filed with the SEC, which explicitly incorporates the **Original Indenture dated January 1, 1941**, as a governing instrument. This contract remains active and encumbers the physical assets of the company.

3. FORMAL DEMANDS

- **TO BNY MELLON:** I demand a **Forensic Audit** of the Sinking Fund and Bond Ledgers for the 1941 Indenture. Your previous claim of "no records" is refuted by the 2013 Supplemental Indenture cited above.
- **TO ESSENTIAL UTILITIES:** I demand that this senior lien be satisfied or converted into **dividend-paying equity** in the merged entity before the close of the \$63B transaction.
- **TO THE PA PUC:** I request that the Commission stay the approval of **Docket A-2025-3058927** until this secured creditor claim is resolved to prevent a cloud on the title of Pennsylvania utility assets.
- **TO THE SEC:** I am reporting a potential **material omission** in the merger's financial disclosures (Form S-4/10-K) regarding these outstanding senior mortgage liabilities.

4. CERTIFIED INVENTORY SCHEDULE

- **\$100 Denomination (35 Units):** Serial Nos. 21, 23, 24, 25, 26, 28, 29, 30, 31, 49, 50, 51, 52, 53, 54, 61, 62, 63, 64, 133, 135, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 148, 248.
- **\$500 Denomination (17 Units):** Serial Nos. 262, 273, 274, 275, 277, 280, 281, 282, 297, 303, 304, 309, 333, 344, 345, 369, 676.

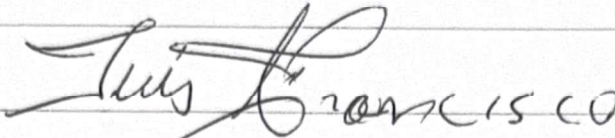
SINCERELY,

(Signature)


LUIS FRANCISCO (Claimant Pro Se)

FRANCISCO ex. 1

[EXT]:Fron Luis Francisco



From Luis Francisco <providence1133@gmail.com>

Date Sat 4/11/2026 4:39 PM

To Print & Marketing Services 541 <print.marketing0541@staples.com>

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**NOTICE OF PROPOSED EQUITABLE RESOLUTION
BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION
Docket Nos. A-2025-3058927, A-2025-3058928, and A-2025-3058929**

TO: Joint Applicants (American Water & Essential Utilities) and the Presiding Administrative Law Judges.

FROM: Luis Francisco, Party of Record (Pro Se)

DATE: April 21, 2026

RE: Technical Validation and Debt-to-Equity Conversion Linked to the Sixty-Third Supplemental Indenture (May 1, 2025)

As the legitimate holder of 52 physical Mortgage Gold Bonds (Rutherford Heights Water Supply Co., 1911), I am formally submitting this proposal for a fair and transparent resolution regarding the unresolved secured debt currently encumbering the assets of Aqua Pennsylvania, Inc.

1. Demand for Formal Debt Validation and Forensic Audit

Under the 1941 Master Indenture and the recent **Sixty-Third Supplemental Indenture dated May 1, 2025**, Aqua Pennsylvania, Inc. continues to operate under a debt structure that inherits legacy liabilities. I request that the Commission order a **Forensic Audit of the Sinking Fund** to validate the contractual integrity of these 1911 bonds. This is a critical matter of transparency, especially following the written acknowledgment from the Trustee (BNY Mellon) on February 2, 2026, regarding potential record discrepancies.

2. Proposal for Equitable Transaction (Debt-to-Equity Conversion)

My objective is not to cause financial hardship to the utility or to place an undue burden on Pennsylvania consumers. I am proposing a **Fair Transaction** to resolve this material liability:

- **Conversion to Capital:** I am prepared to negotiate the exchange of the validated debt for **Preferred Shares with Voting Rights** in the newly merged entity.
- **Benefits to the Public Interest:** This conversion ensures the legal satisfaction of the 1911 mortgage liens without depleting the utility's cash reserves, thereby protecting the financial stability of the company and the interests of the ratepayers.

3. Conclusion

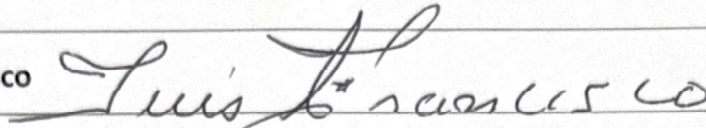
A "Certificate of Public Convenience" should not be granted while material liens—recognized as recently as the 2025 Supplemental Indenture—remain unaddressed. I seek a technical validation and a formal negotiation to resolve this matter through a just and equitable corporate transaction.

Respectfully submitted,

Luis Francisco
737 N. Lacrosse St., Allentown, PA
Tel: 484-456-0318

FRANCISCO EX-2

[EXT]:Fron Luis Francisco



From Luis Francisco <providence1133@gmail.com>

Date Wed 4/15/2026 8:50 AM

To Print & Marketing Services 541 <print.marketing0541@staples.com>

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COMMONWEALTH OF PENNSYLVANIA

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

In Re: Joint Application of American Water Works Company, Inc. and Essential Utilities, Inc.
Docket Nos.: A-2025-3058927; A-2025-3058928; A-2025-3058929

NOTICE OF FORMAL ADVERSE CLAIM, EVIDENCE OF SENIOR LIEN, AND DEMAND FOR IMMEDIATE DISCLOSURE TO ALL PARTIES

TO ALL COUNSEL OF RECORD AND PARTIES DESCRIBED HEREIN:

I, **Luis Francisco**, Pro Se Intervenor and Senior Lienholder, hereby serve this formal notice and Evidence Package upon all parties to this proceeding. This filing is made to ensure that the Joint Applicants and their counsel fulfill their mandatory disclosure obligations prior to the April 21, 2026, discovery deadline.

FORMAL DEMANDS TO JOINT APPLICANTS AND COUNSEL:

1. **Immediate Disclosure:** I demand that the existence of the **52 First Mortgage Gold Bonds (1911)** and the associated unsatisfied senior lien be fully disclosed in the Joint Applicants' verified responses to the **Office of Consumer Advocate (OCA) Set 7 Interrogatories**.
2. **Validation of Successor Liability:** Per **Exhibit 4.2.6.1 of the 2025 10-K**, I demand a formal validation of the debt under the **1941 Master Indenture**.
3. **Audit Acknowledgment:** I demand that the Joint Applicants acknowledge the active research case currently open with the Trustee, **BNY Mellon**, initiated on February 2, 2026.

EVIDENCE ATTACHED:

- Signed Demand Letter (March 2, 2026).
- Certified Mail Receipts (Green Cards) proving service to Essential Utilities and Counsel.
- Correspondence from BNY Mellon Corporate Bond Research acknowledging the claim.
- Official Translation and Inventory of the 1911 Gold Bonds.

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true copy of this document upon the following lead counsel via electronic mail, in accordance with the requirements of 52 Pa. Code § 1.54:

- **Kenneth M. Kulak, Esq.** (Morgan Lewis) - ken.kulak@morganlewis.com
- **Anthony D. Kanagy, Esq.** (Post & Schell) - akanagy@postschell.com
- **Kimberly A. Joyce, Esq.** (Essential Utilities) - kajoyce@essential.co
- **Joel Cheskis, Esq.** (Office of Consumer Advocate) - jcheskis@paoca.org
- **Alexander R. Stahl, Esq.** (Aqua Pennsylvania) - astahl@aquaamerica.com

Respectfully submitted,

Luis Francisco

737 N. Lacrosse St., Allentown, PA 18109

FRANCISCO EX. 3

Providence1133@gmail.com | 484-456-0318

Dated: April 15, 2026

FRANCISCO EX. 3

[EXT]:Fron Luis Francisco

From Luis Francisco <providence1133@gmail.com>

Date Tue 4/14/2026 6:02 PM

To Print & Marketing Services 541 <print.marketing0541@staples.com>; Luis Francisco <providence1133@gmail.com>

EXTERNAL EMAIL: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe. Be cautious of any requests for sensitive information.

Título del Documento (Para entregar en mano):

NOTICE OF COMPLIANCE AND PROOF OF SERVICE REGARDING INTERVENTION OF LUIS FRANCISCO

Docket No. A-2025-3058927, et al.

Guion para hablar ante las Juezas (Lo que debes decir):

"Honorable Judge DeVoe, Honorable Judge Quimby:

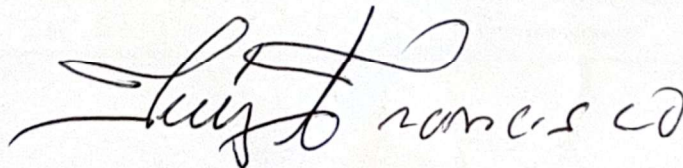
My name is **Luis Francisco**, and I am appearing today to respond to your **Provisional Order of April 14, 2026**.

I want to formally confirm for the record that **Due Process has been satisfied**. Although the Commission's file was missing the certificates of service, I have here the physical evidence that all primary parties were notified of my claims regarding the 1911 Gold Bonds (Rutherford Heights Water Supply Co.) well in advance.

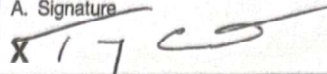

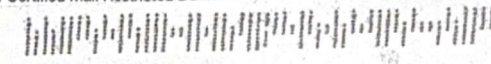
I am handing to the Court today my **'Evidence Package'**, which includes:



1. **Proof of Service to the Applicants:** Certified Mail receipts (Green Cards) signed by the Legal Department of **Essential Utilities** and the attorneys for **Aqua Pennsylvania** (Saul Ewing LLP) and **American Water** (Post & Schell).
2. **Federal Disclosure:** Proof of service to the **U.S. Securities and Exchange Commission (SEC)** in Washington, D.C.
3. **USPS Purchase Receipt:** The master receipt linking all tracking numbers to this proceeding. These documents prove that the companies have had formal knowledge of these **Senior Liens** and material liabilities since early March.

As a **Senior Lienholder**, my interest in this \$63 Billion merger is direct, substantial, and not represented by any other party. I respectfully request that my **Petition to Intervene** be granted without further delay so that these liabilities can be properly disclosed to the Commission before the merger is approved."




FRANCISCO EX. 4


SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY								
<ul style="list-style-type: none"> Complete items 1, 2, and 3. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. 	<p>A. Signature <input checked="" type="checkbox"/> Agent <input type="checkbox"/> Addressee </p>								
<p>1. Article Addressed to:</p> <p>COURTNEY L. SCHULTZ ESQ SAUL C. WING LLP 1500 MARKET ST 38TH FL Philadelphia, PA 1902</p>  <p>9590 9402 9730 5199 7731 81</p>	<p>B. Received by (Printed Name) Tony Catalano</p> <p>C. Date of Delivery 3/17/26</p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input checked="" type="checkbox"/> No</p>								
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<p>PS Form 3811, July 2020 PSN 7530-02-000-9053</p>	<p>Domestic Return Receipt</p>								

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY												
<ul style="list-style-type: none"> Complete items 1, 2, and 3. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. 	<p>A. Signature <input type="checkbox"/> Agent <input type="checkbox"/> Addressee </p>												
<p>1. Article Addressed to:</p> <p>Michael W. Hassell Esq (Post & Schell, P.C.) 17 N. Second St 12th Fl Harrisburg PA 17101</p>  <p>9590 9402 9730 5199 7731 74</p>	<p>B. Received by (Printed Name) M. Hassell</p> <p>C. Date of Delivery</p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input checked="" type="checkbox"/> No</p>												
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<p>PS Form 3811, July 2020 PSN 7530-02-000-9053</p>	<p>Domestic Return Receipt</p>												

San Francisco

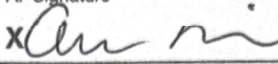
FRANCISCO GX.4

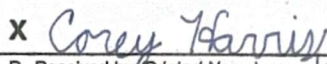
SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY												
<ul style="list-style-type: none"> Complete items 1, 2, and 3. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. 	<p>A. Signature <input checked="" type="checkbox"/> <i>Teresa McKee</i> <input type="checkbox"/> Agent <input type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name) TERESA MCKEE</p> <p>C. Date of Delivery MAR 12 2026</p>												
<p>1. Article Addressed to: PENNSYLVANIA PUBLIC UT SECRETARY'S BUREAU 400 NORTH ST HARRISBURG PA 17120</p>  <p>9590 9402 9730 5199 7743 55</p>	<p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No</p> <p>3. Service Type</p> <table border="0"> <tr> <td><input type="checkbox"/> Adult Signature</td> <td><input type="checkbox"/> Priority Mail Express®</td> </tr> <tr> <td><input type="checkbox"/> Adult Signature Restricted Delivery</td> <td><input type="checkbox"/> Registered Mail™</td> </tr> <tr> <td><input checked="" type="checkbox"/> Certified Mail®</td> <td><input type="checkbox"/> Registered Mail Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Certified Mail Restricted Delivery</td> <td><input type="checkbox"/> Signature Confirmation™</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery</td> <td><input type="checkbox"/> Signature Confirmation Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery Restricted Delivery</td> <td></td> </tr> </table>	<input type="checkbox"/> Adult Signature	<input type="checkbox"/> Priority Mail Express®	<input type="checkbox"/> Adult Signature Restricted Delivery	<input type="checkbox"/> Registered Mail™	<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery	<input type="checkbox"/> Certified Mail Restricted Delivery	<input type="checkbox"/> Signature Confirmation™	<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery	<input type="checkbox"/> Collect on Delivery Restricted Delivery	
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<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery												
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<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery												
<input type="checkbox"/> Collect on Delivery Restricted Delivery													
<p>2. Article Number (Transfer from service label) 7016 0910 0001 7293 2381</p>	<p><input type="checkbox"/> Registered Mail Restricted Delivery (over \$500)</p>												
<p>PS Form 3811, July 2020 PSN 7530-02-000-9053 Domestic Return Receipt</p>													

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<p>1. Article Addressed to: SECRETARY PA PUC 400 NORTH ST HARRISBURG PA 17120</p>  <p>9590 9402 9730 5199 7731 98</p>	<p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input checked="" type="checkbox"/> No</p> <p>3. Service Type</p> <table border="0"> <tr> <td><input type="checkbox"/> Adult Signature</td> <td><input type="checkbox"/> Priority Mail Express®</td> </tr> <tr> <td><input type="checkbox"/> Adult Signature Restricted Delivery</td> <td><input type="checkbox"/> Registered Mail™</td> </tr> <tr> <td><input checked="" type="checkbox"/> Certified Mail®</td> <td><input type="checkbox"/> Registered Mail Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Certified Mail Restricted Delivery</td> <td><input type="checkbox"/> Signature Confirmation™</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery</td> <td><input type="checkbox"/> Signature Confirmation Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery Restricted Delivery</td> <td></td> </tr> </table>	<input type="checkbox"/> Adult Signature	<input type="checkbox"/> Priority Mail Express®	<input type="checkbox"/> Adult Signature Restricted Delivery	<input type="checkbox"/> Registered Mail™	<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery	<input type="checkbox"/> Certified Mail Restricted Delivery	<input type="checkbox"/> Signature Confirmation™	<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery	<input type="checkbox"/> Collect on Delivery Restricted Delivery	
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<input type="checkbox"/> Certified Mail Restricted Delivery	<input type="checkbox"/> Signature Confirmation™												
<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery												
<input type="checkbox"/> Collect on Delivery Restricted Delivery													
<p>2. Article Number (Transfer from service label) 9589 0710 5270 2589 5027 12</p>	<p><input type="checkbox"/> Registered Mail Restricted Delivery (over \$500)</p>												
<p>PS Form 3811, July 2020 PSN 7530-02-000-9053 Domestic Return Receipt</p>													

Luis Francisco

FRANCISCO GX. 4

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<p>1. Article Addressed to: ESSENCIAL UTILITIES LEGAL DEPT. M&A DIVISION 762 W. LANCASTER BLVD. BRYN MAWR, PA 19010</p>	<p>B. Received by (Printed Name) Amber Simms C. Date of Delivery 3-9-26</p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input checked="" type="checkbox"/> No</p>														
<p>2. Article Number (Transfer from service label) 7016 0910 0001 7293 2404</p>	<p>3. Service Type</p> <table border="0"> <tr> <td><input type="checkbox"/> Adult Signature</td> <td><input type="checkbox"/> Priority Mail Express®</td> </tr> <tr> <td><input checked="" type="checkbox"/> Adult Signature Restricted Delivery</td> <td><input type="checkbox"/> Registered Mail™</td> </tr> <tr> <td><input checked="" type="checkbox"/> Certified Mail®</td> <td><input type="checkbox"/> Registered Mail Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Certified Mail Restricted Delivery</td> <td><input type="checkbox"/> Signature Confirmation™</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery</td> <td><input type="checkbox"/> Signature Confirmation Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery Restricted Delivery</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Signature Confirmation Restricted Delivery</td> <td></td> </tr> </table>	<input type="checkbox"/> Adult Signature	<input type="checkbox"/> Priority Mail Express®	<input checked="" type="checkbox"/> Adult Signature Restricted Delivery	<input type="checkbox"/> Registered Mail™	<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery	<input type="checkbox"/> Certified Mail Restricted Delivery	<input type="checkbox"/> Signature Confirmation™	<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery	<input type="checkbox"/> Collect on Delivery Restricted Delivery		<input type="checkbox"/> Signature Confirmation Restricted Delivery	
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<p>1. Article Addressed to: U.S. SECURITIES & EXCHANGE DIVISION OF CORR. FINANCE OFFICE OF INVESTOR EDUCATION 100 F ST, NW, WASHINGTON, DC 20549</p>	<p>B. Received by (Printed Name) SFC Mail Processing C. Date of Delivery MAR 09 2026</p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input checked="" type="checkbox"/> No Washington, DC</p>														
<p>2. Article Number (Transfer from service label) 7016 0910 0001 7293 2411</p>	<p>3. Service Type</p> <table border="0"> <tr> <td><input type="checkbox"/> Adult Signature</td> <td><input type="checkbox"/> Priority Mail Express®</td> </tr> <tr> <td><input checked="" type="checkbox"/> Adult Signature Restricted Delivery</td> <td><input type="checkbox"/> Registered Mail™</td> </tr> <tr> <td><input checked="" type="checkbox"/> Certified Mail®</td> <td><input type="checkbox"/> Registered Mail Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Certified Mail Restricted Delivery</td> <td><input type="checkbox"/> Signature Confirmation™</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery</td> <td><input type="checkbox"/> Signature Confirmation Restricted Delivery</td> </tr> <tr> <td><input type="checkbox"/> Collect on Delivery Restricted Delivery</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Signature Confirmation Restricted Delivery</td> <td></td> </tr> </table>	<input type="checkbox"/> Adult Signature	<input type="checkbox"/> Priority Mail Express®	<input checked="" type="checkbox"/> Adult Signature Restricted Delivery	<input type="checkbox"/> Registered Mail™	<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery	<input type="checkbox"/> Certified Mail Restricted Delivery	<input type="checkbox"/> Signature Confirmation™	<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery	<input type="checkbox"/> Collect on Delivery Restricted Delivery		<input type="checkbox"/> Signature Confirmation Restricted Delivery	
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<p>PS Form 3811, July 2020 PSN 7530-02-000-9053 Domestic Return Receipt</p>															

Lucy L. Ramirez

FRANCISCO EX. 4

[EXT]:

From Luis Francisco <providence1133@gmail.com>
Date Mon 3/2/2026 3:29 PM
To Print & Marketing Services 541 <print.marketing0541@staples.com>

EXTERNAL EMAIL: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe. Be cautious of any requests for sensitive information.

DATE: [Fecha de hoy]3/2/2026

TO:sec

- **Pennsylvania PUC:** Secretary's Bureau, 400 North Street, Harrisburg, PA 17120.
- **U.S. SEC:** Office of Investor Education and Advocacy, 100 F Street, NE, Washington, DC 20549.
- **BNY Mellon:** Corporate Trust Communications, P.O. Box 396, East Syracuse, NY 13057.
- **Essential Utilities, Inc. (Legal Dept):** 762 W. Lancaster Avenue, Bryn Mawr, PA 19010.

FROM:

[Tu Nombre Completo]luis Francisco
[Tu Dirección]737 n lacrosse st Allentown pa 18109
[Tu Teléfono y Correo Electrónico]providence1133@gmail.com/4844560318

1. LEGAL STANDING

This claim is based on the **Indenture of Mortgage dated January 1, 1941**, originally executed by Philadelphia Suburban Water Company (now Aqua Pennsylvania/Essential Utilities) and its Successor Trustee, **The Bank of New York Mellon** (as referenced in Exhibit 4.2.6.1 of the 2025 10-K Filing).

2. DESCRIPTION OF ASSETS

I am the lawful holder of **52 First Mortgage Gold Bonds** issued by **Rutherford Heights Water Supply Co.** These bonds represent a Senior Lien (prioritized debt) on the physical infrastructure and franchises that are currently being transferred or consolidated in the **\$63 Billion merger** between Essential Utilities and American Water.

3. FORMAL DEMANDS

- **To BNY Mellon (Trustee):** I demand a formal **Forensic Audit** of the 1941 Indenture and all subsequent Supplemental Indentures (through the 63rd Supplement dated May 1, 2025) to account for these unredeemed obligations.
- **To Essential Utilities:** I demand the immediate settlement of this debt, either through the payment of the capitalized value of the bonds and their 53 coupons or through a **forced conversion into**

Luis Francisco

FRANCISCO EX-5

Preferred Shares of the newly merged entity.

- To SEC/PUC: I request a stay or conditional approval of the asset transfer until these senior liabilities are accurately reflected in the consolidated financial statements (Form S-4/10-K).

4. RESERVATION OF RIGHTS

This notice serves as a formal demand. Failure to respond or address these senior liens may result in further administrative and legal actions to protect my rights as a secured creditor under the 1941

Indenture. Luis Francisco

SIGNATURE:



From: Eberly, Cadi
Sent: Wednesday, April 29, 2026 8:16 AM
To: DeVoe, Emily; Quimby, Ann
Cc: Coogan, John
Subject: FW: [External] Fron Luis francisco / A2025-3058927

Please see below...

Thank you,



www.puc.pa.gov



Cadi Eberly

Legal Assistant
Office of the Administrative Law Judge
Phone: 717-787-1380
Email: caeberly@pa.gov
Consumer Hotline:
1-800-692-7380

PRIVILEGED AND CONFIDENTIAL COMMUNICATION: This email, including any attachments, may be privileged, confidential, proprietary, and/or otherwise protected from disclosure, and is intended only for the use of the designated recipient(s). Any dissemination, disclosure, distribution, copying, or other use of this communication without the approval of the sender is strictly prohibited and may be unlawful. If you have received this communication in error, please immediately notify the sender, delete the original electronic email and destroy any printed copies. Receipt by anyone other than the intended recipient is not a waiver of any attorney-client or work-product privilege. Email transmission cannot be guaranteed to be secure or error-free, therefore sender does not accept liability for any errors or omissions in the contents of this message, which arise as a result of email transmission.

From: Luis Francisco <providence1133@gmail.com>
Sent: Friday, April 24, 2026 6:24 PM
To: Eberly, Cadi <caeberly@pa.gov>
Subject: [External] Fron Luis francisco / A2025-3058927

ATTENTION: This email message is from an external sender. Do not open attachments or click links from unknown senders. To report suspicious email, use the [Report Phishing button in Outlook](#).

DATE: April 24, 2026
TO: Rosemary Chiavetta, Secretary (Pennsylvania Public Utility Commission)
CC: Presiding Administrative Law Judge (via Legal Assistant Cadi Eberly - caeberly@pa.gov)
FROM: Luis Francisco (Pro Se Intervenor)
DOCKET NO.: A-2025-3058927
SUBJECT: URGENT MOTION FOR RULING ON PENDING PETITION TO INTERVENE TO THE HONORABLE COMMISSION AND THE PRESIDING JUDGE:

I, Luis Francisco, Senior Lienholder of the 1911 Rutherford Heights Heritage Trust, hereby move for an expedited ruling on my **Petition to Intervene** (filed March 31, 2026) for the following reasons:

1. **Sworn Testimony Provided:** On April 21, 2026, I appeared before the **Honorable Judge** and provided sworn testimony regarding an outstanding senior debt liability under the **1941 Indenture**.
2. **Audit Requirement:** A formal Forensic Audit is required to determine the exact valuation of these senior liens. I have demanded this audit from the Corporate Trustee (BNY Mellon). Until

the results are disclosed, the Joint Applicants' financial liability remains unverified in this proceeding.

3. **Judicial Guidance:** During the hearing, the **Presiding Judge** acknowledged my pro se status and my concern regarding legal technicalities. The Judge stated that the tribunal provides assistance to ensure that such technicalities do not delay or obstruct a legitimate case.
4. **Current Obstruction:** Despite the Judge's guidance, counsel for the Joint Applicants continues to withhold discovery, citing my "pending" status. This prevents me from fulfilling my duty to the Trust and the public interest.

REQUESTED RELIEF:

I respectfully request that the **Judge** issue an Order granting my Intervention immediately. This will allow me to ensure that the material obligations of the 1941 Indenture are fully audited and disclosed on the record before this merger proceeds further. A-2025-3058927

Respectfully submitted, Luis francisco

Luis Francisco

Senior Lienholder / Successor Trustee

[Tu Dirección]737 n lacrosse st Allentown pa

[Tu Teléfono]4844560318

A-2025-3058927, A-2025-3058928, & A-2025-3058929 - JOINT APPLICATION OF AMERICAN WATER WORKS COMPANY, INC., ESSENTIAL UTILITIES, INC., AQUA PENNSYLVANIA, INC., AQUA PENNSYLVANIA WASTEWATER, INC., PEOPLES NATURAL GAS COMPANY LLC AND ALPHA MERGER SUB, INC. FOR A CERTIFICATE OF PUBLIC CONVENIENCE UNDER SECTIONS 1102(A)(3) AND 2210(C) OF THE PUBLIC UTILITY CODE AND ALL OTHER NECESSARY APPROVALS TO EFFECT A CHANGE OF CONTROL OF AQUA PENNSYLVANIA, INC., AQUA PENNSYLVANIA WASTEWATER, INC., AND PEOPLES NATURAL GAS COMPANY LLC

Revised May 6, 2026

KENNETH M. KULAK ESQUIRE
MARK A. LAZAROFF ESQUIRE
CATHERINE G. VASUDEVAN
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catherine.vasudevan@morganlewis.com
bmcglinn@morganlewis.com
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(Counsel for American Water Works Company, Inc. and Alpha Merger Sub, Inc.)

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kajoyce@aquaamerica.com
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(Counsel for Aqua Pennsylvania, Inc. and Essential Utilities, Inc.)

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(Counsel for Essential Utilities, Inc., Aqua Pennsylvania, Inc., Aqua Pennsylvania Wastewater, Inc., and Peoples Natural Gas Company LLC)

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sgray@pa.gov
Served via Email May 12, 2026

ALLISON C. KASTER RATE COUNSEL
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ALEX J. BAUMLER ESQUIRE
LAMB MCERLANE PC
24 EAST MARKET STREET, BOX 565
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610.701.3277
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Served via eService May 12, 2026
(Solicitor for East Norriton Twp)

RIA PEREIRA ESQUIRE
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THOMAS WYATT ESQUIRE
MATTHEW OLESH ESQUIRE
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matthew.selmasska@obermayer.com
Served via eService May 12, 2026
*Served via Email May 12, 2026
(Counsel for York Twp., West
Manchester Twp., Spring Garden Twp.,
Manchester Twp., East Whiteland Twp.,
County of Delaware, and City of Butler)

JOSEPH W. JESIOLOWSKI ESQUIRE
*KEVIN D. KENT ESQUIRE
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CLARK HILL TWO COMMERCE
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(Counsel for Chester Water Authority)

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MICHAEL MADDREN ESQUIRE
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(Counsel for Upland Borough)

MAUREEN B. CARLTON ESQUIRE
*ANDREW GRIFFIN ESQUIRE
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Served via eService May 12, 2026
*Served via Email May 12, 2026
(Counsel for Twp of Lower Makefield)

LOUIS AGRE
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215.852.6548
louagrelaw@gmail.com
Served via eService May 12, 2026
*(Counsel for International Union of
Operating Engineers, Local 542)*